

# NON-FINITELY GENERATED PRIME IDEALS IN SUBRINGS OF POWER SERIES RINGS

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ABSTRACT. Given a power series ring  $R^*$  over a Noetherian integral domain  $R$  and an intermediate field  $L$  between  $R$  and the total quotient ring of  $R^*$ , the integral domain  $A = L \cap R^*$  often (but not always) inherits nice properties from  $R^*$  such as being Noetherian. For certain fields  $L$  it is possible to approximate  $A$  using a localization  $B$  of a particular nested union of polynomial rings over  $R$  associated to  $A$ ; if  $B$  is Noetherian then  $B = A$ . If  $B$  is not Noetherian, we can sometimes identify which prime ideals of  $B$  are not finitely generated. We have obtained in this way, for each positive integer  $n$ , a three-dimensional quasilocal unique factorization domain  $B$  such that the maximal ideal of  $B$  is two-generated,  $B$  has precisely  $n$  prime ideals of height two, each prime ideal of  $B$  of height two is not finitely generated and all the other prime ideals of  $B$  are finitely generated. We examine the structure of the map  $\text{Spec } A \rightarrow \text{Spec } B$  for this example. We also present a generalization of this example to higher dimensions.

**1. Introduction.** In this paper we analyze the prime ideal structure of particular non-Noetherian integral domains arising from a general construction developed in our earlier papers [3], . . . , [9]. Briefly, with this technique two types of integral domains are constructed: (1) the intersection of a power series ring with a field yields an integral domain  $A$  as in the abstract, and (2) an approximation of the domain  $A$  by a nested union  $B$  of localized polynomial rings has the second form described in the abstract. Classical examples such as those of Akizuki [1] and Nagata [12, pages 209-211] were created using the second (nested union) description of this construction. As we observe in [8], it is also possible to realize these classical examples as the intersection domains of the first description.

In [8] we observe that in certain applications of this technique flatness of a map of associated polynomial rings implies that the constructed domains are Noetherian and that  $A = B$ . In [8] and [9], we apply this observation to the construction of examples of both Noetherian and non-Noetherian integral domains.

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This paper represents a continuation of this project. We present several examples constructed using this technique of building non-Noetherian integral domains inside a power series ring for which the prime ideal structure can be described explicitly.

In Section 2 we give the notation for a simplified adaptation of the construction which we use to produce these examples. This adaptation produces “insider” examples  $A''$  and  $B''$  having the form  $A$  and  $B$  described above and fitting inside an easier and more straightforward Noetherian domain  $A' = B'$  (where the two forms of the construction are equal). We have shown in our previous work that the question of whether or not the insider domains  $A''$  and  $B''$  that arise in this adaptation are Noetherian or equal is related to flatness of a map of polynomial rings corresponding to the extension  $B'' \hookrightarrow B'$  and having the form  $\varphi : S := R[\underline{f}] \hookrightarrow T := R[\underline{x}]$ , where  $\underline{x} := (x_1, \dots, x_n)$  is a tuple of indeterminates over  $R$  and  $\underline{f} := (f_1, \dots, f_m)$  consists of polynomials  $f_i$  in  $R[\underline{x}]$  that are algebraically independent over the field of fractions  $\mathcal{Q}(R)$  of  $R$ .

In Sections 3 and 4, we analyze and provide more details about an “insider” example  $B$  constructed in [9]. For each positive integer  $n$ , this construction produces an example of a 3-dimensional quasilocal unique factorization domain that is a generalized local ring in the sense of Cohen [2] and has as its completion a 2-dimensional regular local domain. Moreover,  $B$  is not catenary and has precisely  $n$  prime ideals of height two. The associated intersection domain  $A$  for this construction is a 2-dimensional regular local domain. In Section 4, we examine the prime spectrum map  $\text{Spec } A \rightarrow \text{Spec } B$  and describe three types of height-one prime ideals of  $B$  by how they relate to primes of  $A$ .

In Section 5 we prove for each positive integer  $n \geq 2$  and each positive integer  $t$ , the existence of a non-Noetherian integral domain  $B$  such that:

- (a)  $\dim B = n + 1$ .
- (b) The maximal ideal of  $B$  is generated by  $n$  elements.
- (c)  $B$  has exactly  $t$  prime ideals of height  $n$  and each of these primes is not finitely generated.
- (d)  $B$  is a factorial domain.
- (e) The completion of  $B$  is a regular local domain of dimension  $n$ .
- (f)  $B$  is a birational extension of the localized polynomial ring over a field in

$n + 1$  variables.

## 2. Background and Notation.

We begin this section by recalling some details from Section 3 of [9] concerning the insider adaptation of the construction utilized in this article<sup>1</sup>.

We use the following setting throughout the paper.

**2.1 General Setting.** Let  $k$  be a field and let  $x, y_1, \dots, y_s$  be indeterminates over  $k$ ; for convenience, we abbreviate the  $y_i$  by  $\underline{y}$ . (Often we assume  $k$  to have characteristic zero. This ensures excellence of the constructed domains in the simplest applications.) Let  $R := k[x, y_1, \dots, y_s]_{(x, y_1, \dots, y_s)} = k[x, \underline{y}]_{(x, \underline{y})}$  and let  $R^*$  be the  $(x)$ -adic completion of  $R$ , that is,  $R^* := k[\underline{y}]_{(\underline{y})}[[x]]$ , a power series ring in  $x$ . We write  $\mathcal{Q}(R), \mathcal{Q}(R^*)$ , etc, for the total rings of quotients of  $R, R^*$ , etc, respectively. Let  $\tau_1, \dots, \tau_n$  be elements of  $xk[[x]]$  which are algebraically independent over  $k(x)$ ; we abbreviate them by  $\underline{\tau}$ . Let  $T := R[\underline{\tau}]$ . We define the *intersection domain*  $A_{\underline{\tau}}$  corresponding to  $\underline{\tau}$  by  $A_{\underline{\tau}} := k(x, \underline{y}, \underline{\tau}) \cap R^* = \mathcal{Q}(T) \cap R^*$ .

Next we select elements  $f_1, \dots, f_m$  of  $R[\underline{\tau}]$  which are algebraically independent over  $\mathcal{Q}(R)$ ; we abbreviate them by  $\underline{f}$ . The *intersection domain* corresponding to  $\underline{f}$  is  $A_{\underline{f}} = \mathcal{Q}(R[\underline{\tau}]) \cap R^*$ .

In order to obtain  $A_{\underline{\tau}}$  as a nested union of polynomial rings over  $k$  in  $s + m + 1$  variables, we recall some of the details of the construction.<sup>2</sup>

**2.2 Approximation technique.** With  $k, x, \underline{y}, s, R$  and  $R^*$  as in (2.1), let  $\rho_1, \dots, \rho_m$  be elements of  $R^*$  which are algebraically independent over  $\mathcal{Q}(R)$ ; we abbreviate them by  $\underline{\rho}$ . (Thus the *intersection domain*  $A_{\underline{\rho}}$  corresponding to  $\underline{\rho}$  is  $A_{\underline{\rho}} := k(x, \underline{y}, \underline{\rho}) \cap R^* = \mathcal{Q}(R[\underline{\rho}]) \cap R^*$ .) Write each  $\rho_i := \sum_{j=1}^{\infty} b_{ij}x^j$ , with the  $b_{ij} \in R$ . There are natural sequences  $\{\rho_{ir}\}_{r=0}^{\infty}$  of elements in  $R^*$ , called the  $r^{\text{th}}$  *endpieces* for the  $\rho_i$ , which “approximate” the  $\rho_i$ , defined by:

$$(2.2.1) \quad \text{For each } i \in \{1, \dots, m\} \text{ and } r \geq 0, \quad \rho_{ir} := \sum_{j=r+1}^{\infty} (b_{ij}x^j)/x^r.$$

Now, for each  $r \geq 0$ , define  $U_r := R[\rho_{1r}, \dots, \rho_{mr}]$  and set  $B_r$  to be  $U_r$  localized at the multiplicative system  $1 + xU_r$ . Then set  $U := \cup_{r=0}^{\infty} U_r$  and  $B_{\underline{\rho}} = \cup_{r=0}^{\infty} B_r$ . Thus  $U$  is a nested union of polynomial rings over  $R$  and  $B_{\underline{\rho}}$  is a nested union of

<sup>1</sup>For more detail see [8] and [9]

<sup>2</sup>Again more details may be found in [9].

localized polynomial rings over  $R$ ; clearly  $U \subseteq B_{\underline{\rho}} \subseteq A_{\underline{\tau}}$ . We refer to  $B_{\underline{\rho}}$  as the *nested union domain* corresponding to  $\underline{\rho}$ . The definition of the  $U_r$  (and hence also of  $B_r$ ,  $U$  and  $B$ ) is independent of the representation of the  $\rho_i$  as power series with coefficients in  $R$  [4, Proposition 2.3].

For the two sequences of power series in (2.1), namely  $\underline{\tau}$  and  $\underline{f}$ , we have the approximation sequences  $\underline{\tau}_i$  and  $\underline{f}_i$  and the nested union domains  $B_{\underline{\tau}}$  and  $B_{\underline{f}}$ , which are localizations of  $\cup_{r=0}^{\infty} R[\tau_{1r}, \dots, \tau_{nr}]$  and of  $\cup_{r=0}^{\infty} R[f_{1r}, \dots, f_{mr}]$  respectively. Clearly  $B_{\underline{f}} \subseteq B_{\underline{\tau}}$  are quasilocal domains with  $B_{\underline{\tau}}$  dominating  $B_{\underline{f}}$ .

**2.3 Proposition.** [5, Proposition 4.1] *Assume the set-up as in (2.1). Then  $T \rightarrow R^*[1/x]$  is flat,  $A_{\underline{\tau}} = B_{\underline{\tau}}$  and  $A_{\underline{\tau}}$  is a Noetherian regular local ring; moreover, if  $\text{char } k = 0$ , then  $A_{\underline{\tau}}$  is excellent.*

**2.4 Insider construction details.** Let  $\underline{\tau} = \tau_1, \dots, \tau_n$  and  $\underline{f} = f_1, \dots, f_m$  be as in (2.1) and (2.2). We assume the constant terms in  $R = k[x, \underline{y}]$  of the  $f_i$  are zero. Let  $S := R[\underline{f}]$ . The inclusion map  $S \hookrightarrow T$  is an injective  $R$ -algebra homomorphism, and  $m \leq n$ .

Let  $A$  be the intersection domain for  $\underline{f}$ , i.e.  $A := A_{\underline{f}} = \mathcal{Q}(S) \cap R^*$ . Let  $B := B_{\underline{f}}$  be the nested union domain associated to the  $f_1, \dots, f_m$ , as in (2.2). We consider the inclusion maps  $\phi : S \hookrightarrow T$  and  $\psi : T \hookrightarrow R^*[1/x]$  as shown in the following diagram.

$$(2.4.1) \quad \begin{array}{ccc} & & R^*[1/x] \\ & \nearrow \alpha := \psi\phi & \uparrow \psi \\ R \subseteq S := R[\underline{f}] & \xrightarrow{\phi} & T := R[\underline{\tau}] \end{array}$$

**2.5 Theorem.** [3, Theorem 2.2], [9, Theorem 3.2]

- (1)  $B$  is Noetherian and  $B = A$  if and only if the map  $\alpha : S \rightarrow R^*[1/x]$  in (2.4.1) is flat.
- (2) For  $Q^* \in \text{Spec}(R^*[1/x])$ , the localization  $\alpha_{Q^*} : S \rightarrow (R^*[1/x])_{Q^*}$  of the map  $\alpha$  in (2.4.1) is flat if and only if the localization  $\phi_{Q^* \cap T}$  of the map  $\phi$  in (2.4.1) is flat.
- (3) The following are equivalent:
  - (i)  $B$  is Noetherian and  $A = B$ .
  - (ii)  $B$  is Noetherian.

(iii) The localized map  $\varphi_{Q^* \cap T}$  from (2.4.1) is flat for every maximal ideal  $Q^* \in \text{Spec}(R^*[1/x])$ .

Proposition 2.6 is helpful for testing whether the map  $\phi$  of (2.4.1) is flat.

**2.6 Proposition.** [9, Proposition 2.4] *Let  $R$  be a Noetherian ring and let  $x_1, \dots, x_n$  be indeterminates over  $R$ . Assume that  $f_1, \dots, f_m \in R[x_1, \dots, x_n]$  are algebraically independent over  $R$ . Then*

- (1)  $\varphi : S := R[f_1, \dots, f_m] \hookrightarrow T := R[x_1, \dots, x_n]$  is flat if and only if, for each prime ideal  $P$  of  $T$ , we have  $ht(P) \geq ht(P \cap S)$ .
- (2) For  $Q \in \text{Spec} T$ ,  $\varphi_Q : S \rightarrow T_Q$  is flat if and only if for each prime ideal  $P \subseteq Q$  of  $T$ , we have  $ht(P) \geq ht(P \cap S)$ .
- (3) If  $\varphi_x : S \rightarrow T[1/x]$  is flat, then  $B$  is Noetherian and  $B = A$ .

**3. Non-Noetherian Examples.** We review the construction of a series of examples of non-Noetherian integral domains inside power series rings given in [9, Examples 5.1].

**3.1 Specific construction details for the examples of [9].** This construction is a localized version of (2.4), with  $s = 1$ . Thus  $k$  is a field,  $R = k[x, y]_{(x, y)}$  is a two-dimensional regular local ring and  $R^* = k[y]_{(y)}[[x]]$  is the  $(x)$ -adic completion of  $R$ . Let  $\tau = \sum_{j=1}^{\infty} c_j x^j \in xk[[x]]$  be algebraically independent over  $k(x)$ . (It is easy to see that (2.3) still holds; that is, the modified  $A_\tau := \mathcal{Q}(R[\tau]) \cap R^* = \bigcup_{r=0}^{\infty} R[\tau_r]_{(-)} = B_\tau$ .)

For the insider domains, let  $p_i \in R \setminus xR$  be such that  $p_1 R^*, \dots, p_n R^*$  are  $n$  distinct prime ideals. For example, we could take  $p_i = y - x^i$ . Let  $q = p_1 \cdots p_n$ . We set  $f := q\tau$  (i.e.,  $m = 1$ ).

Let  $B := B_f$  be the nested union domain associated to  $f$  as in (2.4).

If  $\tau_r = \sum_{j=r+1}^{\infty} \frac{c_j x^j}{x^r}$  is the  $r^{\text{th}}$  endpiece of  $\tau$ , then  $f_r := q\tau_r$  is the  $r^{\text{th}}$  endpiece of  $f$ . For each  $r \geq 0$ , let  $B_r = R[f_r]_{(x, y, f_r)}$ . Then each  $B_r$  is a 3-dimensional regular local ring and  $B = \bigcup_{r=0}^{\infty} B_r$ .

In this example, the intersection domain  $A_f$  associated to  $f$  is the same as that associated to  $\tau$ ; that is,  $A = A_\tau = \mathcal{Q}(R[\tau]) \cap R^* = \mathcal{Q}(R[f]) \cap R^* = A_f$ , since  $\mathcal{Q}(R[\tau]) = \mathcal{Q}(R[f])$ .

**3.2 Proposition.** [9] *For each positive integer  $n$ , the nested union domain  $B$  constructed in (3.1) is a three-dimensional quasilocal unique factorization domain such that*

- (1)  *$B$  is not catenary.*
- (2) *The maximal ideal of  $B$  is two generated.*
- (3)  *$B$  has precisely  $n$  prime ideals of height two.*
- (4) *Each prime ideal of  $B$  of height two is not finitely generated.*
- (5) *Each height-one prime ideal of  $B$  is principal and each nonzero prime ideal of  $B$  is the union of the prime ideals of height one that it contains, so  $B$  has infinitely many prime ideals of height one.*
- (6) *For every non-maximal prime  $P$  of  $B$ , the ring  $B_P$  is Noetherian.*

**3.3 Notes.** (1) The nested union domain  $B$  is not Noetherian by (2.5.1) and (2.6.2); each  $p_i R^*[1/x]$  is a height-one prime of  $R^*[1/x]$ , but  $p_i R^*[1/x] \cap S = (p_i, f)S$  has height two, thus the map  $\alpha : S \rightarrow R^*[1/x]$  is not flat.

(2) The maximal ideal of  $B$  is  $(x, y)B$ , because  $B/xB = R/xR$ .

(3) As noted above,  $B_\tau = A_\tau = A = R^* \cap \mathcal{Q}(R(\tau)) = R^* \cap \mathcal{Q}(R(f)) = A_f$  in the notation of (2.1) and (2.2), so that  $A_f$  is a nested union of three-dimensional regular local domains (although we will see that it is not equal to the nested union domain  $B_f$ ).

We consider the inclusion map  $B \hookrightarrow A$  and the map  $\text{Spec } A \rightarrow \text{Spec } B$ . The following Proposition is proved in [9].

**3.4 Proposition.** *With the notation of (3.1) and  $A = R^* \cap \mathcal{Q}(R(f))$ , we have*

- (1)  *$A$  is a two-dimensional regular local domain with maximal ideal  $\mathfrak{m}_A = (x, y)A$ .*
- (2)  *$\mathfrak{m}_A$  is the unique prime of  $A$  lying over  $\mathfrak{m}_B = (x, y)B$ , the maximal ideal of  $B$ .*
- (3) *If  $P \in \text{Spec } B$  is nonmaximal, then  $\text{ht}(PR^*) \leq 1$  and  $\text{ht}(PA) \leq 1$ . Thus every nonmaximal prime of  $B$  is contained in a nonmaximal prime of  $A$ .*
- (4) *If  $P \in \text{Spec } B$  and  $xq \notin P$ , then  $\text{ht } P \leq 1$ .*
- (5) *If  $P \in \text{Spec } B$ ,  $\text{ht } P = 1$  and  $P \cap R \neq 0$ , then  $P = (P \cap R)B$ .*
- (6) *If  $pA$  is a height-one prime of  $A$  with  $pA \notin \{p_1A, \dots, p_nA\}$ , then  $A_{pA} = B_{pA \cap B}$  and  $\text{ht}(pA \cap B) = 1$ . However,  $p_iA \cap B$  has height two and is not*

*finitely generated.*

(7) *Each  $p_i B$  is prime in  $B$ .*

(8)  *$p_i B$  and  $Q_i := (p_i, f_1, f_2, \dots)B = p_i A \cap B$  are the only primes of  $B$  lying over  $p_i R$  in  $R$ .*

(9)  *$Q_i$  has height two and is not finitely generated.*

**3.5 Notes.** (1) With regard to the birational inclusion  $B \hookrightarrow A$  and the map  $\text{Spec } A \rightarrow \text{Spec } B$ , we remark that the following hold: Each  $Q_i$  contains infinitely many height-one primes of  $B$  that are the contraction of primes of  $A$  and infinitely many that are not. Among the primes that are not contracted from  $A$  are the  $p_i B$ . In the terminology of [14, page 325],  $P$  is *not lost* in  $A$  if  $PA \cap B = P$ . Since  $p_i A \cap B = Q_i$  properly contains  $p_i B$ ,  $p_i B$  is lost in  $A$ . Since  $(x, y)B$  is the maximal ideal of  $B$  and  $(x, y)A$  is the maximal ideal of  $A$  and  $B$  is integrally closed, a version of Zariski's Main Theorem [13] implies that  $A$  is not essentially finitely generated as a  $B$ -algebra.

(2) The quasilocal domains  $B$  constructed in Example 3.1 are *generalized local rings* in the sense of Cohen [2, page 56], that is, the maximal ideal  $\mathfrak{m}$  of  $B$  is finitely generated and the intersection of the powers of the maximal ideal is zero. Cohen proves in [2] that the completion of a generalized local ring is Noetherian. In our situation, the  $\mathfrak{m}_B$ -adic completion of  $B$  is equal to the  $\mathfrak{m}_A$ -adic completion of  $A$  and is a 2-dimensional regular local domain.

#### 4. Further analysis of $B$ for $n = 1$ .

In this section we consider the ring  $B = \bigcup_{r=0}^{\infty} B_r$  of the previous section in the case where  $n = 1$  and  $q = p_1 = y$ . Thus  $f = y\tau$ ,  $R = k[x, y]_{(x, y)}$ ,  $B_r = R[y\tau_r]_{(x, y, y\tau_r)}$  and  $B = \bigcup_{r=0}^{\infty} R[y\tau_r]_{(x, y, y\tau_r)}$ . This ring  $B$  has exactly one prime ideal  $Q = (y, \{y\tau_r\}_{r=0}^{\infty})B$  of height 2. Moreover,  $Q$  is not finitely generated and is the only prime ideal of  $B$  that is not finitely generated. We also have  $Q = yA \cap B$ , and  $Q \cap B_r = (y, y\tau_r)B_r$  for each  $r \geq 0$ .

If  $q$  is a height-one prime of  $B$ , then  $B/q$  is Noetherian if and only if  $q$  is not contained in  $Q$ . This is clear since  $Q$  is the unique prime of  $B$  that is not finitely generated and a ring is Noetherian if each prime ideal of the ring is finitely generated.

The height-one primes  $q$  of  $B$  may be separated into three types as follows:

**Type I.** The primes  $q \not\subseteq Q$ , such as  $xB$ . As mentioned above,  $B/q$  is Noetherian. These primes are contracted from  $A$ . To see this, consider  $q = gB$  where  $g \notin Q$ . Then  $gA$  is contained in a height one prime  $P$  of  $A$ . Then  $g \in (P \cap B) \setminus Q$  so  $P \cap B \neq Q$ . Since  $\mathbf{m}_B A = \mathbf{m}_A$ , we have  $P \cap B \neq \mathbf{m}_B$ . Therefore  $P \cap B$  is a height-one prime containing  $q$ , so  $q = P \cap B$  and  $B_q = A_P$ .

There are infinitely many primes  $q$  of type I, because every element of  $\mathbf{m}_B \setminus Q$  is contained in a prime  $q$  of type I. Thus  $\mathbf{m}_B \subseteq Q \cup \bigcup \{q \text{ of type I}\}$ . Since  $\mathbf{m}_B$  is not the union of finitely many strictly smaller prime ideals, there are infinitely many primes  $q$  of type I.

If  $q$  is a height-one prime of  $B$  not of type I, then  $\overline{B} = B/q$  has precisely three prime ideals. These prime ideals form a chain:  $(\overline{0}) \subset \overline{Q} \subset \overline{(x, y)B} = \overline{\mathbf{m}_B}$ .

**Type II.** The primes  $q \subset Q$ , where  $q$  has height one and is contracted from a prime  $p$  of  $A = k(x, y) \cap R^*$ , for example, the prime  $y(y + \tau)B$ . For  $q$  of this type,  $B/q$  is dominated by the one-dimensional Noetherian local domain  $A/p$ . Thus  $B/q$  is a non-Noetherian generalized local ring in the sense of Cohen.

For  $q$  of Type II, the maximal ideal of  $B/q$  is not principal. This follows because a quasilocal generalized local domain having a principal maximal ideal is a DVR [12, (31.5)].

There are infinitely many height-one primes of type II, for example,  $y(y + x^n \tau)B$  for each  $n \in \mathbb{N}$ . For  $q$  of type II, the DVR  $B_q$  is birationally dominated by  $A_p$ . Hence  $B_q = A_p$  and the ideal  $\sqrt{qA} = p \cap yA$ .

**Type III.** The primes  $q \subset Q$ , where  $q$  has height one and is not contracted from  $A$ , for example, the prime  $yB$  and the prime  $(y + x^n y \tau)B$  for  $n \in \mathbb{N}$ . Since the elements  $y$  and  $y + x^n y \tau$  are in  $\mathbf{m}_B$  and are not in  $\mathbf{m}_B^2$  and since  $B$  is a UFD, these elements are necessarily prime. Also the prime ideals  $(y + x^n y \tau)B$  and  $(y + x^m y \tau)B$  are distinct for  $n$  and  $m$  distinct positive integers, for if  $W$  is a prime ideal of  $B$  that contains them both and if  $m > n$ , then  $x^{m-n} y \tau \in W$ . But  $x \notin Q$  and  $Q$  and  $\mathbf{m}_B$  are the only primes of  $B$  containing  $(y, y \tau)B$ . For  $q$  of type III, we have  $\sqrt{qA} = yA$ .

If  $q = yB$  or  $q = (y + x^n y \tau)B$ , then the image  $\overline{\mathbf{m}_B}$  of  $\mathbf{m}_B$  in  $B/q$  is principal. It follows that the intersection of the powers of  $\overline{\mathbf{m}_B}$  is  $Q/q$  and  $B/q$  is not a generalized local ring. For if  $P$  is a principal prime ideal of a ring and  $P'$  is a prime

ideal properly contained in  $P$ , then  $P'$  is contained in the intersection of the powers of  $P$ . [11, page 7, ex. 5].

**Another way to examine the height-one primes of  $B$ .**

Observe that  $B[1/x]$  is a localization of the polynomial ring  $k[x, y, f]$  while  $A[1/x]$  is a localization of  $k[x, y, \tau]$ . Thus the embedding  $B[1/x] \hookrightarrow A[1/x]$  is a localization of the map:

$$\phi : k[x, y, f] \longrightarrow k[x, y, \tau]$$

which is defined by  $\phi(f) = y\tau$ . Obviously the nonflat locus of  $\phi$  is defined by the ideal  $(y)$  of  $k[x, y, \tau]$ .

If  $q \in B$  is a height one prime ideal which is not contained in  $Q$ , then the extension  $qA$  is not contained in  $yA$  and for every minimal prime  $p \subseteq A$  over  $qA$  the induced map  $B_q \hookrightarrow A_p$  is flat. In particular,  $q$  is not lost in  $A$ . If  $q$  is a height-one prime of  $B$  which is contained in  $Q$  then  $q$  is of type II or III according to the minimal prime divisors of  $qA$ : If there is a minimal prime  $p \in \text{Spec } A$  of  $qA$  which is different from  $(y)$ , then the map:  $B_q \hookrightarrow A_p$  is flat, and  $q$  is not lost in  $A$ . In this case,  $q$  is of type II. Note that  $yA$  is also a minimal prime divisor of  $qA$ . If  $yA$  is the only prime divisor of  $qA$ , then  $q$  is lost in  $A$ . In this case the map  $B_Q \hookrightarrow A_{(y)}$  is a localization.

We remark that  $B/yB$  is a rank 2 valuation ring. This can be seen directly or else one may apply [10, Prop. 3.5(iv)]. If we do a similar construction with a prime contained in  $\mathfrak{m}^2$  (instead of  $y$ ), for example,  $f = (x^2 + y^2)\tau$  (over  $\mathbb{Q}$ ), then  $B/(x^2 + y^2)$  has a two-generated maximal ideal and cannot be a valuation ring.

**5. A More General Construction.**

In Theorem 5.1, we obtain a generalization of the construction that gives the examples considered in Sections 3 and 4.

**5.1 Theorem.** *Let  $n \geq 2$  be a positive integer. For each positive integer  $t$ , there exists a non-Noetherian integral domain  $B$  such that:*

- (a)  $\dim B = n + 1$ .
- (b) *The maximal ideal of  $B$  is generated by  $n$  elements.*
- (c)  *$B$  has exactly  $t$  prime ideals of height  $n$  and each of these primes is not finitely generated.*

- (d)  $B$  is a factorial domain.
- (e) The completion of  $B$  is a regular local domain of dimension  $n$ .
- (f)  $B$  is a birational extension of the localized polynomial ring over a field in  $n + 1$  variables.

*Proof.* Let  $k$  be a field and let  $x, y_1, \dots, y_{n-1}$  be variables over  $k$ . Define:

$$R = k[x, y_1, \dots, y_{n-1}]_{(x, y_1, \dots, y_{n-1})}$$

and let  $\tau_1, \dots, \tau_{n-1} \in xk[[x]]$  be algebraically independent over  $\mathcal{Q}(R)$ . For  $1 \leq i \leq t$ , let  $p_i = y_1 - x^i$ . Set  $q = p_1 p_2 \dots p_t$ , and consider the element

$$f = q\tau_1 + y_2\tau_2 + \dots + y_{n-1}\tau_{n-1}.$$

The map:

$$R[f] \longrightarrow R[\tau_1, \dots, \tau_{n-1}]$$

has as its Jacobian ideal:  $J = (q, y_2, \dots, y_{n-1})$  which is an ideal of height  $n - 1$  that is the intersection of  $t$  prime ideals of height  $n - 1$ :  $J = Q_1 \cap \dots \cap Q_t$ , where  $Q_i = (p_i, y_2, \dots, y_{n-1})$ .

If we construct  $B$  as usual as the union of localized polynomial rings of dimension  $n+1$ , then by [9, Theorem 3.9],  $B$  is not Noetherian ( or use (2.5.1) and an argument similar to (3.3.1) ). If  $n > 2$  then  $J$  has height  $> 1$  and it follows from [6, Theorem 5.5] or [8, Theorem 6.3] that  $B = A = \mathcal{Q}(R[f]) \cap R^*$ . It follows from [6, Theorem 4.5] that  $B$  is factorial.

Moreover, if we consider our usual set up:

$$R[f] \longrightarrow R[\tau_1, \dots, \tau_{n-1}][1/x] \longrightarrow R^*[1/x]$$

the preimage in  $R[f]$  of  $JR^*[1/x]$  is the ideal  $L = (J, f)$  which has height  $n$ . The ideal  $L$  corresponds to the intersection of  $t$  prime ideals in  $B$  of height  $n$ , these are the only primes in  $B$  of height  $n$  and they are not finitely generated.

**5.2 Remark.** It would be interesting to know whether for  $B$  as in Theorem 5.1, the prime ideals of  $B$  of height  $n$  are the only prime ideals of  $B$  that are not finitely generated.

**5.3 Remark.** With the insider construction given in (2.1)-(2.4), if the dimension of  $B_f$  is greater than that of  $R^*$ , then  $B_f$  is not catenary. One way to see this is that in  $\text{Spec}(B_f)$  there is always a saturated chain of prime ideals that includes  $(x)$  and this chain has length equal to  $\dim R^*$ , while if  $\dim B_f > \dim R^*$ , then there exists a saturated chain of prime ideals in  $B_f$  of length greater than  $\dim R^*$ .

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