



Hyperspectral SAR

January 7, 2016

Matt Ferrara

Andrew Homan

Margaret Cheney

Matrix Research Dayton, Ohio

Distribution A

PA# 88ABW-2016-0016





- Problem definition & related work
- Physical model & imaging algorithm
- Point spread function (PSF)-based resolution analysis
- Circular SAR example using Xpatch data
- Conclusions



- Typical SAR imaging techniques neglect dispersion in scene reflectivity
- Below are examples of inadequately modeled structural dispersion







- Waveform and geometry specific
 - Chirps \rightarrow de-chirped phase history data
 - Zak-space estimation (Tolimieri). Used start-stop approximation.
 - We wanted a method which was not restricted to a specific waveform or flight geometry
- Parametric dispersion models
 - Extract simple parametric models from distortions in standard SAR (Gilman & Tsynkov) and ISAR (Borden) images
 - We wanted to avoid restrictions to parametric models
- Frequency-domain-based processing
 - Sub-banding (Albanese & Medina), frequency-domain filters (e.g., Garren 2002)
 - Frequency-domain pixel representations may have non-zero negative-time coefficients
 - These methods to not explicitly enforce a causal model for dispersion





Scalar wave propagation from antenna to scene

$$\left(\nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2}\right) \mathcal{E}(t, \boldsymbol{x}) = s(t, \boldsymbol{x})$$

Time-dependent reflectivity model

$$\mathcal{E}^{tot} = \mathcal{E}^{in} + \mathcal{E}^{sc}$$

Models transmit antenna
 $s^{sc}(t, \boldsymbol{x}) = -\int_{t-\Delta T}^{t} v(t-t', \boldsymbol{x})\mathcal{E}^{tot}(t', \boldsymbol{x})dt'$
supported on $0 \le t-t' \le \Delta T$

• "Standard" SAR uses $v(t - t', \mathbf{x}) = v(\mathbf{x})\delta(t - t')$





$$s^{in}(t, \boldsymbol{x} - \boldsymbol{\gamma}(t)) = -j(t, \boldsymbol{x} - \boldsymbol{\gamma}(t))$$

$$\mathcal{E}^{in}(t^{inc}, \boldsymbol{x}) = \int g(t^{inc} - t', \boldsymbol{x} - \boldsymbol{y}) j(t', \boldsymbol{y} - \boldsymbol{\gamma}(t')) dt' d\boldsymbol{y}$$



Transmit time associated with one-way propagation





Lippmann-Schwinger equation

$$\begin{aligned} \mathcal{E}^{\rm sc}(t,\boldsymbol{x}) &= -\int \int g(t-\tau,\boldsymbol{x}-\boldsymbol{z}) s^{sc}(\tau,\boldsymbol{z}) d\tau d\boldsymbol{z} \\ &= \int \int g(t-\tau,\boldsymbol{x}-\boldsymbol{z}) \int \left(v(\tau-t',\boldsymbol{z}) \mathcal{E}^{tot}(t',\boldsymbol{z}) \right) dt' d\tau d\boldsymbol{z} \end{aligned}$$
where
$$g(t,\boldsymbol{x}) &= \frac{\delta(t-|\boldsymbol{x}|/c)}{4\pi|\boldsymbol{x}|} = \int \frac{e^{i\omega(t-|\boldsymbol{x}|/c)}}{8\pi^2|\boldsymbol{x}|} d\omega$$

Born-approximated Lippmann-Schwinger equation

$$\begin{split} \mathcal{E}_{B}^{sc}(t,\boldsymbol{x}) &= \int \!\!\!\!\int g(t-t^{rad},\boldsymbol{x}-\boldsymbol{y}) \underbrace{\int_{0}^{\Delta T} v(\Delta \tau,\boldsymbol{y}) \mathcal{E}^{in}(t^{rad} - \Delta \tau,\boldsymbol{y}) d\Delta \tau}_{s_{B}^{sc}(t^{rad},\boldsymbol{y})} \\ &= \int \frac{\delta(t-t^{rad} - |\boldsymbol{x}-\boldsymbol{y}|/c)}{4\pi |\boldsymbol{x}-\boldsymbol{y}|} s_{B}^{sc}(t^{rad},\boldsymbol{y}) dt^{rad} d\boldsymbol{y} \end{split}$$





Cutoff function

Weighted matched filter

Receive beam pattern

 $I(\Delta \tau, \boldsymbol{z}) = \int \frac{R_{\boldsymbol{z}}(t^{rec})R_{\boldsymbol{z}}(t^{tr}_{t^{rec},\Delta\tau,\boldsymbol{z}})f^{*}(t^{tr}_{t^{rec},\Delta\tau,\boldsymbol{z}})\chi_{\Delta\tau,\boldsymbol{z}}(t^{rec})}{\tilde{A}\left(\widehat{\boldsymbol{R}}_{\boldsymbol{z}}(t^{rec})\right)\tilde{W}\left(\widehat{\boldsymbol{R}}_{\boldsymbol{z}}(t^{tr}_{t^{rec},\Delta\tau,\boldsymbol{z}})\right)} d(t^{rec})dt^{rec}$ Transmit beam pattern

- Round-trip travel time $t^{rec} t^{tr}_{t^{rec},\Delta\tau,z} = \frac{R_{z}(t^{rec})}{c} + \Delta\tau + \frac{R_{z}(t^{tr}_{t^{rec},\Delta\tau,z})}{c}$
- Resulting point spread function (PSF)

$$\begin{split} I(\Delta \tau, \boldsymbol{z}) &= \int_{\Omega_{\boldsymbol{z}}} \int_{0}^{\Delta T} K(\Delta \tau, \boldsymbol{z}, \Delta \sigma, \boldsymbol{y}) v(\Delta \sigma, \boldsymbol{y}) d\Delta \sigma d\boldsymbol{y} \\ K(\Delta \tau, \boldsymbol{z}, \Delta \sigma, \boldsymbol{y}) &= \int_{\Delta T_{\Delta \tau, \boldsymbol{z}}^{rec}} \left(\frac{R_{\boldsymbol{z}}(t^{rec}) R_{\boldsymbol{z}}(t^{tr}_{t^{rec}, \Delta \tau, \boldsymbol{z}})}{R_{\boldsymbol{y}}(t^{rec}) R_{\boldsymbol{y}}(t^{tr}_{t^{rec}, \Delta \sigma, \boldsymbol{y}})} \right. \\ &\left. \frac{\tilde{W}\left(\widehat{\boldsymbol{R}}_{\boldsymbol{y}}(t^{tr}_{t^{rec}, \Delta \sigma, \boldsymbol{y}}) \right) \tilde{A}\left(\widehat{\boldsymbol{R}}_{\boldsymbol{y}}(t^{rec}) \right)}{\tilde{W}\left(\widehat{\boldsymbol{R}}_{\boldsymbol{z}}(t^{tr}_{t^{rec}, \Delta \tau, \boldsymbol{z}}) \right) \tilde{A}\left(\widehat{\boldsymbol{R}}_{\boldsymbol{z}}(t^{rec}) \right)} \right) f^{*}(t^{tr}_{t^{rec}, \Delta \tau, \boldsymbol{z}}) f(t^{tr}_{t^{rec}, \Delta \sigma, \boldsymbol{y}}) dt^{rec} \end{split}$$



0.05

0.00 L

Bandwidth = 750 MHz

aperture (degrees)



0.05

0.00 L 500

Aperture = 36 degrees

bandwidth (MHz)

- Circular SAR example, 40-degree elevation
- Chirp time-bandwidth product = 100





- Circular SAR example, 40-degree elevation
- Chirp time-bandwidth product = 100



Increasing Aperture + Fixed Bandwidth



14

• Truth 50 0. -1. 40 -2 z (meters) amplitude (dB) -3 30 -4 6-meter depth 20 -5 . -6 > 10 10 5 0 0 -5 y (mete -10└─ -2 x (meters) -10 -10 0 2 8 10 4

Truth Vs. Reconstructed Reflectivity

Center HSAR pixel

delay (meters)

- Circular SAR with 36-degree aperture, 40-degree elevation angle
- 190 km flight-path radius, 69 m/s velocity

Closed-end Cylinder Target

0.3-meter cylinder radius

Tukey-windowed 745 MHz chirp. T*BW = 100. Center frequency = 10 GHz

60





HSAR Pixel

12



- Circular SAR with 36-degree aperture, 40-degree elevation angle
- 190 km flight-path radius, 69 m/s velocity
- Tukey-windowed 745 MHz chirp. T*BW = 100. Center frequency = 10 GHz



HSAR Image Delay Vs. Range



Circular SAR example: "bottom hat"

- Circular SAR with 36-degree aperture, 40-degree elevation angle
- 190 km flight-path radius, 69 m/s velocity
- Tukey-windowed 745 MHz chirp. T*BW = 100. Center frequency = 10 GHz

Point Spread Function Delay Vs. Cross Range

HSAR Image Delay Vs. Cross Range





-6

-12

-18

-24

-30

-36

-42

-48

-54

-60

Circular SAR with 36-degree aperture, 40-degree elevation angle

190 km flight-path radius, 69 m/s velocity

Point Spread Function

Range Vs. Cross Range

Tukey-windowed 745 MHz chirp. T*BW = 100. Center frequency = 10 GHz

5m Delay PSF Image 5m Delay HSAR Image 10 10 -6 -12 5 -18range (meters) -24 ange (meters) 0 -30 0 -36 -42 -5 -5 -48 -54 Delay = 5/cDelay = 5/c-10└ -10 -60 -10└ -10 5 10 5 10 0 0 cross-range (meters) cross-range (meters)







CSAR example: "bottom hat"

- Circular SAR with 36-degree aperture, 40-degree elevation angle
- 190 km flight-path radius, 69 m/s velocity
- Tukey-windowed 745 MHz chirp. T*BW = 100. Center frequency = 10 GHz

Standard SAR Image Zero Delay HSAR Image 10 10 -6 -6 -12 -12 5 -18 -18range (meters) -24 -24 ange (meters) 0 -30 0 -30 -36 -36 -42 -42 -5 -5 -48 -48 -54 -54 -10└ -10 -60 -10└_ -10 -5 0 5 10 -60 -5 0 5 10 cross-range (meters) cross-range (meters)

Start-stop + Matched Filter + NUFFT

HSAR Image, $\Delta \tau = 0$







- We have developed and analyzed a time-domain algorithm for HSAR which
 - accommodates *arbitrary transmit strategies*
 - naturally restricts scene-reflectivity estimates to *causal* functions
- Point spread function (PSF)-based resolution analysis indicates
 - When BW and aperture are varied independently we see the following enhancements in resolution:

	BW	Aperture
Range	~	✓
Cross Range	×	✓
Delay	~	×

 Based on our experiments with Xpatch-generated data, it is possible to reconstruct structural dispersion parameters provided *sufficient bandwidth* and *aperture* (as predicted by the PSF)

Ferrara, Homan, and Cheney, "Hyperspectral SAR," submitted to IEEE Transactions on Geoscience and Remote Sensing