

# DISJOINT UNIONS OF TOPOLOGICAL SPACES AND CHOICE

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ABSTRACT. We find properties of topological spaces which are not shared by disjoint unions in the absence of some form of the Axiom of Choice.

**Introduction and Terminology** This is a continuation of the study of the roll the Axiom of Choice plays in general topology. See also [vd], [gt], [wgt], and [hkr]. Our primary concern will be the use of the axiom of choice in proving properties of disjoint unions of topological spaces (See Definition 1, part 11.) For example, in set theory with choice the disjoint union of metrizable topological spaces is a metrizable topological space. The usual proof of this fact begins with the choice of metrics for the component spaces. We will show that the use of some form of choice cannot be avoided in this proof and in fact without choice the disjoint union of metrizable spaces may not even be metacompact.

In section 1 we show that many assertions about disjoint unions of topological spaces are equivalent to the axiom of multiple choice. Models of set theory and corresponding independence results are described in section 2. In section 3, we study the roll the Axiom of Choice plays in the properties of disjoint unions of collectionwise Hausdorff and collectionwise normal spaces.

We begin with the definitions of the symbols and terms we will be using.

## Definition 1.

1. A family  $\mathcal{K}$  of subsets of a topological space  $(X, T)$  is *l.f.* (*locally finite*) iff each point of  $X$  has a neighborhood meeting a finite number of elements of  $\mathcal{K}$ .
2.  $X$  is *paracompact* iff  $X$  is  $T_2$  and every open cover  $\mathcal{U}$  of  $X$  has a *l.f.o.r.* (*locally finite open refinement*)  $\mathcal{V}$ . That is,  $\mathcal{V}$  is a locally finite open cover of  $X$  and every member of  $\mathcal{V}$  is included in a member of  $\mathcal{U}$ .
3. A family  $\mathcal{K}$  of subsets of  $X$  is *p.f.* (*point finite*) iff each element of  $X$  belongs to only finitely many members of  $\mathcal{K}$ .
4.  $X$  is *metacompact* iff each open cover  $\mathcal{U}$  of  $X$  has an *o.p.f.r.* (*open point finite refinement*).
5. An open cover  $\mathcal{U} = \{U_i : i \in k\}$  of  $X$  is *shrinkable* iff there exists an open cover  $\mathcal{V} = \{V_i : i \in k\}$  of non-empty sets such that  $\overline{V}_i \subseteq U_i$  for all  $i \in k$ .  $\mathcal{V}$  is also called a *shrinking* of  $\mathcal{U}$ .
6.  $X$  is a *PFCS space* iff every p.f. open cover of  $X$  is shrinkable.

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7.  $D \subseteq X$  is *discrete* if every  $x \in X$  has a neighborhood  $U$  such that  $|U \cap D| = 1$ . A set  $C \subseteq \mathcal{P}(X)$  is *discrete* if  $\forall x \in X$ , there is a neighborhood  $U$  of  $x$  such that  $U \cap A \neq \emptyset$  for at most one element  $A \in C$ . (Thus,  $D \subseteq X$  is discrete iff  $\{\{d\} : d \in D\}$  is discrete.)
8.  $X$  is cwH (*collectionwise Hausdorff*) iff if  $X$  is  $T_2$  and for every closed and discrete subset  $D$  of  $X$  there exists a family  $U = \{U_d : d \in D\}$  of disjoint open sets such that  $d \in U_d$  for all  $d \in D$ .
9.  $X$  is cwH( $B$ ) (*collectionwise Hausdorff with respect to the base  $B$* ) in case  $U \subseteq B$ , where  $U$  is defined as in 8.
10.  $X$  is cwN (*collectionwise normal*) if  $X$  is  $T_2$  and for every discrete  $C$  of pairwise disjoint closed subsets of  $X$ , there exists a family  $U = \{U_A : A \in C\}$  of disjoint open sets such that  $A \subseteq U_A$  for all  $A \in C$ . (cwN( $B$ ) is defined similarly to cwH( $B$ ) in 9.)
11. The *disjoint union* of the pairwise disjoint family  $\{(X_i, T_i) : i \in k\}$  of topological spaces is the space  $X = \bigcup\{X_i : i \in k\}$  such that  $O$  is open in  $X$  iff  $O \cap X_i$  is open in  $X_i$  for all  $i \in k$ .

It is clear from Definition 1 that

$$(1) \quad T_2 + \text{compact} \rightarrow \text{paracompact} \rightarrow \text{metacompact}.$$

None of the implications in (1) are reversible. It is provable in  $ZF^0$ , (ZF minus Foundation) that paracompact spaces are normal. (For example, the proof of Theorem 20.10 p. 147 given in [w] goes through in  $ZF^0$  with some minor changes.) However, this conclusion does not hold for metacompact spaces. Dieudonné's Plank (Example 89, in [ss] p. 108) is an example of a metacompact, non-normal space. Any infinite set  $X$  endowed with the discrete topology is an example of a non-compact, paracompact space.

Below we give our notation for the principles we use and their precise statements:

**Definition 2.**

1. AC: The *Axiom of Choice*. For every family  $\mathcal{A} = \{A_i : i \in k\}$  of non-empty pairwise disjoint sets there exists a set  $C$  which consists of one and only one element from each element of  $\mathcal{A}$ .
2. MC: The *Multiple Choice Axiom*: For every family  $\mathcal{A} = \{A_i : i \in k\}$  of non-empty pairwise disjoint sets there exists a family  $\mathcal{F} = \{F_i : i \in k\}$  of finite non-empty sets such that for every  $i \in k$ ,  $F_i \subseteq A_i$ .
3. CMC: The *Countable Multiple Choice Axiom*. MC restricted to a countable family of sets.
4.  $\omega$ -MC: For every family  $\mathcal{A} = \{A_i : i \in k\}$  of non-empty pairwise disjoint sets there exists a family  $\mathcal{F} = \{F_i : i \in k\}$  of countable non-empty sets such that for every  $i \in k$ ,  $F_i \subseteq A_i$ .
5.  $CMC_\omega$ : (Form 350 in [rh]) CMC restricted to countable sets.
6. MP: Every metric space is paracompact.
7. MM: Every metric space is metacompact.
8. DUM: The disjoint union of metrizable spaces is metrizable.
9. DUP: The disjoint union of paracompact spaces is paracompact.
10. DUMET: The disjoint union of metacompact spaces is metacompact.
11. DUPFCS: The disjoint union of PFCS spaces is PFCS.

12. DUPN: The disjoint union of paracompact spaces is normal.
13. DUMN: The disjoint union of metrizable spaces is normal.
14. vDCP( $\omega$ ): (van Douwen's Choice Principle.) If  $\mathcal{A} = \{A_i : i \in \omega\}$  is a family of non-empty disjoint sets and  $f$  a function such that for each  $i \in \omega$ ,  $f(i)$  is an ordering of  $A_i$  of type  $\mathbb{Z}$  (= the integers), then  $\mathcal{A}$  has a choice function.
15. DUN: The disjoint union of normal spaces is normal.
16. DUcwH: The disjoint union of cwH spaces is cwH.
17. DUcwN: The disjoint union of cwN spaces is cwN.
18. DUcwNN: The disjoint union of cwN spaces is normal.

All the proofs below are in  $\text{ZF}^0$  and wlog abbreviates “without loss of generality”.

## 1. Disjoint Unions and Multiple Choice

In this section we show that many “disjoint union” theorems are equivalent to MC. As a consequence of the following well known lemma, these theorems are equivalent to AC in ZF.

**Lemma 1.** [j]. *In ZF, AC is equivalent to MC.*

It is also known, [j], that in  $\text{ZF}^0$ , MC does not imply AC.

**Theorem 1.** *Each of the following are equivalent to MC :*

(i) DUP.

(ii) DUMET.

(iii) DUPFCS.

(iv) *If  $X$  is the disjoint union of a family  $\{X_i : i \in k\}$  of compact  $T_2$  spaces, then every open cover  $\mathcal{U}$  of  $X$  has an open refinement  $\mathcal{V}$  such that for every  $i \in k$  only a finite number of elements of  $\mathcal{V}$  meet  $X_i$  non-trivially.*

(v) *Every open covering of a topological space  $X$  which is the disjoint union of spaces  $X_i$ ,  $i \in k$ , each of which is the one point compactification of a discrete space, can be expressed as a well ordered union of sets  $U_\alpha \subseteq \mathcal{P}(X)$  where each  $U_\alpha$  is locally finite (point finite).*

(vi) *Every open covering of a topological space can be expressed as the well ordered union of locally finite (point finite) sets.*

*If we restrict (i) through (v) to countable families of topological spaces, then the resulting statements are equivalent to CMC.*

*Proof.* MC  $\rightarrow$  (i). Fix a family  $\mathcal{X} = \{X_i : i \in k\}$  of pairwise disjoint paracompact spaces and let  $X$  be their disjoint union. Fix  $\mathcal{U}$  an open cover of  $X$ . Wlog we assume that each member of  $\mathcal{U}$  meets just one member of  $\mathcal{X}$ . Put  $\mathcal{U}_i = \{u \in \mathcal{U} : u \cap X_i \neq \emptyset\}$  and  $A_i = \{V : V \text{ is a l.f.o.r. of } \mathcal{U}_i\}$ . As  $X_i$  is paracompact,  $A_i \neq \emptyset$ . Use MC to obtain a family  $\mathcal{F} = \{F_i : i \in k\}$  of finite sets satisfying  $F_i \subseteq A_i$ ,  $i \in k$ . Then,  $\mathcal{V} = \cup\{\cup F_i : i \in k\}$  is a l.f.o.r. of  $\mathcal{U}$ .

(i)  $\rightarrow$  MC. Fix  $A = \{A_i : i \in k\}$  a family of pairwise disjoint non-empty sets. Wlog we assume that each  $A_i$  is infinite. Let  $\{y_i : i \in k\}$  be distinct sets so that for each  $i \in k$ ,  $y_i \notin \bigcup A$ . Put the discrete topology on  $A_i$  and let  $A_i^*$  denote the one point compactification of  $A_i$  by adjoining the point  $y_i$  to  $A_i$ . Let  $X$  be the disjoint union of the family  $\{A_i^* : i \in k\}$ . As  $A_i^*$  is paracompact, ( $A_i^*$  is a compact  $T_2$  space) our hypothesis implies that  $X$  is of the same kind. Let  $\mathcal{V}$  be a l.f.o.r of the open cover  $\mathcal{U} = \{U : U \text{ is a neighborhood of } y_i \text{ in } A_i^*, U \neq A_i^* \text{ for some } i \in k\}$ . Since  $\mathcal{V}$  is a l.f.

open cover, it follows that  $F_i = \bigcup\{A_i \setminus v : v \in \mathcal{V} \wedge y_i \in v\}$  is finite and non-empty. Thus,  $F = \{F_i : i \in k\}$  satisfies MC for  $A$ .

MC $\leftrightarrow$ (ii). This can be proved exactly as in MC $\leftrightarrow$ (i). MC $\rightarrow$ (iii) and MC $\rightarrow$ (iv) can be proved as in MC $\rightarrow$ (i).

(iii) $\rightarrow$ MC. Fix  $A = \{A_i : i \in k\}$  a family of infinite pairwise disjoint sets and let  $A_i^*$  and  $X$  be as in the proof of (i)  $\rightarrow$  MC. Clearly,  $A_i^*$  is a PFCS space. Thus, by (iii),  $X$  is also a PFCS space. Let  $\mathcal{V}$  be a shrinking of the p.f. open cover  $\mathcal{U} = \{u : u = A_i \text{ or } u = A_i^*, i \in k\}$  of  $X$ . Clearly  $F_i = v$ ,  $v \in \mathcal{V}$  and  $\bar{v} \subset A_i$  is a finite non-empty subset of  $A_i$ . Hence,  $F = \{F_i : i \in k\}$  satisfies MC for  $A$ .

(iv) $\rightarrow$ MC. Let  $A$  and  $X$  be as in (iii)  $\rightarrow$ MC. Let  $\mathcal{V}$  be a refinement of the open cover  $\mathcal{U} = \{A_i^* \setminus \{a\} : a \in A_i, i \in k\}$  of  $X$  which is guaranteed by (iv). Clearly,  $F_i = \bigcup\{A_i^* \setminus v : v \in \mathcal{V}, y_i \in v\}$  is a non-empty finite set included in  $A_i$  and  $F = \{F_i : i \in k\}$  satisfies MC for  $A$ .

MC $\rightarrow$ (vi). Since MC is equivalent to the statement ‘‘Every set is the union of a well ordered family of finite sets’’ ([le]), it is clear that MC implies (vi). It is also clear that (vi) implies (v).

(v) $\rightarrow$ MC. Let  $\mathcal{A} = \{A_i : i \in k\}$  a family of disjoint non-empty infinite sets. Let  $X$  be as in (i) $\rightarrow$ MC and let  $G$  be the set of proper neighborhoods of  $y_i$ , for some  $i \in k$ . (If  $g \in G$ , then there is an  $i \in k$  such that  $g$  is a neighborhood of  $y_i$  and  $g \subsetneq A_i^*$ .)  $G$  is an open covering of  $X$ , so by (v),  $G = \bigcup\{U_\alpha : \alpha \in \gamma\}$ , where each  $U_\alpha$  is locally (point) finite. For  $i \in k$ , let  $\alpha_i$  be the smallest  $\alpha$  such that  $y_i \in \bigcup U_\alpha$ . (Notice that  $y_i \in g$ , for  $g \in G$  iff every neighborhood of  $y_i$  has a non-empty intersection with  $g$ , so it does not matter whether the  $U_\alpha$ 's are locally finite or point finite.) It follows that there are only a finite number of sets,  $g_1, g_2, \dots, g_n$ , in  $U_{\alpha_i}$  such that  $y_i \in g_j$ , for  $j = 1, 2, \dots, n$ . Let  $h_i = \bigcap_{j=1}^n g_j$ , then  $h_i$  is a neighborhood of  $y_i$  and  $h_i \subsetneq A_i^*$ . Consequently,  $A_i \setminus h_i$  is a finite non-empty subset of  $A_i$  so that it follows that  $\{A_i \setminus h_i : i \in k\}$  is a multiple choice set for  $\mathcal{A}$ .  $\square$

**Remark 1.** We remark here that the space  $X$  in (i) $\rightarrow$ MC is normal (also completely normal (every subspace of it is normal)), but if MC is false it is neither paracompact nor metacompact. Also, since  $A_i^*$  in the proof of (i) $\rightarrow$ MC is a compact  $T_2$  space, it follows that MC is equivalent to the statement:

(1) The disjoint union of compact  $T_2$  spaces is paracompact.

If in (1) we replace *disjoint union* with *product* and *paracompact* with *compact*, then the resulting statement, Tychonoff's Compactness Theorem for compact Hausdorff spaces,

(2) The product of compact  $T_2$  spaces is compact.

is equivalent to the Boolean Prime Ideal Theorem, ([ln]) and (2) clearly implies:

(3) The product of compact  $T_2$  spaces is paracompact.

We do not know if (3) implies (2).

Similarly, the statements:

(4) The disjoint union of compact  $T_2$  spaces is metacompact.  
and

(5) The disjoint union of compact  $T_2$  spaces is a PFCS space.  
are equivalent to MC and (3) implies

(6) The product of compact  $T_2$  spaces is metacompact.

We do not know the relationship between (3), (6) and

(7) The product of compact  $T_2$  spaces is PFCS.

(However, the product of paracompact spaces may not be normal, and therefore, may not be paracompact. See [so].)

**Remark 2.** Working as in Theorem 2.2 from [vd] one can easily establish that DUPN implies  $vDCP(\omega)$ . Hence DUPN cannot be proved in ZF without AC. Since paracompact spaces are normal (without appealing to AC) it follows that DUP implies DUPN and consequently, MC implies DUPN. In the next theorem using ideas from [hkrr] we show that the converse is also true. In fact we could deduce it as corollary to Theorem 1 of [hkrr], but we won't do it. We prefer to give a new proof and exploit some new ideas.

**Theorem 2.** *DUPN can be added to the list of Theorem 1.*

*Proof.* In view of Remark 2, it suffices to show that DUPN implies MC. Let  $\mathcal{A} = \{A_i : i \in k\}$  be a family of infinite pairwise disjoint sets. Let

$$A = \{a_i : i \in k\} \text{ and } B = \{b_i : i \in k\}$$

be any two disjoint sets, each of which is disjoint from  $\bigcup \mathcal{A}$ . Let  $T_i$  be the topology on  $X_i = \{a_i, b_i\} \cup [A_i]^{<\omega}$ , (where  $[A_i]^{<\omega}$  is the set of all finite subsets of  $A_i$ ) which is generated by  $\mathcal{B}_i = \{C_y(a_i) : y \in [A_i]^{<\omega}\} \cup \{D_w(b_i) : w \in [A_i]^{<\omega}\} \cup \{\{x\} : x \in [A_i]^{<\omega}\}$ , where,  $C_y(a_i) = \{a_i\} \cup \{x \in [A_i]^{<\omega} : x \supseteq y\}$  and  $D_w(b_i) = \{b_i\} \cup \{x \in [A_i]^{<\omega} : x \cap w = \emptyset\}$ .

**Claim 1.**  *$X_i$  is paracompact.*

*Proof of Claim 1.* First we show that  $X_i$  is a  $T_2$  space. Fix  $x, y \in X_i$ . We consider the following cases.

- (i)  $x, y \in \{a_i, b_i\}$ . Assume that  $x = a_i$  and  $y = b_i$ . Then for any  $w \in [A_i]^{<\omega}$ ,  $C_w(a_i)$  and  $D_w(b_i)$  are disjoint neighborhoods of  $x$  and  $y$  respectively.
- (ii) Assume  $x = a_i$  and  $y \in [A_i]^{<\omega}$ . Let  $w \in [A_i]^{<\omega}$  be disjoint from  $y$ . Then  $\{y\}$  and  $C_w(a_i)$  are the required disjoint neighborhoods. If  $x = b_i$  then  $\{y\}$  and  $D_y(b_i)$  are the required disjoint neighborhoods.
- (iii)  $x, y \in [A_i]^{<\omega}$  then  $\{x\}, \{y\}$  are disjoint neighborhoods of  $x$  and  $y$  respectively.

Thus,  $X_i$  is a  $T_2$  space as required.

To see that  $(X_i, T_i)$  is paracompact, we fix  $\mathcal{U}$  an open cover of  $X_i$ . If  $E(a_i), O(b_i) \in \mathcal{U}$  are neighborhoods of  $a_i$  and  $b_i$  respectively, then it follows easily that

$$\mathcal{V} = \{E(a_i), O(b_i)\} \cup (\{\{x\} : x \in [A_i]^{<\omega}\})$$

is a locally finite open refinement of  $\mathcal{U}$ .

**Claim 2.** *If  $C_y(a_i)$  and  $D_w(b_i)$  are disjoint neighborhoods of  $a_i$  and  $b_i$ , then  $w \cap y \neq \emptyset$*

*Proof of Claim 2.* If  $y \cap w = \emptyset$ , then  $y$  is in  $C_y(a_i) \cap D_w(b_i)$  which is a contradiction.

Let  $X$  be the disjoint union of the family  $\{X_i : i \in k\}$ . Then  $X$ , in view of the hypothesis, is a normal space and  $A$  and  $B$  are closed and disjoint sets in  $X$ . Hence, there exists disjoint open sets  $O_A \supseteq A$  and  $O_B \supseteq B$ . For every  $i \in k$  put

$$\begin{aligned} E_{A_i}(a_i) &= O_A \cap X_i, \quad O_{B_i}(b_i) = O_B \cap X_i, \\ \mathcal{Z}_i &= \{y \in [A_i]^{<\omega} : C_y(a_i) \subseteq E_{A_i}(a_i)\}, \\ \mathcal{W}_i &= \{w \in [A_i]^{<\omega} : D_w(b_i) \subseteq O_{B_i}(b_i)\}, \\ n_i &= \min\{|y| : y \in \mathcal{Z}_i\}, \quad \mathcal{Z}_{in_i} = \{y \in \mathcal{Z}_i : |y| = n_i\}. \end{aligned}$$

**Claim 3.** *Either  $\mathcal{Z}_{in_i}$  is finite or,*

(\*) *there exists a finite  $Q \subseteq \bigcup \mathcal{W}_i$  satisfying  $\forall w \in \mathcal{W}_i, Q \cap w \neq \emptyset$ .*

*Proof of Claim 3.* Assume, to the contrary, that  $\mathcal{Z}_{in_i}$  is infinite and (\*) fails. We shall construct inductively a pairwise disjoint set of finite non-empty sets  $\{w_0, w_1, \dots, w_{n_i+1}\}, w_j \subseteq \bigcup \mathcal{W}_i$ , such that the set  $P = \{y \in \mathcal{Z}_{in_i} : w_j \subseteq y \text{ for all } j \leq n_i + 1\}$  is infinite. This contradiction (any  $y \in P$  will satisfy  $n_i = |y| \geq |\bigcup \{w_v : v \leq n_i + 1\}| > n_i$ ) will establish the claim.

Fix  $w^0 \in \mathcal{W}_i$ . Since  $D_{w^0}(b_i)$  is disjoint from every  $C_y(a_i)$ ,  $y \in \mathcal{Z}_{in_i}$ , it follows from Claim 2, that each  $y \in \mathcal{Z}_{in_i}$  meets non-trivially  $w^0$ . Hence,  $\mathcal{Z}_{in_i} = \bigcup \{y \in \mathcal{Z}_{in_i} : w^0 \cap y = w\} : w \subseteq w^0 \wedge w \neq \emptyset\}$  and, consequently, there exists a non-empty  $w \subseteq w^0$  such that  $H_1 = \{y \in \mathcal{Z}_{in_i} : w \subseteq y\}$  is infinite. Put  $w_0 = w$ . Assume that pairwise disjoint and non-empty sets  $w_0, w_1, \dots, w_n$  have been chosen so that the set  $\{y \in \mathcal{Z}_{in_i} : w_0, w_1, \dots, w_n \subseteq y\}$  is infinite. By the negation of (\*), there exists  $w^n \in \mathcal{W}_i$  such that  $(w_0 \cup w_1 \cup \dots \cup w_n) \cap w^n = \emptyset$ . By Claim 2 again, there exists a non-empty  $w \subseteq w^n$  such that  $H_n = \{y \in \mathcal{Z}_{in_i} : w_0, w_1, \dots, w_n, w \subseteq y\}$  is infinite. Put  $w_{n+1} = w$ . This terminates the induction and the proof of the claim.

**Claim 4.** *Assume  $\mathcal{Z}_{in_i}$  is infinite and let  $l_0$  be the least integer for which there is a  $Q \in [\bigcup \mathcal{W}_i]^{l_0}$  satisfying (\*). Then  $|\mathcal{Q}_{il_0}| < \omega$ , where  $\mathcal{Q}_{il_0} = \{Q \in [\bigcup \mathcal{W}_i]^{l_0} : Q \text{ satisfies (*)}\}$ .*

*Proof of Claim 4.* Assume, to the contrary, that  $\mathcal{Q}_{il_0}$  is infinite. We construct inductively a finite sequence of finite, pairwise disjoint sets  $w_0, w_1, \dots, w_r$ , for some  $r \in \omega$ , with the property that  $W = \bigcup_{i=0}^r w_i$  has cardinality  $l_0$  and infinitely many elements of  $\mathcal{Q}_{il_0}$  include  $W$ . This contradiction will establish the claim.

Fix  $w^0 \in \mathcal{W}_i$ . As  $w^0$  and each member of  $\mathcal{Q}_{il_0}$  meets  $w^0$  non-trivially, it follows that there exists a non-empty  $w_0 \subseteq w^0$  such that  $K_0 = \{Q \in \mathcal{Q}_{il_0} : w_0 \subseteq Q\}$  is infinite. If  $|w_0| = l_0$ , then  $r = 0$  and the induction terminates.

Assume that pairwise disjoint finite non-empty sets  $w_0, w_1, \dots, w_n$  have been chosen so that  $|w_0 \cup w_1 \cup \dots \cup w_n| < l_0$  and  $K_n = \{Q \in K_{n-1} : w_0, w_1, \dots, w_n \subseteq Q\}$  is infinite. Fix  $w^{n+1} \in \mathcal{W}_i$  such that  $(w_0 \cup w_1 \cup \dots \cup w_n) \cap w^{n+1} = \emptyset$ . (Such a  $w^{n+1} \in \mathcal{W}_i$  exists, for otherwise,  $w_0 \cup w_1 \cup \dots \cup w_n$  will satisfy (\*) with  $|w_0 \cup w_1 \cup \dots \cup w_n| < l_0$ .) By the hypothesis again, there exists  $w_{n+1} \subseteq w^{n+1}$  such that

$$K_{n+1} = \{Q \in K_n : w_0, w_1, \dots, w_n, w_{n+1} \subseteq Q\}$$

is infinite, terminating the induction and the proof of the claim.

By Claims 3 and 4, it follows that  $\mathcal{F} = \{F_i : i \in k\}$  where,

$$F_i = \begin{cases} \bigcup \mathcal{Z}_{in_i} & \text{if } \mathcal{Z}_{in_i} \text{ is finite,} \\ \bigcup \mathcal{Q}_{il_0} & \text{if } \mathcal{Z}_{in_i} \text{ is infinite.} \end{cases}$$

satisfies MC for  $\mathcal{A}$ , finishing the proof of the theorem.  $\square$

Since the space  $X_i$  of Theorem 2 is also normal, cwH, and cwN, we get as a corollary to Theorem 2.

**Corollary.** [hkrr] *The following can be added to the list of Theorem 1:*

- (i) DUN.
- (ii) DUCwH.
- (iii) DUCwN.
- (iv) DUCwNN.

It is easy to see that closed subspaces of paracompact (metacompact) spaces are also paracompact (metacompact) without appealing to AC. The next result shows that CMC is needed for  $F_\sigma$  sets (countable unions of closed subsets).

**Theorem 3.** *The following are equivalent:*

- (i) CMC.
- (ii)  $F_\sigma$  subsets of paracompact spaces are paracompact.
- (iii)  $F_\sigma$  subsets of metacompact spaces are metacompact.

*Proof.* (i)→(ii). Follow the proof of Theorem 20.12 ([w], p. 148).

(ii)→(i). Fix  $A = \{A_i : i \in \omega\}$  a family of disjoint non-empty sets. Note that if  $X^*$  is the one point compactification of  $X$  as given in (i)→MC of Theorem 1 then  $X$  is  $F_\sigma$  in  $X^*$  and thus, paracompact. Continue the proof as in Theorem 1.

(i)→(iii). Let  $(X, T)$  be metacompact, let  $G = \bigcup \{G_n : n \in \omega\}$  be an  $F_\sigma$  set in  $X$ , and let  $\mathcal{U}$  be an open cover of  $G$ . For every  $u \in \mathcal{U}$  pick  $v_u \in T$  such that  $u = v_u \cap G$ . (Note that we do not need AC in order to pick the element  $v_u$ . We can pick all such elements and then take their union. Clearly the union is such an element.) For every  $n \in \omega$  put  $U_n = \{v_u : u \in \mathcal{U} \wedge v_u \cap G_n \neq \emptyset\} \cup \{X \setminus G_n\}$ . Clearly  $U_n$  is an open cover of  $X$ . As  $X$  is metacompact  $A_n = \{W : W \text{ is an o.p.f.r. of } U_n\} \neq \emptyset$ . By CMC, there is a family  $F = \{F_n \subseteq A_n : n \in \omega\}$  of finite non-empty sets. For each  $n \in \omega$ , let  $B_n = \{G \cap s \setminus \bigcup_{m < n} G_m : s \in \bigcup F_n \wedge s \cap G_n \neq \emptyset\}$ . Then  $B_n$  is p.f. and each element of  $B_n$  is open in  $G$ . We claim that  $\mathcal{B} = \bigcup_{n \in \omega} B_n$  is an open p.f. refinement of  $\mathcal{U}$  that covers  $G$ . To show that  $\mathcal{B}$  covers  $G$ , suppose  $x \in G$ . Take  $n$  to be the smallest natural number such that  $x \in G_n$ . It follows from the construction of  $F_n$  that there is an  $s \in \bigcup F_n$  such that  $x \in s$ . Consequently,  $x \in \bigcup \mathcal{B}$ .

The proof that (iii) implies (i) is similar to the proof that (ii) implies (i).

DUM implies DUMN because metric spaces are normal in  $\text{ZF}^0$ . We do not know if DUMN implies DUM. However, in Theorem 4 we show that DUM +  $\omega$ -MC iff MC and in Theorem 5 we show that DUMN +  $\omega$ -MC iff MC.

**Theorem 4.** *DUM +  $\omega$ -MC iff MC.*

*Proof.* (←) MC implies  $\omega$ -MC is obvious. To see that MC implies DUM, fix  $\mathcal{X} = \{X_i : i \in k\}$  a disjoint family of metrizable spaces. For every  $i \in k$  let  $A_i$  denote the set of all metrics on  $X_i$  producing its topology. Put  $A = \{A_i : i \in k\}$  and use MC to find for each  $i \in k$  a finite subset  $G_i = \{m_1, m_2, \dots, m_{n_i}\}$  of  $A_i$ . For all  $x, y$  in  $X_i$ , let  $d_i(x, y)$  be defined as follows:  $d_i(x, y) = \frac{\sum_{j=1}^{n_i} m_j(x, y)}{1 + \sum_{j=1}^{n_i} m_j(x, y)}$ . Then

$d_i$  produces the topology of  $X_i$ . Clearly, the function  $d$ , where  $d : \bigcup \mathcal{X} \times \bigcup \mathcal{X} \rightarrow \mathbb{R}$ ,  $d(x, y) = 1$  if  $x \in X_i$  and  $y \notin X_i$  for some  $i \in k$  and  $d(x, y) = d_i(x, y)$  otherwise, is a metric on  $\bigcup \mathcal{X}$  producing the topology of the disjoint union on  $X = \bigcup \mathcal{X}$ . Thus,  $X$  is metrizable as required.

(→) Fix  $\mathcal{A} = \{A_i : i \in k\}$  a family of pairwise disjoint non-empty sets. By  $\omega$ -MC we may assume that the members of  $\mathcal{A}$  are countably infinite. Let  $X$  be as in

Theorem 1, (i)→MC. Since each  $A_i^*$  is metrizable, it follows by DUM that  $X$  is also metrizable. Let  $d$  be a metric on  $X$  producing its topology. For every  $i \in k$  let  $n_i = \min\{n : A_i \neq D(y_i, 1/n) \cap A_i\}$ , where  $D(y_i, 1/n)$  is the open ball of radius  $1/n$  centered at  $y_i$ . Clearly,  $F_i = A_i \setminus D(y_i, 1/n_i)$  is a finite non-empty subset of  $A_i$  and  $F = \{F_i : i \in k\}$  satisfies MC for  $\mathcal{A}$ .  $\square$

**Corollary.** *DUM implies  $CMC_\omega$ .*

*Proof.* The proof is similar to the second part of the proof of Theorem 4.

**Theorem 5.** *DUMN +  $\omega$ -MC iff MC.*

*Proof.* ( $\leftarrow$ ) It is shown in Theorem 4 that MC implies DUM. The result follows because DUM implies DUMN and MC implies  $\omega$ -MC.

( $\rightarrow$ ) Let  $\mathcal{A} = \{A_i : i \in k\}$  be a family of pairwise disjoint non-empty sets. By  $\omega$ -MC we may assume that the members of  $\mathcal{A}$  are countably infinite. If  $A_i$  is countable so is  $[A_i]^{<\omega}$ . Let  $(X_i, T_i)$  be the corresponding topological space as defined in Theorem 2. The topology,  $T_i$  as defined in Theorem 2, clearly has a countable base. It is shown in Theorem 2 that  $X_i$  is paracompact, and, therefore, regular. Thus, it follows from [gt] Corollary 4.8, that  $X_i$  is metrizable. Using DUMN it follows that the disjoint union of the  $X_i$ 's is normal. Using the same ideas as in Theorem 2, we can construct a multiple choice function for  $\mathcal{A}$ .  $\square$

**Remark 3.** In the Cohen-Pincus model  $\mathcal{M}1(\langle\omega_1\rangle)$ , see [rh] and [pi],  $\omega$ -MC holds. Since MC is false in this model, it follows from Theorem 4 that DUM is also false. Thus,  $\omega$ -MC does not imply DUM.

## 2. Examples and Models

**Example 1.** (A non-metrizable, non-paracompact countable disjoint union of paracompact spaces). The space  $L$  of Theorem 2.2 from [vd] is clearly a non-normal (hence, non-paracompact) countable disjoint union of paracompact spaces.

**Example 2.** (A metrizable non-paracompact countable disjoint union of paracompact spaces). Let  $\mathcal{N}$  be the permutation model with set of atoms:  $A = \bigcup\{Q_n : n \in \omega\}$ , where  $Q_n = \{a_{n,q} : q \in \mathbb{Q}\}$ .

Suppose  $<$  is the lexicographic ordering on  $A$ , i.e.

$$a_{n,q} < a_{m,p} \text{ if } n < m, \text{ or } n = m \text{ and } q < p.$$

The group of permutations  $G$ , is the group of all permutations on  $A$  which are a rational translation on  $Q_n$ , i.e. if  $\phi \in G$ , then  $\phi|_{Q_n}(a_{n,q}) = a_{n,q+r_n}$  for some  $r_n \in \mathbb{Q}$ , and supports are finite. Let  $d$  be the metric on  $A$  given by:

$$d(a_{n,q}, a_{m,p}) = 1 \text{ if } n \neq m$$

and

$$d(a_{n,q}, a_{m,p}) = |q - p| / (1 + |q - p|) \text{ if } n = m.$$

Good/Tree/Watson ([wgt]) prove that  $(A, d)$  is a linearly ordered, zero dimensional, metric space, but is not paracompact (MP is false). Each  $Q_n$ , being a second countable metric space, is paracompact, and the disjoint union topology on  $A$

coincides with the metric topology which is normal. Hence,  $A$  can be considered as a normal non-paracompact disjoint union of paracompact spaces.

The disjoint union of the  $Q_n$ 's is also not metacompact because  $\mathcal{V} = \{(a, b) : (\exists n \in \omega)(a, b \in Q_n \wedge a < b)\}$  is an open cover without a point finite refinement in the model. (Suppose  $\mathcal{U}$  is a point finite refinement of  $\mathcal{V}$  with support  $E$  and  $Q_n \cap E = \emptyset$ . Then, for some  $U \in \mathcal{U}$ ,  $U \subseteq (a, b) \subseteq Q_n$ . Choose  $x \in Q_n$  and an interval  $(c, d) \subseteq (a, b)$  such that  $x \in (c, d) \subset U$ . Then it is easy to see that  $x$  is contained in a countably infinite number of translates of  $U$ .) Thus, MM is false in  $\mathcal{N}$ .

DUMN is false in  $\mathcal{N}$ . For each  $n \in \omega$ , let  $X_n = Q_n \cup \{c_n, d_n\}$ , where  $c_n$  and  $d_n$  are distinct sets in the model which are not in  $A$ , and let  $<_n$  be the ordering on  $Q_n$  extended so that  $c_n$  is the smallest element and  $d_n$  is the largest element.  $(X_n, <_n)$  is metrizable because it is the subspace of a metric space. (It is isomorphic to the closed interval of rational numbers  $[0, 1]$ .) Let  $X$  be the disjoint union of the  $X_n$ 's. Let  $C = \{c_n : n \in \omega\}$  and  $D = \{d_n : n \in \omega\}$ . The sets  $C$  and  $D$  are disjoint closed sets in  $X$ . If  $X$  were normal there would be disjoint open sets,  $U$  and  $V$ , containing  $C$  and  $D$  respectively, such that their closures,  $\overline{U}$  and  $\overline{V}$ , are disjoint. For each  $n \in \omega$ , let  $Y_n = Q_n \setminus \overline{U}$ , then  $Y_n \subsetneq Q_n$ . Since supports are finite, it is easy to show that there is no such function  $f : n \mapsto Y_n$  in the model. Thus, DUMN is false, and since DUM implies DUMN, DUM is also false.

The Axiom of Choice for pairs,  $C(\infty, 2)$ , is also false in  $\mathcal{N}$ . First note that if a permutation leaves any element of any  $Q_n$  fixed, then it has to leave the whole set fixed because the permutations are translations. Therefore, every subset of each  $Q_n$  is in the model. Let  $B$  be the set of all unordered pairs  $\{C, D\}$  where  $C \subseteq Q_n$  and  $D \subseteq Q_n$ ,  $C \neq D$ , for some  $n \in \omega$ . The set  $B$  has empty support so it is in the model. Suppose  $f$  is a choice function on  $B$  with support  $E$ . Since  $E$  is finite, there is an  $n \in \omega$  such that  $E \cap Q_n = \emptyset$ . Let  $O_n = \{a_{n,2i+1} : i \in \mathbb{Z}\}$  and let  $E_n = \{a_{n,2i} : i \in \mathbb{Z}\}$ . Then  $\{O_n, E_n\} \in B$ . Let  $\pi$  be a permutation in  $\text{fix}(E)$  such that  $\pi(a_{mr}) = a_{mr}$  if  $m \neq n$ , and  $\pi(a_{nr}) = a_{n,r+1}$ . Then  $\pi$  leaves  $f$  and  $\{O_n, E_n\}$  fixed, but interchanges  $O_n$  and  $E_n$ , which is a contradiction. Thus,  $C(\infty, 2)$ , is false in the model. Since, the Boolean Prime Ideal Theorem and the Ordering Principle (Every set can be linearly ordered.) each imply  $C(\infty, 2)$ , they are also false.

However, in  $\mathcal{N}$ , every infinite set has a countably infinite subset. Let  $X$  be an infinite non-well-orderable set with support  $E$  and let  $x_1 \in X$  be such that  $E$  is not a support of  $x_1$ . (Such an element exists because otherwise,  $X$  can be well ordered.) Let  $E_1$  be a support of  $x_1$ . For  $n, m \in \omega$  and  $p, q \in \mathbb{Q}$ , we define  $\pi_q^n \in G$  as follows. For  $a_{m,p} \in A$ ,

$$\pi_q^n(a_{m,p}) = \begin{cases} a_{m,p}, & \text{if } m \neq n \\ a_{m,p+q}, & \text{if } m = n \end{cases}$$

We claim that there is an  $n \in \omega$  and  $q \in \mathbb{Q}$  such that  $\pi_q^n \in \text{fix}E$  and  $\pi_q^n(x_1) \neq x_1$ . (Otherwise,  $E$  would be a support of  $x_1$ .) We shall show that the set  $S = \{\pi_q^n(x_1) : q \in \mathbb{Q}\}$  is a countably infinite subset of  $X$  in  $\mathcal{N}$ .  $S$  has support  $E_1$  so it is in  $\mathcal{N}$ . Also,  $S \subseteq X$  because  $\pi_q^n \in \text{fix}E$ . It remains to show that  $S$  is infinite. Let  $H = \{q \in \mathbb{Q} : \pi_q^n(x_1) = x_1\}$ . It follows from the definition of  $n$  that  $H$  is a proper subgroup of  $\mathbb{Q}$ . We shall show that  $S$  is infinite by showing that the factor group  $\mathbb{Q}/H$  is infinite. Suppose the factor group has order  $n$  and suppose  $p \notin H$ . Then  $n(p/n) + H = H$ , because  $n$  is the order of  $\mathbb{Q}/H$ , but  $n(p/n) + H = p + H$ , which is a contradiction because  $p \notin H$ .

Thus, we have shown that  $\mathcal{N} \models$  “Every infinite set has a countably infinite subset.”  $+ \neg \text{MM} + \neg \text{DUMN} + \neg C(\infty, 2)$ .

**Example 3.** In the Mostowski linearly ordered model, the disjoint union of metrizable spaces is metrizable.

*Proof.* Let  $(A, <)$  be the set of atoms in the the Mostowski linearly ordered model  $\mathcal{M}$ . ( $A$  is countable and  $<$  is a dense linear ordering on  $A$  without first or last elements.) For notational convenience we add first and last elements  $-\infty$  and  $\infty$  to the ordering  $(A, <)$ . Let  $G$  denote the group of order automorphisms of  $(A, <)$  and for each  $E \subseteq A$  let  $G_E = \{\phi \in G : \phi \text{ fixes } E \text{ pointwise}\}$ . Supports in this model are finite subsets of  $A$ . For each  $x \in \mathcal{M}$  we denote the minimal support of  $x$  by  $\text{sup}(x)$ . The crucial lemma is

**Lemma 2.** *If  $(X, T)$  is a metrizable topological space in  $\mathcal{M}$ , then there is a metric  $m$  on  $X$  such that*

- (a)  $m$  is in  $\mathcal{M}$ ,
- (b)  $T$  is the topology induced by  $m$ , and
- (c)  $\text{sup}(m) = \text{sup}(X, T)$ .

We shall show first that it follows from Lemma 2 that DUM is true in  $\mathcal{M}$ . Assume that  $\{(X_i, T_i) : i \in K\}$  is a collection of disjoint metrizable topological spaces in  $\mathcal{M}$  with support  $D$ . For each  $i \in K$  choose a metric  $m_i$  on  $X_i$  so that  $m_i$  is in  $\mathcal{M}$ ,  $m_i$  induces the topology  $T_i$  and  $\text{sup}(m_i) = \text{sup}(X_i, T_i)$ . (We may assume wlog that each  $m_i$  is bounded by one.) It follows from the last of these properties that for all  $\phi \in G_D$ ,  $\phi(m_i) = m_i$  if and only if  $\phi(X_i, T_i) = (X_i, T_i)$ . (This would not be true, for example, in the basic Fraenkel model where “ $\phi$  fixes  $\text{sup}(x)$  pointwise” implies “ $\phi$  fixes  $x$ ”, but not conversely.) Therefore, the function  $(X_i, T_i) \mapsto m_i$  has support  $D$  so it is in  $\mathcal{M}$ . We can use this function to define a metric  $m$  on  $\bigcup_{i \in K} X_i$  which induces the disjoint union topology. ( $m(x, y) = m_i(x, y)$  if  $x, y \in X_i$  for some  $i \in K$ ; and  $m(x, y) = 1$  otherwise.)

To prove Lemma 2, let  $(X, T)$  be a metrizable topological space in  $\mathcal{M}$  and let  $d$  be a metric on  $X$  which is in  $\mathcal{M}$  and which induces the topology  $T$ . If  $\phi \in G$  fixes  $d$  then  $\phi$  fixes  $X$  and  $T$  and therefore  $\text{sup}(X, T) \subseteq \text{sup}(d)$ . Assuming that  $\text{sup}(X, T) \neq \text{sup}(d)$ , it suffices to construct a metric  $m$  satisfying (a) and (b) from the statement of Lemma 2 and

- (c')  $\text{sup}(m) \subsetneq \text{sup}(d)$ .

By our assumption that  $\text{sup}(X, T) \neq \text{sup}(d)$  there is an element  $t \in \text{sup}(d) \setminus \text{sup}(X, T)$ . Let  $e_0$  and  $e_1$  be the unique elements of  $\text{sup}(d) \cup \{-\infty, \infty\}$  such that  $e_0 < t < e_1$  and both of the open intervals  $(e_0, t)$  and  $(t, e_1)$  are disjoint from  $\text{sup}(d)$ . Let  $E = \text{sup}(d) \setminus \{t\}$ . Since  $E \subsetneq \text{sup}(d)$  the proof can be completed by constructing a metric  $m$  with support  $\subseteq E$  and satisfying (a) and (b).

At this point we need a well known property of linear orders.

**Lemma 3.** *If  $(C, <_1)$  and  $(D, <_2)$  are countable dense linear orders without first or last elements and  $C'$  and  $D'$  are finite subsets of  $C$  and  $D$  respectively with  $|C'| = |D'|$  then there is an order isomorphism  $f$  from  $C$  onto  $D$  such that  $f(C') = D'$ .*

Using Lemma 3, let  $\Delta'$  be an order isomorphism from  $(e_0, e_1)$  onto  $(e_0, t)$  and

define  $\Delta : A \rightarrow A \setminus [t, e_1)$  by

$$\Delta(a) = \begin{cases} \Delta'(a) & \text{if } a \in (e_0, e_1) \\ a & \text{otherwise} \end{cases}.$$

We first note that for any  $x \in \mathcal{M}$ , there is a  $\psi \in G_E$  such that  $\psi$  agrees with  $\Delta$  on  $\text{sup}(x)$ . (This is a consequence of Lemma 3.) Further, if  $\psi$  and  $\phi$  are in  $G_E$  and  $\psi$  and  $\phi$  agree with  $\Delta$  on  $\text{sup}(x)$  then  $\psi(x) = \phi(x)$ . This allows us to define a function  $\Delta^*$  from the topological space  $X$  to itself by  $\Delta^*(x) = \psi(x)$  where  $\psi \in G_E$  and  $\psi$  agrees with  $\Delta$  on  $\text{sup}(x)$ . We leave to the reader the proof that  $\Delta^*$  is one to one and onto the set  $X^* = \{x \in X : \text{sup}(x) \cap [t, e_1) = \emptyset\}$ . There are two natural ways of putting a topology on the set  $X^*$ :

$$T_1 = \{U^* : U \in T\} \text{ where } U^* = \{\Delta^*(x) : x \in U\}$$

and

$$T_2 = \{U \cap X^* : U \in T\}.$$

The topology  $T_1$  is the topology under which the function  $\Delta^* : X \rightarrow X^*$  is a homeomorphism (between the spaces  $(X, T)$  and  $(X, T_1)$ ). The topology  $T_2$  is the relative topology on  $X^*$  inherited from  $(X, T)$  and is therefore the topology induced by the metric  $d$  restricted to  $X^*$ . We will call this restricted metric  $d^*$ .

We are now able to outline our plan for finding a metric  $m$  for the topology  $T$  with support contained in  $E$ : We will show that  $T_1 = T_2$ . It follows that if we “pull the metric  $d^*$  back to  $X$  using  $(\Delta^*)^{-1}$ ” we get a metric which induces the topology  $T$  on  $X$ . (This “pull back” of  $d^*$  is the metric  $m$  defined below.) The metric  $d^*$  has support  $E \cup \{t\}$ , however in pulling  $d^*$  back to  $m$ ,  $t$  is identified with  $e_1$  and therefore  $m$  will have support  $E$ . The most difficult part of the argument is the proof that  $T_1 = T_2$ . We postpone this part and begin with the definition of  $m$ .

$$m(x, y) = d(\Delta^*(x), \Delta^*(y))$$

The fact that  $m$  is a metric follows from the fact that  $d$  is a metric. To prove the triangle inequality, for example, choose  $x, y$  and  $z \in X$  and a  $\psi \in G_E$  such that  $\psi$  agrees with  $\Delta$  on  $\text{sup}(x) \cup \text{sup}(y) \cup \text{sup}(z)$ . Then  $m(x, y) + m(y, z) = d(\psi(x), \psi(y)) + d(\psi(y), \psi(z)) \geq d(\psi(x), \psi(z)) = m(x, z)$ .

We now argue that  $\forall \eta \in G_E, \eta$  fixes  $m$ . This can be accomplished by showing  $(\forall x, y \in X)(m(\eta(x), \eta(y)) = m(x, y))$ . Assume  $\eta \in G_E$  and  $x, y \in X$ . Choose  $\phi \in G_E$  such that  $\phi$  agrees with  $\Delta$  on  $\text{sup}(x) \cup \text{sup}(y) \cup \text{sup}(\eta(x)) \cup \text{sup}(\eta(y))$ . By the definition of  $m$ ,  $m(x, y) = d(\phi(x), \phi(y))$  and  $m(\eta(x), \eta(y)) = d(\phi(\eta(x)), \phi(\eta(y)))$ . The permutation  $\phi\eta\phi^{-1}$  takes  $\phi(x)$  to  $\phi(\eta(x))$  and  $\phi(y)$  to  $\phi(\eta(y))$ . Further, since the range of  $\Delta$  is  $A \setminus [t, e_1)$ , the four sets

$$\begin{aligned} \text{sup}(\phi(x)) &= \phi(\text{sup}(x)), \\ \text{sup}(\phi(y)) &= \phi(\text{sup}(y)), \\ \text{sup}(\phi(\eta(x))) &= \phi(\text{sup}(\eta(x))), \text{ and} \\ \text{sup}(\phi(\eta(y))) &= \phi(\text{sup}(\eta(y))) \end{aligned}$$

are all subsets of  $A \setminus [t, e_1)$ . Therefore, we can find a permutation  $\sigma \in G_E$  such that  $\sigma$  agrees with  $\phi\eta\phi^{-1}$  on  $\text{sup}(\phi(x)) \cup \text{sup}(\phi(y))$  and such that  $\sigma$  fixes  $[t, e_1)$  pointwise. It follows that  $\sigma(\phi(x)) = \phi(\eta(x))$  and  $\sigma(\phi(y)) = \phi(\eta(y))$ . Also, since  $\sigma$  fixes  $E \cup \{t\}$ ,

$\sigma(d) = d$ . Therefore  $d(\phi(x), \phi(y)) = d(\sigma(\phi(x)), \sigma(\phi(y))) = d(\phi(\eta(x)), \phi(\eta(y)))$ . Hence  $m(x, y) = m(\eta(x), \eta(y))$ .

We conclude that (a) and (c') are true of  $m$ .

We shall complete the proof of Lemma 2 and also show that  $T_1 = T_2$  by proving part (b) of Lemma 2,  $T$  is the topology induced by  $m$ . We first show

(i) Every  $U \in T$  is in the topology induced by  $m$ .

Assume  $U \in T$  and  $x \in U$ . Choose  $\phi \in G_E$  so that  $\phi$  agrees with  $\Delta$  on  $\text{sup}(x) \cup \text{sup}(U)$ . Since  $\phi$  fixes  $T$ ,  $\phi(x) \in \phi(U) \in T$ . Since the metric  $d$  induces  $T$ , there is an  $\epsilon > 0$  such that the open ball  $B_1 = \{y \in X : d(\phi(x), y) < \epsilon\} \subseteq \phi(U)$ . We claim that  $B_2 = \{y \in X : m(x, y) < \epsilon\} \subseteq U$ . For suppose  $y \in B_2$ , then (by definition of  $m$ )  $d(\phi'(x), \phi'(y)) < \epsilon$ , where  $\phi'$  agrees with  $\Delta$  on  $\text{sup}(x) \cup \text{sup}(y) \cup \text{sup}(U)$ . Since  $\phi'(x) = \phi(x)$ ,  $\phi'(y) \in B_1$ . Therefore,  $\phi'(y) \in \phi(U) = \phi'(U)$  and hence  $y \in U$ .

Now we show

(ii) If  $U$  is open in the topology induced by  $m$  then  $U \in T$ .

It suffices to show that for every  $x \in X$  and every  $\epsilon > 0$ ,

(\*)  $\exists \delta > 0$  such that  $\{z \in X : d(x, z) < \delta\} \subseteq \{z \in X : m(x, z) < \epsilon\}$ .

Assume that there is an  $x \in X$  and an  $\epsilon > 0$  for which (\*) is false. Fix an  $s$  in the interval  $(t, e_1)$  so that  $\text{sup}(x) \cap [s, e_1] = \emptyset$ . The failure of (\*) gives, for each positive  $n \in \omega$ , an element  $z_n$  of  $X$  such that  $d(x, z_n) < \frac{\epsilon}{n}$  and  $m(x, z_n) > \epsilon$ . (The function  $n \mapsto z_n$  may not be in  $\mathcal{M}$ .)

**Claim.** We may assume that  $\text{sup}(z_n) \cap [s, e_1] = \emptyset$ .

If this is not the case, choose an  $s'$  in the interval  $(t, s)$  which also satisfies  $\text{sup}(x) \cap [s', e_1] = \emptyset$ . Let  $\gamma'$  be an order isomorphism of  $(s', e_1)$  for which  $\gamma'(\text{sup}(z_n) \cap (s', e_1)) \subseteq (s', s)$ . The permutation  $\gamma$  which agrees with  $\gamma'$  on  $(s', e_1)$  and is the identity outside of  $(s', e_1)$  then has the property that  $\text{sup}(\gamma(z_n)) \cap [s, e_1] = \gamma(\text{sup}(z_n)) \cap [s, e_1] = \emptyset$ . In addition,  $\gamma$  fixes  $E \cup \{t\} \cup \text{sup}(x)$  pointwise. This means that  $\gamma$  fixes  $d$ ,  $m$ , and  $x$ . Hence  $d(x, \gamma(z_n)) < \frac{\epsilon}{n}$  and  $m(x, \gamma(z_n)) > \epsilon$ . We may therefore replace  $z_n$  by  $\gamma(z_n)$ . This proves the claim.

Choose  $\phi \in G_E$  which agrees with  $\Delta$  on  $(e_0, s)$ . (This can be accomplished by using Lemma 2 to obtain an order isomorphism  $\beta$  from  $[s, e_1]$  onto  $[\Delta(s), e_1]$ . Then define  $\phi(a) = \beta(a)$  for  $a \in [s, e_1]$  and  $\phi(a) = \Delta(a)$  otherwise.) For  $n \in \omega \setminus \{0\}$ , define

$$Z_n = \{\psi(z_n) : \psi \text{ fixes } \text{sup}(d) \cup \text{sup}(x) \cup \{s\} \text{ pointwise}\}$$

Then

(A)  $Z_n$  has support  $\text{sup}(d) \cup \text{sup}(x) \cup \{s\}$ .

(B)  $(\forall w \in Z_n)(d(x, w) < \frac{\epsilon}{n})$ .

(Since  $w = \psi(z_n)$  where  $\psi$  fixes  $x$  and  $d$  and  $d(x, z_n) < \frac{\epsilon}{n}$ .) Similarly,

(C)  $(\forall w \in Z_n)(m(x, w) > \epsilon)$  and

(D)  $(\forall w \in Z_n)(\text{sup}(w) \cap [s, e_1] = \emptyset)$ .

By (D), for all  $w \in Z_n$ ,  $\phi$  (which is in  $G_E$ ) agrees with  $\Delta$  on  $\text{sup}(w) \cup \text{sup}(x)$ .

Hence, using (C) and the definition of  $m$  we conclude that

(E)  $d(\phi(x), \phi(w)) > \epsilon$ .

By (A),  $\bigcup_{n=1}^{\infty} Z_n \in \mathcal{M}$ . By (B),  $x$  is in the  $T$  closure of  $\bigcup_{n=1}^{\infty} Z_n$  since  $d$  induces  $T$ . Similarly, by (E),  $\phi(x)$  is not in the  $T$  closure of  $\phi(\bigcup_{n=1}^{\infty} Z_n)$ . This is a

contradiction since  $\phi \in G_E$  and therefore fixes  $T$ . This completes the proof of (b) and the theorem.  $\square$

### 3. Disjoint Unions of Collectionwise Hausdorff, Collectionwise Normal Spaces and the Axiom of Choice

**Theorem 6.** *The following are equivalent:*

- (i) AC.
- (ii) If a topological space  $(X, T)$  is cwH, then it is cwH(B) for every basis B..
- (iii) The disjoint union of cwH spaces is cwH(B) for any basis B.
- (iv) If a topological space  $(X, T)$  is cwN, then it is cwH(B) for any basis B.

*Proof.* (i)→(ii). Let  $(X, T)$  be cwH,  $G = \{g_i : i \in k\}$  a closed discrete subset of  $X$  and  $B$  a basis for  $X$ . As  $X$  is cwH, there exists a disjoint family  $\{O_i : i \in k\} \subseteq T$  such that  $g_i \in O_i$  for all  $i \in k$ . Since  $B$  is a basis it follows that  $Q_i = \{b \in B : g_i \in b \subseteq O_i\} \neq \emptyset$ . Put  $Q = \{Q_i : i \in k\}$  and let  $v$  be a choice function on  $Q$ . Clearly  $V = \{v(i) : i \in k\} \subseteq B$  is the required family of open sets.

The proofs (ii)→(iii) and (iii)→(iv) are straight forward. It therefore suffices to prove (iv)→(i).

Fix  $\mathcal{A} = \{A_i : i \in \omega\}$  a family of infinite pairwise disjoint sets. For each  $A \in \mathcal{A}$ , let  $x_A$  be a set not in  $\mathcal{A} \cup \bigcup \mathcal{A}$  and chosen so that the function  $A \mapsto x_A$  is one-to-one. (To be specific, we could take  $x_A$  to be the ordered pair  $(A, \mathcal{A})$ .) For each  $A \in \mathcal{A}$ , we define a topological space  $(Y_A, \mathcal{T}_A)$  as follows.  $Y_A = \{x_A\} \cup (\omega \times A)$  and  $\mathcal{T}_A$  is the topology with basis sets

$$\mathcal{B}_A = \{\{(n, a)\} : (n, a) \in \omega \times A\} \cup \{\{x_A\} \cup Z : (\omega \setminus m) \times A \subseteq Z \subseteq \omega \times A \text{ for some } m \in \omega\}.$$

Let  $Y = \bigcup_{A \in \mathcal{A}} Y_A$  and let  $\mathcal{T}$  be the disjoint union topology on  $Y$ . We claim that  $Y$  is cwN. Indeed, let  $Z$  be a discrete collection of closed sets. It can be readily verified that,

- (1) For every  $A \in \mathcal{A}$  either  $x_A \notin z$  for all  $z \in Z$  or if  $x_A \in z^*$  for some  $z^* \in Z$ , then there exists  $n_{z^*} \in \omega$  such that for every  $z \in Z$ ,  $z \neq z^*$ ,  $z \subseteq n_{z^*} \times A$ .

For every  $z \in Z$ , let

$$(2) \quad U_z = \bigcup \{(\omega \times A) \cap z : A \in \mathcal{A}, x_A \notin z\} \cup (\bigcup \{z \cup (Y_A \setminus \bigcup Z) : A \in \mathcal{A}, x_A \in z\}).$$

In view of (1) and (2), it follows that  $\mathcal{U} = \{U_z : z \in Z\}$  is a disjoint family of open sets separating the members of  $Z$ . It is easy to see that each  $Y_A$  is  $T_2$ , so that  $Y$  is also  $T_2$ . Thus,  $(Y, \mathcal{T})$  is cwN as claimed.

Now we construct another basis  $\mathcal{B}$  for the topology  $\mathcal{T}$ . The basis  $\mathcal{B}$  consists of the sets  $\{(n, a)\}$  such that  $n \in \omega$  and  $a \in \bigcup \mathcal{A}$  and the sets  $B_{A, a, n} = \{x_A\} \cup \{(n, a)\} \cup \{(m, b) : m > n \wedge b \in A\}$  for each triple  $(A, a, n)$  such that  $A \in \mathcal{A}$ ,  $a \in A$ , and  $n \in \omega$ .

Applying cwNH(B) to this basis and the discrete set  $\{x_A : A \in \mathcal{A}\}$  gives for each  $A \in \mathcal{A}$  a unique set  $B_{A, a, n}$ . The function  $f$  defined by  $f(A) = a$  is a choice function for  $\mathcal{A}$ .  $\square$

**Theorem 7.** *The following are equivalent*

- (i) MC.
- (ii) Every space  $(X, T)$  which is cwH, is also cwH(B) for any basis B closed under

*finite intersections.*

(iii) *The disjoint union of cwH spaces is cwH(B) for any basis B closed under finite intersections.*

(iv) *Every space (X, T) which is cwN, is also cwH(B) for any basis B closed under finite intersections.*

*Proof.* The proof of (i)→(ii) is similar to the proof of (i)→(ii) in Theorem 6. (Here  $v$  would be a multiple choice function, and  $V = \{\bigcap v(i) : i \in k\} \subseteq B$  is the required family of open sets.) The proofs that (ii)→(iii) and (iii)→(iv) are again straight forward.

(iv)→(i). Let  $\mathcal{A} = \{A_i : i \in k\}$  be a family of infinite pairwise disjoint sets and let  $X$  be as in Theorem 1 (i)→MC. We claim that  $X$  is cwN. Indeed, let  $D = \{d_j : j \in J\}$  be a discrete collection of closed sets. Clearly, for every  $i \in k$  either  $y_i \notin d_j$  for all  $j \in J$  or if  $y_i \in d_{j^*}$  for some  $j^* \in J$ , ( $j^*$  is unique). Then,  $|A_i \cap d_j| < \omega$  for all  $j \in J$ ,  $j \neq j^*$  and

$$|\{j \in J : A_i \cap d_j \neq \emptyset\}| < \omega.$$

For every  $j \in J$ , put

$$O_j = \cup\{A_i \cap d_j : i \in k, y_i \notin d_j\} \cup (\cup\{A_i^* \setminus d_v : y_i \in d_j, v \neq j\}).$$

Clearly  $\mathcal{O} = \{O_j : j \in J\}$  is a disjoint family separating the members of  $D$ . It is easy to see that  $\mathcal{D} = \{\{y_i\} : i \in k\}$  is a discrete family of closed subsets of  $X$ .

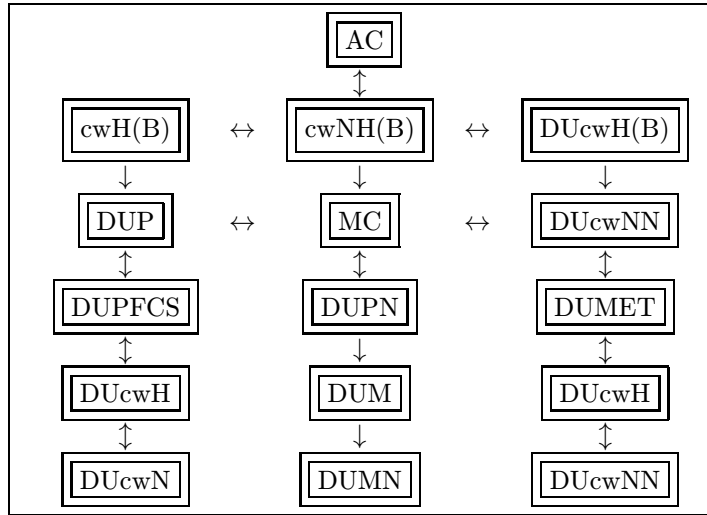
If  $B$  is defined as follows:

$$B = \{A_i^* \setminus a : a \in [A_i]^{<\omega} \setminus \{\emptyset\}, i \in k\} \cup \{\{x\} : x \in \cup \mathcal{A}\},$$

then  $B$  is a basis for  $X$  closed under finite intersections and  $D = \{y_i : i \in k\}$  is a closed discrete subspace of  $X$ . It follows from cwH(B) that there is a pairwise disjoint set  $V = \{V_i : i \in k\} \subseteq B$  such that for each  $i \in k$ ,  $y_i \in V_i$ . Putting  $F = \{F_i = A_i \setminus V_i : i \in k\}$ , we see that each  $F_i$ ,  $i \in k$ , is a finite non-empty subset of  $A_i$ , which proves MC.  $\square$

## Summary

We summarize our results in the following diagram. (The forms cwH(B), DUcwH(B), and cwNH(B) in the diagram are abbreviations for (ii), (iii), and (iv), respectively, in Theorem 6.)



We have shown that DUM does not imply  $\omega$ -MC or MC in  $ZF^0$ . (See Example 3 above. DUM is true in  $\mathcal{M}$ , but  $\omega$ -MC and MC are false.) However, we still have the following questions.

- Questions:** (i) Does DUM imply  $\omega$ -MC or MC in ZF?  
(ii) Does DUMN imply DUM?

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