

## HOMEWORK #4 - MA 504

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### Chapter 2, problem 19.

- (a) If  $A$  and  $B$  are disjoint closed sets in some metric space  $X$ , prove that they are separated.
- (b) Prove the same for disjoint open set.
- (c) Fix  $p \in X$ ,  $\delta > 0$ , define  $A$  to be the set of all  $q \in X$  for which  $d(p, q) < \delta$ , define  $B$  similarly, with  $>$  in place of  $<$ . Prove that  $A$  and  $B$  are separated.
- (d) Prove that every connected metric space with at least two points is uncountable. Hint: Use (c).

Solution.

- (a) Let  $A$  and  $B$  are disjoint closed sets in some metric space  $X$ . We want to prove that they are separated. Since  $A$  and  $B$  are disjoint and closed, we have

$$\overline{A} \cap B = A \cap B = \emptyset, \quad A \cap \overline{B} = A \cap B = \emptyset.$$

Hence by definition 2.45,  $A$  and  $B$  are separated.

- (b) Let  $A$  and  $B$  are disjoint open sets in some metric space  $X$ . We want to prove that they are separated. Since  $A$  and  $B$  are open, the complements of  $A$  and  $B$ , say  $A^c$  and  $B^c$  are closed. Suppose that  $A$  and  $B$  are not separated, ie, either  $\overline{A} \cap B$  or  $A \cap \overline{B}$  is nonempty. Assume without loss of generality that  $\overline{A} \cap B \neq \emptyset$ . Let  $x \in \overline{A} \cap B$ . Then, since  $B$  is open, there exists a neighborhood  $N$  of  $x$  such that  $N \subset B$ . But since  $x \in \overline{A}$ ,  $N \cap A \neq \emptyset$ . Therefore, since  $A \cap N \subset A \cap B$ ,  $A \cap B \neq \emptyset$ , a contradiction. Hence  $A$  and  $B$  are separated.

- (c) Fix  $p \in X$ ,  $\delta > 0$ , define

$$A = \{q \in X | d(p, q) < \delta\}, \quad B = \{q \in X | d(p, q) > \delta\}.$$

First it not hard to see that  $A$  and  $B$  are open. Indeed, if  $q \in A$ , then consider the neighborhood

$$N_r(q) = \{z \in X | d(q, z) < r\}, \quad 0 < r < \delta - d(p, q).$$

Then if  $z \in N_r(q)$ , we have

$$d(p, z) \leq d(p, q) + d(q, z) < d(p, q) + \delta - d(p, q) = \delta.$$

So  $z \in A$ , and since  $z \in N_r(q)$  is arbitrary,  $N_r(q) \subset A$  and  $A$  is open.

Similarly one can show that for any  $q \in B$ ,  $N_r(q) \subset B$  for any  $0 < r < d(p, q) - \delta$ , using that for any  $z \in N_r(q)$

$$d(p, z) \geq d(p, q) - d(q, z) > d(p, q) - d(p, q) + \delta = \delta.$$

Clearly  $A$  and  $B$  are disjoint open sets in  $X$ , so by (b) they are separated.

- (d) Let  $X$  be a connected metric space with at least two points. We want to show that  $X$  can not be countable.

For each  $t \in (0, 1)$ , let  $r_t = td(x_1, x_2)$ . We have that since  $X$  has at least two points, say  $x_1, x_2 \in X$ , then  $d(x_1, x_2) > 0$ , and  $r_t > 0$ . For each  $t \in (0, 1)$  consider

$$A_t = \{q \in X | d(x_1, q) < r_t\}, \quad B_t = \{q \in X | d(x_2, q) > r_t\}.$$

We have that  $x_1 \in A$  and  $x_2 \in B$ , so  $A$  and  $B$  are nonempty open sets. So either  $X = A_t \cup B_t$  or there exists  $x_t \in X$  such that  $d(x_1, x_t) = r_t$ . Since  $X$  is connected,  $X \neq A_t \cup B_t$ , and for each  $t \in (0, 1)$ , there exists  $x_t \in X$  s.t.  $d(x_1, x_t) = r_t$ , but this gives an injective correspondence  $f : (0, 1) \rightarrow X$ ,  $f(t) = x_t$ . Since  $(0, 1)$  is uncountable, since we have this injective correspondence  $f$ ,  $X$  is also uncountable.

Q.E.D.

**Chapter 2, problem 22.** A metric space is called separable if it contains a countable dense subset. Show that  $\mathbb{R}^k$  is separable.

Solution.

Let  $\mathbb{Q}^k$  be the set of points of  $\mathbb{R}^k$  which have only rational coordinates, ie,

$$\mathbb{Q}^k = \underbrace{\mathbb{Q} \times \cdots \times \mathbb{Q}}_{k \text{ times}} = \{(q_1, \dots, q_k) \in \mathbb{R}^k | q_j \in \mathbb{Q}, j = 1, \dots, k\}.$$

Clearly  $\mathbb{Q}^k$  is countable since it is a finite product of countable sets.

We now want to show that  $\mathbb{Q}^k$  is dense in  $\mathbb{R}^k$ , ie, for any  $x = (x_1, \dots, x_k) \in \mathbb{R}^k$  and any  $\epsilon > 0$ , there exists  $q \in \mathbb{Q}^k$  such that

$$|q - x| < \epsilon.$$

Since  $\mathbb{Q}$  is dense in  $\mathbb{R}$ , for each  $j = 1, \dots, k$ , there exists  $q_j \in \mathbb{Q}$  such that

$$|q_j - x_j| < \frac{\epsilon}{\sqrt{k}}, \quad j = 1, \dots, k.$$

Let  $q = (q_1, q_2, \dots, q_k)$ . Then

$$|q - x|^2 = (q_1 - x_1)^2 + \cdots + (q_k - x_k)^2 < \frac{\epsilon^2}{k} + \dots + \frac{\epsilon^2}{k} = \epsilon^2.$$

Hence  $\mathbb{Q}^k$  is dense in  $\mathbb{R}^k$  and  $\mathbb{R}^k$  is separable.

**Chapter 2, problem 29.** Prove that every open set in  $\mathbb{R}$  is the union of an at most countable collection of disjoint segments.

Solution.

Let  $O \subset \mathbb{R}$  be open. Assume that  $O$  is nonempty.

For each  $q \in O \cap \mathbb{Q}$ , let  $R_q = \{r > 0 | (q - r, q + r) \subset O\}$ . Since  $O$  is open, by what we showed above  $R_q \neq \emptyset$  and if  $r_0 \in R_q$ , then  $r \in R_q$  for every  $0 < r \leq r_0$ .

Note that if  $\cup_{r \in R_q} (q - r, q + r) = \mathbb{R}$ , then  $O = \mathbb{R}$ , otherwise

$$r_q = \sup R_q < \infty.$$

So assume that  $\sup R_q < \infty$ , and consider  $r_q = \sup R_q$ . We see that

$$I_q = (q - r_q, q + r_q) = \cup_{r \in R_q} (q - r, q + r) \subset O.$$

We claim that

$$O = \cup_{q \in O \cap \mathbb{Q}} I_q.$$

Since  $O \cap \mathbb{Q} \subset \mathbb{Q}$ , then  $O \cap \mathbb{Q}$  is countable, so the union above is also countable.

Clearly,

$$\cup_{q \in O \cap \mathbb{Q}} I_q \subset O.$$

Now if  $x \in O$ , there exists  $\epsilon > 0$ , such that  $(x - \epsilon, x + \epsilon) \subset O$ . By the density of  $\mathbb{Q}$  in  $\mathbb{R}$ , there exists  $q \in O \cap \mathbb{Q}$  such that  $0 < x - q < \epsilon/2$ . We see that  $(q - \epsilon/2, q + \epsilon/2) \subset O$ . Indeed, if  $z \in (q - \epsilon/2, q + \epsilon/2)$ , then

$$|z - x| \leq |z - q| + |q - x| < \epsilon/2 + \epsilon/2 = \epsilon,$$

ie,  $z \in (x - \epsilon, x + \epsilon) \subset O$ . So  $\epsilon/2 \leq r_q$  by the definition of  $r_q$ , and we have that

$$x \in (q - \epsilon/2, q + \epsilon/2) \subset (q - r_q, q + r_q) = I_q.$$

Since  $x \in O$  is arbitrary, we have that

$$O \subset \cup_{q \in O \cap \mathbb{Q}} I_q,$$

and hence  $O = \cup_{q \in O \cap \mathbb{Q}} I_q$ . Since  $O \cap \mathbb{Q}$  is countable, say  $O \cap \mathbb{Q} = \{q_1, q_2, \dots, q_n, \dots\}$  and  $I_{q_j} = I_j$ . Then

$$O = \cup_{j=1}^{\infty} I_j.$$

Let  $E_n = I_n \setminus \cup_{j=1}^{n-1} I_j$ ,  $n = 2, 3, \dots$ ,  $E_1 = I_1$ . We have that

$$O = \cup_{j=1}^{\infty} I_j = \cup_{n=1}^{\infty} E_n.$$

Note that by construction, each  $E_n$  is either a segment, a finite disjoint union of segments or empty, and  $E_n \cap E_m = \emptyset$  if  $n \neq m$ . Therefore the equality above proves that  $O$  is the union of an at most countable collection of disjoint segments.

**Chapter 3, problem 1.** Prove that convergence of  $\{s_n\}$  implies convergence of  $\{|s_n|\}$ . Is the converse true?

Solution.

Suppose that  $s_n \rightarrow s$ . We have

$$||s_n| - |s|| \leq |s_n - s|.$$

Since  $s_n \rightarrow s$ , given  $\epsilon > 0$ , there exists  $N$  such that  $|s_n - s| < \epsilon$  for all  $n \geq N$ . By the inequality above we see that  $||s_n| - |s|| < \epsilon$  for all  $n \geq N$ . Since  $\epsilon > 0$  is arbitrary, we see that  $|s_n| \rightarrow s$ .

The converse is not true. Indeed, consider  $s_n = (-1)^n$ . Then  $\{s_n\}$  does NOT converge, but  $|s_n| = 1$  converge to 1.

**Chapter 3, problem 2.** Calculate  $\lim_{n \rightarrow \infty} (\sqrt{n^2 + n} - n)$ .

Solution.

We have

$$\sqrt{n^2 + n} - n = \frac{\sqrt{n^2 + n} - n}{\sqrt{n^2 + n} + n}(\sqrt{n^2 + n} + n) = \frac{n^2 + n - n^2}{\sqrt{n^2 + n} + n} = \frac{n}{\sqrt{n^2 + n} + n}, \quad \forall n > 0.$$

Now note that

$$\frac{n}{\sqrt{n^2 + n} + n} = \frac{1}{\sqrt{1 + \frac{1}{n}} + 1} \rightarrow \frac{1}{2} \quad \text{as } n \rightarrow \infty.$$

Therefore

$$\lim_{n \rightarrow \infty} (\sqrt{n^2 + n} - n) = \frac{1}{2}.$$

**Chapter 3, problem 3.** If  $s_1 = \sqrt{2}$ , and

$$s_{n+1} = \sqrt{2 + \sqrt{s_n}}, \quad (n = 2, 3, \dots),$$

prove that  $\{s_n\}$  converges, and that  $s_n < 2$  for  $n = 1, 2, 3, \dots$

Solution.

Let  $s \in \mathbb{R}$  be such that  $s = \sqrt{2 + \sqrt{s}}$ . We see that such  $s$  exists since the function  $f(s) = s - \sqrt{2 + \sqrt{s}}$  is continuous and  $f(4) > 0$ ,  $f(\sqrt{2}) < 0$ , so there must be a  $s$ ,  $\sqrt{2} < s < 4$ , such that  $f(s) = 0$ .

We have that

$$\begin{aligned} |s_{n+1} - s| &= |\sqrt{2 + \sqrt{s_n}} - \sqrt{2 + \sqrt{s}}| = \frac{|\sqrt{2 + \sqrt{s_n}} - \sqrt{2 + \sqrt{s}}|}{\sqrt{2 + \sqrt{s_n}} + \sqrt{2 + \sqrt{s}}}(\sqrt{2 + \sqrt{s_n}} + \sqrt{2 + \sqrt{s}}) = \\ &= \frac{|\sqrt{s_n} - \sqrt{s}|}{\sqrt{2 + \sqrt{s_n}} + \sqrt{2 + \sqrt{s}}} \leq \frac{|\sqrt{s_n} - \sqrt{s}|}{2\sqrt{2}}, \end{aligned}$$

The inequality follows from the fact that  $s, s_n > 0$ , so  $\sqrt{2 + \sqrt{s_n}}, \sqrt{2 + \sqrt{s}} > \sqrt{2}$ , so  $\sqrt{2 + \sqrt{s_n}} + \sqrt{2 + \sqrt{s}} > 2\sqrt{2}$  and  $\frac{1}{\sqrt{2 + \sqrt{s_n}} + \sqrt{2 + \sqrt{s}}} < \frac{1}{2\sqrt{2}}$ .

We have now that

$$\frac{|\sqrt{s_n} - \sqrt{s}|}{2\sqrt{2}} = \frac{|\sqrt{s_n} - \sqrt{s}|}{2\sqrt{2}} \frac{\sqrt{s_n} + \sqrt{s}}{\sqrt{s_n} + \sqrt{s}} = \frac{|s_n - s|}{2\sqrt{2}(\sqrt{s_n} + \sqrt{s})}.$$

Now note that, by definition,  $s_n \geq \sqrt{2}$  for all  $n$ , and  $s > \sqrt{2}$ , so

$$\frac{|s_n - s|}{2\sqrt{2}(\sqrt{s_n} + \sqrt{s})} \leq \frac{|s_n - s|}{2\sqrt{2}(2^{1/4} + 2^{1/4})} \leq \frac{|s_n - s|}{4}.$$

Therefore we showed

$$|s_{n+1} - s| \leq \frac{|s_n - s|}{4}.$$

If we continue this process we have

$$|s_{n+1} - s| \leq \frac{|s_n - s|}{4} \leq \frac{|s_{n-1} - s|}{2^4} \leq \dots \leq \frac{|s_{n+1-k} - s|}{2^{2k}}, \quad k \leq n.$$

So for  $k = n$ , we have

$$|s_{n+1} - s| \leq \frac{|s_1 - s|}{2^{2n}} = |s_{n+1} - s| \leq \frac{|\sqrt{2} - s|}{2^{2n}} \leq \frac{4}{2^{2n}} = \frac{1}{2^{2n-2}}, \quad n \geq 2.$$

Since  $\frac{1}{2^{2n-2}} \rightarrow 0$ , as  $n \rightarrow \infty$ , we have that  $s_n \rightarrow s$ .

Since  $\sqrt{x} \leq x$  for any  $x \geq 1$ , and  $\sqrt{x^{1/2}} \leq \sqrt{x} \leq x, x \geq 1$ . Clearly one has that  $s_2 = \sqrt{2 + \sqrt{2^{1/2}}} < \sqrt{2 + 2} = 2$ . Now using induction, assuming  $s_n < 2$ ,  $s_{n+1} = \sqrt{2 + \sqrt{s_n}} < \sqrt{2 + 2} = 2$ . Therefore  $s_n < 2$  for  $n = 1, 2, 3, \dots$ .

**Problem A.** Show that a sequence  $\{p_n\}$  is converging to a point  $p$  if, and only if, every subsequence of  $\{p_n\}$  converges to  $p$ .

Solution.

Suppose that  $p_n \rightarrow p$ . Let  $\{p_{n_j}\}$  be a subsequence of  $\{p_n\}$ . Since  $p_n \rightarrow p$ , for every  $\epsilon > 0$ , there exists  $N$  such that

$$|p_n - p| < \epsilon, \quad \forall n \geq N.$$

In particular

$$|p_{n_j} - p| < \epsilon, \quad \forall n_j \geq N.$$

Hence  $\{p_{n_j}\}$  converges to  $p$ .

Now assume that  $\{p_n\}$  does not converge to  $p$ . Then given  $\epsilon > 0$ , for every  $k \in \mathbb{N}$  there exists  $n_k \geq k$  such that  $|p_{n_k} - p| \geq \epsilon$ . Then  $\{p_{n_k}\}$  does not converge to  $p$ . Therefore if every subsequence of  $\{p_n\}$  converges to  $p$ , then  $\{p_n\}$  is converging to a point  $p$ .

**Problem B.** Show that a sequence  $\{p_n\}$  is Cauchy if, and only if,  $\text{diam}(E_N) \rightarrow 0$  as  $N \rightarrow \infty$  (here,  $E_N = \{p_N, p_{N+1}, \dots\}$ ).

Solution.

Let  $E_N = \{p_N, p_{N+1}, \dots\}$ . We have

$$\text{diam}(E_N) = \sup\{|p_n - p_m| : n, m \geq N\}.$$

So if  $\{p_n\}$  is Cauchy, then for every  $\epsilon > 0$  there exists  $M$  such that

$$|p_n - p_m| < \epsilon, \quad \forall n, m \geq M.$$

This implies that  $\text{diam}(E_N) \leq \epsilon$ , for all  $N \geq M$ . Since  $\epsilon > 0$  is arbitrary, we have that  $\text{diam}(E_N) \rightarrow 0$  as  $N \rightarrow \infty$ .

Now if  $\text{diam}(E_N) \rightarrow 0$  as  $N \rightarrow \infty$ , then we have for every  $\epsilon > 0$ , there exists  $M$  such that

$$\text{diam}(E_N) = \sup\{|p_n - p_m| : n, m \geq N\} < \epsilon, \quad \forall N \geq M,$$

in particular

$$|p_n - p_m| < \epsilon, \quad \forall n, m \geq M,$$

ie, since  $\epsilon > 0$  is arbitrary  $\{p_n\}$  is Cauchy.