

Functions and Categories

§1 Functions

Definition 1. A map $f: X \rightarrow Y$ is a rule f which assigns to every object x in the source X an object $f(x)$ in the target Y .

Definition 2. Two sets X and Y are *equal*, denoted $X = Y$, \Leftrightarrow every object of X is an object in Y and every object in Y is an object in X .

Definition 3. Two maps are equal \Leftrightarrow their sources are equal, their targets are equal, and their rules do the same thing.

In more symbolic notation this definition reads as follows: Let $f: X \rightarrow Y$ and $g: X' \rightarrow Y'$ be two maps. Then $f = g \Leftrightarrow X = X'$, and $Y = Y'$ and $f(a) = g(a)$ for all a in the source.

Definition 4. Suppose we have two maps, $f: X \rightarrow Y$ and $g: Y \rightarrow Z$ (note the target of f is the source of g). Then the map $h: X \rightarrow Z$ is the *composition* of f and $g \Leftrightarrow h(x) = g(f(x))$ for every x in the source X .

We say that $f(x)$ is the *image* of x , and that x is a *preimage* of $f(x)$. The set of all the preimages of y we will call the *fiber* of y .

The subset of the target Y which consists of elements y assigned to some x is called the *image* of f . Thus we can say that the *image* of f is the subset of objects of the target with non-empty fibers.

If A is a subset of the source X , then the *image* of A in the target Y consists of the set of images of all the objects in A . The image of A is denoted by $f(A)$. Similarly, the *preimage of a subset* B of the target Y is the set of all objects in the source X whose images are objects in B . The preimage of B is denoted by $f^{-1}(B)$.

If the *image* of f is the entire *target* of f , then we say that f is *onto*. Thus f is onto if and only if no fiber is empty. If the fibers of f have at most one object in it, then we say f is *one-to-one*. If every fiber of f has exactly one object in it, then we say that f is *one-to-one onto* or equivalently that f is *bijective*.

Remark 5. The following are synonyms for types of functions:

Anglosaxon	Latin	Greek
1. One-to-one	injective	monomorphism
2. onto	surjective	epimorphism
3. one-to-one-onto	bijective	isomorphism

§2. Categories

Definition 6. The *identity map* $1_X: X \rightarrow X$ sends $x \mapsto x$ for all $x \in X$.

Proposition 7. a) Suppose $f: X \rightarrow Y$ is a map, then $f = f \circ 1_X = 1_Y \circ f$ (*identity law*).

b) $(f \circ g) \circ h = f \circ (g \circ h)$ whenever all compositions are defined (*associativity*).

Definition 8. A set of functions \mathcal{C} is called a (concrete) category if it is:

- a) closed under composition
- b) contains the identity map for every source and target of maps in \mathcal{C} .

that means

- a) If f and g are in \mathcal{C} and if $f \circ g$ is defined, then $f \circ g \in \mathcal{C}$.
- b) If $f: X \rightarrow Y$ is in \mathcal{C} , then 1_X and 1_Y are in \mathcal{C} .

Remark 9. The sources and targets of all maps in a category \mathcal{C} are called the *objects of \mathcal{C}* , and the maps in \mathcal{C} are called the *morphisms of \mathcal{C}* .

We usually describe categories by naming the objects and then the maps. So we have the following examples:

1. The category \mathcal{S} of sets and functions.
2. The category of sets and identity maps.
3. The category \mathcal{J} of topological spaces and continuous maps. This is also called the *topological category*.
4. The category of vector spaces and linear transformations.
5. The category of groups and homomorphisms.
6. The category of rings and ring homomorphisms.
7. The category of manifolds and smooth maps. This is called the *smooth category*.
8. The category of metric spaces and isometries.
9. The category of categories and covariant functors.

Definition 10. A *functor* is a map of categories $F: \mathcal{C} \rightarrow \mathcal{D}$ which preserves composition and takes identities to identities. That is $F(1_X) = 1_Y$ where Y is some object in \mathcal{D} and $F(f \circ g) = F(f) \circ F(g)$ (called a *covariant functor*) or $F(f \circ g) = F(g) \circ F(f)$, (called a *contravariant functor*).

Note that the identity map $\mathcal{C} \rightarrow \mathcal{C}$ is a covariant functor and the composition of two covariant functors is a covariant functor, so covariant functors form a category as in 9.

We have the following examples of functors. First a definition.

Definition 11. Suppose $A \subset X$. The inclusion map $i: A \hookrightarrow X$ is the map defined by $i(a) = a$.

Examples of functors:

1. If $\mathcal{A} \subset \mathcal{C}$ are categories, then the inclusion map $i: \mathcal{A} \rightarrow \mathcal{C}$ is a covariant functor, called the *forgetful functor*.
2. Consider the map $\mathcal{S} \xrightarrow{F_*} \mathcal{S}$ which takes any function $f: X \rightarrow Y$ to a function $f_*: 2^X \rightarrow 2^Y$, where 2^X denotes the set of all subsets of X , and where $f_*: A \mapsto f(A) \in 2^Y$. This F_* is a covariant functor.
3. $F^*: \mathcal{S} \rightarrow \mathcal{S}$ which takes $f: X \rightarrow Y$ to $f^*: 2^Y \rightarrow 2^X$ by $B \subset Y \mapsto f^{-1}(B) \subset X$. This F^* is a contravariant functor.
4. *Homology* is a covariant functor from \mathcal{J} to the category of abelian groups and homomorphisms.
5. *Cohomology* is a contravariant functor from \mathcal{J} to the category of rings and ring homomorphisms.

§3. Equivalence

Let A be a set.

Definition 12. A relation \sim on A is an *equivalence relation* if and only if the following three axioms are satisfied.

- a) (Reflexivity) $a \sim a$ for all $a \in A$.
- b) (Symmetry) If $a \sim b$, then $b \sim a$.
- c) (Transitivity) If $a \sim b$ and $b \sim c$, then $a \sim c$.

Examples of equivalence relations include equality, congruence modulo N for an integer N , congruence of triangles, similarity of triangles.

The equivalence class of $a \in A$ is the set of all $x \in A$ such that $x \sim a$. The set of equivalence classes of \sim form a *partition* of A . A partition of A is a family of disjoint subsets of A covering A . That is $A = \text{union of the equivalence classes}$ and each equivalence class has empty intersection with any other. In other words, each $a \in A$ is in an equivalence class $E(a)$, and if $E(a) \cap E(b) \neq \emptyset$, then $E(a) = E(b)$.

Conversely, every partition of A gives rise to an equivalence relation on A . Namely $a \sim b \Leftrightarrow a$ and b are in the same subset of the partition.

Let A/\sim denote the set of equivalence class of \sim which partition A . Define the map $q: A \rightarrow A/\sim$ given by $q(a) = [a]$, where $[a]$ denotes the equivalence class containing a . Note q is surjective.

Note that the fibres of any map partition the source.

Definition 13. A map $f: X \rightarrow Y$ in a category \mathcal{C} is an *isomorphism* in $\mathcal{C} \Leftrightarrow$ there exists a map $g: Y \rightarrow X$ in \mathcal{C} such that $f \circ g = 1_Y$ and $g \circ f = 1_X$. Then g is said

to be the *inverse* of f in \mathcal{C} . Note the inverse g is also an isomorphism in \mathcal{C} with f as its inverse.

Definition 14. We say that two objects X and Y are *equivalent in category \mathcal{C} or isomorphic in \mathcal{C}* if there is an isomorphism f in \mathcal{C} so that $f: X \rightarrow Y$. Denote this by $X \sim_{\mathcal{C}} Y$.

Theorem 15. *The relation $\sim_{\mathcal{C}}$ is an equivalence relation.*

Proof. a) $X \sim_{\mathcal{C}} X$ since $1_X: X \rightarrow X$ is an isomorphism in \mathcal{C} .

b) If $X \sim_{\mathcal{C}} Y$ then $Y \sim_{\mathcal{C}} X$ since $X \sim_{\mathcal{C}} Y$ means that there exists an $f: X \rightarrow Y$ in \mathcal{C} which is an isomorphism. The inverse $g: Y \rightarrow X$ is an isomorphism also, so $Y \sim_{\mathcal{C}} X$.

c) Suppose $X \sim_{\mathcal{C}} Y$ and $Y \sim_{\mathcal{C}} Z$, then $X \sim_{\mathcal{C}} Z$. We have isomorphisms in \mathcal{C} , $f: X \rightarrow Y$ and $g: Y \rightarrow Z$. Then we claim $g \circ f: X \rightarrow Z$ is an isomorphism in \mathcal{C} . To see this, we will show that the inverse of $g \circ f$, denoted $(g \circ f)'$, is equal to $f' \circ g'$, where f' is the inverse of f in \mathcal{C} and g' is the inverse of g in \mathcal{C} . This follows by the following argument:

$$\begin{aligned} (g \circ f) \circ (f' \circ g') &= g \circ (f \circ f') \circ g' = g \circ 1_Y \circ g' \\ &= g \circ g' = 1_Z \end{aligned}$$

and

$$\begin{aligned} (f' \circ g') \circ (g \circ f) &= f' \circ (g' \circ g) \circ f = f' \circ 1_Y \circ f \\ &= f' \circ f = 1_X. \end{aligned}$$

Theorem 16. *Suppose $f \in \mathcal{C}$ has a left inverse and a right inverse. That is, suppose there exists $\ell \in \mathcal{C}$ and $r \in \mathcal{C}$ so that $\ell \circ f = 1_X$ and $f \circ r = 1_Y$ where $f: X \rightarrow Y$. Then*

- a) $\ell = r$ and is an inverse to f . Hence f is an isomorphism in \mathcal{C} .
- b) f is bijective
- c) If f is bijective, then f is an equivalence in \mathcal{S} , the category of sets and functions.

Proof.

- a) Consider $\ell = \ell \circ 1_Y = \ell \circ (f \circ r) = (\ell \circ f) \circ r = 1_X \circ r = r$.
- b) Let $\ell = r := f'$. Now f is 1-1 since $f' \circ f = 1_X$ is 1-1. Also f is onto since $f \circ f' = 1_Y$ is onto.
- c) Suppose $f \in \mathcal{S}$ is bijective. Then every fibre has exactly one element in it. Define $g: Y \rightarrow X$ to be the map which sends each $y \in Y$ to the unique element in its fibre $f^{-1}(y)$.

Examples:

1. Isomorphisms in the category \mathcal{S} of sets and functions are bijections. Two sets are isomorphic in \mathcal{S} if they have the same *cardinal number* of elements.
2. Isomorphisms in the category of topological spaces and continuous maps are called *homeomorphisms* and isomorphic spaces are said to be *homeomorphic* or of the same *topological type*.
3. In the smooth category, the isomorphisms are called *diffeomorphisms* and two manifolds are said to be *diffeomorphic* if they are isomorphic in the smooth category.
4. For groups and homomorphisms we have isomorphic and isomorphism.
5. For metric spaces and isometric maps, we say isometric spaces and isometries.

§4. The category of sets and functions \mathcal{S} .

If two sets are isomorphic in \mathcal{S} , we say they have the *same cardinality*, or the same number of elements.

We know from Theorem 16 that in \mathcal{S} the bijections are the isomorphisms. So the fact that there is a one-to-one-onto correspondence between X and Y leads us to say they have the same number of elements. The two key theorems are:

Theorem 17, Schroeder–Bernstein.

Suppose $f: X \rightarrow Y$ and $g: Y \rightarrow X$ are injective maps. Then there exists a bijection $b: X \rightarrow Y$. That is, X and Y have the same cardinality.

Theorem 18. *Let 2^X denote the set of all subsets of X . Then X and 2^X cannot have the same cardinality.*

The Schroeder–Bernstein theorem allows us to put a “greater than” relation \leq on cardinality. So we say that $\text{card } x < \text{card } 2^X$.

The main cardinalities we will use are: Finite sets, countably infinite sets (of the same cardinality as the set of natural numbers \mathbb{Z}_+), and $2^{\mathbb{Z}_+}$ (the same cardinality as the real numbers).