HW6, 1. Let α and β be rational numbers, with $|\alpha| \leq 1/2$, and let m > 0 be an integer such that $\alpha^2 - m\beta^2 = -1 - \delta$ where $0 \leq \delta < 1$. Set $\epsilon := 1$ if $\alpha \geq 0$ and -1 if $\alpha < 0$. Show that if m is not of the form $5n^2$ $(n \in \mathbb{Z})$ then $|(\alpha + \epsilon)^2 - m\beta^2| < 1$.

Deduce that $\mathbb{Z}[\omega]$ is norm-Euclidean when $\omega = \sqrt{6}$, when $\omega = \sqrt{7}$, or when $\omega^2 - \omega + q = 0$ with q = -4, -5 or -7.

Solution.

It holds that

$$(\alpha + \epsilon)^2 - m\beta^2 = (\alpha^2 - m\beta^2 + 1) + 2\alpha\epsilon = -\delta + 2\alpha\epsilon = -\delta + 2|\alpha|,$$

and that

$$-1 < -\delta + 2|\alpha| \le -\delta + 1 \le 1,$$

so that $|(\alpha + \epsilon)^2 - m\beta^2| \leq 1$, with equality only if $\delta = 0$ and $\alpha = \pm 1/2$ —in which case $m\beta^2 = \alpha^2 + 1 = 5/4$, whence $m = 5n^2/q^2$ for some relatively prime integers n and $q \neq 0$; and since q^2 divides $5n^2$ therefore q^2 divides 5, forcing $q^2 = 1$, i.e., $m = 5n^2$, which is excluded by assumption.

Let's deduce that $\mathbb{Z}[\sqrt{m}]$ is norm-Euclidean when m = 6 or 7. (The argument will also cover the cases m = 2 and m = 3, treated previously in class.)

We need that any $u + v\sqrt{m}$ where u and v are rational has a "good approximation" $a + b\sqrt{m}$ $(a, b \in \mathbb{Z})$, that is, if $\alpha := a - u$ and $\beta := b - v$ then

$$|\alpha^2 - m\beta^2| < 1.$$

Choose $a, b \in \mathbb{Z}$ such that $|a - u| \leq 1/2$ and $|b - v| \leq 1/2$. If (1.1) holds, fine. (This always happens for m = 2 or 3.) If not, then since m < 8 therefore $\alpha^2 - m\beta^2 = -1 - \delta$ where $0 \leq \delta < 1$, and the above result shows that (1.1) will hold after a is replaced by $a + \epsilon$.

As for $\mathbb{Z}[\omega]$ where $\omega^2 - \omega + q = 0$ (q < 0), we need that, with preceding notation,

(1.2)
$$1 > \operatorname{Norm}((a-u) + (b-v)\omega) = (a-u)^2 + (a-u)(b-v) + q(b-v)^2$$
$$= \left((a-u) + \frac{b-v}{2}\right)^2 - (1-4q)\left(\frac{b-v}{2}\right)^2 > -1.$$

Set m := 1 - 4q, $\beta := (b - v)/2$, $\alpha := a + b - u$, choosing $b \in \mathbb{Z}$ so that $|\beta| \le 1/4$, and then $a \in \mathbb{Z}$ so that $|\alpha| \le 1/2$. As above, (1.2) is satisfied—after replacement of a by $a + \epsilon$, if necessary—as long as $\alpha^2 - m\beta^2 > -2$ and $m \ne 5n^2$, which does hold if q = -4, -5 or -7 (and also in the cases q = -2 and q = -3, treated previously in class).

HW6, 2. (Fermat). Find all solutions in positive integers of the equation $y^3 = x^2 + 4$.

<u>Hint</u>. Prove and use the following facts about Gaussian integers. (i) a + bi is divisible by $1 + i \iff a - b$ is even. (ii) If $y^3 = x^2 + 4$ $(x, y \in \mathbf{Z})$, then

$$(x+2i, x-2i) = \begin{cases} 1 & \text{if } x \text{ is odd} \\ (1+i)^3 & \text{if } x \text{ is even.} \end{cases}$$

(iii) If $y^3 = x^2 + 4$ then $x + 2i = i^n (a + bi)^3$ for some *n*, *a*, *b*.

Solution. First, the hints.

(i) For given $a, b \in \mathbb{Z}$, there exist $c, d \in \mathbb{Z}$ such that

$$a + bi = (c + di)(1 + i) = (c - d) + (c + d)i$$

iff the equations c - d = a, c + d = b can be solved in \mathbb{Z} , i.e., iff (a + b)/2 and (a - b/2 are in \mathbb{Z} , i.e., iff a - b is even.

(ii) Any common factor p of (x + 2i) and (x - 2i) divides their difference, which is $4i = -i(1+i)^4$, so p must be an associate of $(1+i)^n$ $(0 \le n \le 4)$. (Note that 1+i has prime norm 2, so that 1+i is prime.) If x is odd then by (i), (x+2i) is not divisible by (1+i), so p is a unit. If x is even, say x = 2z, then z must be odd (otherwise both y^3 and x^2 would be divisible by 8, contradicting $y^3 - x^2 = 4$), and so

$$(x+2i, x-2i) = 2(z+i, 1+i) = (1+i)^3.$$

(iii) Since $(x + 2i)(x - 2i) = y^3$, and every unit in $\mathbb{Z}[i]$ is a cube, we see that if x + 2iand x - 2i are relatively prime, i.e., x is odd, then for some $a, b \in \mathbb{Z}$, $(x + 2i) = (a + bi)^3$. When x, and hence y is even, say y = 2w, then

$$\frac{x+2i}{(1+i)^3} \frac{x-2i}{(1+i)^3} = \frac{y^3}{-8i} \,,$$

and since the two factors on the left are relatively prime, it follows easily that, again, $(x+2i) = (a+bi)^3$ for some a and b.

Thus

$$x + 2i = (a + bi)^3 = (a^3 - 3ab^2) + (3a^2b - b^3)i,$$

so that $x = a(a^2 - 3b^2)$ and $b(3a^2 - b^2) = 2$. The latter equality forces b = 1 and $a = \pm 1$ or b = -2 and $a = \pm 1$, giving, respectively, x = 2, y = 2, or x = 11, y = 5.

HW8, 1. Let R be a UFD, with fraction field K. Suppose you already have computer algorithms for factoring into primes in R and in the polynomial ring K[X]. Describe briefly how you would instruct a computer to factor into primes in R[X].

Solution. Given a polynomial $p \in R[X]$, factor it into primes in K[X]. Represent each prime K[X]-factor in the form $(c_i/d_i)p_i$ with $c_i, d_i \in R$, and $p_i \in R[X]$ primitive, i.e., the gcd of the coefficients of p_i is 1. (Any polynomial $q \in K[X]$ has the form (1/d)q' with $q' \in R[X]$; and $(1/d)q' = (c/d)q^*$ where c = gcd of the coefficients of q'—determined by factoring them into primes, whence $q^* \in R[X]$ is primitive.) So

(2.1)
$$p = \prod_{i=1}^{n} \frac{c_i}{d_i} p_i = \frac{c}{d} \prod_{i=1}^{n} p_i \qquad (\text{where } c = \prod_{i=1}^{n} c_i, \ d = \prod_{i=1}^{n} d_i).$$

What is usually called *Gauss's Lemma*, shown by arguing as in the proof of Proposition 5 on p. 303 of D&F, is the assertion that any product of primitive polynomials is primitive. It follows that in (2.1), c is the gcd of the coefficients of dp, whence d|c in R.

Since R[X] is a UFD, Corollary 6 on p. 304 of D&F gives that each p_i is prime in R[X]. And by Proposition 2 on p. 296 of D&F, every prime element in R is prime in R[X]. Thus a prime factorization of p can be gotten from (2.1) by factoring c/d into primes in R.

HW8, 2. Let k be a field, x, y, and z indeterminates.

(a) Let f(x) and g(x) be relatively prime polynomials in k[x]. Show that in the polynomial ring k(y)[x], f(x) - yg(x) is irreducible.

(b) Prove that in k(y, z)[x], the polynomial

$$x^{4} - yzx^{3} + (y^{2}z^{2} - y)x^{2} + (y^{2}z - y)x + y^{2}z$$

is irreducible. (Hint. Eisenstein, after rearranging.)

Solutions. (a) By Proposition 5 on p. 303 of D&F, it suffices that f(x) - yg(x) be irreducible in $k[y][x] \cong k[y,x] \cong k[x,y] \cong k[x][y]$, which it is, by Corollary 6 on p. 304 of D&F, because it is primitive in k[x][y] and irreducible in k(x)[y] (its degree being 1).

(b) The polynomial can be viewed as the primitive polynomial

$$x^{2}y^{2}z^{2} - y(x^{3} - yx - y)z + x(x^{3} - yx - y) \in k[x, y][z],$$

to which one applies Eisenstein's criterion with the prime $x^3 - y(x+1) \in k[x,y]$ (see (a)) to get irreducibility in $k[x,y][z] \cong k[y,z][x]$, whence in k(y,z)[x] (by Proposition 5 on p. 303 of D&F). Note that Proposition 13 on p. 309 isn't quite good enough, because it refers to a monic polynomial; but pretty much the same argument applies to any primitive polynomial $a_n x^n + a_{n-1} x^{n-1} + \ldots$ with $a_n \notin P$ (the prime ideal in Prop. 13). **HW8, 3.** Let R be an integral domain with fraction field K, let R[X] be a polynomial ring, and let a and b be nonzero elements in R. Prove:

(a) If R is a UFD and $P \subset R[X]$ is a prime ideal with $P \cap R = (0)$, then P is a principal ideal.

(b) $aR \cap bR = abR$ iff the ring R[X]/(aX - b) is an integral domain.

(c) If c = aq = bp is a nonzero common multiple of a and b then c is an l.c.m. of a and b iff pX - q is a prime element in R[X].

(d) An l.c.m. [a, b] exists iff the kernel of the *R*-homomorphism $\phi: R[X] \to R[\frac{b}{a}] \subset K$ taking X to $\frac{b}{a}$ is a principal ideal.

Solutions. (a) The K[X]-ideal PK[X] generated by P is principal, with generator, say, $q = (c/d)q^*$ (see solution to 2 above), and then the primitive polynomial $f := q^*$ is also a generator. Being in PK[X], f has the form $\sum h_i f_i$ with $h_i \in K[X]$ and $f_i \in P$, from which follows that $af \in P$ for some $a \neq 0 \in R$. As P is prime and $a \notin P$, therefore $f \in P$.

Now any $g \in P$ is a multiple of f in K[X]. But a careful reading of the proof of Proposition 5 on p. 303 of D&F shows that if $p \in R[X]$ factors as p = AB in K[X], with B a primitive polynomial in R[X], then $A \in R[X]$. Thus g is a multiple of f in R[X]; and so P is generated by f.

(b) Suppose $aR \cap bR = abR$. Let f(X) lie in the kernel of ϕ . In $R[\frac{1}{a}][X]$, a is a unit, so f(X) = (aX - b)g(X) + c; clear denominators to get that for some $n \ge 0$, $h \in R[X]$, and $r \in R$,

$$a^n f(X) = (aX - b)h(X) + r.$$

Set X = b/a to see that r = 0. Now choose the least such n. Then if n > 0, the coefficients of $bg = aX - a^n f$ lie in $aR \cap bR = abR$, whence the coefficients of g are divisible by a. Hence $a^{n-1}f(X) = (aX - b)(a^{-1}h(X))$, contradicting the minimality of n. So n = 0 and aX - bdivides f. Thus the kernel of ϕ is generated by aX - b, and R[X]/(aX - b) is isomorphic to the image of ϕ , clearly an integral domain.

Conversely, if the element aX - b is prime in R[X], and $d \in aR \cap bR = abR$, say d = ap = bq, then p(aX - b) = b(qX - p) and aX - b doesn't divide b, and so aX - b divides qX - p, whence a|q and ab|qb = d. Thus $aR \cap bR = abR$.

(c) Suppose c = [a, b]. Note that neither p nor q is 0, since $c \neq 0$. If x is a common multiple of p and q then bx is a common multiple of bp = aq and of bq, so bx is a multiple of cq = bpq, whence x is a multiple of pq. Thus [p,q] = pq, or equivalently, $pR \cap qR = pqR$; and by (b), pX - q is prime.

Conversely, if pX - q is prime, so that by (b), $pR \cap qR = pqR$, i.e., [p,q] = pq, then [pa, pb] = [qb, pb] = pqb, whence [a, b] = qb = c.

(d) As in the proof of (c), if c = aq = bp = [a, b], then $pR \cap qR = pqR$; so by (b), the kernel of $\phi: R[X] \to R[\frac{q}{p}] = R[\frac{b}{a}]$ is generated by pX - q.

Conversely, if the kernel of ϕ is principal, then since it contains aX - b and no nonzero element of R, its generator, a prime element, must be of the form pX - q; and by (b), $pR \cap qR = pqR$, i.e., [p,q] = pq. Moreover, aX - b = r(pX - q) for some $r \in R$. Hence

$$[a,b] = [rp,rq] = pqr.$$

HW8, 4. (a) Prove that if $x \neq 0$ and y are elements in a UFD such that x^2 divides y^2 , then x divides y.

(b) Let k be a field. In the quotient ring $R = k[X, Y, Z]/(Y^2 - X^2 Z)$ let $x = \overline{X}$ and $y = \overline{Y}$ be the natural images of X and Y. Show that x^2 divides y^2 in R, but x does not divide y.

(c) Is R an integral domain? (Why?)

Solutions. (a) $[(x,y)^2 = (x^2,y^2) = x^2] \implies [(x,y) = x].$

(b) Let $z = \overline{Z}$. Then $y^2 = x^2 z$. If x|y then there are polynomials f and g such that

$$Y = Xf(X, Y, Z) + (Y^{2} - X^{2}Z)g(X, Y, Z).$$

Setting X = 0 produces a contradiction.

(c) Yes, because $Y^2 - X^2 Z$ is irreducible (primitive in k[X, Y][Z] and irreducible in k(X, Y)[Z]), therefore prime (since k[X, Y, Z] is a UFD).