# Extensions of Quasidiagonal $C^*$ -algebras and K-theory

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#### Abstract

Let  $0 \to I \to E \to B \to 0$  be a short exact sequence of C\*-algebras where E is separable, I is quasidiagonal (QD) and B is nuclear, QD and satisfies the UCT. It is shown that if the boundary map  $\partial: K_1(B) \to K_0(I)$  vanishes then E must be QD also.

A Hahn-Banach type property for  $K_0$  of QD  $C^*$ -algebras is also formulated. It is shown that every nuclear QD  $C^*$ -algebra has this  $K_0$ -Hahn-Banach property if and only if the boundary map  $\partial: K_1(B) \to K_0(I)$  (from above) always completely determines when E is QD in the nuclear case.

#### 1 Introduction

Quasidiagonal (QD)  $C^*$ -algebras are those which enjoy a certain finite dimensional approximation property. (See [Vo2], [Br3] for surveys of the theory of QD  $C^*$ -algebras.) While these finite dimensional approximations have certainly lead to a better understanding of the structure of QD  $C^*$ -algebras, there are a number of very basic open questions. For example, assume that  $0 \to I \to E \xrightarrow{\pi} B \to 0$  is a split exact sequence (i.e. there exists a \*-homomorphism  $\varphi: B \to E$  such that  $\pi \circ \varphi = id_B$ ) where both I and B are QD. It is not known whether E must be QD (and, in fact, it is not even clear what to expect).

In this paper we study the extension problem for QD  $C^*$ -algebras and it's relation to some natural questions concerning K-theory of QD  $C^*$ -algebras.

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Our techniques rely heavily on Kasparov's theory of extensions and thus we will always need some nuclearity assumptions.

For example, adapting techniques found in [Sp] we will show (Theorem 3.4) that if  $0 \to I \to E \to B \to 0$  is short exact where E is separable, I is QD, B is nuclear, QD and satisfies the Universal Coefficient Theorem (UCT) and the boundary map  $\partial: K_1(B) \to K_0(I)$  vanishes then E must be QD also. It follows that if  $K_1(B) = 0$  then E is always QD, which generalizes work of Eilers, Loring and Pedersen ([ELP]). As another application we observe that in the case that I is the compact operators our result implies that E is QD if and only if the (class of the) extension is in the kernel of the natural map  $Ext(B) \to Hom(K_1(B), \mathbb{Z})$ , where Ext(B) denotes the classical BDF group (recall that we are assuming E is nuclear and hence Ext(E) is a group). Also, we verify a conjecture of [BK], stating that an asymptotically split extension of NF algebras is NF, under the additional assumption that the quotient algebra satisfies the UCT of [RS].

We then study the general extension problem. Now let  $0 \to I \to E \to B \to 0$  be exact where E is separable and nuclear, I is QD and B is QD and satisfies the UCT. Based on previous work of Spielberg ([Sp]) it is reasonable to expect that in this case E will be QD if and only if  $\partial(K_1(B)) \cap K_0^+(I) = \{0\}$ , where  $K_0^+(I) = \{0\}$  denotes the positive cone of  $K_0(I)$ . Though we are unable to resolve this question we do show that it is equivalent to some other natural questions concerning the K-theory of QD  $C^*$ -algebras and that in order to solve the general extension problem it suffices to prove the special case that  $B = C(\mathbb{T})$  (see Theorem 4.11).

The first equivalent K-theory question is: If A is nuclear, separable and QD and  $G \subset K_0(A)$  is a subgroup such that  $G \cap K_0^+(A) = 0$  then can one always find an embedding  $\rho : A \hookrightarrow C$  where C is QD and  $\rho_*(G) = 0$ ? The condition  $G \cap K_0^+(A) = 0$  is easily seen to be necessary and hence the question is whether or not it is sufficient. The second K-theory question asks whether every nuclear QD  $C^*$ -algebra satisfies what we call the  $K_0$ -Hahn-Banach property (see Definition 4.7). Roughly speaking this  $K_0$ -Hahn-Banach property states that if  $x \in K_0(A)$  and  $\pm x \notin K_0^+(A)$  then one can always find finite dimensional approximate morphisms (i.e. "functionals") which separate x from  $K_0^+(A)$ . (Due to possible perforation in  $K_0(A)$  this statement is not quite correct, but it conveys the main idea.) Determining whether every nuclear QD algebra satisfies the  $K_0$ -Hahn-Banach property is of independent interest as our inability to understand how well finite dimensional approximate morphisms read K-theory has been a major

obstacle in the classification program.

In section 2 we review the necessary theory of extensions and prove a few simple results needed later. In section 3 we handle the case when  $\partial: K_1(B) \to K_0(I)$  vanishes. In section 4 we turn to the general extension problem and show equivalence with the K-theory questions described above.

The present work is related to work of Salinas [Sa1], [Sa2] and Schochet [Sch]. Those authors study the quasidiagonality of extensions  $0 \to I \to E \to B \to 0$  (i.e. the question of whether or not I contains an approximate unit of projections which is quasicentral in E) whereas we study the QD of the C\*-algebra E. The two questions are different even if I is the compact operators. Indeed, while the quasidiagonality of  $0 \to \mathcal{K} \to E \to B \to 0$  does imply the QD of E, the converse implication is false (see Section 3).

#### 2 Preliminaries and Trivial Extensions.

Most of this section is devoted to reviewing definitions, introducing notation and recalling some standard facts about extensions of  $C^*$ -algebras. However, at the end we prove a few simple facts which will be needed later. The main result states that quasidiagonality is preserved in split extensions provided that either the ideal or the quotient is a nuclear  $C^*$ -algebra (see Proposition 2.5).

For a comprehensive introduction to the aspects of extension theory which we will need we recommend looking at [Bl, Section 15]. For any  $C^*$ -algebra I we will let M(I) be it's multiplier algebra and Q(I) = M(I)/I be it's corona algebra. Let  $\pi: M(I) \to Q(I)$  be the quotient map.

If E is any  $C^*$ -algebra containing I as an ideal and B=E/I then there exists a unique \*-homomorphism  $\rho: E \to M(I)$  such that  $\rho(I) = I$  and hence an induced \*-homomorphism  $\gamma: B \to Q(I)$ . The map  $\gamma$  is injective if and only if  $\rho$  is in injective if and only if I sits as an essential ideal in I. Conversely, given a I-algebra I-algebra I-homomorphism I-algebra I-algebra I-algebra I-algebra I-algebra I-algebra

$$E(\gamma) = \{x \oplus b \in M(I) \oplus B : \pi(x) = \gamma(b)\}.$$

This gives a short exact sequence  $0 \to I \to E(\gamma) \to B \to 0$ . Moreover, if B = E/I with induced map  $\gamma : B \to Q(I)$  then there is an induced

\*-isomorphism  $\Phi: E \to E(\gamma)$  with commutativity in the diagram

$$0 \longrightarrow I \longrightarrow E \longrightarrow B \longrightarrow 0$$

$$\parallel \qquad \qquad \downarrow \qquad \qquad \parallel$$

$$0 \longrightarrow I \longrightarrow E(\gamma) \longrightarrow B \longrightarrow 0.$$

Hence there is a one to one correspondence between extensions of I by B and \*-homomorphisms  $\gamma: B \to Q(I)$ . As is standard, we will refer to a \*-homomorphism  $\gamma: B \to Q(I)$  as a Busby invariant and freely use the above correspondence between Busby invariants and extensions.

When I is stable (i.e.  $I \cong \mathcal{K} \otimes I$ , where  $\mathcal{K}$  denotes the compact operators on a separable infinite dimensional Hilbert space) there is a natural way of adding two extensions which we now describe. Any isomorphism  $M_2(\mathbb{C}) \otimes \mathcal{K} \cong \mathcal{K}$  induces an isomorphism  $M_2(\mathbb{C}) \otimes \mathcal{K} \otimes I \cong \mathcal{K} \otimes I$  which then gives isomorphisms  $M_2(\mathbb{C}) \otimes M(\mathcal{K} \otimes I) \cong M(\mathcal{K} \otimes I)$  and  $M_2(\mathbb{C}) \otimes Q(\mathcal{K} \otimes I) \cong Q(\mathcal{K} \otimes I)$ . Thus if we are given two Busby invariants  $\gamma_1, \gamma_2 : B \to Q(\mathcal{K} \otimes I)$  we can define a new Busby invariant  $\gamma_1 \oplus \gamma_2$  by

$$(\gamma_1 \oplus \gamma_2)(b) = \begin{pmatrix} \gamma_1(b) & 0 \\ 0 & \gamma_2(b) \end{pmatrix} \in M_2(\mathbb{C}) \otimes Q(\mathcal{K} \otimes I) \cong Q(\mathcal{K} \otimes I).$$

Of course the Busby invariant  $\gamma_1 \oplus \gamma_2$  constructed in this way will depend on the particular isomorphism  $M_2(\mathbb{C}) \otimes \mathcal{K} \cong \mathcal{K}$ . To remedy this we say that two Busby invariants  $\gamma_1$ ,  $\gamma_2$  are strongly equivalent if there exists a unitary  $u \in M(I)$  such that  $\mathrm{Ad}\pi(u)\big(\gamma_1(b)\big) = \pi(u)\gamma_1(b)\pi(u^*) = \gamma_2(b)$ , for all  $b \in B$ , where  $\pi: M(I) \to Q(I)$  is the quotient map. Note that if  $\gamma_1$  and  $\gamma_2$  are strongly equivalent then  $E(\gamma_1)$  and  $E(\gamma_2)$  are isomorphic  $C^*$ -algebras. Indeed, the map  $E(\gamma_1) \to E(\gamma_2)$ ,  $x \oplus b \mapsto uxu^* \oplus b$  is easily seen to be an isomorphism. Since any isomorphism  $M_2(\mathbb{C}) \otimes \mathcal{K} \cong \mathcal{K}$  is implemented by a unitary we see that  $\gamma_1 \oplus \gamma_2$  is unique up to strong equivalence. In particular, the isomorphism class of the  $C^*$ -algebra  $E(\gamma_1 \oplus \gamma_2)$  does not depend on the choice of isomorphism  $M_2(\mathbb{C}) \otimes \mathcal{K} \cong \mathcal{K}$ .

A Busby invariant  $\tau$  is called trivial if it lifts to a \*-homomorphism  $\varphi: B \to M(I)$  (i.e.  $\pi \circ \varphi = \gamma$ ). A Busby invariant  $\gamma: B \to Q(\mathcal{K} \otimes I)$  is called absorbing if  $\gamma \oplus \tau$  is strongly equivalent to  $\gamma$  for every trivial  $\tau$ . Note that if  $\gamma$  is absorbing then so is  $\tilde{\gamma} \oplus \gamma$  for any  $\tilde{\gamma}$ . In particular if  $\gamma$  is absorbing then  $\gamma$  is injective. Note also that if  $\tau_1$  and  $\tau_2$  are both trivial and absorbing then  $\tau_1, \tau_1 \oplus \tau_2$  and  $\tau_2$  are all strongly equivalent. Thus we get the following fact.

**Lemma 2.1** If  $\tau_1$ ,  $\tau_2 : B \to Q(K \otimes I)$  are both trivial and absorbing then  $E(\tau_1) \cong E(\tau_2)$ .

Another simple fact we will need is the following.

**Lemma 2.2** If  $\gamma$ ,  $\tau: B \to Q(K \otimes I)$  are Busby invariants with  $\tau$  trivial then there is a natural embedding  $E(\gamma) \hookrightarrow E(\gamma \oplus \tau)$ .

*Proof.* Let  $\varphi: B \to M(I)$  be a lifting of  $\tau$ . Define a map  $E(\gamma) \to E(\gamma \oplus \tau)$  by

$$x \oplus b \mapsto \left( \begin{array}{cc} x & 0 \\ 0 & \varphi(b) \end{array} \right) \oplus b.$$

Evidently this map is an injective \*-homomorphism.  $\square$ 

The following generalization of Voiculescu's Theorem, which is due to Kasparov, will be crucial in what follows.

**Theorem 2.3** ([Bl, Thm. 15.12.4]) Assume that B is separable, I is  $\sigma$ -unital and either B or I is nuclear. Let  $\rho: B \to B(H)$  be a faithful representation such that H is separable,  $\rho(B) \cap \mathcal{K}(H) = \{0\}$  and the orthogonal complement of the nondegeneracy subspace of  $\rho(B)$  (i.e.  $H \ominus \overline{\rho(B)H}$ ) is infinite dimensional. Regarding  $B(H) \cong B(H) \otimes 1 \subset M(\mathcal{K} \otimes I)$  as scalar operators we get a short exact sequence

$$0 \to \mathcal{K} \otimes I \to \rho(B) \otimes 1 + \mathcal{K} \otimes I \to B \to 0.$$

If  $\tau$  denotes the induced Busby invariant then  $\tau$  is both trivial and absorbing.

We define an equivalence relation on the set of Bubsy invariants  $B \to Q(\mathcal{K} \otimes I)$  by saying  $\gamma$  is related to  $\tilde{\gamma}$  if there exist trivial Busby invariants  $\tau, \tilde{\tau}$  such that  $\gamma \oplus \tau$  is strongly equivalent to  $\tilde{\gamma} \oplus \tilde{\tau}$ . Taking the quotient by this relation yields the semigroup  $Ext(B, \mathcal{K} \otimes I)$ . The image of a map  $\gamma: B \to Q(\mathcal{K} \otimes I)$  in  $Ext(B, \mathcal{K} \otimes I)$  is denoted  $[\gamma]$ . Note that all trivial Busby invariants give rise to the same class denoted by  $0 \in Ext(B, \mathcal{K} \otimes I)$  and this class is a neutral element (i.e. identity) for the semigroup. Note also that if  $[\gamma] = 0 \in Ext(B, \mathcal{K} \otimes I)$  then it does not follow that  $\gamma$  is trivial. However, it does follow that if  $\tau$  is a trivial absorbing Busby invariant then so is  $\gamma \oplus \tau$ .

We are almost ready to prove the main result of this section. We just need one more definition. **Definition 2.4** If  $0 \to I \to E \to B \to 0$  is an exact sequence with Busby invariant  $\gamma$  then we let  $\gamma^s : \mathcal{K} \otimes B \to Q(\mathcal{K} \otimes I)$  denote the stabilization of  $\gamma$ . That is,  $\gamma^s$  is the Busby invariant of the exact sequence  $0 \to \mathcal{K} \otimes I \to \mathcal{K} \otimes E \to \mathcal{K} \otimes B \to 0$ .

Note that there is always an embedding  $E \cong E(\gamma) \hookrightarrow E(\gamma^s)$ .

**Proposition 2.5** Let  $0 \to I \to E \to B \to 0$  be exact with Busby invariant  $\gamma$ . If both I and B are QD, B is separable, I is  $\sigma$ -unital, either I or B is nuclear and  $[\gamma^s] = 0 \in Ext(\mathcal{K} \otimes B, \mathcal{K} \otimes I)$  then E is also QD.

*Proof.* Since quasidiagonality passes to subalgebras, it suffices to show that if  $\tau: \mathcal{K} \otimes B \to Q(\mathcal{K} \otimes I)$  is a trivial absorbing Busby invariant (which exists by Theorem 2.3) then  $E(\tau)$  is QD. Indeed, by Lemmas 2.1, 2.2 and the definition of  $Ext(\mathcal{K} \otimes B, \mathcal{K} \otimes I)$  we have the inclusions

$$E \hookrightarrow E(\gamma^s) \hookrightarrow E(\gamma^s \oplus \tau) \cong E(\tau).$$

To prove that  $E(\tau)$  is QD we may assume (again by Lemma 2.1) that  $\tau$  arises from the particular extension described in Theorem 2.3. However for that extension it is easy to see that  $E(\tau) \hookrightarrow (\rho(B) + \mathcal{K}) \otimes \tilde{I}$ , where  $\tilde{I}$  is the unitization of I. But since  $\rho(B) \cap \mathcal{K} = \{0\}$  it follows that  $\rho(B) + \mathcal{K}$  is QD ([Br3, Thm. 3.11]). Hence  $(\rho(B) + \mathcal{K}) \otimes \tilde{I}$  is also QD as a minimal tensor product QD C\*-algebras ([Br3, Prop. 7.5]).  $\square$ 

Note that the above proposition covers the case of split extensions (i.e. when  $\gamma$  is trivial).

## 3 When $\partial: K_1(B) \to K_0(I)$ is zero.

The main result of this section (Theorem 3.4) states that if the boundary map  $\partial: K_1(B) \to K_0(I)$  coming from an exact sequence  $0 \to I \to E \to B \to 0$  is zero then E will be QD whenever I is QD and B is nuclear, QD and satisfies the Universal Coefficient Theorem (UCT) of Rosenberg and Schochet ([RS]). The main ideas in the proof are inspired by work of Spielberg ([Sp]). We also discuss a few consequences of our result, including generalization of work of Eilers-Loring-Pedersen ([ELP]) and a partial solution to a conjecture of Blackadar and Kirchberg [BK].

**Definition 3.1** An embedding  $I \hookrightarrow J$  is called *approximately unital* if it takes an approximate unit of I to an approximate unit of J.

In this case there is a natural inclusion  $M(I) \hookrightarrow M(J)$  which induces an inclusion  $Q(I) \hookrightarrow Q(J)$  [Pe, 3.12.12]. Hence for any Busby invariant  $\gamma: B \to Q(I)$  there is an induced Busby invariant  $\eta: B \to Q(J)$  with commutativity in the diagram

$$0 \longrightarrow I \longrightarrow E(\gamma) \longrightarrow B \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \parallel$$

$$0 \longrightarrow J \longrightarrow E(\eta) \longrightarrow B \longrightarrow 0.$$

Moreover, the two vertical maps on the left are injective.

There are two ways of producing approximately unital embeddings which we will need. The first is  $I \hookrightarrow I \otimes A$ , for some unital  $C^*$ -algebra A. If  $\{e_{\lambda}\}$  is an approximate unit of I then, of course,  $e_{\lambda} \otimes 1_A$  will be an approximate unit of  $I \otimes A$ . The other is to start with an arbitrary embedding  $I \hookrightarrow J'$  and define J to be the hereditary subalgebra in J' generated by I. That is, define J to be the closure of  $\bigcup_{\lambda} e_{\lambda} J' e_{\lambda}$ . One easily checks that J is then a hereditary subalgebra of J' and the embedding  $I \hookrightarrow J$  is approximately unital.

In the theory of separable QD  $C^*$ -algebras there are some nonseparable algebras which play a key role. The first is the direct product  $\Pi_i M_{n_i}(\mathbb{C})$  for some sequence of integers  $\{n_i\}$ . This algebra is the multiplier algebra of the direct sum  $\bigoplus_i M_{n_i}(\mathbb{C})$ . If H is any separable Hilbert space then we can always find a decomposition  $H = \bigoplus_i \mathbb{C}^{n_i}$  and then we have natural inclusions  $\bigoplus_i M_{n_i}(\mathbb{C}) \hookrightarrow \mathcal{K}(H)$ ,  $\prod_i M_{n_i}(\mathbb{C}) \hookrightarrow B(H)$  and  $Q(\bigoplus_i M_{n_i}(\mathbb{C})) \hookrightarrow Q(\mathcal{K}(H))$ . Another algebra which we will need is  $\prod_i M_{n_i}(\mathbb{C}) + \mathcal{K}(H)$ .

**Lemma 3.2** Let  $J \subset \Pi_i M_{n_i}(\mathbb{C}) + \mathcal{K}(H)$  be a hereditary subalgebra containing  $\mathcal{K}(H)$ . Then  $K_1(J) = 0$ .

Proof. Letting  $\pi: B(H) \to Q(H)$  be the quotient map we have that  $\pi(J)$  is a hereditary subalgebra of  $Q(\bigoplus_i M_{n_i}(\mathbb{C}))$  (use the fact that if  $0 \le a \in J, b \in Q(\bigoplus_i M_{n_i}(\mathbb{C}))$  and  $0 \le b \le \pi(a)$  then there exists  $0 \le c \in \Pi_i M_{n_i}(\mathbb{C}) + \mathcal{K}(H)$  such that  $c \le a$  and  $\pi(c) = b$ ; [Da, Cor. IX.4.5]. Also, the exact sequence  $0 \to \mathcal{K}(H) \to J \to \pi(J) \to 0$  is a quasidiagonal extension (i.e.  $\mathcal{K}(H)$  contains

an approximate unit of projections which is quasicentral in J). Hence [BD, Thm. 8], states that we have a short exact sequence

$$0 \to K_1(\mathcal{K}(H)) \to K_1(J) \to K_1(\pi(J)) \to 0.$$

Thus it suffices to show that  $K_1(X) = 0$  for any hereditary subalgebra X of  $Q(\bigoplus_i M_{n_i}(\mathbb{C}))$ .

But if  $X \subset Q(\bigoplus_i M_{n_i}(\mathbb{C}))$  is a hereditary subalgebra then we can find a quasidiagonal extension

$$0 \to \bigoplus_i M_{n_i}(\mathbb{C}) \to Y \to X \to 0,$$

where  $Y \subset \Pi_i M_{n_i}(\mathbb{C})$  is a hereditary subalgebra. Applying [BD, Thm. 8] again it suffices to show that every hereditary subalgebra of  $\Pi_i M_{n_i}(\mathbb{C})$  has trivial  $K_1$ -group.

But, if  $Y \subset \Pi_i M_{n_i}(\mathbb{C})$  is a hereditary  $\sigma$ -unital subalgebra then Y has an increasing approximate unit consisting of projections, say  $\{e_n\}$  ([BP]). Hence

$$K_1(Y) = \lim K_1(e_n \Pi_i M_{n_i}(\mathbb{C})e_n),$$

since  $Y = \lim e_n \Pi_i M_{n_i}(\mathbb{C}) e_n$  (by heredity). But for each n it is clear that  $e_n \Pi_i M_{n_i}(\mathbb{C}) e_n$  is isomorphic to  $\Pi_i M_{k_i}(\mathbb{C})$  for some integers  $\{k_i\}$  and hence  $K_1(e_n \Pi_i M_{n_i}(\mathbb{C}) e_n) = 0$ .  $\square$ 

**Proposition 3.3** Let I be a separable QD  $C^*$ -algebra. Then there exists an approximately unital embedding  $I \hookrightarrow J$ , where J is a  $\sigma$ -unital QD  $C^*$ -algebra with  $K_1(J) = 0$ .

Proof. Let  $\rho: I \to B(H)$  be a nondegenerate faithful representation such that  $\rho(I) \cap \mathcal{K}(H) = \{0\}$ . By [Br3, Prop. 5.2], there exists a decomposition  $H = \bigoplus_i \mathbb{C}^{n_i}$  such that  $\rho(I) \subset \Pi_i M_{n_i}(\mathbb{C}) + \mathcal{K}(H)$ . Let J be the hereditary subalgebra of  $\Pi_i M_{n_i}(\mathbb{C}) + \mathcal{K}(H)$  generated by  $\rho(I)$ . The conclusion follows from the previous lemma.  $\square$ 

For the remainder of this section we will let  $\mathcal{U} = \otimes_n M_n(\mathbb{C})$  be the Universal UHF algebra (i.e. the UHF algebra with  $K_0(\mathcal{U}) = \mathbb{Q}$ ). For any Busby invariant  $\gamma : B \to Q(J)$  we let  $\gamma^{\mathbb{Q}}$  denote the Busby invariant coming from the short exact sequence

$$0 \to J \otimes \mathcal{U} \to E(\gamma) \otimes \mathcal{U} \to B \otimes \mathcal{U} \to 0.$$

**Theorem 3.4** Let  $0 \to I \to E \to B \to 0$  be a short exact sequence where E is separable, I is QD and B is nuclear, QD and satisfies the UCT. If the induced map  $\partial: K_1(B) \to K_0(I)$  is zero then E is QD.

Proof. Let  $\gamma$  be the Busby invariant of the exact sequence in question. By the previous proposition we can find an approximately unital embedding  $I \hookrightarrow J$ , where J is QD with  $K_1(J) = 0$ . By the remarks following Definition 3.1 we have an inclusion  $E \hookrightarrow E(\eta)$  where  $\eta : B \to Q(J)$  is the induced Busby invariant. By naturality we then have that both index maps  $\partial : K_1(B) \to K_0(J)$  and  $\partial : K_0(B) \to K_1(J)$  are zero. Hence the index maps arising from the stabilization  $\eta^s : B \otimes \mathcal{K} \to Q(J \otimes \mathcal{K})$  are also zero.

Now, if it happens that  $K_0(J)$  is a divisible group then the Universal Coefficient Theorem would imply that  $[\eta^s] = 0 \in Ext(B \otimes \mathcal{K}, J \otimes \mathcal{K})$  and so by Proposition 2.5 we would be done. Of course this will not be true in general and so may have to replace  $\eta^s$  with  $(\eta^s)^{\mathbb{Q}}$ . But applying naturality one more time, both boundary maps on K-theory arising from  $(\eta^s)^{\mathbb{Q}}$  will also vanish. Hence the theorem follows from the inclusions  $E \hookrightarrow E(\eta) \hookrightarrow E(\eta^s) \hookrightarrow E(\eta^s)^{\mathbb{Q}}$ ) together with Proposition 2.5 applied to  $(\eta^s)^{\mathbb{Q}}$ .  $\square$ 

In the case that the ideal is nuclear and the quotient is an AF algebra, the next result was obtained by Eilers, Loring and Pedersen ([ELP, Cor. 4.6]).

Corollary 3.5 Assume that B is a separable nuclear QD C\*-algebra satisfying the UCT and with  $K_1(B) = 0$ . For any separable QD C\*-algebra I and Busby invariant  $\gamma : B \to Q(I)$  we have that  $E(\gamma)$  is QD.

This corollary actually extends to the case where  $K_1(B)$  is a torsion group since we can tensor any short exact sequence with  $\mathcal{U}$  and  $K_1(B \otimes \mathcal{U}) = 0$  in this case. For example, this would cover the case that  $B = C_0(\mathbb{R}) \otimes \mathcal{O}_n$ ,  $(2 \leq n \leq \infty)$ , where  $\mathcal{O}_n$  denotes the Cuntz algebra on n generators. Similarly, it is clear that Theorem 3.4 is valid under the weaker hypothesis that  $\partial(K_1(B))$  is contained in the torsion subgroup of  $K_0(I)$ .

**Definition 3.6** For any two QD  $C^*$ -algebras I, B let  $Ext_{QD}(B, \mathcal{K} \otimes I) \subset Ext(B, \mathcal{K} \otimes I)$  denote the set of classes of Busby invariants  $\gamma$  such that  $E(\gamma)$  is QD.

It is easy to check that if  $[\gamma] = [\tilde{\gamma}] \in Ext(B, \mathcal{K} \otimes I)$  then  $E(\gamma)$  is QD if and only if  $E(\tilde{\gamma})$  is QD and hence  $Ext_{QD}(B, \mathcal{K} \otimes I)$  is well defined. It is also easy

to see that  $Ext_{QD}(B, \mathcal{K} \otimes I)$  is a sub-semigroup of  $Ext(B, \mathcal{K} \otimes I)$ . Finally, we remark that in the case  $I = \mathbb{C}$  we do not get the semigroup  $Ext_{qd}(B, \mathcal{K})$  defined by Salinas; it follows from Corollary 3.7 below, however, that we do get what he called  $Ext_{bqt}(B, \mathcal{K})$  in this case (see [Sa1, Definitions 2.7, 2.12 and Thm. 2.14]). One has  $Ext_{qd}(B, \mathcal{K}) \subset Ext_{QD}(B, \mathcal{K})$ . The elements of  $Ext_{QD}(B, \mathcal{K})$  corresponds to C\*-algebras  $E(\gamma)$  that are QD whereas  $[\gamma] \in Ext_{qd}(B, \mathcal{K})$  if the only if the extension  $0 \to \mathcal{K} \to E(\gamma) \to B \to 0$  is QD i.e. the concrete set  $E(\gamma) \subset M(\mathcal{K})$  is QD.

Recall that there is a natural group homomorphism  $\Phi: Ext(B, \mathcal{K} \otimes I) \to Hom(K_1(B), K_0(I))$  taking a Busby invariant to the corresponding boundary map on K-theory. From Theorem 3.4 it follows that we always have an inclusion  $Ker(\Phi) \subset Ext_{QD}(B, \mathcal{K} \otimes I)$ , when B is nuclear, QD and satisfies the UCT. In general this inclusion will be proper, but we now describe a class of algebras for which we have equality.

There is a natural semigroup  $K_0^+(I) \subset K_0(I)$ , called the *positive cone*, given by

$$K_0^+(I) = \bigcup_{n \in \mathbb{N}} \{x \in K_0(I) : x = [p], \text{ for some projection } p \in M_n(I)\}.$$

When I is unital this semigroup generates  $K_0(I)$  but can also be trivial in general (e.g. if I is stably projectionless). The natural isomorphism  $K_0(I) \cong K_0(\mathcal{K} \otimes I)$  induced by an embedding  $I = e_{11} \otimes I \subset \mathcal{K} \otimes I$ , where  $e_{11}$  is a minimal projection in  $\mathcal{K}$ , preserves the positive cones. We say that  $K_0(I)$  is totally ordered if for every  $x \in K_0(I)$  either x or -x is an element of  $K_0^+(I)$ .

Corollary 3.7 Assume I is separable, QD and  $K_0(I)$  is totally ordered. For any separable, nuclear, QD algebra B which satisfies the UCT we have that  $Ext_{QD}(B, \mathcal{K} \otimes I) = Ker(\Phi)$ .

Proof. We only have to show  $Ext_{QD}(B, \mathcal{K} \otimes I) \subset Ker(\Phi)$ . So let  $[\gamma] \in Ext(B, \mathcal{K} \otimes I)$ . If  $E(\gamma)$  is a stably finite  $C^*$ -algebra then a result of Spielberg (see Proposition 4.1 of the next section), together with the assumption that  $K_0(I)$  is totally ordered, implies that  $[\gamma] \in Ker(\Phi)$ . But since QD implies stably finite ([Br3, Prop. 3.19]) we have that if  $[\gamma] \in Ext_{QD}(B, \mathcal{K} \otimes I)$  then  $[\gamma] \in Ker(\Phi)$ .  $\square$ 

The classic example for which  $K_0(I)$  is totally ordered is the case when  $I = \mathcal{K}$ . In this setting the corollary above is very similar to a result of Salinas' which describes the closure of  $0 \in Ext(B, \mathcal{K})$  in terms of quasidiagonality

([Sa1, Thm. 2.9]). See also [Sa1, Thm. 2.14] for another characterization of  $Ext_{QD}(B, \mathcal{K})$  in terms of bi-quasitriangular operators. For a K-theoretical characterization of  $Ext_{qd}(B, \mathcal{K})$  see [Sch, Theorem 8.3].

The class of NF algebras introduced in [BK] coincides with the class of separable QD nuclear C\*-algebras. It was conjectured in [BK, Conj. 7.1.6] that an asymptotically split extension of NF algebras is NF. We can verify the conjecture under an additional asympton.

**Corollary 3.8** Let  $0 \to I \to E \to B \to 0$  be an asymptotically split extension with I and B NF algebras. If B satisfies the UCT, then E is NF.

*Proof.* Both index maps are vanishing since the extension is asymptotically split. The conclusion follows from Theorem 3.4.  $\Box$ 

### 4 Extensions and K-theory

In this section we show that the general extension problem for nuclear QD  $C^*$ -algebras is equivalent to some natural K-theoretic questions.

We begin by recalling a result of Spielberg which solves the extension problem for stably finite  $C^*$ -algebras and shows that it is completely governed by K-theory.

**Proposition 4.1** [Sp, Lemma 1.5] Let  $0 \to I \to E \to B \to 0$  be short exact where both I and B are stably finite. Then E is stably finite if and only if  $\partial(K_1(B)) \cap K_0^+(I) = \{0\}$ , where  $\partial: K_1(B) \to K_0(I)$  is the boundary map of the sequence.

In [BK, Question 7.3.1], it is asked whether every nuclear stably finite  $C^*$ -algebra is QD. Support for an affirmative answer to this question is provided by a number of nontrivial examples ([Pi], [Sp], [Br1], [Br2]). In fact, Corollary 3.7 above also provides examples since the proof shows the equivalence of quasidiagonality and stable finiteness (in fact we did not even assume nuclearity of E in that corollary). Hence it is natural to wonder if Spielberg's criterion completely determines quasidiagonality in extensions as well. The following result gives some more evidence for an affirmative answer. If I is a  $C^*$ -algebra, let  $SI = C_0(\mathbb{R}) \otimes I$  denote the suspension of I. Note that  $K_0(SI)^+ = \{0\}$  since  $SI \otimes \mathcal{K}$  contains no nonzero projections.

**Proposition 4.2** Let  $0 \to SI \to E \to B \to 0$  be exact, where I is  $\sigma$ -unital and B is separable, QD, nuclear. Then E is QD.

*Proof.* The suspension SI of I is QD by [Vo1]. We may assume that I is stable. Let  $\alpha: SI \hookrightarrow SI$  be a null-homotopic approximately unital embedding and let  $\widehat{\alpha}: Q(SI) \hookrightarrow Q(SI)$  be the corresponding \*-monomorphism. Then for any Busby invariant  $\gamma: B \to M(SI)$ ,  $[\widehat{\alpha} \circ \gamma] = 0 \in Ext(B, SI)$  by the homotopy invariance of Ext(B, SI) in the second variable [Kas]. It follows that  $E(\gamma) \hookrightarrow E(\widehat{\alpha} \circ \gamma)$  is QD by Proposition 2.5.  $\square$ 

**Definition 4.3** Say that a QD  $C^*$ -algebra A has the QD extension property if for every separable, nuclear, QD algebra B which satisfies the UCT and Busby invariant  $\gamma: B \to Q(\mathcal{K} \otimes A)$  we have that  $E(\gamma)$  is QD if and only if  $E(\gamma)$  is stably finite (which is if and only if  $\partial(K_1(B)) \cap K_0^+(\mathcal{K} \otimes A) = \{0\}$ , by Proposition 4.1).

The QD extension property is closely related to a certain embedding property for the K-theory of A which we now describe. The interest in controlling the K-theory of embeddings of  $C^*$ -algebras goes back to the seminal work of Pimsner and Voiculescu on AF embeddings of irrational rotation algebras ([PV]). Since then other authors have studied the K-theory of (AF) embeddings ([Lo], [EL], [DL], [Br1], [Br1]).

**Definition 4.4** Say that a QD  $C^*$ -algebra A has the  $K_0$ -embedding property if for every subgroup  $G \subset K_0(A)$  such that  $G \cap K_0^+(A) = \{0\}$  there exists an embedding  $\rho: A \hookrightarrow C$ , where C is also QD, such that  $\rho_*(G) = 0$ .

It is not hard to see that if C is a stably finite  $C^*$ -algebra and  $p \in C$  is a nonzero projection then [p] must be a nonzero element of  $K_0(C)$ . From this remark it follows that the condition  $G \cap K_0^+(A) = \{0\}$  is necessary. Hence the  $K_0$ -embedding property states that this condition is also sufficient.

A number of QD  $C^*$ -algebras have the  $K_0$ -embedding property. For example, commutative C\*-algebras, AF algebras ([Sp, Lem. 1.14]), crossed products of AF algebras by  $\mathbb{Z}$  ([Br1, Thm. 5.5]) and simple nuclear unital C\*-algebras with unique trace.

Our next goal is to connect the QD extension and  $K_0$ -embedding properties. But we first need a simple lemma.

**Lemma 4.5** Let C be a hereditary subalgebra of a unital  $C^*$ -algebra D. If C has an approximate unit consisting of projections and  $K_0(D)$  has cancellation then the inclusion  $C \hookrightarrow D$  induces an injective map  $K_0(C) \hookrightarrow K_0(D)$ .

*Proof.* By cancellation we mean that if  $p, q \in M_n(D)$  are projections with [p] = [q] in  $K_0(D)$  then there exists a partial isometry  $v \in M_n(D)$  such that  $vv^* = p$  and  $v^*v = q$ .

Let  $x = [p] - [q] \in K_0(C)$  be an element such that  $x = 0 \in K_0(D)$ . Since C has an approximate unit of projections, say  $\{e_{\lambda}\}$ , we may assume that p and q are projections in  $(e_{\lambda} \otimes 1)C \otimes M_n(\mathbb{C})(e_{\lambda} \otimes 1)$  for sufficiently large n and  $\lambda$ . Since [p] = [q] in  $K_0(D)$  and this group has cancellation we can find a partial isometry  $v \in M_n(D)$  such that  $vv^* = p$  and  $v^*v = q$ .

We claim that actually  $v \in M_n(C)$  (which will evidently prove the lemma). To see this we first note that  $v = vv^*(v)v^*v = pvq$  and hence

$$v = pvq = (e_{\lambda} \otimes 1)pvq(e_{\lambda} \otimes 1) = (e_{\lambda} \otimes 1)v(e_{\lambda} \otimes 1).$$

Hence  $v \in (e_{\lambda} \otimes 1)D \otimes M_n(\mathbb{C})(e_{\lambda} \otimes 1)$ . But since C is hereditary in D,  $C \otimes M_n(\mathbb{C})$  is hereditary in  $D \otimes M_n(\mathbb{C})$  and thus

$$v \in (e_{\lambda} \otimes 1)D \otimes M_n(\mathbb{C})(e_{\lambda} \otimes 1) \subset C \otimes M_n(\mathbb{C}).$$

**Proposition 4.6** Let A be a separable QD  $C^*$ -algebra. Then A satisfies the QD extension property if and only if A satisfies the  $K_0$ -embedding property.

*Proof.* We begin with the easy direction. Assume that A has the QD extension property and let  $G \subset K_0(A)$  be a subgroup such that  $G \cap K_0^+(A) = \{0\}$ . Since abelian  $C^*$ -algebras satisfy the UCT we can construct an extension

$$0 \to \mathcal{K} \otimes A \to E \to \bigoplus_{\mathbb{N}} C(\mathbb{T}) \to 0.$$

such that  $\partial(K_1(\oplus_{\mathbb{N}}C(\mathbb{T}))) = \partial(\oplus_{\mathbb{N}}\mathbb{Z}) = G$ . But since A has the QD extension property E must be a QD  $C^*$ -algebra. Thus the six-term K-theory exact sequence implies that A has the  $K_0$ -embedding property (i.e. the embedding into E will work).

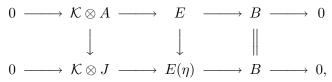
Conversely, assume that A has the  $K_0$ -embedding property and let

$$0 \to \mathcal{K} \otimes A \to E \to B \to 0$$

be a short exact sequence where B is separable, nuclear, QD, satisfies the UCT and E is stably finite.

Let  $G = \partial(K_1(B)) \subset K(K \otimes A) \cong K_0(A)$ . Since E is stably finite,  $G \cap K_0^+(A) = \{0\}$ . By the  $K_0$ -embedding property we can find a QD  $C^*$ -algebra C and an embedding  $\rho: A \hookrightarrow C$  such that  $\rho_*(G) = 0$ . Since A is separable we may assume that C is also separable. Indeed  $K_0(A)$  (and hence G) is countable. Thus it only takes a countable number of projections and partial isometries in matrices over C to kill off  $\rho_*(G)$ . From this observation it is easy to see that we may assume that C is also separable.

Let  $\pi: C \hookrightarrow \Pi_i M_{n_i}(\mathbb{C}) + \mathcal{K}(H)$  be an embedding (the existence of which is ensured by the separability of C) as in the proof of Proposition 3.3. Let  $J \subset \Pi_i M_{n_i}(\mathbb{C}) + \mathcal{K}(H)$  be the hereditary subalgebra generated by  $\pi \circ \rho(A)$ . Since  $\Pi_i M_{n_i}(\mathbb{C}) + \mathcal{K}(H)$  has real rank zero and stable rank one it follows from Lemma 4.5 that the inclusion  $J \hookrightarrow \Pi_i M_{n_i}(\mathbb{C}) + \mathcal{K}(H)$  induces an injective map  $K_0(J) \hookrightarrow K_0(\Pi_i M_{n_i}(\mathbb{C}) + \mathcal{K}(H))$ . Since G is in the kernel of the K-theory map induced by the embedding  $\pi \circ \rho: A \to \Pi_i M_{n_i}(\mathbb{C}) + \mathcal{K}(H)$  it follows that G is also in the kernel of the K-theory map induced by the embedding  $\pi \circ \rho: A \to J$ . But the embedding into J is approximately unital by construction and so we get a commutative diagram



where  $\eta$  is the induced Busby invariant and the two vertical maps on the left are injective.

Now we are done since naturality of the boundary map implies that the homomorphism  $\partial: K_1(B) \to K_0(\mathcal{K} \otimes J)$  is zero and hence  $E(\eta)$  is QD by Theorem 3.4.  $\square$ 

We now wish to point out a connection between extensions of QD  $C^*$ -algebras and another very natural K-theoretic question. For brevity, we say a linear map  $\varphi: A \to B$  is ccp if it is contractive and completely positive ([Pa]). We recall a theorem of Voiculescu.

**Theorem 4.7** [Vo1, Thm. 1] Let A be a separable  $C^*$ -algebra. Then A is QD if and only if there exists an asymptotically multiplicative, asymptotically isometric sequence of ccp maps  $\varphi_n: A \to M_{k_n}(\mathbb{C})$  for some sequence of natural numbers  $k_n$  (i.e.  $\|\varphi_n(ab) - \varphi_n(a)\varphi_n(b)\| \to 0$  and  $\|\varphi_n(a)\| \to \|a\|$  for all  $a, b \in A$ ).

Given this abstract characterization of QD  $C^*$ -algebras it is natural to ask how well these approximating maps capture the relevant K-theoretic data.

**Definition 4.8** Say that a QD  $C^*$ -algebra A has the  $K_0$ -Hahn-Banach property if for each  $x \in K_0(A)$  such that  $\mathbb{Z}x \cap K_0^+(A) = \{0\}$ , where  $\mathbb{Z}x = \{kx : k \in \mathbb{Z}\}$ , there exists a sequence of asymptotically multiplicative, asymptotically isometric ccp maps  $\varphi_n : A \to M_{k_n}(\mathbb{C})$  such that  $(\varphi_n)_*(x) = 0$  for all n large enough.

It is easy to see that if  $y \in K_0(A)$  and there exists a nonzero integer k such that  $ky \in K_0^+(A)$  then for every asymptotically multiplicative, asymptotically isometric sequence of ccp maps  $\varphi_n : A \to M_{k_n}(\mathbb{C})$  we have  $(\varphi_n)_*(y) > 0$  (if k > 0) or  $(\varphi_n)_*(y) < 0$  (if k < 0), for all sufficiently large n. Hence this  $K_0$ -Hahn-Banach property states that one can separate elements  $x \in K_0(A)$  such that  $\mathbb{Z}x \cap K_0^+(A) = \{0\}$  from (finite subsets of) the positive cone using finite dimensional approximate morphisms.

Another way of thinking about this property is that A has the  $K_0$ -Hahn-Banach property if and only if finite dimensional approximate morphisms determine the order on  $K_0(A)$  to a large extent. A more precise formulation is contained in the next proposition (not needed for the rest of the paper).

**Proposition 4.9** The  $K_0$ -Hahn-Banach property is equivalent to the following property: If  $x \in K_0(A)$  and for every sequence of asymptotically multiplicative, asymptotically isometric ccp maps  $\varphi_n : A \to M_{k_n}(\mathbb{C})$  we have that  $(\varphi_n)_*(x) > 0$  for all large n then there exists a positive integer k such that  $kx \in K_0^+(A)$ .

*Proof.* We first show that the (contrapositive of the) second property above follows from the  $K_0$ -Hahn-Banach property. So assume we are given an element  $x \in K_0(A)$  and assume that there is no positive integer k such that  $kx \in K_0^+(A)$ . We must exhibit a sequence of asymptotically multiplicative, asymptotically isometric ccp maps  $\varphi_n : A \to M_{k_n}(\mathbb{C})$  such that  $(\varphi_n)_*(x) \leq 0$  for all sufficiently large n. There are two cases.

If there exists a negative integer k such that  $kx \in K_0^+(A)$  then for every sequence  $\varphi_n : A \to M_{k_n}(\mathbb{C})$  we have  $(\varphi_n)_*(x) < 0$  for all sufficiently large n (see the discussion following definition 4.7). The second case is if  $\mathbb{Z}x \cap K_0^+(A) = \{0\}$ . This case is obviously handled by the  $K_0$ -Hahn-Banach property.

Now we show how the second property above implies the  $K_0$ -Hahn-Banach property. So let  $x \in K_0(A)$  be such that  $\mathbb{Z}x \cap K_0^+(A) = \{0\}$ . Since no positive multiple of x is in  $K_0^+(A)$  the second property implies that we can find some sequence  $\varphi_n: A \to M_{k_n}(\mathbb{C})$  such that  $(\varphi_n)_*(x) \leq 0$  for all sufficiently large n. Similarly, since no positive multiple of -x is in  $K_0^+(A)$  we can find a sequence  $\psi_n: A \to M_{j_n}(\mathbb{C})$  such that  $(\psi_n)_*(x) \geq 0$  for all sufficiently large n. If either of  $\{\varphi_n\}$  or  $\{\psi_n\}$  contains a subsequence with equality at 0 then we are done so we assume that  $(\varphi_n)_*(x) = -s_n < 0$  and  $(\psi_n)_*(x) = t_n > 0$  for all (sufficiently large) n. It is now clear what to do: we simply add up appropriate numbers of copies of  $\varphi_n$  and  $\psi_n$  so that these positive and negative ranks cancel. More precisely we define maps

$$\Phi_n = (\bigoplus_{1}^{t_n} \varphi_n) \oplus (\bigoplus_{1}^{s_n} \psi_n)$$

and regard these maps as taking values in the  $(t_n k_n + s_n j_n) \times (t_n k_n + s_n j_n)$  matrices.  $\square$ 

**Proposition 4.10** If a separable QD  $C^*$ -algebra A has the QD extension property or, equivalently, the  $K_0$ -embedding property then A also has the  $K_0$ -Hahn-Banach property.

Proof. Assume that A has the  $K_0$ -embedding property and we are given  $x \in K_0(A)$  such that  $\mathbb{Z}x \cap K_0^+(A) = \{0\}$ , where  $\mathbb{Z}x = \{kx : k \in \mathbb{Z}\}$ . By the  $K_0$ -embedding property we can find an embedding  $\rho : A \hookrightarrow C$ , where C is QD and  $\rho_*(x) = 0$ . As in the proof of Proposition 4.6 we may assume that C is also separable. But then take any asymptotically multiplicative, asymptotically isometric sequence of contractive completely positive maps  $\varphi_n : C \to M_{k_n}(\mathbb{C})$  and we get that  $(\varphi_n \circ \rho)_*(x) = 0$  for all sufficiently large n.  $\square$ 

We do not know if the converse of the previous proposition holds. However our final result will complete the circle for the class of nuclear  $C^*$ -algebras. Moreover, the next theorem also states that in order to prove that every separable, nuclear, QD  $C^*$ -algebra has any of the properties we have been studying, it actually suffices to consider very special cases of either the QD extension problem or  $K_0$ -embedding problem.

**Theorem 4.11** The following statements are equivalent.

- 1. Every separable, nuclear, QD C\*-algebra has the QD extension property.
- 2. Every separable, nuclear, QD  $C^*$ -algebra has the  $K_0$ -embedding property.
- 3. Every separable, nuclear, QD  $C^*$ -algebra has the  $K_0$ -Hahn-Banach property.
- 4. If A is any separable, nuclear, QD C\*-algebra and  $x \in K_0(A)$  is such that  $\mathbb{Z}x \cap K_0^+(A) = \{0\}$  then there exists an embedding  $\rho : A \hookrightarrow C$ , where C is QD (but not necessarily separable or nuclear), such that  $\rho_*(x) = 0$ .
- 5. If A is any separable, nuclear, QD C\*-algebra and  $x \in K_0(A)$  is such that  $\mathbb{Z}x \cap K_0^+(A) = \{0\}$  then there exists a short exact sequence  $0 \to \mathcal{K} \otimes A \to E \to C(\mathbb{T}) \to 0$  where E is QD and  $x \in \partial(K_1(C(\mathbb{T}))) = \partial(\mathbb{Z})$ .

*Proof.* The proof of Proposition 4.6 carries over verbatim to show the equivalence of 1 and 2. That proof also shows the equivalence of 4 and 5. The previous proposition shows that 2 implies 3 and hence we are left to show that 3 implies 5 and 4 implies 2.

We begin with the easier implication  $4 \Longrightarrow 2$ . So, let A be any separable, nuclear, QD  $C^*$ -algebra and  $G \subset K_0(A)$  be a subgroup such that  $G \cap K_0^+(A) = \{0\}$ . As in the proof of Proposition 4.6 we can construct a short exact sequence

$$0 \to \mathcal{K} \otimes A \to E \to \bigoplus_{1}^{\infty} C(\mathbb{T}) \to 0,$$

such that  $\partial(K_1(\oplus_{\mathbb{N}}C(\mathbb{T}))) = \partial(\oplus_{\mathbb{N}}\mathbb{Z}) = G$ . We will prove that E is QD and, by exactness of  $\oplus_{\mathbb{N}}\mathbb{Z} \xrightarrow{\partial} K_0(A) \to K_1(E)$ , this will show 2.

For each n there is a short exact sequence

$$0 \to \mathcal{K} \otimes A \to E_n \to \bigoplus_{1}^n C(\mathbb{T}) \to 0,$$

where each  $E_n \subset E$  is an ideal and  $E = \overline{\bigcup_n E_n}$ . Note also that each  $E_n$  is nuclear since extensions of nuclear algebras are again nuclear. Since a locally QD algebra is actually QD it suffices to show that each  $E_n$  is QD. Since  $E_1$  is stably finite (being a subalgebra of E) we have that the boundary map

 $\partial: K_1(C(\mathbb{T})) \to K_0(E_1)$  takes no positive values. But then the proof of Proposition 4.6 shows that if we assume 4 then  $E_1$  will be QD. Proceeding by induction we may assume that  $E_{n-1}$  is QD. Since  $E_n$  is also stably finite,  $E_{n-1}$  is an ideal in  $E_n$  and  $E_n/E_{n-1} = C(\mathbb{T})$ , applying the same argument to the exact sequence  $0 \to E_{n-1} \to E_n \to C(\mathbb{T}) \to 0$  we see that  $E_n$  is also QD.

We now show that  $3 \implies 5$ , which will complete the proof. So let A be any separable, nuclear, QD  $C^*$ -algebra and  $x \in K_0(A)$  be such that  $\mathbb{Z}x \cap K_0^+(A) = \{0\}$ . Construct a short exact sequence  $0 \to \mathcal{K} \otimes A \to E \to C(\mathbb{T}) \to 0$  such that  $\partial(1) = x$ . We will show that E must be QD.

We can use the  $K_0$ -Hahn-Banach property to construct an embedding  $\rho: \mathcal{K} \otimes A \to Q(\oplus_i M_{n_i}(\mathbb{C}))$  such that  $\rho_*(x) = 0$ . Let  $D \subset Q(\oplus_i M_{n_i}(\mathbb{C}))$  be the hereditary subalgebra generated by  $\rho(\mathcal{K} \otimes A)$ . Let  $\pi: C(\mathbb{T}) \to B(H)$  be any faithful unital representation such that  $\pi(C(\mathbb{T})) \cap \mathcal{K}(H) = \{0\}$ . We first claim that there is an embedding of E into  $(\pi(C(\mathbb{T})) + \mathcal{K}(H)) \otimes \tilde{D}$ , where  $\tilde{D}$  is the unitization of D. Indeed, since the embedding  $\rho: \mathcal{K} \otimes A \to D$  is approximately unital we get a commutative diagram

for some algebra F and the map  $E \to F$  is injective. Since  $\rho_*(x) = 0 \in K_0(D)$  (by Lemma 4.5) and  $K_1(D) = 0$  (by the proof of Lemma 3.2) it follows that both boundary maps arising from the sequence  $0 \to D \to F \to C(\mathbb{T}) \to 0$  are zero. Hence we may appeal to the UCT, add on a trivial absorbing extension and eventually find an embedding of F into  $\pi(C(\mathbb{T})) \otimes 1 + \mathcal{K}(H) \otimes D \subset (\pi(C(\mathbb{T})) + \mathcal{K}(H)) \otimes \tilde{D}$ .

Since E is nuclear it now suffices to show that every nuclear subalgebra of  $(\pi(C(\mathbb{T})) + \mathcal{K}(H)) \otimes \tilde{D}$  is QD. Hence, by [Br3, Prop. 8.3] and the Choi-Effros lifting theorem ([CE]) it suffices to show that there exists a short exact sequence

$$0 \to J \to C \to (\pi(C(\mathbb{T})) + \mathcal{K}(H)) \otimes \tilde{D} \to 0,$$

where C is QD and J contains an approximate unit consisting of projections which is quasicentral in C (i.e. the extension is quasidiagonal). However, this is now trivial since  $D \subset Q(\bigoplus_i M_{n_i}(\mathbb{C}))$  implies that there is a quasidiagonal extension

$$0 \to \bigoplus_i M_{n_i}(\mathbb{C}) \to R \to \tilde{D} \to 0,$$

where  $R \subset \Pi_i M_{n_i}(\mathbb{C})$ . But since  $X = \pi(C(\mathbb{T})) + \mathcal{K}(H)$  is nuclear the sequence

$$0 \to (\oplus_i M_{n_i}(\mathbb{C})) \otimes X \to R \otimes X \to \tilde{D} \otimes X \to 0$$

is exact and since X is unital the extension is also quasidiagonal.  $\square$ 

Though Theorem 4.11 is stated for the class of nuclear QD  $C^*$ -algebras a close inspection of the proof shows that this assumption was only used in the proof of  $4 \implies 2$ . Hence we also have the following result which applies to individual nuclear  $C^*$ -algebras.

**Theorem 4.12** Let A be a separable nuclear QD  $C^*$ -algebra and consider the following statements.

- 1. A has the QD extension property.
- 2. A has the  $K_0$ -embedding property.
- 3. A has the  $K_0$ -Hahn-Banach property.
- 4. If  $x \in K_0(A)$  is such that  $\mathbb{Z}x \cap K_0^+(A) = \{0\}$  then there exists an embedding  $\rho : A \hookrightarrow C$ , where C is QD (but not necessarily separable or nuclear), such that  $\rho_*(x) = 0$ .
- 5. If  $x \in K_0(A)$  is such that  $\mathbb{Z}x \cap K_0^+(A) = \{0\}$  then there exists a short exact sequence  $0 \to \mathcal{K} \otimes A \to E \to C(\mathbb{T}) \to 0$  where E is QD and  $x \in \partial(K_1(C(\mathbb{T}))) = \partial(\mathbb{Z})$ .

Then  $1 \iff 2 \implies 3 \iff 4 \iff 5$ .

**Remark.** There is another version of Theorem 4.11 where the class of nuclear C\*-algebras is replaced by a class  $\mathcal{A}$  of separable C\*-algebras with the following closure property. If  $0 \to A \otimes \mathcal{K} \to E \to B \to 0$  is exact with  $A \in \mathcal{A}$  and B separable abelian, then  $E \in \mathcal{A}$ . For instance  $\mathcal{A}$  can be the class of all separable C\*-algebras or the class of all separable exact C\*-algebras. Then the statements 1-5 of Theorem 4.11 formulated for the class  $\mathcal{A}$  (rather then for the class of nuclear C\*-algebras) are related as follows:  $1 \iff 2 \iff 4 \iff 5 \implies 3$ .

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