

# Strong perforation in infinitely generated $K_0$ -groups of simple $C^*$ -algebras

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## Abstract

Let  $(G, G^+)$  be an ordered abelian group. We say that  $G$  has strong perforation if there exists  $x \in G$ ,  $x \notin G^+$ , such that  $nx \in G^+$ ,  $nx \neq 0$  for some natural number  $n$ . Otherwise, the group is said to be weakly unperforated. Examples of simple  $C^*$ -algebras whose ordered  $K_0$ -groups have this property and for which the entire order structure on  $K_0$  is known have, until now, been restricted to the case where  $K_0$  is group isomorphic to the integers. We construct simple, separable, unital  $C^*$ -algebras with strongly perforated  $K_0$ -groups group isomorphic to an arbitrary infinitely generated subgroup of the rationals, and determine the order structure on  $K_0$  in each case.

## 1 Introduction

Elliott's classification of AF  $C^*$ -algebras via the  $K_0$ -group ([2]) began a widespread effort to classify nuclear  $C^*$ -algebras. The  $K_0$ -group, which is an ordered group for stably finite  $C^*$ -algebras ([1]), has figured prominently in almost all work on this problem. (For an overview of the classification problem for nuclear  $C^*$ -algebras, see [3].) So far, every result on the classification of  $C^*$ -algebras has required the assumption that the ordered  $K_0$ -group be weakly unperforated whenever it is not zero. This assumption was shown to be non-trivial by Villadsen ([8]); the ordered abelian group  $Z_n := (\mathbb{Z}, \{0, n, n+1, \dots\})$  may arise as a saturated sub-ordered group of the  $K_0$ -group of a simple nuclear  $C^*$ -algebra. In [4], Elliott and Villadsen refined the results of [8] to obtain, for each natural number  $n$ , a simple nuclear  $C^*$ -algebra  $A_n$  whose ordered  $K_0$ -group is order isomorphic to  $Z_n$ . This result was further generalised by the author in [7], where it was shown that a certain class of order structures on the integers (which might possibly comprise all such order structures giving a simple ordered group) could arise as the ordered  $K_0$ -group of a simple nuclear  $C^*$ -algebra.

The classification of a category by an invariant is not complete until one knows the range of the invariant, and any classification of simple nuclear

stably finite  $C^*$ -algebras will necessarily capture the ordered  $K_0$ -group. Thus, the range of the  $K_0$  functor bears investigation. This range is known when  $K_0$  is a weakly unperforated ordered group, whence our interest in instances of the ordered  $K_0$ -group which exhibit strong perforation.

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## 2 Essential Results

In this section we review results from [4] that will be used in the sequel.

Let  $C, D$  be  $C^*$ -algebras, and let  $\phi_0, \phi_1$  be  $*$ -homomorphisms from  $C$  to  $D$ . The generalised mapping torus of  $C$  and  $D$  with respect to  $\phi_0$  and  $\phi_1$  is

$$A := \{(c, d) | d \in C([0, 1]; D), c \in C, d(0) = \phi_0(c), d(1) = \phi_1(c)\}$$

We will write  $A(C, D, \phi_0, \phi_1)$  for  $A$  when clarity demands it. We now list without proof some theorems, specialised to our needs, which will be used in the sequel.

**Theorem 2.1 (Elliott and Villadsen ([4]), Sec. 2, Thm. 2)** *The index map  $b_* : K_*C \rightarrow K_{1-*}SD = K_*D$  in the six term periodic sequence for the extension*

$$0 \rightarrow SD \rightarrow A \rightarrow C \rightarrow 0$$

*is the difference*

$$K_*\phi_1 - K_*\phi_0 : K_*C \rightarrow K_*D.$$

*Thus, the six-term exact sequence may be written as the short exact sequence*

$$0 \rightarrow \text{Coker}b_{1-*} \rightarrow K_*A \rightarrow \text{Ker}b_* \rightarrow 0.$$

*In particular, if  $b_{1-*}$  is surjective, then  $K_*A$  is isomorphic to its image,  $\text{Ker}b_*$ , in  $K_*C$ .*

*Suppose that cancellation holds for each pair of projections in  $D \otimes \mathcal{K}$  obtained as the images under the maps  $\phi_0$  and  $\phi_1$  of a single projection in  $C \otimes \mathcal{K}$ . Then, if  $b_1$  is surjective,*

$$(K_0A)^+ \cong (K_0C)^+ \cap K_0(e_\infty)(K_0A),$$

*where  $e_\infty$  denotes the evaluation of  $A$  at the fibre at infinity.*

**Theorem 2.2 (Elliott and Villadsen ([4]), Sec. 3, Thm. 3)** *Let  $A_1$  and  $A_2$  be building block algebras as described above,*

$$A_i = A(C, D, \phi_0^i, \phi_1^i), \quad i = 1, 2.$$

*Let there be given three maps between the fibres,*

$$\begin{aligned} \gamma &: C_1 \rightarrow C_2, \\ \delta, \delta' &: D_1 \rightarrow D_2, \end{aligned}$$

*such that  $\delta$  and  $\delta'$  have mutually orthogonal images, and*

$$\begin{aligned} \delta\phi_0^1 + \delta'\phi_1^1 &= \phi_0^2\gamma, \\ \delta\phi_1^1 + \delta'\phi_0^1 &= \phi_1^2\gamma. \end{aligned}$$

*Then there exists a unique map*

$$\theta : A_1 \rightarrow A_2,$$

*respecting the canonical ideals, giving rise to the map  $\gamma : C_1 \rightarrow C_2$  between the quotients (or fibres at infinity), and such that for any  $0 < s < 1$ , if  $e_s$  denotes evaluation at  $s$ ,*

$$e_s\theta = \delta e_s + \delta' e_{1-s}.$$

Let  $A_1$  and  $A_2$  be building block algebras as in Theorem 2.1 with  $\theta : A_1 \rightarrow A_2$  as in Theorem 2.2. Let there be given a map  $\beta : D_1 \rightarrow C_2$  such that the composed map  $\beta\phi_1^1$  is a direct summand of the map  $\gamma$ , and such that the composed maps  $\phi_0^2\beta$  and  $\phi_1^2\beta$  are direct summands of the maps  $\delta'$  and  $\delta$ , respectively. Suppose that the decomposition of  $\gamma$  as the orthogonal sum of  $\beta\phi_1^1$  and another map is such that the image of the second map is orthogonal to the image of  $\beta$ . (Note that this requirement is automatically satisfied if  $C_1$ ,  $D_1$ , and the map  $\beta\phi_1^1$  are unital.)

Let

$$A_1 \xrightarrow{\theta_1} A_2 \xrightarrow{\theta_2} \dots$$

be a sequence of separable building block  $C^*$ -algebras,

$$A_i = A(C_i, D_i, \phi_0^i, \phi_1^i), \quad i = 1, 2, \dots$$

with each map  $\theta_i : A_i \rightarrow A_{i+1}$  obtained by the construction of Theorem 2.2. For each  $i = 1, 2, \dots$  let  $\beta_i : D_i \rightarrow C_{i+1}$  be a map verifying the hypotheses of the preceding paragraph.

Suppose that for every  $i = 1, 2, \dots$ , the intersection of the kernels of the boundary maps  $\phi_0^i$  and  $\phi_1^i$  from  $C_i$  to  $D_i$  is zero.

Suppose that, for each  $i$ , the image of each of  $\phi_0^{i+1}$  and  $\phi_1^{i+1}$  generates  $D_{i+1}$  as a closed two-sided ideal, and that this is in fact true for the restriction of  $\phi_0^{i+1}$  and  $\phi_1^{i+1}$  to the smallest direct summand of  $C_{i+1}$  containing the image of  $\beta_i$ . Suppose that the closed two-sided ideal of  $C_{i+1}$  generated by the image of  $\beta_i$  is a direct summand.

Suppose that, for each  $i$ , the maps  $\delta'_i - \phi_0^i \beta_i$  and  $\delta_i - \phi_1^i \beta_i$  from  $D_i$  to  $D_{i+1}$  are injective.

Suppose that, for each  $i$ , the map  $\gamma_i - \beta_i \phi_1^i$  takes each non-zero direct summand of  $C_i$  into a subalgebra of  $C_{i+1}$  not contained in any proper closed two-sided ideal.

Suppose that, for each  $i$ , the map  $\beta_i : D_i \rightarrow C_{i+1}$  can be deformed—inside the hereditary sub- $C^*$ -algebra generated by its image—to a map  $\alpha_i : D_i \rightarrow C_{i+1}$  with the following property: There is a direct summand of  $\alpha_i$ , say  $\bar{\alpha}_i$ , such that  $\bar{\alpha}_i$  is non-zero on an arbitrary given element  $x_i$  of  $D_i$ , and has image a simple sub- $C^*$ -algebra of  $C_{i+1}$ , the closed two-sided ideal generated by which contains the image of  $\beta_i$ .

**Theorem 2.3 (Elliott and Villadsen ([4]), Sec. 5, Thm 5)** *If the hypotheses above are satisfied, there is a map  $\theta'_i$  homotopic inside  $A_i$  to  $\theta_i$  for each  $i$  such that the inductive limit of the sequence*

$$A_1 \xrightarrow{\theta'_1} A_2 \xrightarrow{\theta'_2} \dots$$

*is simple.*

### 3 Infinitely Generated Subgroups of the Rational Numbers

A generalised integer is a symbol  $\mathbf{n} = a_1^{n_1} a_2^{n_2} a_3^{n_3} \dots$ , where the  $a_i$ 's are pairwise distinct prime numbers and each  $n_i$  is either a non-negative integer or  $\infty$ . The subgroup  $G_{\mathbf{n}}$  of the rational numbers associated to the generalised integer  $\mathbf{n}$  is the group of all rationals whose denominators (when in lowest terms) are products of powers of the  $a_i$ 's not exceeding  $a^{n_i}$ . If  $n_i = \infty$ , then an arbitrarily large power of  $a_i$  may appear in the denominator.

**Theorem 3.1** *For each pair  $(\mathbf{n}, k)$  consisting of a generalised integer  $\mathbf{n}$  and a positive rational  $k < 1$ , there exists a simple, separable, unital, nuclear  $C^*$ -algebra  $A_{(\mathbf{n}, k)}$  such that*

$$(\mathbb{K}_0(A_{(\mathbf{n}, k)}), \mathbb{K}_0(A_{(\mathbf{n}, k)})^+, [1_{A_{(\mathbf{n}, k)}}]) = (G_{\mathbf{n}}, G_{\mathbf{n}} \cap (k, \infty), 1).$$

**Proof:** Given a 2-tuple  $(\mathbf{n}, k)$  we will construct a sequence

$$A_1 \xrightarrow{\theta_1} A_2 \xrightarrow{\theta_2} \dots$$

where  $A_j = A(C_j, D_j, \phi_0^j, \phi_1^j)$ , and the  $\theta_j$  constructed as in Theorem 2.2 from maps

$$\gamma_j : C_j \rightarrow C_{j+1}, \quad \delta_j, \delta'_j : D_j \rightarrow D_{j+1}.$$

In order to obtain a simple inductive limit, we will require a map

$$\beta_j : D_j \rightarrow C_{j+1}$$

having the properties listed in Section 2.

For each  $j$  let

$$C_j = p_j(\mathbb{C}(X_j) \otimes \mathcal{K})p_j$$

where  $p_j$  is a projection in  $\mathbb{C}(X_j) \otimes \mathcal{K}$  and  $\mathcal{K}$  denotes the compact operators. Express  $k$  in lowest terms, say  $\frac{a}{b}$ , and set  $X_1 = \mathbb{S}^{2 \times (a+1)}$ . Let  $X_{j+1} = X_j^{\times n_j}$ , where  $n_j$  is a natural number to be specified.

Let  $D_j = C_j \otimes M_{\dim(p_j)k_j}$ , where  $k_j$  is a natural number to be specified. Let  $\mu_j$  and  $\nu_j$  be maps from  $C_j$  to  $C_j \otimes M_{\dim(p_j)}$  given by

$$\mu_j(a) = p_j \otimes a(x_j) \cdot 1_{\dim(p_j)}$$

(where  $x_j$  is a point to be specified in  $X_j$  and  $1_{\dim(p_j)}$  is the unit of  $M_{\dim(p_j)}$ ) and

$$\nu_j(a) = a \otimes 1_{\dim(p_j)}.$$

For  $t \in \{0, 1\}$ , let  $\phi_j^t : C_j \rightarrow D_j$  be the direct sum of  $l_j^t$  and  $k_j - l_j^t$  copies of  $\mu_j$  and  $\nu_j$ , respectively, where the  $l_j^t$  are non-negative integers such that  $l_j^0 \neq l_j^1$  for all  $j \geq 1$ .

Note that both  $C_j$  and  $D_j$  are unital, as are the maps  $\phi_j^t$ . The  $\phi_j^t$  are also injective and as such satisfy the hypotheses of Section 2 concerning them alone.

By Theorem 2.1, for each  $e \in K_0(C_j)$ ,

$$\begin{aligned} b_0(e) &= (l_j^1 - l_j^0)(K_0(\mu_j) - K_0(\nu_j))(e) \\ &= (l_j^1 - l_j^0)(\dim(p_j) \cdot K_0(p_j) - \dim(p_j) \cdot e). \end{aligned}$$

Since  $l_j^1 - l_j^0$  is non-zero for every  $j$  and  $K_0(X_j)$  is torsion free,  $b_0(e) = 0$  implies that  $e$  belongs to the maximal free cyclic subgroup of  $K_0(C_j)$  containing  $K_0(p_j)$ . As  $K_1(C_j) = 0$ ,  $b_1$  is surjective.  $K_0(A_j)$  is thus group isomorphic (by Theorem 2.1) to its image, in  $K_0(C_j)$  — which is isomorphic as a group to  $\mathbb{Z}$ .

In order for  $K_0(A_j)$  to be isomorphic as an ordered group to its image in  $K_0(C_j)$ , with the relative order, it is sufficient (by Theorem 2.1) that for any projection  $q$  in  $C_j \otimes \mathcal{K}$  such that the images of  $q$  under  $\phi_j^0 \otimes \text{id}$  and  $\phi_j^1 \otimes \text{id}$  have the same  $K_0$  class, these images be in fact equivalent. For any such  $q$ , the image of  $K_0(q)$  under  $b_0 = K_0(\phi_j^1) - K_0(\phi_j^0)$  is zero, so that  $K_0(q)$  belongs to  $\text{Ker } b_0$ . It will be clear from the construction below that the dimension of both  $\phi_j^1(q)$  and  $\phi_j^0(q)$  is at least half the dimension of  $X_j$ . Thus, by Theorem 8.1.5 of [5],  $\phi_j^1(q)$  and  $\phi_j^0(q)$  are equivalent, as they have the same  $K_0$  class.

Let us now specify the projection  $p_1$ . Let  $\xi$  be the Hopf line bundle over  $S^2$ . Set  $g_1 = [\xi^{\times a+1}] - [\theta_a] \in K^0(X_1)$ , where  $[\cdot]$  denotes the stable isomorphism class of a vector bundle and  $\theta_l$  denotes the trivial vector bundle of fiber dimension  $l$ . By Theorem 8.1.5 of [5], we have that  $(a+1) \cdot g_1$  and hence  $b \cdot g_1$  are positive. Let  $p_1$  be a projection in  $C(X_1) \otimes \mathcal{K}$  corresponding to the  $K^0$  class  $b \cdot g_1$ . By [8] we know that the ordered, saturated, free cyclic subgroup of  $K_0(C_1)$  generated by  $g_1$  is equal to

$$(\mathbb{Z}, \{0, a+1, a+2, \dots\}),$$

where the class of the unit is the integer  $b \geq a+1$ .

Decompose  $b$  into powers of primes,  $b = a_{i_1}^{m_1} a_{i_2}^{m_2} \dots a_{i_n}^{m_n}$ . Set  $\mathbf{n}' = \frac{\mathbf{n}}{b}$ , with the convention that  $\infty - l = \infty$  for all natural numbers  $l$ . Let  $L_j$  be an enumeration of the primes appearing in  $\mathbf{n}'$  for  $j \geq 2$ ,  $j \in \mathbb{N}$ , and set  $L_1 = b$ .

We now define a family of continuous maps from  $S^2$  to  $S^2$ , indexed by the integers, to be used in the construction of the maps  $\gamma_j$  from  $C_j$  to  $C_{j+1}$ . Consider  $S^2$  as being embedded in  $\mathbb{R}^3 = \mathbb{C} \times \mathbb{R}$  as the unit sphere with center the origin, with the identification  $(x, y, z) = (x + yi, z)$ . For each  $\eta \in \mathbb{N}$ , let  $\omega'_\eta : \mathbb{C} \times \mathbb{R} \rightarrow \mathbb{C} \times \mathbb{R}$  be defined by  $\omega'_\eta(w, z) = (w^\eta/|w^{\eta-1}|, z)$  when  $w \neq 0$  and otherwise by  $\omega'_\eta(0, z) = (0, z)$ . This defines a map from  $S^2$  to itself by restriction. Let  $\omega_\eta$  be the composition of  $\omega'_\eta$  with the antipodal map. Note

that  $\omega'_\eta$  is the suspension of the  $\eta^{\text{th}}$  power map on  $S^1$ , and thus has the same degree, namely  $-\eta$ , as this map ([6]). As the antipodal map has degree  $-1$ , the composed map  $\omega_\eta$  has degree  $\eta$ . In the language of vector bundles,  $K^0(\omega_\eta)([\xi]) = [\xi^{\otimes \eta}]$ .

Define a map  $\gamma'_j$  from  $C(X_j)$  to  $M_{n_j} \otimes C(X_{j+1}) = M_{n_j}(C(X_j^{\otimes n_j}))$  as follows:

$$\begin{aligned} \gamma'_j(f(x)) &= (f(\omega_{L_{j+1}}(x)) \otimes 1 \otimes \dots \otimes 1) \oplus (1 \otimes f(\omega_{L_{j+1}}(x)) \otimes \dots \otimes 1) \oplus \dots \\ &\quad \dots \oplus (1 \otimes 1 \otimes \dots \otimes f(\omega_{L_{j+1}}(x))). \end{aligned}$$

Let

$$\beta'_j = 1 \cdot e_{x_j}$$

be a map from  $C(X_j)$  to  $C(X_{j+1})$ , where  $e_{x_j}$  denotes the evaluation of an element of  $C(X_j)$  at a point  $x_j \in X_j$  and  $1$  is the unit of  $C(X_{j+1})$ . Fix  $x_1 \in S^2$  and define  $x_{j+1} := (\omega_{L_{j+1}}(x_j), \dots, \omega_{L_{j+1}}(x_j)) \in X_j^{\times n_j} = X_{j+1}$ .

Let us define  $\gamma_j : C(X_j) \rightarrow M_{n_j}(C(X_{j+1})) \otimes M_2(\mathcal{K})$  inductively as the direct sum of two maps. For the first map, take the restriction to  $C_j \subseteq C(X_j) \otimes \mathcal{K}$  of the tensor product of  $\gamma'_j$  with the identity map from  $\mathcal{K}$  to  $\mathcal{K}$ . The second map is obtained as follows: compose the map  $\phi_j^1$  with the direct sum of  $q_j$  copies of the tensor product of  $\beta'_j$  with the identity map from  $\mathcal{K}$  to  $\mathcal{K}$  (restricted to  $D_j \subseteq C(X_j) \otimes \mathcal{K}$ ), where  $q_j$  is to be specified. The induction consists of first considering the case  $j = 1$  (since  $p_1$  has already been chosen), then setting  $p_2 = \gamma_j(p_1)$ , so that  $C_2$  is specified as the cut-down of  $C(X_j) \otimes M_2(\mathcal{K})$ , and continuing in this way.

With  $\beta_j$  taken to be the restriction to  $D_j \subseteq C(X_j) \otimes \mathcal{K}$  of  $\beta'_j \otimes \text{id}$  we have, by construction, that  $\beta_j \phi_j^1$  is a direct summand of  $\gamma_j$  and, furthermore, the second direct summand and  $\beta_j$  map into orthogonal blocks (and hence orthogonal subalgebras) as desired.

We will now need to verify that  $p_j$  has the following property: the set of all rational multiples of  $K_0(p_j)$  in the ordered group  $K_0(C_j) = K^0(X_j)$  is isomorphic (as a sub ordered group) to

$$(\mathbb{Z}, \{0, l_j + 1, l_j + 2, \dots\}),$$

where

$$l_j := L_j l_{j-1}, \quad l_1 := a$$

and the class of the unit (i.e., of  $p_j$ ) is  $\prod_{k=1}^j L_k$ .

Our verification will proceed by induction. The case  $j = 1$  has been established by construction. Suppose that the assertion of the preceding

paragraph holds for all  $p_k$ ,  $k \leq j$ . Suppose further that the group of rational multiples of  $K_0(p_k)$  (being isomorphic as a group to  $\mathbb{Z}$ ) is generated by a  $K_0$  class of the form  $[\xi^{\times n}] - [\theta_m]$ , where  $m < n$  and (this is again true by construction for  $k = 1$ ). We will show that  $K_0(p_j)$  has both the property of the preceding paragraph and the property just mentioned.

Let  $g_k \in K^0(X_k)$  be the generator of the group of rational multiples of  $p_k$ . Note that, as is the case for all maps on  $K^0(S^2)$  induced by a continuous map from  $S^2$  to itself,  $K_0(\omega_\eta)([\theta_1]) = [\theta_1]$ . Write  $g_k = [\xi^{\times d_k}] - [\theta_{m_k}]$ . Then

$$K_0(\gamma_j)(g_j) = [(\xi^{\otimes L_{j+1}})^{\times d_j n_j}] - [\theta_{m'_{j+1}}]$$

for some integers  $d_j > 0$  and  $m'_{j+1}$ . We may assume that the multiplicity of the map  $K_0(\gamma_j)$  is divisible by  $L_{j+1}$ , as we have yet to specify  $n_j$ . We recall that for any integer  $l$ , the  $K_0$  class  $[\xi^{\otimes l}]$  corresponds to the element  $(1, l)$  in  $K^0(S^2) = \langle [\theta_1] \rangle \oplus \langle e(\xi) \rangle$ , which is also the difference of  $K_0$  classes  $l[\xi] - [\theta_{l-1}]$ . Thus we have

$$K_0(\gamma_j)(g_j) = L_{j+1}([\xi^{\times (a+1)n_1 n_2 \dots n_j}] - [\theta_{m_{j+1}}]).$$

for some integer  $m_{j+1}$ . Setting  $g_j := [\xi^{\times (a+1)n_1 n_2 \dots n_j}] - [\theta_{m_{j+1}}]$ , we have established that  $K_0(\gamma_j)(g_j) = L_{j+1}g_{j+1}$  for all natural numbers  $j$ .

We now show that  $n_j$  may be chosen so as to ensure that the maximal, free, cyclic subgroup of  $K_0 C_{j+1}$  generated by  $g_{j+1}$  is indeed isomorphic as an ordered group to the integers with positive cone  $\{0, l_{j+1} + 1, l_{j+1} + 2, \dots\}$ . That  $\prod_{k=1}^j L_k$  is the class of the unit follows directly from the fact that  $L_1 = b$  (the class of the unit in  $K_0 C_1$ ) and that  $K_0(\gamma_j)(g_j) = L_{j+1}g_{j+1}$ .

As the Euler class of the Hopf line bundle on  $S^2$  is non-zero we have, by [8], that for  $q, m, h \in \mathbb{N}$  such that  $0 < h(q - m) < q$ ,

$$h([\xi^{\times q}] - [\theta_m]) \notin (K^0 S^{2 \times q})^+.$$

To apply this we note that

$$g_{j+1} = [\xi^{\times (a+1)n_1 n_2 \dots n_j}] - [\theta_{m_j}].$$

With  $q = (a + 1)n_1 n_2 \dots n_j$  and  $m = m_j$  we wish to have

$$0 < l_j(q - m) < q$$

as then  $0 < h(q - m) < q$  for all  $0 < h < l_j + 1$ .



Since

$$q - m = \dim g_{j+1} = \frac{n_j + k_j q_j \dim p_j}{L_{j+1}} \dim g_j$$

we want

$$\dim g_{j+1} < \frac{(a+1)n_1 n_2 \dots n_j}{l_{j+1}}.$$

Assume inductively that  $n_1, n_2, \dots, n_{j-1}$  have been chosen so that

$$\dim g_j < \frac{(a+1)n_1 n_2 \dots n_{j-1}}{l_j}.$$

Choose  $n_j$  large enough so that

$$\frac{n_j + k_j q_j \dim p_j}{n_j} \dim g_j < \frac{(a+1)n_1 n_2 \dots n_{j-1}}{l_j}.$$

Then we have that

$$\frac{n_j + k_j q_j \dim p_j}{L_{j+1}} \dim g_j < \frac{(a+1)n_1 n_2 \dots n_j}{L_{j+1} l_j}.$$

Recalling that  $l_{j+1} = L_{j+1} l_j$  we conclude that

$$\dim g_{j+1} = \frac{n_j + k_j q_j \dim p_j}{L_{j+1}} \dim g_j < \frac{(a+1)n_1 n_2 \dots n_j}{l_{j+1}},$$

as desired.

Note that  $\gamma_j - \beta_j \phi_j^1$  is non-zero and so, as required in the hypotheses of Theorem 2.4, takes  $C_j$  into a subalgebra of  $C_{j+1}$  not contained in any proper closed two-sided ideal.

It remains to construct maps  $\delta_j$  and  $\delta'_j$  from  $D_j$  to  $D_{j+1}$  with orthogonal images such that

$$\begin{aligned} \delta_j \phi_j^0 + \delta'_j \phi_j^1 &= \phi_{j+1}^0 \gamma_j, \\ \delta_j \phi_j^1 + \delta'_j \phi_j^0 &= \phi_{j+1}^1 \gamma_j, \end{aligned}$$

and  $\phi_{j+1}^0 \beta_j$  and  $\phi_{j+1}^1 \beta_j$  are direct summands of  $\delta'_j$  and  $\delta_j$  respectively. To do this we shall have to modify  $\phi_{j+1}^0$  and  $\phi_{j+1}^1$  by inner automorphisms; this is permissible since it has no effect on  $K$ -groups. The definition of  $\delta_j$  and  $\delta'_j$  along with the proof that they satisfy the hypotheses of section 2 is taken from [4].

In order to carry out this step we define  $x_{j+1} := \omega_{L_{j+1}}(x_j)$ , so that

$$e_{x_{j+1}}\gamma_j = \text{mult}(\gamma_j)e_{x_j},$$

where  $\text{mult}(\gamma_j)$  denotes the factor by which  $\gamma_j$  multiplies dimension. It follows that

$$\begin{aligned}\mu_{j+1}\gamma_j &= p_{j+1} \otimes e_{x_{j+1}}\gamma_j \\ &= \gamma_j(p_j) \otimes \text{mult}(\gamma_j)e_{x_j} \\ &= \text{mult}(\gamma_j)\gamma_j(p_j \otimes e_{x_j}) \\ &= \text{mult}(\gamma_j)\gamma_j\mu_j,\end{aligned}$$

and

$$\begin{aligned}\nu_{j+1}\gamma_j &= \gamma_j \otimes \mathbf{1}_{\dim(p_{j+1})} \\ &= \text{mult}(\gamma_j)\gamma_j \otimes \mathbf{1}_{\dim(p_j)} \\ &= \text{mult}(\gamma_j)\gamma_j\nu_j.\end{aligned}$$

Take  $\delta_j$  and  $\delta'_j$  to be the direct sum of  $r_j$  and  $s_j$  copies of  $\gamma_j$ , where  $r_j$  and  $s_j$  are to be specified. The condition, for  $t = 0, 1$ , that

$$\delta_j\phi_j^t + \delta'_j\phi_j^{1-t} = \phi_{j+1}^t\gamma_j,$$

understood up to unitary equivalence, then becomes the condition

$$r_j\gamma_j(l_j^t\mu_j + (k_j - l_j^t)\nu_j) + s_j\gamma_j(l_j^{1-t}\mu_j + (k_j - l_j^{1-t})\nu_j) = (l_{j+1}^t\mu_{j+1} + (k_{j+1} - l_{j+1}^t)\nu_{j+1})\gamma_j,$$

also up to unitary equivalence. As  $K_0(\mu_j)$  and  $K_0(\nu_j)$  are independent this is equivalent to the two equations

$$\begin{aligned}r_j l_j^t + s_j l_j^{1-t} &= \text{mult}(\gamma_j)l_{j+1}^t, \\ (r_j + s_j)k_j &= \text{mult}(\gamma_j)k_{j+1}.\end{aligned}$$

Choose  $r_j = 2\text{mult}(\gamma_j)$  and  $s_j = \text{mult}(\gamma_j)$ , so that

$$k_{j+1} = 3k_j$$

and

$$l_{j+1}^t = 2l_j^t + l_j^{1-t}$$

Taking  $k_1 = 1$ ,  $l_1^0 = 0$ , and  $l_1^1 = 1$  we have  $k_j = 3^{j-1}$  and  $l_j^1 - l_j^0 = 1$  for all  $j$  and, in particular, these quantities are non-zero, as required above.

Next let us show that, up to unitary equivalence preserving the equations

$$\delta_j\phi_j^t + \delta'_j\phi_j^{1-t} = \phi_{j+1}^t\gamma_j,$$

$\phi_{j+1}^0\beta_j$  is a direct summand of  $\delta'_j = \text{mult}(\gamma_j)\gamma_j$ , and  $\phi_{j+1}^1\beta_j$  is a direct summand of  $\delta_j = 2\text{mult}(\gamma_j)\gamma_j$ .

Note that  $\phi_{j+1}^t\beta_j$  is the direct sum of  $l_{j+1}^t$  copies of  $p_{j+1} \otimes \beta_j$  and  $(k_{j+1} - l_{j+1}^t)\text{dim}(p_{j+1})$  copies of  $\beta_j$ , whereas  $\delta'_j$  and  $\delta_j$  contain, respectively,  $q_j\text{mult}(\gamma_j)$  and  $2q_j\text{mult}(\gamma_j)$  copies of  $\beta_j$ . Note also that by Theorem 8.1.5 of [Hu] that a trivial projection of dimension at least  $\text{dim}(p_{j+1}) + \text{dim}X_{j+1}$  in  $C(X_{j+1}) \otimes K$  contains a copy of  $p_{j+1}$ . Therefore,  $\text{dim}(p_{j+1}) + \text{dim}X_{j+1}$  copies of  $\beta_j$  contain a copy of  $p_{j+1} \otimes \beta_j$ . It follows that  $k_{j+1}(2\text{dim}(p_{j+1}) + \text{dim}X_{j+1})$  copies of  $\beta_j$  contain a copy of  $\phi_{j+1}^t$  when  $t$  is either 1 or 0. Here, by a copy of a given map from  $D_j$  to  $D_{j+1}$  we mean another map obtained from it by conjugating by a partial isometry in  $D_{j+1}$  with initial projection the image of the unit.

Note that

$$\begin{aligned} k_{j+1}(2\text{dim}(p_{j+1}) + \text{dim}X_{j+1}) &= 3k_j(2\text{mult}(\gamma_j)\text{dim}(p_j) + n_j\text{dim}X_j) \\ &\leq 3k_j(2\text{dim}(p_j) + \text{dim}X_j)\text{mult}(\gamma_j), \end{aligned}$$

and that  $k_j$ ,  $\text{dim}(p_j)$  and  $\text{dim}X_j$  have already been specified and do not depend on  $n_j$ . It follows that, with

$$q_j = 3k_j(2\text{dim}(p_j) + \text{dim}X_j),$$

$q_j\text{mult}(\gamma_j)$  copies of  $\beta_j$  contain a copy of  $\phi_{j+1}^t\beta_j$  for  $t = 0, 1$ . In particular  $\delta'_j$  and  $\delta_j$  contain copies, respectively, of  $\phi_{j+1}^0\beta_j$  and  $\phi_{j+1}^1\beta_j$ .

With this choice of  $q_j$ , let us show that for each  $t = 0, 1$  there exists a unitary  $u_t \in D_{j+1}$  commuting with the image of  $\phi_{j+1}^t\gamma_j$ , such that  $(Adu_0)\phi_{j+1}^0\beta_j$  is a direct summand of  $\delta'_j$  and  $(Adu_1)\phi_{j+1}^1\beta_j$  is a direct summand of  $\delta_j$ . In other words, for each  $t = 0, 1$  we must show that the partial isometry constructed in the preceding paragraph, producing a copy of  $\phi_{j+1}^t\beta_j$  inside either  $\delta'_j$  or  $\delta_j$ , may be chosen in such a way that it extends to a unitary element of  $D_{j+1}$  — which in addition commutes with the image of  $\phi_{j+1}^t\gamma_j$ .

We will consider the case  $t = 0$ . The case  $t = 1$  is similar. Let us first show that the partial isometry in  $D_{j+1}$ , transforming  $\phi_{j+1}^0\beta_j$  into a direct summand of  $\delta'_j$ , may be chosen to lie in the commutant of the image of  $\phi_{j+1}^0\gamma_j$ . Note first that the unit of the image of  $\phi_{j+1}^0\beta_j$  — the initial projection of the partial isometry — lies in the commutant of the image of  $\phi_{j+1}^0\gamma_j$ . Indeed, this projection is the image by  $\phi_j^1$  of the unit of  $C_j$ . The property that  $\beta_j\phi_j^1$  is a direct summand of  $\gamma_j$  implies in particular that the image by  $\beta_j\phi_j^1$  of the unit of  $C_j$  commutes with the image of  $\gamma_j$ . The image by  $\phi_{j+1}^0\beta_j\phi_j^1$  of the

unit of  $C_j$  (i.e. the unit of the image of  $\phi_{j+1}^0\beta_j$ ) therefore commutes with the image of  $\phi_{j+1}^0\gamma_j$ , as asserted.

Note also that the final projection of the partial isometry commutes with the image of  $\phi_{j+1}^0\gamma_j$ . Indeed, it is the unit of the image of a direct summand of  $\delta'_j$ , and since  $D_j$  is unital it is the image of the unit of  $D_j$  by this direct summand; since  $C_j$  is unital and  $\phi_j^1 : C_j \rightarrow D_j$  is unital, the projection in question is the image of the unit of  $C_j$  by a direct summand of  $\delta'_j\phi_j^1$ . But  $\delta'_j\phi_j^1$  is itself a direct summand of  $\phi_{j+1}^0\gamma_j$  (as  $\phi_{j+1}^0\gamma_j = \delta_j\phi_j^0 + \delta'_j\phi_j^1$ ), and so the projection in question is the image of the unit of  $C_j$  by a direct summand of  $\phi_{j+1}^0\gamma_j$ , and in particular commutes with the image of  $\phi_{j+1}^0\gamma_j$ .

Note that both direct summands of  $\phi_{j+1}^0\gamma_j$  under consideration ( $\phi_{j+1}^0\beta_j\phi_j^1$  and a copy of it) factor through the evaluation of  $C_j$  at the point  $x_j$ , and so are contained in the largest such direct summand of  $\phi_{j+1}^0\gamma_j$ ; this largest direct summand, say  $\pi_j$ , is seen to exist by inspection of the construction of  $\phi_{j+1}^0\gamma_j$ . Since both projections under consideration (the images of the unit of  $C_j$  by the two copies of  $\phi_{j+1}^0\beta_j\phi_j^1$ ) are less than  $\pi_j(1)$ , to show that they are unitarily equivalent in the commutant of the image of  $\phi_{j+1}^0\gamma_j$  (in  $D_{j+1}$ ) it is sufficient to show that they are unitarily equivalent in the commutant of the image of  $\pi_j$  in  $\pi_j(1)D_{j+1}\pi_j(1)$ . Note that this image is isomorphic to  $M_{\dim p_j}(C)$ . By construction, the two projections in question are Murray-von Neumann equivalent — in  $D_{j+1}$  and therefore in  $\pi_j(1)D_{j+1}\pi_j(1)$  — but all we shall use from this is that they have the same class in  $K^0X_{j+1}$ . Note that the dimension of these projections is  $(k_{j+1}\dim(p_{j+1}))(k_j\dim(p_j))$ , and that the dimension of  $\pi_j(1)$  is  $k_{j+1}\dim(p_{j+1}) + l_{j+1}^0(\dim(p_{j+1}))^2$ . Since the two projections under consideration commute with  $\pi_j(C_j)$ , and this is isomorphic to  $M_{\dim(p_j)}(C)$ , to prove unitary equivalence in the commutant of  $\pi_j(C_j)$  in  $\pi_j(1)D_{j+1}\pi_j(1)$  it is sufficient to prove unitary equivalence of the product of these projections with a fixed minimal projection of  $\pi_j(C_j)$ , say  $e$ . Since  $K^0X_{j+1}$  is torsion free, the products of the two projections under consideration with  $e$  still have the same class in  $K^0X_{j+1}$ . To prove that they are unitarily equivalent in  $eD_{j+1}e$ , it is sufficient (and necessary) to prove that both they and their complements inside  $e$  are Murray von-Neumann equivalent. Since both the cut-down projections and their complements inside  $e$  have the same class in  $K^0X_{j+1}$ , to prove that the two pairs are equivalent it is sufficient, by Theorem 8.1.5 of [Hu], to show that all four projections have dimension at least  $\frac{1}{2}\dim X_{j+1}$ . Dividing the dimensions above by  $\dim(p_j)$  (the order of the matrix algebra), we see that the dimension of the first pair of

projections is  $k_{j+1}k_j\dim(p_{j+1}) = k_{j+1}k_j\text{mult}(\gamma_j)\dim(p_j)$ . The dimension of  $e$  is  $k_{j+1}\text{mult}(\gamma_j) + l_{j+1}^0\text{mult}(\gamma_j)\dim(p_{j+1})$ , so that the dimension of the second pair of projections is  $\text{mult}(\gamma_j)(k_{j+1} + l_{j+1}^0\dim(p_{j+1}) - k_{j+1}k_j\dim(p_j))$ . Since  $\dim(p_1) \geq \frac{1}{2}\dim X_1$ ,  $\dim(p_{j+1}) = \text{mult}(\gamma_j)\dim(p_j)$ ,  $\dim X_{j+1} = n_j\dim X_j$ , and  $\text{mult}(\gamma_j) \geq n_j$  (for all  $j$ ), we have  $\dim(p_{j+1}) \geq \frac{1}{2}\dim X_{j+1}$  (for all  $j$ ). Since  $k_{j+1}k_j$  is non-zero for all  $j$ , the first inequality holds. Since  $l_{j+1}^0$  is non-zero for all  $j$ , the second inequality holds if  $\text{mult}(\gamma_j)$  is strictly greater than  $k_{j+1}k_j$ . (One then has, using  $\dim(p_{j+1}) = \text{mult}(\gamma_j)\dim(p_j)$  twice, that the dimension of the second pair of projections is at least  $\dim(p_{j+1})$ .) Since  $k_{j+1}k_j = 3k_j^2$ , and  $k_j$  was specified before  $n_j$ , we may modify the choice of  $n_j$  so that  $\text{mult}(\gamma_j)$  — which is greater than  $n_j$  — is sufficiently large.

This shows that the two projections in  $D_{j+1}$  under consideration are unitarily equivalent by a unitary in the commutant of the image of  $\phi_{j+1}^0\gamma_j$ . Replacing  $\phi_{j+1}^0$  by its composition with the corresponding inner automorphism, we may suppose that the two projections in question are equal. In other words  $\phi_{j+1}^0\beta_j$  is unitarily equivalent to the cut-down of  $\delta'_j$  by the projection  $\phi_{j+1}^0\beta_j(1)$ .

Now consider the compositions of these two maps with  $\phi_j^1$ , namely  $\phi_{j+1}^0\beta_j\phi_j^1$  and the cut-down of  $\delta'_j\phi_j^1$  by the projection  $\phi_{j+1}^0\beta_j(1)$ . Since both of these maps can be viewed as the cut-down of  $\phi_{j+1}\gamma_j$  by the same projection, they are in fact the same map. Thus any unitary inside the cut-down of  $D_{j+1}$  by  $\phi_{j+1}^0\beta_j(1)$  taking  $\phi_{j+1}^0\beta_j$  into the cut-down of  $\delta'_j$  by this projection (such a unitary is known to exist) must commute with the image of  $\phi_{j+1}^0\beta_j\phi_j^1$  and hence with the image of  $\phi_{j+1}^0\gamma_j$ , since this commutes with the projection  $\phi_{j+1}^0\beta_j(1) = \phi_{j+1}^0(\beta_j\phi_j^1(1))$ . The extension of such a partial unitary to a unitary  $u_0$  in  $D_{j+1}$  equal to one inside the complement of this projection then belongs to the commutant of the image of  $\phi_{j+1}^0\gamma_j$ , and transforms  $\phi_{j+1}^0\beta_j$  into the cut-down of  $\delta'_j$  by this projection, as desired.

As stated above, the proof for the case  $t = 1$  is similar.

Inspection of the construction of the maps  $\delta'_j - \phi_j^0\beta_j$  and  $\delta_j - \phi_j^1\beta_j$  shows that they are injective, as required by the hypotheses of section 2.

Replacing  $\phi_{j+1}^t$  with  $(\text{Ad}u_t)\phi_{j+1}^t$ , we have an inductive sequence

$$A_1 \xrightarrow{\theta_1} A_2 \xrightarrow{\theta_2} \dots$$

satisfying the hypotheses of section 2. (The existence of  $\alpha_j$  homotopic to  $\beta_j$  and non-zero on a given element of  $D_j$ , defined by another point evaluation, is clear.)

By Theorem 2.3 there exists a sequence

$$A_1 \xrightarrow{\theta'_1} A_2 \xrightarrow{\theta'_2} \dots,$$

with  $\theta'_j$  homotopic to  $\theta_j$  (and so agreeing with  $\theta_j$  on  $K_0$ ), the inductive limit of which is simple.

Since the map  $K_0(\theta'_j)$  (considered as a map between single copies of the integers) takes the canonical generator  $1 \in \mathbb{Z}$  to  $L_{j+1}$ , we may conclude that the simple inductive limit in question has the desired  $K_0$ -group. That the positive elements are all those greater than  $k$  follows from the fact that at each stage,  $l_j + 1$  is the smallest positive element in  $K_0 A_j = \mathbb{Z}$  and

$$\lim \frac{l_j + 1}{\prod_{k=1}^j L_k} = \lim \frac{a \prod_{k=2}^j L_j + 1}{b \prod_{k=2}^j L_j} = k + \lim \frac{1}{\prod_{k=1}^j L_k} = k.$$

Theorem 3.1 follows. □

Finally, one might reasonably ask whether  $K_0(A_{(\mathbf{n},k)})^+$  can be made to contain  $k$ . There is no reason *a priori* why this should not be possible, but the construction above does not seem amenable to modifications which would achieve this result. Roughly speaking, the  $K_0$ -group in Theorem 3.1 can be thought of as an inductive limit of sub-ordered groups of ordered  $K_0$ -groups of homogeneous  $C^*$ -algebras. In order that the inductive limit of Theorem 3.1 be simple, one must introduce point evaluations via the maps  $\beta_j$ . In the absence of these point evaluations, one could have maps  $\Psi : \mathbb{Z}_{mk} \rightarrow \mathbb{Z}_{mnk}$  with  $\Psi(nk) = mnk$  at the level of  $K_0$  between the building blocks  $A_i$  and  $A_{i+1}$ . With these point evaluations, however, one is forced into a situation where  $\Psi(nk)$  is necessarily strictly less than  $mnk$ .

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