

# CONNECTIVITY OF JOINS, COHOMOLOGICAL QUANTIFIER ELIMINATION, AND AN ALGEBRAIC TODA'S THEOREM

SAUGATA BASU AND DEEPAM PATEL

ABSTRACT. Let  $X \subset \mathbb{P}^n$  be a non-empty closed subscheme over an algebraically closed field  $k$ , and  $J^{[p]}(X) = J(X, J(X, \dots, J(X, X) \dots))$  denote the  $p$ -fold iterated join of  $X$  with itself. In this article, we prove that the restriction homomorphism on cohomology  $H^i(\mathbb{P}^N) \rightarrow H^i(J^{[p]}(X))$ , with  $N = (p+1)(n+1) - 1$ , is an isomorphism for  $0 \leq i < p$ , and injective for  $i = p$ , for any good cohomology theory. We also prove this result in the more general setting of relative joins for  $X$  over a base scheme  $S$ , where  $S$  is of finite type over  $k$ . We give several applications of these results including a cohomological version of classical quantifier elimination in the first order theory of algebraically closed fields of arbitrary characteristic, as well as an algebraic version of Toda's theorem in complexity theory valid over algebraically closed fields of arbitrary characteristic. We also apply our results to obtain effective bounds on the Betti numbers of images of projective varieties under projection maps.

## CONTENTS

1. Introduction	2
1.1. Cohomological connectivity of joins	2
1.2. Cohomological quantifier elimination	4
1.3. Algebraic Toda's theorem	6
1.4. Uniform bounds on Betti numbers of varieties	7
2. Cohomological connectivity properties of the join	9
2.1. Joins of schemes	9
2.2. Joins and cones	11
2.3. Proofs of cohomological connectivity of joins	11
2.4. Cohomological connectivity over non-algebraically closed fields	18
2.5. Cohomological connectivity and Poincaré polynomials	19
3. Quantifier elimination, cohomology and joins	20
3.1. An example	23
3.2. Proof of the cohomological quantifier elimination theorem	24
4. An algebraic version of Toda's theorem over algebraically closed fields	27
4.1. The classes $\mathbf{P}_k^c$ , $\mathbf{PH}_k^c$ , $\#\mathbf{P}_k^c$	27
4.2. Proof of algebraic version of Toda's theorem	30
5. Bounds on Betti numbers	31
5.1. Classical results on bounds for sums of Betti numbers of algebraic sets	31
5.2. Bounds on the Betti numbers of images via relative joins	33

---

S.B. would like to acknowledge support from the National Science Foundation award CCF-1618981 and DMS-1620271. D.P. would like to acknowledge support from the National Science Foundation award DMS-1502296.

6. Relative joins versus products	33
6.1. Exponentially large error for the hypercovering inequality	34
6.2. Joins and defects	35
References	35

## 1. INTRODUCTION

In this article, we study cohomological properties of (ruled) joins of projective schemes and discuss several applications. We describe our main results, the motivation behind these results, and their connections with prior work in the following paragraphs.

**1.1. Cohomological connectivity of joins.** Let  $X \subset \mathbb{P}^m$  and  $Y \subset \mathbb{P}^n$  denote two non-empty closed sub-schemes over an algebraically closed field  $k$ . Then the (ruled) join  $J(X, Y)$  is a closed subscheme of  $\mathbb{P}^{n+m+1}$ . Moreover, one can show that  $J(X, Y)$  is connected. One can interpret the latter topological connectivity result as the following cohomological connectivity result:

The restriction map induces an isomorphism  $H^0(\mathbb{P}^{n+m+1}) \rightarrow H^0(J(X, Y))$ .

Our first main theorem generalizes this cohomological connectivity result to iterated joins. Given  $X_i \subset \mathbb{P}^{n_i}$  ( $0 \leq i \leq p$ ), let  $J^{[p]}(\mathbb{X}) := J(X_0, \dots, X_p) \subset \mathbb{P}^N$  denote the iterated (ruled) join. Here  $N = \sum_{i=0}^p (n_i + 1) - 1$ .

**Theorem** (cf. Theorem 2.15). *Let for  $0 \leq i \leq p$ ,  $X_i \subset \mathbb{P}^{n_i}$  be non-empty closed subschemes. Then the inclusion  $J^{[p]}(\mathbb{X}) \hookrightarrow \mathbb{P}^N$  (with  $N = \sum_{i=0}^p (n_i + 1) - 1$ ) induces an isomorphism*

$$(1.1) \quad H^j(\mathbb{P}^N) \rightarrow H^i(J^{[p]}(X))$$

for all  $j, 0 \leq j < p$ , and an injective homomorphism for  $j = p$ .

The cohomology groups appearing in the Theorem are either etale or singular cohomology groups. In fact, our proof is ‘motivic’ and works in the context of any ‘good’ cohomology theory. For example, if  $k = \mathbb{C}$ , then we obtain isomorphisms of mixed Hodge structures. We have analogous results in the setting of etale cohomology, where one obtains isomorphisms on cohomology compatible with Galois actions.

We also prove a similar result under assumptions of ‘higher’ cohomological connectivity of the of the given schemes More precisely, we prove the following result:

**Theorem** (cf. Theorem 2.25). *Let for  $0 \leq i \leq p$ ,  $X_i \subset \mathbb{P}^{n_i}$  be non-empty closed subschemes, and  $d_i \in \mathbb{Z}_{\geq 0}$ , such that the restriction homomorphisms  $H^j(\mathbb{P}^{n_i}) \rightarrow H^j(X_i)$  are isomorphisms for  $0 \leq j < d_i$ , and injective for  $j = d_i$ . Then the restriction homomorphism*

$$(1.2) \quad H^j(\mathbb{P}^N) \rightarrow H^i(J^{[p]}(X))$$

is an isomorphism for  $0 \leq j < d + p$ , and injective for  $j = d + p$ , where  $d = \sum_{i=0}^p d_i$ .

Note that topological connectivity properties (in the Zariski topology) of joins of projective varieties have been considered by various authors (see for example the book [FOV99]). The main emphasis in these previous works was on studying Grothendieck’s notion of ‘ $d$ -connectedness’. A projective variety  $V$  is  $d$ -connected if  $\dim X > d$  and  $X \setminus Y$  is connected for all closed subvarieties  $Y$  of dimension  $< d$ . It is a classical result [FOV99, §3.2.4], that if  $X$  is  $d$ -connected and  $Y$  is  $e$ -connected then  $J(X, Y)$  is  $(d + e + 1)$ -connected. One can easily generalize this to the setting of multi-joins. While this result is philosophically similar to the aforementioned cohomological connectivity of the join, one cannot infer Theorem 2.7 from this result. In particular, it is easy to come up with examples of projective varieties  $X \subset \mathbb{P}^n$ , such that  $X$  is  $d$ -connected, but the restriction homomorphism  $H^i(\mathbb{P}^n) \rightarrow H^i(X)$  is not an isomorphism for some  $i, 0 \leq i < d$ .

The notion of cohomological connectivity considered in this paper is distinguished from Grothendieck connectivity in another significant way. We prove relative versions (see Theorems 2.17 and 2.20) of our connectedness theorems where the join is replaced by the relative join. This relative version (namely, Theorem 2.17) is in fact the key to the main applications of our connectivity theorem. It allows us to relate the Poincaré polynomial of the image of a closed projective scheme with that of the iterated relative join (relative to the projection morphism). More precisely, we obtain:

**Theorem** (cf. Theorem 2.30). *Let  $S = \mathbb{P}^m$ ,  $X \subset \mathbb{P}^n \times \mathbb{P}^m$ , and  $\pi : \mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^m$  the projection morphism. Then,*

$$P(\mathbb{J}_S^{[p]}(X)) \equiv P(\pi(X))(1 + T^2 + T^4 + \dots + T^{2((p+1)(n+1)-1)}) \pmod{T^p}.$$

(Here,  $\mathbb{J}_S^{[p]}(\cdot)$  denote the  $p$ -fold iterated relative join over  $S$ , and  $P(\cdot)$  the Poincaré polynomial.)

The cohomological connectivity property of the iterated relative join of a complex algebraic set  $X \subset \mathbb{P}_{\mathbb{C}}^m \times \mathbb{P}_{\mathbb{C}}^n$  relative to the proper morphism  $\pi : X \rightarrow \mathbb{P}_{\mathbb{C}}^m$  (the restriction of the projection  $\mathbb{P}_{\mathbb{C}}^m \times \mathbb{P}_{\mathbb{C}}^n \rightarrow \mathbb{P}_{\mathbb{C}}^m$  to  $X$ ) was first investigated in [Bas12]. A complex version of Theorem 2.30 valid for singular cohomology was obtained there (though not stated in the language of cohomological connectivity). The motivation in *loc. cit.* was to prove an analog of a certain result from the theory of computational complexity (Toda’s theorem [Tod91]) in the complex algebraic setting. The relation between Poincaré polynomials in the above theorem was the key input in the proof of the complex analog of Toda’s theorem. However, the argument in *loc. cit.* was topological, and heavily used the analytic topology of complex varieties. Our result extends the topological result in *loc. cit.* to the setting of any ‘good’ cohomology theory – for example, étale cohomology of projective schemes of finite type over a base field of arbitrary characteristic. This significantly widens the applicability of our main results. For example, using our more general result we are now able to extend Toda’s theorem to algebraically closed fields of arbitrary characteristic.

We also give several other applications of our results. These applications are mostly quantitative in nature and impinge on model theory as well as on the theory of computational complexity. We discuss these applications in the next paragraphs.

**1.2. Cohomological quantifier elimination.** Our first application is related to the topic of ‘quantifier elimination’ in the first order theory of algebraically closed fields. It is a well known fact in model theory that the first order theory of algebraically closed fields (for any fixed characteristic) admits quantifier elimination. This is also known as Chevalley’s theorem. More precisely, for  $k$  an algebraically closed field and with tuples of variables  $\mathbf{X} = (X_1, \dots, X_m), \mathbf{Y} = (Y_1, \dots, Y_n)$ , a *quantifier-free first order formula in the language of the field  $k$*  is a Boolean formula with atoms of the form  $P(\mathbf{X}, \mathbf{Y}) = 0, P \in k[\mathbf{X}, \mathbf{Y}]$ . A *first order formula in the language of the field  $k$*  is of the form

$$\phi(\mathbf{X}, \mathbf{Y}) = (\mathbf{Q}_1 X_1) \cdots (\mathbf{Q}_m X_m) \psi(\mathbf{X}, \mathbf{Y}),$$

where  $\psi$  is a quantifier-free first order formula and each  $\mathbf{Q}_i$  is a quantifier belonging to  $\{\exists, \forall\}$ .<sup>1</sup>

Any first order formula  $\phi(\mathbf{Y})$  in the language of an algebraically closed field  $k$  defines (in an obvious way) a subset  $\mathcal{R}(\phi)$  of  $\mathbb{A}^n$ , where  $n$  is the length of the tuple  $\mathbf{Y}$ . If the  $n = 0$  (i.e. the set of free variables  $\mathbf{Y}$  is empty), then the formula  $\phi$  is called a *sentence*, and there are only two possibilities for  $\mathcal{R}(\phi)$ . Either  $\mathcal{R}(\phi) = \mathbb{A}^0$ , in which case we say that  $\phi$  is True (or equivalently  $\phi$  belongs to the first order theory of  $k$ ), or  $\mathcal{R}(\phi) = \emptyset$ , in which case we say that  $\phi$  is False (or  $\neg\phi$  belongs to the first order theory of  $k$ ). The quantifier elimination property of the theory of algebraically closed fields can now be stated as:

**Theorem A** (Quantifier-elimination in the theory of algebraic closed fields). *Let  $k$  be an algebraically closed field. Then, every first order formula*

$$\phi(\mathbf{Y}) = (\mathbf{Q}_1 X_1) \cdots (\mathbf{Q}_m X_m) \psi(\mathbf{X}, \mathbf{Y}),$$

*in the language of the field  $k$ , there exists a quantifier-free formula  $\phi'(\mathbf{Y})$  such that*

$$\mathcal{R}(\phi) = \mathcal{R}(\phi').$$

At the cost of being redundant (for reason that will become apparent in the following paragraphs) we state the following corollary of Theorem A in the case  $\mathbf{Y}$  is empty. With the same hypothesis as in Theorem A:

**Corollary A.**

$$\phi \Leftrightarrow (\mathcal{R}(\phi) = \mathbb{A}^0).$$

We introduce in this paper a cohomological variant of quantifier elimination. We restrict our attention to what we call *proper* formulas (cf. Definition 3.2). Just like a first order formula defines a constructible subset of  $\mathbb{A}^n$ , a proper formula defines an algebraic subset of some products of  $\mathbb{P}^n$ ’s. Given a (possibly quantified) proper formula  $\psi$  over an algebraically closed field (of arbitrary characteristic), we produce a quantifier-free formula  $\psi' = J(\psi)$  (also proper) from  $\psi$ .<sup>2</sup> While not being equivalent to  $\psi$  in the strict sense of model theory,  $\psi'$  is related to  $\psi$  via a cohomological invariant (closely related to the Poincaré polynomial which we call the ‘pseudo-Poincaré polynomial’). This invariant of  $\psi$  can be recovered from that of the quantifier-free formula  $\psi'$  using only arithmetic over  $\mathbb{Z}$ . More precisely, we

<sup>1</sup>We refer the reader who is unfamiliar with model theory terminology to the book [Poi00] for all the necessary background that will be required in this article.

<sup>2</sup>The notation  $J(\cdot)$  and its connection to the join will be clear from its definition given in Notation 3.8 in Section 3.

prove that there exists an operator  $F^\omega : \mathbb{Z}[T] \rightarrow \mathbb{Z}[T]$  (depending only on the sequence  $\omega$  of quantifiers, and the block sizes in the proper quantified formula  $\psi$ ) such that the following equality holds:

**Theorem B** (cf. Theorem 3.12).

$$Q(\psi) = F^\omega(Q(\psi')).$$

(Here,  $Q(\phi)$  denotes the pseudo-Poincaré polynomial (cf. Definition 3.5) of the algebraic set defined by  $\phi$  for any proper formula  $\phi$ ).

The above theorem deserves the moniker ‘quantifier elimination’ once we substitute the realization map  $\mathcal{R}(\cdot)$ , which takes formulas to constructible sets in Theorem A, by the map  $Q(\cdot)$  which takes formulas to  $\mathbb{Z}[T]$ . While we have an absolute equality  $\mathcal{R}(\phi) = \mathcal{R}(\phi')$  in Theorem A, in Theorem B, the polynomials  $Q(\psi)$  and  $Q(\psi')$  are related via the map  $F^\omega$ . In the case of sentences (i.e. when the set of free variables is empty) we have the (perhaps even more suggestive) corollary (compare with Corollary A):

**Corollary B.**

$$\psi \Leftrightarrow (F^\omega(Q(\psi')) = 1).$$

The main advantage of the cohomological variant over usual quantifier elimination becomes apparent when viewed through the lens of ‘complexity’. In the traditional quantifier elimination (Theorem A above) the quantifier-free formula  $\phi'$  can be potentially much more complicated than  $\phi$  – for instance, the degrees of the polynomials appearing in the atoms of  $\phi'$  could be much bigger than those of the polynomials appearing in the atoms of  $\phi$  (see for example [Hei85]) – and there is no direct way of producing  $\phi'$  from  $\phi$  without using algebraic constructions such as taking resultants of polynomials appearing in  $\phi$  etc. In fact, bounding the ‘complexity’ of the quantifier-free  $\phi'$  in terms of that of  $\phi$  is an extremely well-studied question (see for example [Hei85] for the state-of-the-art) with many ramifications (for example, to the **P** vs **NP** question over algebraically closed fields in the Blum-Shub-Smale model [BCSS98]).

The notion of ‘complexity’ of a formula that we use is made precise later (cf. Definition 4.2), however any reasonable notion of ‘complexity’ (for example, taking it to be the maximum of the degrees of polynomials that appear in it) suffices for the following discussion. The best known upper bounds on the complexity’ of  $\phi'$  is exponential in that of  $\phi$  [Hei85], even when the number of blocks of quantifiers is fixed and it is considered highly unlikely that this could be improved. The crucial advantage of ‘cohomological quantifier elimination’ over ordinary quantifier elimination (i.e. Theorem B over Theorem A) is that the quantifier-free formula  $\psi'$  has ‘complexity’ which is bounded polynomially in that of  $\psi$  (when the length of  $\omega$  is fixed). This fact follows from the fact that  $\psi'$  can be expressed in terms of  $\psi$  in a uniform way – without having to do any algebraic operations. Thus, while the relation between the quantifier-free formula  $\psi'$  and  $\psi$  is weaker than in the case of quantifier elimination in the usual sense, it is obtained much more easily from  $\psi$  without paying the heavy price inherent in the quantifier elimination process. This last feature of Theorem 3.12 is the key to our second application of Theorem 2.30 that we discuss below – namely, an algebraic analog of Toda’s theorem.

We note that a version of Theorem B in a less precise form over the field of complex numbers and using singular cohomology appears in [Bas12]. The results of this section hold over algebraically closed fields of arbitrary characteristic, and any Weil cohomology theory, and so is much more general than the result in *loc. cit.* Also, while the techniques used in the proof of Theorem B are somewhat similar to those used in *loc. cit.*, the proof differs in several key points – so we prefer to give a self-contained proof of Theorem B at the cost of some repetition.

**1.3. Algebraic Toda’s theorem.** The ‘cohomological quantifier elimination’ theorem discussed above has applications in the theory of computational complexity. In the classical theory of computational complexity, there is a clear analog of Kleene’s arithmetical hierarchy in logic – namely, the polynomial hierarchy **PH** (consisting of the problems of deciding sentences with a fixed number of quantifier alternations). This connection, and especially the relation to quantifiers is made precise in Section 4 below. Another important topic studied in the theory of computational complexity is the complexity of counting functions. A particularly important class of counting functions is the class **#P** (introduced by Valiant [Val84]) associated with the decision problems in **NP**: it can be defined as the set of functions  $f(x)$  which, for any input  $x$ , return the number of accepting paths for the input  $x$  in some non-deterministic Turing machine. A theorem due to Toda relates these two different complexity classes by an inclusion (which expresses the fact that ability to ‘count’ is a powerful ‘computational resource’). The precise result is:

**Theorem 1.3** (Toda [Tod91]). **PH**  $\subset$  **P**<sup>#P</sup>.

Thus, Toda’s theorem asserts that any language in the polynomial hierarchy can be decided by a Turing machine in polynomial time, given access to an oracle with the power to compute a function in **#P**. (Only one call to the oracle is required in the proof.) We refer the reader to [Pap94] for precise definitions of these classes in terms of Turing machines, and also that of oracle computations, but these definitions will not be needed for the results proved in the current paper.

As mentioned previously, an important feature of Theorem 3.12 is that the quantifier-free formula  $J(\psi)$  obtained from the quantified formula  $\psi$  has an easy description in terms of  $\psi$  (in contrast to what happens in classical quantifier elimination). Making this statement quantitative leads to a result which is formally analogous to Theorem 1.3, and which we discuss below.

As stated above Toda’s theorem deals with complexity classes in a discrete setting. Blum, Shub and Smale [BCSS98], and independently Poizat [Poi95], proposed a more general notion of complexity theory valid over arbitrary rings. The classical discrete complexity theory reduces to the case when this ring is a finite field. An interesting question that arises in this context is whether an analog of Toda’s result hold for complexity classes defined over rings other than finite fields. While the polynomial hierarchy has an obvious meaning in the more general B-S-S setting, the meaning of the counting class **#P** is less clear – boiling down to the question what does it mean to ‘count’ a semi-algebraic set (for B-S-S theory over  $\mathbb{R}$ ) or a constructible set (for B-S-S theory over  $\mathbb{C}$ ). Making the reasonable choice that ‘counting’ in these settings should mean computing the Poincaré polynomial, and defining the class **#P** appropriately, real and complex versions of Toda’s theorem

were proved in [BZ10] and [Bas12], respectively. However, the proofs of the aforementioned results were topological and used the euclidean topology of real and complex varieties.

Since the approach in the current paper is purely algebraic, we are now able to obtain a similar result in all characteristic and applicable for all Weil cohomology. The algebraic approach is also different in certain important technical details. Additionally, in order to make our result independent of the technical details which are inherent in any description of a computing machine (such as B-S-S or Turing machines) we state and prove our result in the non-uniform setting of circuits – and reformulate Toda’s theorem as a containment of two non-uniform complexity classes of *constructible functions* instead. This does not affect the main mathematical content of the theorem, viz. a polynomially bounded reduction of the quantifier elimination problem in the theory of algebraically closed fields to the problem of computing the Poincaré polynomial of certain algebraic set built in terms of the given formula. As an added advantage, this lessens the burden on the reader unfamiliar with B-S-S machines. We prove the following inclusion.

**Theorem** (cf. Theorem 4.11).

$$\mathbf{1PH}_k^c \subset \#\mathbf{P}_k^c.$$

The precise definitions are given in Section 4.1 below. The left hand side of the inclusion is the class of sequences of characteristic functions of the algebraic analog of languages in the polynomial hierarchy, and the right hand side is the algebraic analog of the class  $\mathbf{P}^{\#\mathbf{P}}$  as in Toda’s theorem. If Toda’s original theorem expresses the ‘power of counting’, one could say similarly, that Theorem 4.11 is about the ‘expressive power of cohomology’.

**1.4. Uniform bounds on Betti numbers of varieties.** As a final application of our results on the connectivity of joins, we consider the well studied problem of proving effective upper bounds on the Betti numbers of algebraic sets in terms of the parameters defining them. This problem has many applications, and has attracted a lot of attention in different settings. For example, in the context of real algebraic and semi-algebraic sets, such bounds were first proved by Oleĭnik and Petrovskii [PO49], Thom [Tho65] and Milnor [Mil64], who used Morse theory and the method of counting critical points of a Morse function to obtain a singly exponential upper bound on the Betti numbers (dimensions of the singular cohomology groups) of real varieties. Over arbitrary fields, Katz [Kat01], proved similar results for the  $\ell$ -adic Betti numbers of both affine and projective varieties, using prior results of Bombieri [Bom78a] and Adolphson-Sperber [AS88a] on exponential sums. Theorem 2.30 proved in this paper relates the Betti numbers of the image  $\pi(X)$ , of a projective subscheme  $X \subset \mathbb{P}^m \times \mathbb{P}^n$ , with those of  $X$  itself. Thus, it is natural to ask if this allows one to extend the results of Katz, to the images of projective subschemes of  $\mathbb{P}^m \times \mathbb{P}^n$  under projection map. One obvious way to prove upper bounds on  $\pi(X)$  is to first describe  $\pi(X)$  in terms of polynomials using effective quantifier elimination (see for example [Hei85]), and then applying Katz’s bound to the resulting description. However, the inordinately large complexity of quantifier elimination implies that such an upper bound would be very pessimistic.

We utilize Theorem 2.30 to prove uniform bounds on the Betti numbers of the image  $\pi(X)$  of an algebraic set  $X \subset \mathbb{P}_{\mathbb{C}}^m \times \mathbb{P}_{\mathbb{C}}^n$  in terms of the number of equations defining  $X$  and their degrees. We are thus able to extend prior results of Katz ([Kat01]) on bounding Betti numbers of projective algebraic sets in terms of the number of equations defining them and their degrees, to bounding those of the image  $\pi(X)$  in terms of the same parameters. Our main result in this direction is the following theorem.

**Theorem** (cf. Theorem 5.2). *Let  $X \subset \mathbb{P}^N \times \mathbb{P}^M$  be an algebraic set defined by  $r$  bi-homogeneous polynomials  $F_i(X_0, \dots, X_{N+1}, Y_0, \dots, Y_{M+1})$  of bi-degree  $(d_1, d_2)$ , and  $\pi : \mathbb{P}^N \times \mathbb{P}^M \rightarrow \mathbb{P}^M$  the projection morphism. Then, for all  $p > 0$ ,*

$$\begin{aligned} \sum_{h=0}^{p-1} b_h(\pi(X)) &\leq \frac{2}{p} \sum_{h=0}^{p-1} b_h(J_{\pi}^{[p]}(X)) \\ &\leq \frac{2}{p} \sum_{\substack{0 \leq i \leq (N+1)(p+1)-1 \\ 0 \leq j \leq M}} B(i+j, r(p+1), d_1 + d_2). \end{aligned}$$

Here,  $B(N, r, d)$  is a certain function defined precisely in Section 5.1, coming from the works of Bombieri [Bom78a], Adolphson-Sperber [AS88a], and Katz [Kat01], giving an upper bound on the  $\ell$ -adic Betti numbers (with compact support) of an algebraic subset  $X \subset \mathbb{A}^N$ , defined by  $r$  polynomial equations of degrees bounded by  $d$ .

An alternative method for bounding the Betti numbers of the image  $\pi(X)$ , in terms of the defining parameters of  $X$ , is by bounding the  $E_2$ -terms of the spectral sequence associated to the hypercovering of  $\pi(X)$  given by the iterated products of  $X$  fibered over  $\pi$ . We show in some situations (Section 6.1), the hypercovering inequality can be loose by an exponentially large factor. In such situations it might be better to first express the sum of the Betti numbers of  $\pi(X)$  in terms of certain Betti numbers of the join (cf. Eqn. (6.3) and (6.4)) and then use the bounds due to Katz (thus the only source of looseness of the obtained bound is that coming from Katz's inequality).

We also give an example of a situation where the join inequality can give the exact Betti numbers (up to some dimensions) of the image  $\pi(X)$ . Using Theorem 2.30, as well as Lefschetz theorems for singular varieties we prove the following theorem.

**Theorem** (cf. Theorem 6.5). *Let  $X \subset \mathbb{P}^N \times \mathbb{P}^n$  be a local complete intersection variety of pure dimension  $n-r$ . Let  $\pi : \mathbb{P}^N \times \mathbb{P}^n \rightarrow \mathbb{P}^n$  be the projection morphism, and suppose that  $\pi|_X$  has finite fibers. Then, for all  $i, 0 \leq i < \lfloor \frac{n-r}{r} \rfloor$ ,*

$$\begin{aligned} b_i(\pi(X)) &= 1 \text{ if } i \text{ is even,} \\ b_i(\pi(X)) &= 0 \text{ if } i \text{ is odd.} \end{aligned}$$

Note that it is not possible to derive Theorem 6.5 from the upper bound obtained from the hypercover inequality.

The rest of the paper is organized as follows. In Section, 2, we state and prove our main theorems on joins and relative joins. We state and prove a key inequality (Theorem 2.30) in Section 2.5. In Section 3, we state and prove our theorem on ‘cohomological quantifier elimination’, and in Section 4, we give the promised

application of cohomological quantifier elimination to prove a version of Toda's theorem valid over all algebraically closed fields. In Section 5, we discuss bounds on Betti numbers and in Section 6 we compare the efficacies of using the hypercovering vs the join inequalities.

## 2. COHOMOLOGICAL CONNECTIVITY PROPERTIES OF THE JOIN

In this section, we prove our main result on the cohomological connectivity of the join. In the following, we shall fix an algebraically closed base field  $k$  (except in subsection 2.4). All our schemes will be of finite type over the base field  $k$ .

**2.1. Joins of schemes.** We recall some basic properties of the join construction for the convenience of the reader. We refer the reader to [AK75] for the details. Let  $S$  be a scheme of finite type over  $k$ . Let  $\mathcal{C}(S)$  denote the category of positively graded quasi-coherent  $\mathcal{O}_S$ -algebras  $\mathcal{T} := \bigoplus_{i=0}^{\infty} \mathcal{T}_i$  such that  $\mathcal{T}$  is generated in degree 1, each component is a coherent  $\mathcal{O}_S$ -module, and the degree zero component is  $\mathcal{O}_S$ . We let  $\varepsilon_{\mathcal{T}} : \mathcal{T} \rightarrow \mathcal{O}_S$  denote the corresponding projection. Given  $\mathcal{T}, \mathcal{P} \in \mathcal{C}(S)$ , let  $X := \text{Proj}(\mathcal{T})$  and  $Y := \text{Proj}(\mathcal{P})$  denote the corresponding projective schemes. The *relative join* of  $X$  and  $Y$  over  $S$ , denoted  $J_S(X, Y)$ , is by definition  $\text{Proj}(\mathcal{T} \otimes_{\mathcal{O}_S} \mathcal{P})$ . Here are some basic properties of this construction:

1. The relative join construction can be viewed as a bi-functor as follows. Any surjection  $u : \mathcal{T} \rightarrow \mathcal{T}'$  of graded  $\mathcal{O}_S$ -algebras induces a linear embedding

$$P(u) : \text{Proj}(\mathcal{T}') \hookrightarrow \text{Proj}(\mathcal{T}).$$

Since the tensor product is right exact, the join can be viewed as a bi-functor  $J_S(-, -) : \mathcal{C}(S) \times \mathcal{C}(S) \rightarrow \text{Sch}_S$  with morphisms in  $\mathcal{C}(S)$  given by surjective morphisms of  $\mathcal{O}_S$ -algebras. Here  $\text{Sch}_S$  denotes the category of  $S$ -schemes.

2. Applying this construction to the morphism  $\varepsilon_{\mathcal{T}} \otimes \text{Id}$ , where  $\text{Id} : \mathcal{P} \rightarrow \mathcal{P}$  is the identity, gives a natural embedding  $i_X : X \hookrightarrow \text{Proj}(\mathcal{T} \otimes_{\mathcal{O}_S} \mathcal{P}) = J_S(X, Y)$  of schemes over  $S$ . Similarly, one has a natural embedding  $Y \hookrightarrow \text{Proj}(\mathcal{T} \otimes_{\mathcal{O}_S} \mathcal{P})$ .
3. Given a morphism  $S' \xrightarrow{f} S$  and an object  $\mathcal{T} \in \mathcal{C}(S)$ , let  $\mathcal{T}' \in \mathcal{C}(S')$  denote the corresponding pull back. Since the Proj construction is compatible with base change, the relative join is also compatible with base change. In particular, one has a cartesian diagram:

$$\begin{array}{ccc} \text{Proj}(\mathcal{T}' \otimes_{\mathcal{O}_{S'}} \mathcal{P}') & \longrightarrow & \text{Proj}(\mathcal{T} \otimes_{\mathcal{O}_S} \mathcal{P}) \\ \downarrow & & \downarrow \\ S' & \longrightarrow & S \end{array}$$

We can iterate the join construction and consider the  $p$ -fold join  $J_S^{[p]}(X)$ . More precisely, let  $J_S^{[1]}(X) := J_S(X, X)$ , and set  $J_S^{[p]}(X) := J_S(J_S^{[p-1]}(X), X)$ . This construction is the same as  $J_S(\underbrace{X, \dots, X}_{p+1})$ . Note that a surjection  $\mathcal{P} \rightarrow \mathcal{T} \in \mathcal{C}(S)$

induces an imbedding  $J_S^{[p]}(X) \hookrightarrow J_S^{[p]}(Y)$  for all  $p$ .

More generally, given  $\mathcal{P}_1, \dots, \mathcal{P}_j \in \mathcal{C}(S)$ , we can consider the *multi-join*:

$$J_S(\mathcal{P}_1, \dots, \mathcal{P}_j) := \text{Proj}(\mathcal{P}_1 \otimes \dots \otimes \mathcal{P}_j).$$

As before, one has closed embeddings  $\text{Proj}(\mathcal{P}_i) \hookrightarrow J_S(\mathcal{P}_1, \dots, \mathcal{P}_j)$ .

Suppose  $\mathcal{E}$  is a vector bundle on  $S$  and  $X$  is a closed sub-scheme of  $\mathbb{P}(\mathcal{E})$ . Recall,  $\mathbb{P}(\mathcal{E})$  is  $\text{Proj}$  of the symmetric algebra  $\text{Sym}_{\mathcal{O}_S}(\mathcal{E}^\vee)$ , where  $\mathcal{E}^\vee$  is the dual bundle. In this case,  $X$  is given by applying the  $\text{Proj}$  construction to an object  $\mathcal{F}$  in  $\mathcal{C}(S)$ . More precisely,  $\mathcal{F}$  is a quotient of  $\text{Sym}_{\mathcal{O}_S}(\mathcal{E}^\vee)$ . In particular, we have a natural embedding  $J_S^{[p]}(X) \hookrightarrow \mathbb{P}(\mathcal{E}^{\oplus(p+1)})$ . We note that the construction of  $J_S^{[p]}(X)$  depends on  $\mathcal{F}$  and, in particular, on the embedding of  $X$  in  $\mathbb{P}(\mathcal{E})$ .

We can generalize the previous paragraph to the setting of multi-joins. Suppose  $\mathcal{E}_i$  ( $0 \leq i \leq p$ ) are vector bundles on  $S$ , and  $X_i \subset \mathbb{P}(\mathcal{E}_i)$  are closed subschemes. Then each  $X_i = \text{Proj}(\mathcal{F}_i)$ , and we can define the multi-join  $J_S(X_0, \dots, X_p)$  as before. Note that the previous constructions give a natural embedding

$$J_S(X_0, \dots, X_p) \hookrightarrow \mathbb{P}\left(\bigoplus_{i=0}^p \mathcal{E}_i\right).$$

Given  $X_0, \dots, X_p$  as above, we shall denote the multiple join by  $J_S(\mathbb{X})$ .

Let  $X_i \hookrightarrow \mathbb{P}(\mathcal{E}_i)$  as above,  $\pi_i : X_i \rightarrow S$  denote the structure map, and  $\pi_i(X_i)$  denote the corresponding scheme theoretic image. Note that, since  $\pi_i$  is proper, the underlying set of  $\pi_i(X_i)$  is the set theoretic image. Let  $\mathbb{E} := \bigoplus_{i=0}^p \mathcal{E}_i$ , and let  $\pi(\mathbb{X})$  denote the union of the subschemes  $\pi_i(X_i)$ . Consider the base change diagram:

$$\begin{array}{ccc} \mathbb{P}(\mathbb{E})_{\pi(\mathbb{X})} & \longrightarrow & \mathbb{P}(\mathbb{E}) \\ \downarrow & & \downarrow \\ \pi(\mathbb{X}) & \longrightarrow & S. \end{array}$$

**Lemma 2.1.** *With notation as above, the structure map  $J_S(\mathbb{X}) \rightarrow S$  factors through  $\pi(\mathbb{X})$ .*

*Proof.* One can proceed by induction on  $p$ . Suppose  $p = 1$ . Then by ([AK75], B.3), there is a natural retraction  $J_S(X_0, X_1) \setminus X_0 \rightarrow X_1$  (i.e. a section of the natural embedding  $X_1 \hookrightarrow J_S(X_0, X_1)$ ). It follows that the image of  $J_S(X_0, X_1) \setminus X_0$  in  $S$  is contained in  $\pi_1(X_1)$  and similarly for  $X_0$ . This proves the result in the case that  $p = 1$ . The general case follows by induction.  $\square$

As a consequence of the previous lemma, and the universal property of fiber products, one has a commutative diagram:

$$\begin{array}{ccc} J_S(\mathbb{X}) & \longrightarrow & \mathbb{P}(\mathbb{E})_{\pi(\mathbb{X})} \cong \mathbb{P}(\mathbb{E}|_{\pi(\mathbb{X})}) \\ \downarrow q_1 & & \downarrow q_2 \\ \pi(\mathbb{X}) & \xlongequal{\quad} & \pi(\mathbb{X}). \end{array}$$

In the case of  $X \subset \mathbb{P}(\mathcal{E})$  and  $J_S^{[p]}(X) \subset \mathbb{P}(\mathcal{E}^{\oplus(p+1)})$ , we get a commutative diagram:

$$\begin{array}{ccc} J_S^{[p]}(X) & \longrightarrow & \mathbb{P}(\mathcal{E}^{\oplus(p+1)}) \\ \downarrow q_1 & & \downarrow q_2 \\ \pi(X) & \xlongequal{\quad\quad\quad} & \pi(X). \end{array}$$

*Remark 2.2.* Note that  $\mathbb{P}(\mathcal{E}^{\oplus(p+1)})_{\pi(X)}$  is canonically isomorphic to  $\mathbb{P}(\mathcal{F}^{\oplus(p+1)})$  where  $\mathcal{F} = \mathcal{E}|_{\pi(X)}$  is the restricted bundle.

*Remark 2.3.* If  $\mathcal{E}$  is the trivial bundle of rank  $n+1$ , then we may identify  $\mathbb{P}(\mathcal{E}^{\oplus(p+1)})$  with  $\mathbb{P}_S^{(p+1)(n+1)-1}$ .

**2.2. Joins and cones.** Suppose now that  $S = \text{Spec}(k)$ . In the following, we shall drop the subscript  $S$  from our notation in the setting of  $S = \text{Spec}(k)$  (unless we need to specify the field). Let  $X \subset \mathbb{P}^n$  and  $Y \subset \mathbb{P}^m$  denote two fixed projective subschemes. If  $A$  (resp.  $B$ ) is the homogeneous coordinate ring of  $X$  (resp.  $Y$ ), then we defined join of  $X$  and  $Y$  as the projective scheme  $J(X, Y) := \text{Proj}(A \otimes_k B)$ . Note that this is naturally a closed subscheme of  $\mathbb{P}^{n+m+1}$ .

The cone of  $X$ , denoted by  $C(X)$ , is by definition the affine scheme  $\text{Spec}(A) \subset \mathbb{A}^{n+1}$ . We shall denote by  $o_X \in C(X)$  the cone point. In the following, we shall sometimes drop the subscript and simply denote by  $o$  the cone point. One has a canonical isomorphism:

$$(2.4) \quad C(J(X, Y)) \cong C(X) \times_k C(Y).$$

**2.3. Proofs of cohomological connectivity of joins.** In the following, we shall prove connectivity (i.e. cohomology vanishing) results for iterated relative joins. By cohomology, we shall mean any Weil cohomology theory on the category of schemes over  $k$ . Moreover, we shall consider these cohomology theories with their standard packages of additional structure. Following are the main examples to keep in mind.

- (i) If  $\sigma : k \hookrightarrow \mathbb{C}$  is a fixed embedding, then we may consider the singular cohomology  $H^i(X_\sigma^{an}, \mathbb{Z})$  as an object in the (Tannakian) category of mixed Hodge structures. Here  $X_\sigma$  is the base change of  $X$  to  $\mathbb{C}$  along  $\sigma$ , and  $X_\sigma^{an}$  denotes the underlying complex analytic space with its classical topology. In this case, we shall denote by  $\mathbb{Z}(n)$  the standard MHS of weight  $-2n$ . Note that  $H^1(\mathbb{G}_m) \cong \mathbb{Z}(-1)$  as mixed Hodge structures.
- (ii) Suppose  $\ell$  is a fixed prime not equal to the characteristic of  $k$ . Then we may consider the étale cohomology groups  $H_{et}^i(X, \mathbb{Z}_\ell)$  or  $H_{et}^i(X, \mathbb{Q}_\ell)$ .
- (iii) Suppose  $k$  is not necessarily algebraically closed, and let  $\bar{k}$  denote the algebraic closure. In this case, the étale cohomology groups  $H_{et}^i(X_{\bar{k}}, \mathbb{Z}_\ell)$  or  $H_{et}^i(X_{\bar{k}}, \mathbb{Q}_\ell)$  acquire a  $G := \text{Gal}(\bar{k}/k)$ -action. If we let  $\mathbb{Z}_\ell(1)$  denote the usual cyclotomic character, then  $H^1(\mathbb{G}_{\bar{k}}, \mathbb{Z}_\ell) \cong \mathbb{Z}_\ell(-1)$  (i.e. the inverse character). Similar remarks apply with  $\mathbb{Q}_\ell$ -coefficients. Note,  $X_{\bar{k}} := X \times_k \bar{k}$ .

2.3.1. *Connectivity over a point.* In this subsection,  $S$  is a scheme of finite type over an algebraically closed field  $k$ , and we fix a cohomology theory  $H^*$  as in the previous paragraph. Let  $X \subset \mathbb{P}^n$  be a closed subscheme and consider  $J^{[p]}(X) \subset \mathbb{P}^{(p+1)(n+1)-1}$ .

**Definition 2.5.** Let  $X \subset \mathbb{P}^n$  be a closed subscheme and  $d$  an integer such that  $d \leq n$ . Then  $X$  is *cohomologically  $d$ -connected* if the relative cohomology group  $H^i(\mathbb{P}^n, X) = 0$  for all  $i \leq d$ .

If  $X \subset \mathbb{P}^n$  is cohomologically  $d$ -connected then the restriction homomorphism

$$H^i(\mathbb{P}^n) \rightarrow H^i(X)$$

is an isomorphism for all  $i < d$ , and an injection for  $i = d$ .

*Remark 2.6.* We note that, if  $\text{char}(k) = 0$ , standard results show that this notion will be independent of the ‘good’ cohomology theory chosen. In characteristic  $p$ , this would follow from Deligne’s proof of the Weil conjectures if  $X$  is also smooth. In general, it would follow from certain standard conjectures in algebraic geometry. For our purposes, we can just fix a cohomology theory.

We begin by proving the following connectivity property of the join. The analogous statement in the setting of singular cohomology was proven by the first author in ([Bas12]). Our goal here is to give a ‘motivic proof’ of this statement which is applicable to any Weil cohomology theory.

**Theorem 2.7.** *Let  $X \subset \mathbb{P}^n$  be a closed subscheme. Then  $J^{[p]}(X) \subset \mathbb{P}^{(p+1)(n+1)-1}$  is cohomologically  $p$ -connected. In particular, the restriction homomorphism*

$$H^j(\mathbb{P}^{(p+1)(n+1)-1}) \rightarrow H^j(J^{[p]}(X))$$

*is an isomorphism for  $0 \leq j < p$ , and an injection for  $j = p$ .*

We begin with some preliminary remarks. In the following, for any closed subscheme  $X \subset \mathbb{P}^n$  we set  $C'(X) := C(X) \setminus o_X$ . Note that one has a natural cartesian diagram:

$$(2.8) \quad \begin{array}{ccc} C'(X) & \longrightarrow & \mathbb{A}^{n+1} \setminus 0 \\ \downarrow & & \downarrow \\ X & \longrightarrow & \mathbb{P}^n \end{array}$$

In particular, the natural projection  $C'(X) \rightarrow X$  is a  $\mathbb{G}_m$ -bundle.

The following lemma is well-known. Over the complex numbers, it follows directly from the contractibility of the cone. We provide a proof here applicable to any ‘good cohomology theory’ due to a lack of reference.

**Lemma 2.9.** *The natural inclusion  $o_X \hookrightarrow C(X)$  induced an isomorphism on cohomology:*

$$H^i(C(X)) \xrightarrow{\cong} H^i(o_X).$$

*Proof.* Let  $Y$  denote the blow-up of  $C(X)$  at  $o_X$ . Then it is a standard fact that there is a natural map  $\pi : Y \rightarrow X$  which realizes  $Y$  as a line bundle over  $X$ . Moreover, the exceptional fiber  $E$  of the blow-up  $Y$  is canonically identified with the zero section of  $\pi$ . In particular,  $H^i(Y) \cong H^i(X)$  and  $H^i(E) \cong H^i(X)$ . On

the other hand, one has the usual long exact sequence for the cohomology of the blow-up:

$$\cdots \rightarrow H^i(C(X)) \rightarrow H^i(o_X) \oplus H^i(Y) \rightarrow H^i(E) \rightarrow H^{i+1}(C(X)) \rightarrow \cdots,$$

where the arrows are induced by the natural pull-back maps on cohomology. Since the restriction homomorphism  $H^i(Y) \rightarrow H^i(E)$  is an isomorphism (by the remarks above), the natural restriction homomorphisms  $H^i(C(X)) \rightarrow H^i(o_X)$  must be isomorphisms.  $\square$

**Lemma 2.10.** *With notation as above, one has*

$$H^i(C'(J^{[p]}(X))) = 0 \text{ for all } 0 < i < p$$

and

$$H^0(C'(J^{[p]}(X))) = H^0(o).$$

Before proving the lemma, we give two proofs of Theorem 2.7. The first uses a spectral sequence argument, while the second proof uses the following standard Gysin long exact sequence.

**Lemma 2.11.** [SGA77, Corollaire 1.5, Exposé VII] *Let  $Z$  be a scheme, and  $X \rightarrow Z$  be a rank  $r$  vector bundle. Let  $U \subset X$  denote the complement of the zero section. Then there is a long exact sequence in cohomology:*

$$\cdots \rightarrow H^{i-2r}(Z)(-r) \rightarrow H^i(X) \rightarrow H^i(U) \rightarrow \cdots$$

We are now in a position to prove Theorem 2.7.

*Proof of Theorem 2.7.* The conclusion follows by an application of Lemma 2.10 to the Leray spectral sequences for the  $\mathbb{G}_m$  bundle  $\pi : C'(J^{[p]}(X)) \rightarrow J^{[p]}(X)$ . More precisely, the cartesian diagram 2.8 of  $\mathbb{G}_m$ -bundles gives rise to a commutative diagram of spectral sequences:

$$\begin{array}{ccc} E_2^{i,j}(X) := H^i(J^{[p]}(X), R^j \pi_*(A)) & \Longrightarrow & H^{i+j}(C'(J^{[p]}(X))) \\ \uparrow & & \uparrow \\ E_2^{i,j}(\mathbb{P}^{(p+1)(n+1)-1}) := H^i(\mathbb{P}^{(p+1)(n+1)-1}, R^j \pi_*(A)) & \Longrightarrow & H^{i+j}(\mathbb{A}^{(p+1)(n+1)} \setminus 0) \end{array}$$

Here, by abuse of notation, we use the same notation  $\pi$  to denote the natural maps  $\mathbb{A}^{(p+1)(n+1)} \setminus 0 \rightarrow \mathbb{P}^{(p+1)(n+1)-1}$  and  $C'(J^{[p]}(X)) \rightarrow J^{[p]}(X)$ . Moreover,  $A$  denotes the coefficients  $\mathbb{Z}$ ,  $\mathbb{Z}_l$ , or  $\mathbb{Q}_l$  (depending on the cohomology theory in question). Since  $\pi$  is a  $\mathbb{G}_m$ -bundle,  $R^j \pi_*(A)$  is a local system with stalk at  $x \in J^{[p]}(X)$  given by  $H^j(\mathbb{G}_m)$ , and similarly for  $x \in \mathbb{P}^{(p+1)(n+1)-1}$ . Moreover, in the case of  $\mathbb{P}^{(p+1)(n+1)-1}$  it is the trivial local system. Since  $R^j \pi_*(A)$  on  $J^{[p]}(X)$  is the restriction of the corresponding local system on  $\mathbb{P}^{(p+1)(n+1)-1}$  (due to base change and the fact that 2.8 is cartesian), it is also a trivial local system. In particular, the cohomology groups  $E_2^{i,j}(X)$  are zero for  $j \neq 0, 1$ , and otherwise one has  $E_2^{i,0}(X) = H^i(J^{[p]}(X))$  and  $E_2^{i,1}(X) = H^i(J^{[p]}(X)) \otimes H^1(\mathbb{G}_m)$ , and similarly for  $E_2^{i,j}(\mathbb{P}^{(p+1)(n+1)-1})$ . Note that one can identify  $H^i(J^{[p]}(X)) \otimes H^1(\mathbb{G}_m) = H^i(J^{[p]}(X))(-1)$ .

It follows that both spectral sequences are concentrated in two columns and degenerate at  $E_3$ . In particular, they give rise to a commutative diagram of long exact sequences:

(2.12)

$$\begin{array}{ccccccc}
\rightarrow \mathrm{H}^{i-1}(C'(J^{[p]}(X))) & \rightarrow \mathrm{H}^{i-2}(J^{[p]}(X))(-1) & \rightarrow \mathrm{H}^i(J^{[p]}(X)) & \rightarrow \mathrm{H}^i(C'(J^{[p]}(X))) & \rightarrow \\
\uparrow & \uparrow & \uparrow & \uparrow & \\
\longrightarrow \mathrm{H}^{i-1}(\mathbb{A}^N) & \longrightarrow \mathrm{H}^{i-2}(\mathbb{P}^{N-1})(-1) & \longrightarrow \mathrm{H}^i(\mathbb{P}^{N-1}) & \longrightarrow \mathrm{H}^i(\mathbb{A}^N \setminus 0) & \longrightarrow
\end{array}$$

where  $N = (p+1)(n+1)$ . The result is now an easy consequence of Lemma 2.10, and an application of the five lemma to the commutative diagram of long exact sequences above.  $\square$

*Alternate proof of Theorem 2.7 using the Gysin.* Let  $X' = J^{[p]}(X)$  and  $Y' \rightarrow X'$  be the line bundle in the proof of Lemma 2.9. Similarly, let  $X'' := \mathbb{P}^{(p+1)(n+1)-1}$  and  $Y'' \rightarrow X''$  the corresponding line bundle. Note that, in the case of  $X''$ , this is simply the tautological line bundle (i.e. the bundle given by the locally free sheaf  $\mathcal{O}(-1)$  on  $X''$ ). Since  $Y'$  is simply the restriction of  $Y''$  to  $X'$ , it follows that  $Y'$  is the line bundle associated to the locally free sheaf  $\mathcal{O}_{X'}(-1)$ . We can now apply Lemma 2.11 to both  $Y' \rightarrow X'$  and  $Y'' \rightarrow X''$  to get a commutative diagram of long exact sequences:

$$\begin{array}{ccccccc}
\cdots \longrightarrow \mathrm{H}^{i-2}(J^{[p]}(X))(-1) & \longrightarrow \mathrm{H}^i(J^{[p]}(X)) & \longrightarrow \mathrm{H}^i(C'(J^{[p]}(X))) & \longrightarrow \cdots \\
\uparrow & \uparrow & \uparrow & \\
\cdots \rightarrow \mathrm{H}^{i-2}(\mathbb{P}^{(p+1)(n+1)-1})(-1) & \rightarrow \mathrm{H}^i(\mathbb{P}^{(p+1)(n+1)-1}) & \rightarrow \mathrm{H}^i(\mathbb{A}^{(p+1)(n+1)} \setminus 0) & \rightarrow \cdots
\end{array}$$

Here we have identified  $\mathrm{H}^*(Y')$  with  $\mathrm{H}^*(X')$  (since this is a line bundle over  $X'$ ), and similarly for  $Y''$  and  $\mathbb{P}^{(p+1)(n+1)-1}$ . This diagram is the same as diagram 2.12, and one can proceed now as in the previous lemma.  $\square$

In the following, we shall make repeated use of the Künneth formula for cohomology with coefficients in a principal ideal domain. We recall it here for the convenience of the reader. In particular, given schemes  $X$  and  $Y$  over  $k$ , and a good cohomology theory as before (with coefficients in a field,  $\mathbb{Z}$  or  $\mathbb{Z}_l$  or, more generally a PID  $R$ ), one has a *Künneth* short exact sequence:

(2.13)

$$0 \rightarrow \bigoplus_{r+s=k} \mathrm{H}^r(X) \otimes_R \mathrm{H}^s(Y) \rightarrow \mathrm{H}^k(X \times Y) \rightarrow \bigoplus_{r+s=k+1} \mathrm{Tor}_1^R(\mathrm{H}^r(X), \mathrm{H}^s(Y)) \rightarrow 0.$$

*Proof of Lemma 2.10.* We shall prove this by induction on  $p$ . In the following, we denote by  $o$  the cone point.

Step 1. Suppose  $p = 1$ . In this case, we are reduced to showing that  $C'(J(X, X)) = (C(X) \times C(X)) \setminus o$  is connected. This follows from Grothendieck's proof of Zariski's main theorem (or by hand). In fact, this is true more generally for  $C'(J^{[r]}(X))$ .

Step 2. Suppose  $p = 2$ . In this case, we are reduced to showing that  $\mathrm{H}^1(C(X)^{\times 3} \setminus o) = 0$ . Let  $U := (C(X)^{\times 2} \setminus o) \times C(X)$  and  $V := C(X)^{\times 2} \times C'(X)$ .

Then  $\{U, V\}$  is an open cover of  $C(X)^{\times 3} \setminus o$  and the intersection  $U \cap V = (C(X)^{\times 2} \setminus o) \times C'(X)$ . The Mayer-Vietoris sequence (and Step 1) gives an exact sequence:

$$0 \rightarrow H^1(C(X)^{\times 3} \setminus o) \rightarrow H^1(U) \oplus H^1(V) \rightarrow H^1(U \cap V) \rightarrow \dots$$

Note that the left most arrow is an injection, since the previous arrow in the Mayer-Vietoris sequence must be a surjection by Step 1. By 2.13 and 2.9,  $H^1(U) = H^1(C(X)^{\times 2} \setminus o)$ . Note that the cohomology of the point is zero except in degree 0, where it is simply the coefficient ring  $R$ ; in particular, the  $\text{Tor}_1$ -terms in the Künneth exact sequence vanish. Similarly,  $H^1(V) = H^1(C'(X))$ . Another application of the Künneth exact sequence shows that the third arrow in the above sequence is an injection. It follows that  $H^1(C(X)^{\times 3} \setminus o) = 0$ .

Step 3. Suppose the Proposition is known for all  $m < p$ . We need show that  $H^i(C'(J^{[p]}(X))) = 0$  for all  $0 < i < p$ . Let  $U = C'(J^{[p-1]}(X)) \times C'(X)$  and  $V = C(X)^{\times p} \times C'(X)$ . Note that  $\{U, V\}$  is an open cover of  $C'(J^{[p]}(X))$  and  $U \cap V = C'(J^{[p-1]}(X)) \times C'(X)$ . By an application of the Künneth exact sequence:

- (a)  $H^i(U) = 0$  for all  $0 < i < p - 1$ ,
- (b)  $H^i(V) = H^i(C'(X))$  for all  $i \geq 0$ ,
- (c)  $H^i(U \cap V) = H^i(C'(X))$  for all  $i < p - 1$ .

Therefore, an application of Mayer-Vietoris shows that  $H^i(C'(J^{[p]}(X))) = 0$  for all  $0 < i < p - 1$ . Moreover, in degree  $p - 1$  one has an exact sequence:

$$0 \rightarrow H^{p-1}(C'(J^{[p]}(X))) \rightarrow H^{p-1}(U) \oplus H^{p-1}(V) \rightarrow H^{p-1}(U \cap V) \rightarrow \dots$$

An argument via Künneth, as in Step 2, shows that the third arrow is injective and the result follows.  $\square$

*Remark 2.14.* The result only uses formal properties of a cohomology theory (Künneth, Mayer-Vietoris, Leray/Gysin) and contractibility of the cone.

Note that the proof of Theorem 2.7 holds verbatim in the multi-join setting of the following theorem.

**Theorem 2.15.** *Let for  $0 \leq i \leq p$ ,  $X_i \subset \mathbb{P}^{n_i}$  be closed subschemes. Then  $J^{[p]}(\mathbb{X}) \subset \mathbb{P}^N$  (with  $N = \sum_{i=0}^p (n_i + 1) - 1$ ) is cohomologically  $p$ -connected.*

We shall now extend the connectivity result above to the relative setting. Suppose now that  $S$  is a scheme of finite type over a field  $k$  and  $\mathcal{E}$  is a vector bundle on  $S$ . Let  $X$  be a closed subscheme of  $\mathbb{P}(\mathcal{E})$ . Then, as before, we have a natural embedding  $J_S^{[p]}(X) \hookrightarrow \mathbb{P}(\mathcal{E}^{\oplus(p+1)})$ . Recall, we have a commutative diagram

$$(2.16) \quad \begin{array}{ccc} J_S^{[p]}(X) & \longrightarrow & \mathbb{P}(\mathcal{E}^{\oplus(p+1)})_{\pi(X)} \\ \downarrow q_1 & & \downarrow q_2 \\ \pi(X) & \xlongequal{\quad} & \pi(X). \end{array}$$

where  $\mathbb{P}(\mathcal{E}^{\oplus(p+1)})_{\pi(X)}$  is canonically isomorphic to  $\mathbb{P}(\mathcal{F}^{\oplus(p+1)})$  with  $\mathcal{F} := \mathcal{E}|_{\pi(X)}$ .

We have the following relative version of Theorem 2.7. We state the proposition for etale cohomology with  $\mathbb{Z}/\ell$  (or  $\mathbb{Z}_\ell$ ) coefficients with  $\ell$  prime to the characteristic of  $k$ . However, as will be clear from the proof, the same result holds in any good cohomology theory. The proof only uses the proper base change theorem and existence of a Leray spectral sequence. In particular, it is applicable in the setting of mixed Hodge modules.

**Theorem 2.17.** *With notation as above, the natural map*

$$\mathrm{H}_{\mathrm{et}}^i(\mathbb{P}(\mathcal{F}^{\oplus(p+1)})) \rightarrow \mathrm{H}_{\mathrm{et}}^i(\mathrm{J}_S^{[p]}(X))$$

*is an isomorphism for  $0 \leq j < p$ , and an injection for  $j = p$ .*

*Proof.* The commutative diagram (2.19) gives rise to a morphism of sheaves

$$R^i q_{2,*}(\mathbb{Z}/\ell) \rightarrow R^i q_{1,*}(\mathbb{Z}/\ell).$$

Note that this map is an isomorphism on stalks for all  $i < p$ . To see this, we use the proper base change theorem to compute the stalks. In that case, one has isomorphisms:

$$R^i q_{1,*}(\mathbb{Z}/\ell)_s \cong \mathrm{H}_{\mathrm{et}}^i(\mathrm{J}^{[p]}(X)_s) \cong \mathrm{H}_{\mathrm{et}}^i(\mathrm{J}^{[p]}(X_s)).$$

The first is a consequence of proper base change, and the second follows from the base change property for joins. Similarly, we have isomorphisms:

$$R^i q_{2,*}(\mathbb{Z}/\ell) \cong \mathrm{H}_{\mathrm{et}}^i(\mathbb{P}(\mathcal{F}^{\oplus(p+1)}))_s \cong \mathrm{H}_{\mathrm{et}}^i(\mathbb{P}^{(p+1)(n+1)-1})$$

where  $n+1$  is the rank of  $\mathcal{E}$ . An application of Theorem 2.7 now shows that the above higher direct images are isomorphisms for  $j < p$ . A Leray spectral sequence argument now gives the desired result.  $\square$

**Example 2.18.** Suppose  $S = \mathbb{P}^m$  and consider the trivial bundle  $\mathcal{E}$  of rank  $n+1$  over  $S$ . Then  $\mathbb{P}(\mathcal{E}) = \mathbb{P}^m \times \mathbb{P}^n$ . In that case, for  $X \subset \mathbb{P}(\mathcal{E})$ , the above result gives an isomorphism

$$\mathrm{H}_{\mathrm{et}}^j(\pi(X) \times \mathbb{P}^{(p+1)(n+1)-1}) \rightarrow \mathrm{H}_{\mathrm{et}}^j(\mathrm{J}_S^{[p]}(X)).$$

Here  $\mathrm{J}_S^{[p]}(X) \subset \mathbb{P}^m \times \mathbb{P}^{(p+1)(n+1)-1}$ .

We conclude this section by noting that the proof of Theorem 2.17 also works in the relative multi-join setting. Let  $\mathcal{E}_i$  ( $0 \leq i \leq p$ ) be vector bundles of rank  $r_i$  on  $S$ . For each  $i$ , let  $X_i$  be a closed subscheme of  $\mathbb{P}(\mathcal{E}_i)$ . Then, as before, we have a natural embedding  $\mathrm{J}_S(\mathbb{X}) \hookrightarrow \mathbb{P}(\bigoplus_i \mathcal{E}_i) = \mathbb{P}(\mathbb{E})$  and a commutative diagram

$$(2.19) \quad \begin{array}{ccc} \mathrm{J}_S(\mathbb{X}) & \longrightarrow & \mathbb{P}(\mathbb{E})_{\pi(\mathbb{X})} \\ \downarrow q_1 & & \downarrow q_2 \\ \pi(\mathbb{X}) & \xlongequal{\quad} & \pi(\mathbb{X}). \end{array}$$

where  $\mathbb{P}(\mathbb{E}_{\pi(\mathbb{X})})$  is canonically isomorphic to  $\mathbb{P}(\mathbb{F})$  with  $\mathbb{F} := \mathbb{E}|_{\pi(\mathbb{X})}$ .

**Theorem 2.20.** *With notation as above, the natural map*

$$H_{et}^i(\mathbb{P}(\mathbb{F})) \rightarrow H_{et}^i(J_S(\mathbb{X}))$$

*is an isomorphism for  $0 \leq j < p$ , and an injection for  $j = p$ .*

*Proof.* We can argue as in the proof of the previous result, given Theorem 2.15.  $\square$

2.3.2. *A generalization of the cohomological connectivity result.* In this section, we prove analogs of the results of the previous setting where a ‘higher’ cohomological connectivity of the  $X$  is assumed. We fix an algebraically closed base field  $k$  as before.

In this setting, we have the following analog of the Theorem 2.7.

**Theorem 2.21.** *Let  $X \subset \mathbb{P}^n$  be a cohomologically  $d$ -connected closed subscheme. Then  $J^{[p]}(X) \subset \mathbb{P}^{(p+1)(n+1)-1}$  is cohomologically  $((p+1)d+p)$ -connected. In particular, the restriction homomorphism*

$$H^i(\mathbb{P}^{(p+1)(n+1)-1}) \rightarrow H^i(J^{[p]}(X))$$

*is an isomorphism for  $0 \leq i < (p+1)d+p$ , and an injection for  $i = (p+1)d+p$ .*

*Proof.* One can use the Gysin sequence, as in the second proof of Theorem 2.7, given Lemma 2.23 below.  $\square$

- Remark 2.22.*
- (1) The weak Lefschetz theorem states that any smooth complete intersection  $X$  in  $\mathbb{P}^n$  is cohomologically  $(\dim(X) - 1)$ -connected.
  - (2) The Barth-Larsen theorem [BL72] (and its generalization due to Ogus [Ogu75], Hartshorne-Speiser [HS77]) states that any local complete intersection projective variety  $X \subset \mathbb{P}^n$  of dimension  $r$  is  $(2r - n)$ -cohomologically connected.
  - (3) We note that, even if  $X$  is smooth, the iterated join will generally be not smooth. In particular, neither the weak Lefschetz nor the Barth-Larsen theorem apply in order to obtain cohomological connectivity results for the join.
  - (4) On the other hand, we obtain many examples of  $X$  satisfying the hypothesis of Theorem 2.21 by applying the previous remark in either the weak Lefschetz or Barth-Larsen settings.

**Lemma 2.23.** *Let  $X \subset \mathbb{P}^n$  be a cohomologically  $d$ -connected closed subscheme. Then one has the following vanishing for the punctured cone:*

$$H^i(C'(J^{[p]}(X))) = 0 \text{ for all } 0 < i < (p+1)d+p.$$

*If  $i = 0$ , then  $H^0(C'(J^{[p]}(X))) = H^0(o)$ .*

*Proof.* One can argue as in the proof of Lemma 2.10. We will show the main case of  $p = 1$ , which follows from Lemma 2.24 below. The rest of the proof then proceeds exactly in the proof of Lemma 2.10. So we suppose that  $p = 1$ .

As before, we are interested in  $C'(J(X, X)) = (C(X) \times C(X)) \setminus o$ . Let  $U = C'(X) \times C(X)$  and  $V = C(X) \times C'(X)$ . Note that  $U \cup V = C'(J(X, X))$ , and  $U \cap V = C'(X) \times C'(X)$ . By the Künneth exact sequence,  $H^m(U) = H^m(C'(X))$  and  $H^m(V) = H^m(C'(X))$  for all  $m$ . In particular, both groups vanish for  $0 < m < d$ , and are

given by the coefficients  $R$  in degree 0. The Künneth exact sequence applied to  $U \cap V$  gives:

$$0 \rightarrow \bigoplus_{i+j=m} \mathrm{H}^i(C'(X)) \otimes_R \mathrm{H}^j C'(X) \rightarrow \mathrm{H}^m(U \cap V) \rightarrow \bigoplus_{p+q=m+1} \mathrm{Tor}_1^R(\mathrm{H}^p(C'(X)), \mathrm{H}^q(C'(X))) \rightarrow 0.$$

If  $m < 2d$ , then by the previous remarks, the leftmost term is equal to  $\mathrm{H}^m(U) \oplus \mathrm{H}^m(V)$  and the rightmost term vanishes. In particular, an application of Mayer-Vietoris proves the desired result for  $m < 2d$ . In degree  $m = 2d$ , one obtains a short exact sequence:

$$0 \rightarrow \mathrm{H}^{2d}(U \cup V) \rightarrow \mathrm{H}^{2d}(U) \oplus \mathrm{H}^{2d}(V) \rightarrow \mathrm{H}^{2d}(U \cap V),$$

where the right arrow is injective by the previous remarks. This completes the proof in the case  $p = 1$ .  $\square$

**Lemma 2.24.** *Let  $X \subset \mathbb{P}^n$  be a cohomologically  $d$ -connected closed subscheme. Then one has the following vanishing for the punctured cone:*

$$\mathrm{H}^i(C'(X)) = 0 \text{ for all } 0 < i < d.$$

In degree 0,  $\mathrm{H}^0(C'(X)) \cong R$  (where  $R$  is the ring of coefficients).

*Proof.* Let  $Y \rightarrow X$  be the line bundle as in the proof of Lemma 2.9. We can now apply Lemma 2.11, and argue as in the ‘alternate’ proof to Theorem 2.7 to get a commutative diagram of long exact sequences:

$$\begin{array}{cccccccc} \cdots & \longrightarrow & \mathrm{H}^{i-2}(X)(-1) & \longrightarrow & \mathrm{H}^i(X) & \longrightarrow & \mathrm{H}^i(C'(X)) & \longrightarrow & \mathrm{H}^{i-1}(X)(-1) & \longrightarrow & \cdots \\ & & \uparrow & & \uparrow & & \uparrow & & \uparrow & & \\ \cdots & \longrightarrow & \mathrm{H}^{i-2}(\mathbb{P}^n)(-1) & \longrightarrow & \mathrm{H}^i(\mathbb{P}^n) & \longrightarrow & \mathrm{H}^i(\mathbb{A}^{n+1} \setminus 0) & \longrightarrow & \mathrm{H}^{i-1}(\mathbb{P}^n)(-1) & \longrightarrow & \cdots \end{array}$$

The result now follows by induction and the five lemma.  $\square$

We note that the previous result can also be adapted to the setting of multi-joins and also the relative setting. Here we only state the result in the multi-join setting, and leave the proof to the reader.

**Theorem 2.25.** *Let for  $0 \leq i \leq p$ ,  $X_i \subset \mathbb{P}^{n_i}$  be closed cohomologically  $d_i$ -connected subschemes. Then  $\mathrm{J}(\mathbb{X}) \subset \mathbb{P}^N$  is cohomologically  $(d + p)$ -connected, where  $d = \sum_{i=0}^p d_i$  and  $N = \sum_{i=0}^p (n_i + 1) - 1$ .*

**2.4. Cohomological connectivity over non-algebraically closed fields.** We discuss the case where  $k$  is possibly a non-algebraically closed field. Let  $\bar{k}$  denote a fixed separable closure of  $k$ , and  $G$  denote the corresponding Galois group. For  $X/k$ , we denote by  $X_{\bar{k}}$  its base change to  $\bar{k}$ . We fix a prime  $\ell \neq \mathrm{char}(k)$ , and let  $\mathrm{H}^i(X)$  denote the étale cohomology with  $\mathbb{Q}_\ell$ -coefficients. Note that there is a natural continuous action of  $G$  on  $\mathrm{H}^i(X_{\bar{k}})$ .

The results of the previous sections give the following natural connectivity of the join with Galois action.

**Corollary 2.26.** *Let  $X_i \subset \mathbb{P}_k^{n_i}$  ( $0 \leq i \leq p$ ) be closed cohomologically  $d_i$ -connected subschemes. Then  $J(\mathbb{X})_{\bar{k}} \subset \mathbb{P}_{\bar{k}}^N$  is cohomologically  $(d+p)$ -connected, where  $d = \sum_{i=0}^p d_i$  and  $N = (\sum_{i=0}^p n_i + 1) - 1$ . In particular,*

$$(2.27) \quad H^j(\mathbb{P}_{\bar{k}}^N) \rightarrow H^i(J(\mathbb{X})_{\bar{k}})$$

is an isomorphism of Galois modules for  $0 \leq j < d+p$ , and injective for  $j = d+p$ .

*Proof.* This is a direct consequence of the functoriality of the restriction map, and the fact that the join construction is compatible with base extension. More precisely,  $J(\mathbb{X}_{\bar{k}}) = J(\mathbb{X})_{\bar{k}}$ .  $\square$

In this setting, one has the usual Hochschild-Serre spectral sequence:

$$E_2^{i,j} := H^i(G, H^j(X_{\bar{k}})) \Rightarrow H^{i+j}(X)$$

where  $H^i(G, H^j(X_{\bar{k}}))$  is the Galois cohomology of  $G = \text{Gal}(\bar{k}/k)$  with coefficients in the Galois module  $H^j(X_{\bar{k}})$ .

**Corollary 2.28.** *With notation and assumptions as in the previous corollary, the subscheme  $J(\mathbb{X}) \subset \mathbb{P}^N$  is cohomologically  $(d+p)$ -connected.*

*Proof.* One has a commutative diagram of spectral sequences:

$$\begin{array}{ccc} E_2^{i,j}(\mathbb{X}) := H^i(G, H^j(J(\mathbb{X})_{\bar{k}})) & \Longrightarrow & H^{i+j}(J(\mathbb{X})) \\ \uparrow & & \uparrow \\ E_2^{i,j}(\mathbb{P}^N) := H^i(G, \mathbb{P}_k^N) & \Longrightarrow & H^{i+j}(\mathbb{P}^N) \end{array}$$

By the previous corollary, the  $E_2^{i,j}$ -terms are isomorphic for  $0 \leq j < d+p$ , and therefore also on the corresponding  $E_\infty$  terms.  $\square$

**2.5. Cohomological connectivity and Poincaré polynomials.** We now prove a key inequality relating the Poincaré polynomial of a closed subscheme  $X \subset \mathbb{P}^m \times \mathbb{P}^n$ , with that of  $\pi(X)$  where  $\pi : \mathbb{P}^m \times \mathbb{P}^n \rightarrow \mathbb{P}^m$  the projection morphism.

**Definition 2.29** (Poincaré Polynomial). Given any Weil cohomology theory with coefficients in a field  $\mathbb{F}$ , and any projective scheme  $X$ , we will denote

$$P(X) := \sum_i b_i(X) T^i \in \mathbb{Z}[T],$$

where  $b_i(X) := \dim_{\mathbb{F}}(H^i(X_{\mathbb{C}}, \mathbb{F}))$ .

For example, one could take the field  $k = \mathbb{C}$ ,  $\mathbb{F} = \mathbb{Q}$ , and the Weil cohomology theory to be  $H_c^i(\cdot, \mathbb{Q})$ . We have the following direct consequence of Theorem 2.17.

**Theorem 2.30.** *With notation as in Theorem 2.17, let  $S = \mathbb{P}^m$ ,  $X \subset \mathbb{P}^n \times \mathbb{P}^m$ , and  $\pi : \mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^m$  the projection morphism. Then,*

$$P([J_S^{[p]}(X)) \equiv P(\pi(X))(1 + T^2 + T^4 + \dots + T^{2((p+1)(n+1)-1)}) \pmod{T^p}.$$

*Proof.* Direct consequence of Theorem 2.17.  $\square$

## 3. QUANTIFIER ELIMINATION, COHOMOLOGY AND JOINS

In this section, we state and prove our result on cohomological quantifier elimination. Let  $k$  be a fixed algebraically closed field. We fix a Weil cohomology theory – for example, étale cohomology with coefficients in  $\mathbb{Q}_\ell$  with  $\ell \neq \text{char}(k)$ .

**Notation 3.1.** For any finite tuple  $\mathbf{n} = (n_1, \dots, n_m) \in \mathbb{N}^m$ , we denote:

- (1)  $|\mathbf{n}| = \sum_i n_i$ ;
- (2)  $\mathbb{P}^{\mathbf{n}} = \mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_m}$ .

In the following we will denote by bold letters  $\mathbf{W}^{(i,j,\dots)}$ ,  $\mathbf{X}^{(i,j,\dots)}$  tuples of variables and we will denote by  $|\mathbf{W}^{(i,j,\dots)}|$ ,  $|\mathbf{X}^{(i,j,\dots)}|$  the lengths of the corresponding tuples.

**Definition 3.2** (Proper formulas). Let  $\phi(\mathbf{X}^{(1)}; \dots; \mathbf{X}^{(n)})$  (with each  $\mathbf{X}^{(i)}$  denoting a tuple of variables  $(X_{i,0}, \dots, X_{i,n_i})$ ) be a quantifier-free first order formula in the language of fields with parameters in  $k$ . We say that  $\phi$  is a *quantifier-free proper formula* (with  $n$  homogeneous blocks) if its atoms are of the form  $P = 0$ , where  $P \in k[\mathbf{X}^{(1)}; \dots; \mathbf{X}^{(n)}]$  is a multi-homogeneous polynomial, and  $\phi$  does not contain any negations.

We say that a first order formula in the language of fields with parameters in  $k$  (possibly with quantifiers)

$$\phi(\mathbf{W}^{(1)}; \dots; \mathbf{W}^{(m)}) := (\mathbf{Q}_0 \mathbf{X}^{(1)}) \dots (\mathbf{Q}_n \mathbf{X}^{(n)}) \psi(\mathbf{W}^{(1)}; \dots; \mathbf{W}^{(m)}; \mathbf{X}^{(1)}; \dots; \mathbf{X}^{(n)}),$$

$$\mathbf{Q}_i \in \{\exists, \forall\}, 1 \leq i \leq n,$$

is a *proper formula* (with  $m$  homogeneous blocks), if  $\psi$  is a quantifier-free proper formula.

A proper formula

$$\phi(\mathbf{W}^{(1)}; \dots; \mathbf{W}^{(m)}) := (\mathbf{Q}_0 \mathbf{X}^{(1)}) \dots (\mathbf{Q}_n \mathbf{X}^{(n)}) \psi(\mathbf{W}^{(1)}; \dots; \mathbf{W}^{(m)}; \mathbf{X}^{(1)}; \dots; \mathbf{X}^{(n)}),$$

$$\mathbf{Q}_i \in \{\exists, \forall\}, 1 \leq i \leq n,$$

defines an algebraic subset of  $\mathbb{P}^{\mathbf{m}}$ , where  $\mathbf{m} = (|\mathbf{w}^{(1)}| - 1, \dots, |\mathbf{w}^{(m)}| - 1)$  whose  $k$ -points are described by

$$(\mathbf{Q}_1 \mathbf{x}^{(1)} \in \mathbb{P}^{|\mathbf{x}^{(1)}|-1}(k)) \dots (\mathbf{Q}_n \mathbf{x}^{(n)} \in \mathbb{P}^{|\mathbf{x}^{(n)}|-1}(k)) \psi(\mathbf{w}^{(1)}; \dots; \mathbf{w}^{(m)}; \mathbf{x}^{(1)}; \dots; \mathbf{x}^{(n)}),$$

We denote this algebraic set by  $\mathcal{R}(\phi)$  (the realization of  $\phi$ ).

**Notation 3.3.** Given  $P = \sum_{i \geq 0} a_i T^i \in \mathbb{Z}[T]$ , we write

$$P \stackrel{\text{def}}{=} P^{\text{even}}(T^2) + TP^{\text{odd}}(T^2),$$

where

$$P^{\text{even}} = \sum_{i \geq 0} a_{2i} T^i,$$

and

$$P^{\text{odd}} = \sum_{i \geq 0} a_{2i+1} T^i.$$

Following [Bas12], we introduce for any subscheme  $V \subset \mathbb{P}^{\mathbf{n}}$ , a polynomial,  $Q(V) \in \mathbb{Z}[T]$ , which we call the *pseudo-Poincaré polynomial* of  $V$  defined as follows.

$$Q(V) \stackrel{\text{def}}{=} \sum_{j \geq 0} (b_{2j}(V) - b_{2j-1}(V)) T^j.$$

In other words,

$$(3.4) \quad Q(V) = P(V)^{\text{even}} - TP(V)^{\text{odd}}.$$

For any proper formula  $\phi$ , we will denote:

$$(3.5) \quad Q(\phi) = Q(\mathcal{R}(\phi)).$$

Note that for each  $n \geq 0$ ,

$$(3.6) \quad Q(\mathbb{P}^n) = 1 + T + \cdots + T^n.$$

We introduce below notation for several operators on polynomials that we will use later.

**Notation 3.7** (Operators on polynomials). (1) For any finite tuple  $\mathbf{n}$  of natural numbers, we denote by  $\text{Rec}_{\mathbf{n}} : \mathbb{Z}[T]_{\leq 2|\mathbf{n}|} \rightarrow \mathbb{Z}[T]_{\leq 2|\mathbf{n}|}$ , the map defined by

$$\text{Rec}_{\mathbf{n}}(Q) = Q(\mathbb{P}^{\mathbf{n}}) - T^{2|\mathbf{n}|} Q(1/T).$$

(2) For  $0 \leq m \leq n$ , we denote by  $\text{Trunc}_{m,n} : \mathbb{Z}[T]_{\leq n} \rightarrow \mathbb{Z}[T]_{\leq m}$  and  $Q \in \mathbb{Z}[T]_{\leq n}$ , we denote the map defined by: for  $Q = \sum_{i=0}^n a_i T^i \in \mathbb{Z}[T]_{\leq n}$ ,

$$\text{Trunc}_{m,n}(Q) = \sum_{0 \leq i \leq m} a_i T^i.$$

Now let  $\psi(\mathbf{W}^1; \dots; \mathbf{W}^{(m)}; \mathbf{X}^{(1)}; \dots; \mathbf{X}^{(n)})$  be a quantifier-free proper formula with  $m + n$  homogeneous blocks. For  $1 \leq i \leq m$ , let  $e_i = |\mathbf{W}^{(i)}| - 1$ , and  $1 \leq j \leq n$ , let  $f_j = |\mathbf{X}^{(j)}| - 1$ , and define  $N_i, d_i, m_i$  by the formulas:

$$\begin{aligned} d_0 &= \sum_{i=1}^m e_i, \\ N_1 &= 1, \\ d_1 &= d_0 + N_1(2(d_0 + 1)(f_1 + 1) - 1), \\ m_1 &= 2(d_0 + 1)(f_1 + 1) - 1, \end{aligned}$$

and for  $2 \leq j \leq m$ ,

$$\begin{aligned} N_j &= 2N_{j-1}(d_{j-2} + 1), \\ d_j &= d_{j-1} + N_j(2(d_{j-1} + 1)(f_j + 1) - 1), \\ m_j &= 2(d_{j-1} + 1)(f_j + 1) - 1. \end{aligned}$$

**Notation 3.8.** We will denote by  $J_{m,n}(\psi)$  the *quantifier-free* proper formula (with  $m + \sum_{j=1}^n N_j$  homogeneous blocks) defined by

$$(3.9) \quad J_{m,n}(\psi) := \bigwedge_{i_1=0}^{2d_0+1} \cdots \bigwedge_{i_n=0}^{2d_{n-1}+1} \psi(\mathbf{W}^{(1)}; \dots; \mathbf{W}^{(m)}; \mathbf{X}^{(i_1)}; \dots; \mathbf{X}^{(i_1, \dots, i_n)}),$$

where for each tuple  $(i_1, \dots, i_{j-1}) \in [0, 2d_0+1] \times \cdots \times [0, 2d_{j-2}+1]$ ,  $|X^{(i_1, \dots, i_{j-1}, 0)}| = \dots = |X^{(i_1, \dots, i_{j-1}, 2d_{j-1}+1)}| = f_j$ , and the tuples  $(X^{(i_1, \dots, i_{j-1}, 0)}; \dots; X^{(i_1, \dots, i_{j-1}, 2d_{j-1}+1)})$

represent homogeneous coordinates in  $\mathbb{P}^{m_j}$ . If  $V = \mathcal{R}(\psi)$ , then we will denote by  $J_{m,n}(V) = \mathcal{R}(J_{m,n}(\psi))$ .

*Remark 3.10.* Notice that the realization,  $\mathcal{R}(J_{m,n}(\psi))$ , is an algebraic subset of

$$\mathbb{P}^{e_1} \times \cdots \times \mathbb{P}^{e_m} \times \mathbb{P}^{m_1} \times \cdots \times \underbrace{\mathbb{P}^{m_i} \times \cdots \times \mathbb{P}^{m_i}}_{N_i} \times \cdots \times \underbrace{\mathbb{P}^{m_n} \times \cdots \times \mathbb{P}^{m_n}}_{N_n}.$$

Also notice that for each  $j$ ,  $2 \leq j \leq n$ ,  $N_j = \prod_{h=2}^j (2(d_{h-2} + 1))$  and we will index the factors of the product  $\underbrace{\mathbb{P}^{m_i} \times \cdots \times \mathbb{P}^{m_i}}_{N_i}$  by tuples  $(i_1, \dots, i_{j-1}) \in [0, 2d_0 + 1] \times \cdots \times [0, 2d_{j-2} + 1]$ .

For each  $i$ ,  $1 \leq i \leq n$ , let

$$\mathbf{m}_i = (e_1, \dots, e_m, \underbrace{m_1, m_2, \dots, m_2}_{N_2}, \dots, \underbrace{m_i, \dots, m_i}_{N_i}).$$

For  $\omega \in \{\exists, \forall\}^{[1,n]}$ , we denote

$$\psi^\omega(\mathbf{W}^{(1)}; \dots; \mathbf{W}^{(m)}) := (\omega(1)\mathbf{X}^{(1)}) \cdots (\omega(n)\mathbf{X}^{(n)})\psi(\mathbf{W}^{(1)}; \dots; \mathbf{W}^{(m)}; \mathbf{X}^{(1)}; \dots; \mathbf{X}^{(n)}),$$

and for  $1 \leq i \leq n$ ,

$$\begin{aligned} F_i^\omega &= \text{Trunc}_{d_i, d_{i+1} + N_{i+1}} \circ (1 - T)^{N_{i+1}}, \text{ if } \omega(i) = \exists, \\ &= \text{Rec}_{\mathbf{m}_i} \circ \text{Trunc}_{d_i, d_{i+1} + N_{i+1}} \circ (1 - T)^{N_{i+1}} \circ \text{Rec}_{\mathbf{m}_{i+1}}, \text{ if } \omega(i) = \forall. \end{aligned}$$

We denote:

$$(3.11) \quad F^\omega = F_1^\omega \circ F_2^\omega \circ \cdots \circ F_n^\omega.$$

With the above notation we have the following theorem which relates the pseudo-Poincaré polynomial of a quantified proper formula,  $\psi^\omega$ , with that of the *quantifier-free* proper formula  $J_{m,n}(\psi)$ .

**Theorem 3.12.** *For each  $\omega \in \{\exists, \forall\}^{[1,n]}$ ,*

$$Q(\psi^\omega) = F^\omega(Q(J_{m,n}(\psi))).$$

(Notice that in the statement of Theorem 3.12 the quantifier-free formula  $J_{m,n}(\psi)$  does not depend on the sequence of quantifiers  $\omega$ , and only the operator  $F^\omega$  depends on  $\omega$ .)

The following special case of Theorem 3.12 will be important in the application of Theorem 3.12 in the proof of an algebraic version of Toda's theorem. With the same notation as in Theorem 3.12, suppose additionally that  $m = 0$ . In this case, the formula  $\psi$  has no free variables and is a sentence, and we have:

**Corollary 3.13.**

$$\psi \Leftrightarrow (F^\omega(Q(J_{0,n}(\psi))) = 1).$$

*Proof.* Follows immediately from Theorem 3.12.  $\square$

3.1. **An example.** Before we prove Theorem 3.12 it is instructive to consider an example.

**Example 3.14.** Let  $m = 1, n = 2, e_1 = f_1 = f_2 = 1$ , and consider the quantifier-free proper formula:

$$\begin{aligned} \psi(\mathbf{W}^{(1)}; \mathbf{X}^{(1)}; \mathbf{X}^{(2)}) := \\ ((W_{1,0} - W_{1,1} = 0) \wedge (X_{1,0} - X_{1,1} = 0)) \\ \bigvee \\ ((W_{1,0} - 2W_{1,1} = 0) \wedge (X_{1,0} - 2X_{1,1} = 0) \wedge (X_{2,0} - 2X_{2,1} = 0)). \end{aligned}$$

The values of the various  $N_i, d_i, m_i, \mathbf{m}_i$  are displayed in the following table.

$i$	$N_i$	$d_i$	$m_i$	$\mathbf{m}_i$
0	-	1	-	-
1	1	8	7	(1, 7)
2	4	148	35	(1, 7, 35 <sup>4</sup> )

It is easy to check that  $\mathcal{R}(J_{1,2}(\psi))$  is an algebraic subset of  $\mathbb{P}^1 \times \mathbb{P}^7 \times \mathbb{P}^{35} \times \mathbb{P}^{35} \times \mathbb{P}^{35} \times \mathbb{P}^{35}$ , and

$$\begin{aligned} Q(J_{1,2}(\psi)) &= Q(\mathbb{P}^3 \times \mathbb{P}^{35} \times \mathbb{P}^{35} \times \mathbb{P}^{35} \times \mathbb{P}^{35}) + Q(\mathbb{P}^3 \times \mathbb{P}^{17} \times \mathbb{P}^{17} \times \mathbb{P}^{17} \times \mathbb{P}^{17}) \\ (3.15) \quad &= \frac{(1 - T^4)(1 - T^{36})^4}{(1 - T)^5} + \frac{(1 - T^4)(1 - T^{18})^4}{(1 - T)^5}. \end{aligned}$$

Let  $\omega, \omega' \in \{\exists, \forall\}^{[1,2]}$  be defined by

$$\begin{aligned} \omega(1) &= \exists, \omega(2) = \forall, \\ \omega'(1) &= \forall, \omega'(2) = \exists. \end{aligned}$$

It is easy to check that

$$\begin{aligned} Q(\psi^\omega) &= 1, \\ Q(\psi^{\omega'}) &= 0. \end{aligned}$$

Moreover, using Eqn. (3.11) we have that:

$$\begin{aligned} F_1^\omega &= \text{Trunc}_{1,9} \circ (1 - T), \\ F_2^\omega &= \text{Rec}_{(1,7)} \circ \text{Trunc}_{8,152} \circ (1 - T)^4 \circ \text{Rec}_{(1,7,35^4)}, \\ F_1^{\omega'} &= \text{Rec}_{(1)} \circ \text{Trunc}_{1,9} \circ (1 - T) \circ \text{Rec}_{(1,7)}, \\ F_2^{\omega'} &= \text{Trunc}_{8,152} \circ (1 - T)^4. \end{aligned}$$

A calculation using the package Maple now yields:

$$\begin{aligned} F^\omega(Q(J_{1,2}(\psi))) &= 1, \\ F^{\omega'}(Q(J_{1,2}(\psi))) &= 0. \end{aligned}$$

**3.2. Proof of the cohomological quantifier elimination theorem.** Before we prove Theorem 3.12 we need a few preliminary facts.

**Theorem 3.16** (Alexander duality). *Let  $V \subset \mathbb{P}^{\mathbf{n}}$  be a closed subscheme. Then for each odd  $i$ ,  $1 \leq i \leq |\mathbf{n}|$ :*

$$(3.17) \quad b_{i-1}(V) - b_{i-2}(V) = b_{2|\mathbf{n}|-i}(\mathbb{P}^{\mathbf{n}} \setminus V) - b_{2|\mathbf{n}|-i+1}(\mathbb{P}^{\mathbf{n}} \setminus V) + b_{i-1}(\mathbb{P}^{\mathbf{n}}).$$

*Proof.* Let  $X = \mathbb{P}^{\mathbf{n}}$  and  $U = X \setminus V$ . Then, there is a long exact sequence

$$\cdots \rightarrow H_V^p(X) \rightarrow H^p(X) \rightarrow H^p(U) \rightarrow \cdots$$

and Alexander duality gives,

$$H_V^p(X) \cong H^{2|\mathbf{n}|-p}(V)^\vee.$$

Eqn. (3.17) now follows from that  $H^p(X) = H^p(\mathbb{P}^{\mathbf{n}}) = 0$  for all odd  $p$ .  $\square$

**Corollary 3.18.** *Let  $V \subset \mathbb{P}^{\mathbf{n}}$  be a closed subscheme. Then,*

$$Q(V) = Q(\mathbb{P}^{\mathbf{n}}) - \text{Rec}_{|\mathbf{n}|}(Q(\mathbb{P}^{\mathbf{n}} \setminus V)).$$

**Theorem 3.19.** *Let  $\mathbf{n} = (n_1, \dots, n_m)$ ,  $V \subset \mathbb{P}^{\mathbf{n}}$  a closed subscheme. Let  $W = \mathbb{P}^{\mathbf{n}} \setminus V$ . For each  $p \geq 0$ , and  $0 \leq i < p$ , we have that*

1.

$$H^i(\mathbb{P}^{(n_1+1)(p+1)-1} \times \pi_{\mathbf{n},1}(V)) \rightarrow H^i(J_{\pi_{\mathbf{n},1}}^p(V))$$

and

2.

$$H^i(\mathbb{P}^{(n_1+1)(p+1)-1} \times \pi_{\mathbf{n},1}(W)) \rightarrow H^i(\mathbb{P}^{\mathbf{N}} \setminus J_{\pi_{\mathbf{n},1}}^p(V))$$

are isomorphisms.

*Proof.* The proof of Part (1) follows from the argument in Example 2.18 with  $S$  replaced by  $\mathbb{P}^{\mathbf{n}'}$ , and omitted. We now prove Part (2). Let  $U = \pi_{\mathbf{n},1}(W)$  and let

$$Z = J_{\pi_{\mathbf{n},1}}^p(V) \cap (\mathbb{P}^{(n_1+1)(p+1)-1} \times U).$$

There is a long exact sequence

$$\begin{aligned} \cdots \rightarrow H_Z^i(\mathbb{P}^{(n_1+1)(p+1)-1} \times U) \rightarrow H^i(\mathbb{P}^{(n_1+1)(p+1)-1} \times U) \rightarrow \\ H^i(W) \rightarrow H_Z^{i+1}(\mathbb{P}^{(n_1+1)(p+1)-1} \times U) \rightarrow \cdots \end{aligned}$$

Using Alexander duality one has

$$H_Z^i(\mathbb{P}^{(n_1+1)(p+1)-1} \times U) \cong H^{2((n_1+1)(p+1)-1+|\mathbf{n}'|)-i}(Z).$$

Moreover,

$$\dim Z \leq (n_1 + 1)(p + 1) - 1 + |\mathbf{n}'| - (p + 1),$$

which implies that

$$H_Z^{i+1}(\mathbb{P}^{(n_1+1)(p+1)-1} \times U) \cong H^{2((n_1+1)(p+1)-1+|\mathbf{n}'|)-i-1}(Z) = 0$$

whenever

$$2((n_1 + 1)(p + 1) - 1 + |\mathbf{n}'|) - i - 1 > 2((n_0 + 1)(p + 1) - 1 + |\mathbf{n}'| - (p + 1)) \Leftrightarrow i < p,$$

and in this case

$$H_Z^i(\mathbb{P}^{(n_1+1)(p+1)-1} \times U) = 0$$

as well.  $\square$

With the same notation as in Theorem 3.19:

**Corollary 3.20.** *Let  $p = 2m + 1$  with  $m \geq 0$ . Then*

$$(3.21) \quad Q(\pi_{\mathbf{n},1}(V)) = (1 - T) Q(J_{\pi_{\mathbf{n},1}}^{[p]}(V)) \pmod{T^{m+1}},$$

$$(3.22) \quad Q(\pi_{\mathbf{n},1}(W)) = (1 - T) Q(\mathbb{P}^{\mathbf{N}} - J_{\pi_{\mathbf{n},1}}^{[p]}(V)) \pmod{T^{m+1}}.$$

We will also need the following lemma.

**Lemma 3.23.** *Let  $p \geq 0$ , and for each  $1 \leq i \leq n$ , let  $V_i \subset \mathbb{P}^n$  be a closed subscheme, and  $W_i = \mathbb{P}^n \setminus V_i$ . For  $1 \leq i \leq n$ , let  $\pi_i : \mathbb{P}^n \times \cdots \times \mathbb{P}^n \rightarrow \mathbb{P}^n$  denote the canonical surjection to the  $i$ -th factor.*

1. *Suppose that the restriction homomorphism  $H^j(\mathbb{P}^n) \rightarrow H^j(V_i)$  is an isomorphism for  $0 \leq j \leq p$ . Then, the restriction homomorphism*

$$H^j(\mathbb{P}^n \times \cdots \times \mathbb{P}^n) \rightarrow H^j\left(\bigcap_{i=1}^n \pi_i^{-1}(V_i)\right)$$

*is an isomorphism for  $0 \leq j \leq p$ .*

2. *Suppose that the restriction homomorphism  $H^j(\mathbb{P}^n) \rightarrow H^j(W_i)$  is an isomorphism for  $0 \leq j \leq p$ . Then, the restriction homomorphism*

$$H^j(\mathbb{P}^n \times \cdots \times \mathbb{P}^n) \rightarrow H^j\left(\bigcup_{i=1}^n \pi_i^{-1}(W_i)\right)$$

*is an isomorphism for  $0 \leq j \leq p$ .*

*Proof.* Easy. □

*Proof of Theorem 3.12.* For  $0 \leq j \leq n$ , let  $\phi_j^\omega(\mathbf{W}^{(1)}; \dots; \mathbf{W}^{(m)}; \mathbf{X}^{(i_1)}; \dots; \mathbf{X}^{(i_1, \dots, i_j)})$  denote the formula

$$(\omega(j+1)\mathbf{X}^{(i_1, \dots, i_{j+1})}) \cdots (\omega(n)\mathbf{X}^{(i_1, \dots, i_n)}) \psi(\mathbf{W}^{(1)}; \dots; \mathbf{W}^{(m)}; \mathbf{X}^{(i_1)}; \dots; \mathbf{X}^{(i_1, \dots, i_n)}),$$

and let  $\psi_j^\omega$  denote the formula

$$\bigwedge_{i_1=0}^{2d_0+1} \cdots \bigwedge_{i_j=0}^{2d_{j-1}+1} \phi_j^\omega(\mathbf{W}^{(1)}; \dots; \mathbf{W}^{(m)}; \mathbf{X}^{(i_1)}; \dots; \mathbf{X}^{(i_1, \dots, i_j)}).$$

Notice that

$$(3.24) \quad \psi_0^\omega = \psi^\omega,$$

$$(3.25) \quad \psi_n^\omega = J_{m,n}(\psi).$$

We prove by induction on  $j$  that

$$(3.26) \quad Q(\psi^\omega) = F_1^\omega \circ \cdots \circ F_j^\omega(Q(\psi_j^\omega)).$$

Notice that (3.26) is true for  $j = 0$  using (3.24), and implies the theorem in the case  $j = n$  using (3.25).

Now assume that (3.26) holds for  $j \geq 0$  and we prove it for  $j + 1$ , thus completing the inductive step.

There are two cases to consider.

Case 1.  $\omega(j+1) = \exists$ . For each  $(\bar{\mathbf{w}}; \bar{\mathbf{x}}) \in \mathbb{P}^{\mathbf{m}_j}$  (where  $\bar{\mathbf{w}} = (\mathbf{w}^{(1)}; \dots; \mathbf{w}^{(m)}) \in \mathbb{P}^{e_1} \times \dots \times \mathbb{P}^{e_m}$ ,  $\bar{\mathbf{x}} = (\bar{\mathbf{x}}_1; \dots; \bar{\mathbf{x}}_j)$ , and for  $1 \leq h \leq j$ ,  $\bar{\mathbf{x}}_h = (\dots; \mathbf{x}^{(i_1, \dots, i_{h-1})}; \dots) \in \underbrace{\mathbb{P}^{m_h} \times \dots \times \mathbb{P}^{m_h}}_{N_h}$ ), and each tuple  $(i_1, \dots, i_j) \in [0, 2d_0 + 1] \times \dots \times [0, 2d_{j-1}]$ ,

let  $V_{\bar{\mathbf{w}}; \bar{\mathbf{x}}}^{(i_1, \dots, i_j)}$  denote the algebraic set

$$\mathcal{R}(\phi_{j+1}^\omega(\mathbf{w}^{(1)}; \dots; \mathbf{w}^{(m)}; \mathbf{x}^{(i_1)}; \mathbf{x}^{(i_1, i_2)}; \dots; \mathbf{x}^{(i_1, \dots, i_{j-1})}; \mathbf{X}^{(i_1, \dots, i_j)})) \subset \mathbb{P}^{m_{j+1}}.$$

Notice that, for  $0 \leq i \leq 2d_j$ , the restriction homomorphism

$$H^i(\mathbb{P}^{m_{j+1}}) \rightarrow H^i(V_{\bar{\mathbf{w}}; \bar{\mathbf{x}}}^{(i_1, \dots, i_j)})$$

is an isomorphism using Part (1) of Theorem 3.19.

Also, observe that denoting by

$$\pi_{(i_1, \dots, i_j)} : \underbrace{\mathbb{P}^{m_{j+1}} \times \dots \times \mathbb{P}^{m_{j+1}}}_{N_{j+1}} \rightarrow \mathbb{P}^{m_{j+1}},$$

the projection on the  $(i_1, \dots, i_j)$ -th factor,

$$\mathcal{R}(\psi_{j+1}^\omega(\bar{\mathbf{w}}; \bar{\mathbf{x}}; \cdot)) = \bigcap_{(i_1, \dots, i_j) \in [0, 2d_0 + 1] \times \dots \times [0, 2d_{j-1}]} \pi_{(i_1, \dots, i_j)}^{-1}(V_{\bar{\mathbf{w}}; \bar{\mathbf{x}}}^{(i_1, \dots, i_j)}).$$

Now using Part (1) of Lemma 3.23 we get that for each point  $(\bar{\mathbf{w}}; \bar{\mathbf{x}}) \in \mathcal{R}(\psi_j^\omega) \subset \mathbb{P}^{\mathbf{m}_j}$ , and for  $0 \leq i \leq 2d_j$  the restriction homomorphisms

$$H^i(\underbrace{\mathbb{P}^{m_{j+1}} \times \dots \times \mathbb{P}^{m_{j+1}}}_{N_{j+1}}) \rightarrow H^i(\mathcal{R}(\psi_{j+1}^\omega(\bar{\mathbf{w}}; \bar{\mathbf{x}}; \cdot)))$$

are isomorphisms.

Finally using proper base change, and the fact that  $\mathcal{R}(\psi_{j+1}^\omega(\bar{\mathbf{w}}; \bar{\mathbf{x}}; \cdot)) \neq \emptyset$  if and only if  $(\bar{\mathbf{w}}; \bar{\mathbf{x}}) \in \mathcal{R}(\psi_j^\omega)$ , we get that the restriction homomorphisms

$$H^i(\mathcal{R}(\psi_j^\omega) \times \underbrace{\mathbb{P}^{m_{j+1}} \times \dots \times \mathbb{P}^{m_{j+1}}}_{N_{j+1}}) \rightarrow H^i(\mathcal{R}(\psi_{j+1}^\omega))$$

are isomorphisms for  $0 \leq i \leq 2d_j$ , from which it follows using (3.21) that

$$Q(\psi_j^\omega) = F_{j+1}^\omega(Q(\psi_{j+1}^\omega)),$$

which completes the inductive step in this case.

Case 2.  $\omega(j+1) = \forall$ . For each  $(\bar{\mathbf{w}}; \bar{\mathbf{x}}) \in \mathbb{P}^{\mathbf{m}_j}$  (where  $\bar{\mathbf{w}} = (\mathbf{w}^{(1)}; \dots; \mathbf{w}^{(m)}) \in \mathbb{P}^{e_1} \times \dots \times \mathbb{P}^{e_m}$ ,  $\bar{\mathbf{x}} = (\bar{\mathbf{x}}_1; \dots; \bar{\mathbf{x}}_j)$ , and for  $1 \leq h \leq j$ ,  $\bar{\mathbf{x}}_h = (\dots; \mathbf{x}^{(i_1, \dots, i_{h-1})}; \dots) \in \underbrace{\mathbb{P}^{m_h} \times \dots \times \mathbb{P}^{m_h}}_{N_h}$ ), and each tuple  $(i_1, \dots, i_j) \in [0, 2d_0 + 1] \times \dots \times [0, 2d_{j-1}]$ ,

let  $W_{\bar{\mathbf{w}}; \bar{\mathbf{x}}}^{(i_1, \dots, i_j)} = \mathbb{P}^{m_{j+1}} \setminus V_{\bar{\mathbf{w}}; \bar{\mathbf{x}}}^{(i_1, \dots, i_j)}$ .

Notice that, for  $0 \leq i \leq 2d_j$ , the restriction homomorphism

$$H^i(\mathbb{P}^{m_{j+1}}) \rightarrow H^i(W_{\bar{\mathbf{w}}; \bar{\mathbf{x}}}^{(i_1, \dots, i_j)})$$

is an isomorphism using Part (2) of Theorem 3.19.

Also, observe that denoting by

$$\pi_{(i_1, \dots, i_j)} : \underbrace{\mathbb{P}^{m_{j+1}} \times \dots \times \mathbb{P}^{m_{j+1}}}_{N_{j+1}} \rightarrow \mathbb{P}^{m_{j+1}},$$

the projection on the  $(i_1, \dots, i_j)$ -th factor,

$$\mathcal{R}(\psi_{j+1}^\omega(\bar{\mathbf{w}}; \bar{\mathbf{x}}; \cdot)) = \bigcup_{(i_1, \dots, i_j) \in [0, 2d_0+1] \times \dots \times [0, 2d_{j-1}]} \pi_{(i_1, \dots, i_j)}^{-1}(W_{\bar{\mathbf{w}}; \bar{\mathbf{x}}}^{(i_1, \dots, i_j)}).$$

Now using Part (2) of Lemma 3.23 we get that for each point  $(\bar{\mathbf{w}}; \bar{\mathbf{x}}) \in \mathbb{P}^{\mathbf{m}_j} \setminus \mathcal{R}(\psi_j^\omega) \subset \mathbb{P}^{\mathbf{m}_j}$ , and for  $0 \leq i \leq 2d_j$  the restriction homomorphisms

$$\mathrm{H}^i(\underbrace{\mathbb{P}^{m_{j+1}} \times \dots \times \mathbb{P}^{m_{j+1}}}_{N_{j+1}}) \rightarrow \mathrm{H}^i(\underbrace{\mathbb{P}^{m_{j+1}} \times \dots \times \mathbb{P}^{m_{j+1}}}_{N_{j+1}} - \mathcal{R}(\psi_{j+1}^\omega(\bar{\mathbf{w}}; \bar{\mathbf{x}}; \cdot)))$$

are isomorphisms.

Finally using proper base change, and the fact that

$$\underbrace{\mathbb{P}^{m_{j+1}} \times \dots \times \mathbb{P}^{m_{j+1}}}_{N_{j+1}} \setminus \mathcal{R}(\psi_{j+1}^\omega(\bar{\mathbf{w}}; \bar{\mathbf{x}}; \cdot)) \neq \emptyset$$

if and only if  $(\bar{\mathbf{w}}; \bar{\mathbf{x}}) \in \mathbb{P}^{\mathbf{m}_j} - \mathcal{R}(\psi_j^\omega)$ , we get that the restriction homomorphisms

$$\mathrm{H}^i((\mathbb{P}^{\mathbf{m}_j} - \mathcal{R}(\psi_j^\omega)) \times \underbrace{\mathbb{P}^{m_{j+1}} \times \dots \times \mathbb{P}^{m_{j+1}}}_{N_{j+1}}) \rightarrow \mathrm{H}^i(\mathbb{P}^{\mathbf{m}_{j+1}} \setminus \mathcal{R}(\psi_{j+1}^\omega))$$

are isomorphisms for  $0 \leq i \leq 2d_j$ . From this it follows using Theorem 3.16 twice, and (3.22), that

$$Q(\psi_j^\omega) = F_{j+1}^\omega(Q(\psi_{j+1}^\omega)),$$

which completes the inductive step in this case.  $\square$

#### 4. AN ALGEBRAIC VERSION OF TODA'S THEOREM OVER ALGEBRAICALLY CLOSED FIELDS

As mentioned previously, an important feature of Theorem 3.12 (and Corollary 3.13) is that the quantifier-free formula  $J_{m,n}(\psi)$  obtained from the quantified formula  $\psi$  has an easy description in terms of  $\psi$  (in contrast to what happens in classical quantifier elimination). Making this statement quantitative leads to a result which is formally analogous to a classical result in discrete complexity theory – namely, Toda's theorem.

**4.1. The classes  $\mathbf{P}_k^c$ ,  $\mathbf{PH}_k^c$ ,  $\#\mathbf{P}_k^c$ .** We fix  $k$  to be a fixed algebraically closed field for the rest of this section. In order to prove our algebraic analog of Toda's theorem we first need algebraic analogs of the complexity classes appearing in Toda's theorem. In order to motivate the definition of the polynomial hierarchy it is instructive to first consider the following set-theoretic definitions.

Recall that any map  $f : X \rightarrow Y$  between sets  $X$  and  $Y$  induces three functors

$$\mathbf{Pow}(X) \begin{array}{c} \xrightarrow{f^\exists} \\ \xleftarrow{f^*} \\ \xrightarrow{f^\forall} \end{array} \mathbf{Pow}(Y).$$

in the poset categories of their respective power sets  $\mathbf{Pow}(X), \mathbf{Pow}(Y)$ . The functors  $f^*, f^\exists, f^\forall$  are defined as follows. For all  $A \in \mathrm{Ob}(\mathbf{Pow}(X))$  and  $B \in$

$\text{Ob}(\mathbf{Pow}(Y)),$

$$\begin{aligned} f^*(B) &= f^{-1}(B), \\ f_{\exists}(A) &= \{y \in Y \mid (\exists x \in X)((f(x) = y) \wedge (x \in A))\}, \\ f_{\forall}(A) &= \{y \in Y \mid (\forall x \in X)((f(x) = y) \implies (x \in A))\}. \end{aligned}$$

Now, suppose that  $X = \mathbb{P}^n \times \mathbb{P}^m$ ,  $Y = \mathbb{P}^m$  and  $\pi : \mathbb{P}^m \times \mathbb{P}^n \rightarrow \mathbb{P}^m$ . Let  $V$  be an algebraic subset of  $X$ . Then,  $\pi_{\exists}(V), \pi_{\forall}(V)$  are both algebraic subsets of  $\mathbb{P}^m$ .

However, as is well known from computational algebraic geometry, elimination is a costly procedure, and as a result the ‘complexity’ of  $\pi_{\exists}(V)$  and  $\pi_{\forall}(V)$  could increase dramatically compared to that of  $V$ . Here, by complexity one can take for instance the number and degrees of the polynomials appearing in the descriptions of these sets. A more precise definition of complexity and formalization in terms of sequences of algebraic sets rather than just one, leads to variants of the famous **P** vs **NP** (respectively, **P** vs co-**NP**) question albeit over the field  $k$  [BCSS98]. Alternating the functors  $\pi_{\exists}, \pi_{\forall}$  a fixed number of times leads to the so called polynomial hierarchy of complexity classes whose lowest level consists of class **P** of sequences of objects with polynomially bounded growth in complexity. We now make more precise the notion of ‘complexity’ that we are going to use. We begin with some notation.

**Notation 4.1.** For any finite tuple  $\mathbf{n} = (n_1, \dots, n_m) \in \mathbb{N}^m$ , we denote:

- (1)  $\mathbf{n}^{(j)} = (n_{j+1}, \dots, n_m)$  for  $0 \leq j < m$  (we will denote  $\mathbf{n}' = \mathbf{n}^{(1)}$  for convenience);
- (2)  $\pi_{\mathbf{n},j} : \mathbb{P}^{\mathbf{n}} \rightarrow \mathbb{P}^{\mathbf{n}^{(j)}}$ , the projection map.

**Definition 4.2** (Complexity of algebraic sets and polynomial maps). Following [UI18], we define the complexity,  $c(V)$ , of an algebraic subset  $V \subset \mathbb{P}^{\mathbf{n}}$ , to be the size of the smallest arithmetic circuit [B00] computing a tuple of multi-homogeneous polynomials  $(f_1, \dots, f_s)$  such that  $V = Z(f_1, \dots, f_s)$ . The complexity  $c(g)$  of a polynomial map  $g : \mathbb{Z}^m \rightarrow \mathbb{Z}^n$  is the size of the smallest arithmetic circuit computing  $g$ .

*Remark 4.3.* We will often identify for convenience  $\mathbb{Z}^m$  with the  $\mathbb{Z}$ -module,  $\mathbb{Z}[T]_{\leq m-1}$ , of polynomials of degree at most  $m-1$ .

**Notation 4.4** (Characteristic function). Let  $L = (V_i \subset \mathbb{P}^{\mathbf{n}_i})_{i \in \mathbb{N}}$  be a tuple indexed by some index set  $\mathbb{N}$ , where each  $V_i$  is an algebraic subset of  $\mathbb{P}^{\mathbf{n}_i}$ .

We will denote by  $\mathbf{1}_L$  the tuple of constructible functions

$$(1_{V_i} : \mathbb{P}^{\mathbf{n}_i} \rightarrow \{0, 1\} \subset \mathbb{Z} \subset \mathbb{Z}[T])_{i \in \mathbb{N}},$$

where  $1_V$  denotes the characteristic function of  $V(k)$ .

**Definition 4.5** (The class  $\mathbf{P}_k^c$  and  $\mathbf{P}_{\mathbb{Z}}$ ). Following [UI18], we will say that

$$L = (V_i \subset \mathbb{P}^{\mathbf{n}_i})_{i \in \mathbb{N}} \in \mathbf{P}_k^c$$

if  $c(V_i), |\mathbf{n}_i|$  are polynomially bounded functions of  $i$ . Similarly, we will say that a sequence  $G = (g_i : \mathbb{Z}^{m_i} \rightarrow \mathbb{Z}^{n_i})_{i \in \mathbb{N}} \in \mathbf{P}_{\mathbb{Z}}$  if  $c(g_i), m_i, n_i$  are all polynomially bounded functions of  $i$ .

**Example 4.6.** For each fixed  $d$ , consider the sequence

$$L_d = (V_m \subset \mathbb{P}^{\mathbf{n}_m})_{m \in \mathbb{N}},$$

where

$$\mathbf{n}_m = \left( m, \underbrace{\binom{m+d}{d}, \dots, \binom{m+d}{d}}_{m+1} \right),$$

and

$$V_m = \{(x, f_0, \dots, f_m) \mid f_i(x) = 0, 0 \leq i \leq m\},$$

where we identify  $\mathbb{P}^{\binom{m+d}{d}}$  with the projectivization of the space of non-zero homogeneous polynomials of degree  $d$  in  $m+1$  variables. It is an easy exercise to check that  $L_d \in \mathbf{P}_k^c$  for each  $d \geq 0$ .

We now define the algebraic analog  $\#\mathbf{P}_k^c$  of the discrete complexity class  $\#\mathbf{P}$ . Note that in the classical theory the class  $\#\mathbf{P}$  consists of ‘counting functions’ counting the number of solutions of the ‘fibers’ of some Boolean satisfiability problem belonging to  $\mathbf{P}$ . As remarked before, a natural analog of counting in the algebraic context is computing the Poincaré polynomial of algebraic sets (or some easily computable polynomial function of the Poincaré polynomial). Thus, it is natural to define the algebraic analog of  $\#\mathbf{P}$  as sequences of constructible functions whose values are the Poincaré polynomials (with respect to any fixed Weil cohomology theory) of the fibers of sequences of proper morphisms. The sequence of codomains of the morphisms defining an element of the class  $\#\mathbf{P}_k^c$  should itself belong to  $\mathbf{P}_k^c$ .

More formally, we fix a Weil cohomology theory with coefficients in a field and define:

**Definition 4.7** (The class  $\#\mathbf{P}_k^c$ ). A sequence  $F = (F_i : \mathbb{P}^{\mathbf{n}_i} \rightarrow \mathbb{Z}^{N_i})_{i \in \mathbb{N}}$ , where each  $F_i$  is a constructible function, is in the class  $\#\mathbf{P}_k^c$ , if and only if there exists  $L = (V_i \subset \mathbb{P}^{\mathbf{m}_i})_{i \in \mathbb{N}} \in \mathbf{P}_k^c$ ,  $\mathbf{j} : \mathbb{N} \rightarrow \mathbb{N}$ , and  $(g_i : \mathbb{Z}^{2(|\mathbf{m}_i| - |\mathbf{m}^{(\mathbf{j}(i))}|) + 1} \rightarrow \mathbb{Z}^{N_i})_{i \in \mathbb{N}} \in \mathbf{P}_{\mathbb{Z}}$ , such that:

- (1)  $F_i(\mathbf{z}) = g_i(P_{\pi_{\mathbf{m}_i, \mathbf{j}(i)}^{-1}}(\mathbf{z}))$ , and
- (2)  $c(V_i), c(g_i), |\mathbf{m}_i|$  are polynomially bounded functions of  $i$ .

**Notation 4.8** ( $\exists L$  and  $\forall L$ ). For a tuple  $L = (V_i \subset \mathbb{P}^{\mathbf{n}_i})_{i \in \mathbb{N}}$  of algebraic subsets of  $\mathbb{P}^{\mathbf{n}_i}$ , we denote by

$$\exists L := (\pi_{\mathbf{n}_i, 1, \exists}(V_i) \subset \mathbb{P}^{\mathbf{n}'_i})_{i \in \mathbb{N}},$$

and

$$\forall L := (\pi_{\mathbf{n}_i, 1, \forall}(V_i) \subset \mathbb{P}^{\mathbf{n}'_i})_{i \in \mathbb{N}} = (\mathbb{P}^{\mathbf{n}'_i} - \pi_{\mathbf{n}_i, 1}(\mathbb{P}^{\mathbf{n}_i} \setminus V_i) \subset \mathbb{P}^{\mathbf{n}'_i})_{i \in \mathbb{N}}.$$

**Definition 4.9** (Polynomial hierarchy). For  $i \geq 0$ , we define  $\Pi_k^{c, i}, \Sigma_k^{c, i}$  as follows.

- (1)  $\Pi_k^{c, 0} = \Sigma_k^{c, 0} = \mathbf{P}_k^c$ ;
- (2) For  $i > 0$ , we define  $\Sigma_k^{i+1, c}$  as the smallest class of sequences  $L = (V_i \subset \mathbb{P}^{\mathbf{n}_i})_{i \in \mathbb{N}}$  satisfying:
  - (a)  $\Pi_k^{i, c} \subset \Sigma_k^{i+1, c}$ , and
  - (b)  $L \in \Sigma_k^{i+1, c} \implies \exists L \in \Sigma_k^{i+1, c}$ .
- (3) Similarly, we define  $\Pi_k^{i+1, c}$  as the smallest class of sequences  $L = (V_i \subset \mathbb{P}^{\mathbf{n}_i})_{i \in \mathbb{N}}$  satisfying:
  - (a)  $\Sigma_k^{i, c} \subset \Pi_k^{i+1, c}$ , and
  - (b)  $L \in \Pi_k^{i+1, c} \implies \forall L \in \Pi_k^{i+1, c}$ .

(4) Finally, we define

$$\mathbf{PH}_k^c = \bigcup_{i \geq 0} \left( \Pi_k^{i,c} \cup \Sigma_k^{i,c} \right),$$

and

$$\mathbf{1}_{\mathbf{PH}_k^c} = \{ \mathbf{1}_L : L \in \mathbf{PH}_k^c \}.$$

*Remark 4.10.* Notice that it follows from Definition 4.9 that  $L \in \mathbf{PH}_k^c$  if and only if there exists  $L' \in \mathbf{P}_k^c$ ,  $n \geq 0$ , and  $\mathbf{Q}_1, \dots, \mathbf{Q}_n \in \{\exists, \forall\}$ , such that

$$L = \mathbf{Q}_1 \cdots \mathbf{Q}_n L'.$$

With the algebraic analogs of the classes  $\#\mathbf{P}$ , and  $\mathbf{PH}$  in place (cf. Definitions 4.7 and 4.9 respectively), we are now in a position to state an algebraic analog of Toda's theorem.

**Theorem 4.11** (Algebraic analog of Toda's theorem).

$$\mathbf{1}_{\mathbf{PH}_k^c} \subset \#\mathbf{P}_k^c.$$

#### 4.2. Proof of algebraic version of Toda's theorem.

**Lemma 4.12.** *Let  $L = (V_i \subset \mathbb{P}^{m_i} \times \mathbb{P}^{n_i})_{i \in \mathbb{N}} \in \mathbf{P}_k^c$ , with  $\mathbf{m}_i = (e_{i,1}, \dots, e_{i,m_i}) \in \mathbb{N}^{m_i}$ ,  $\mathbf{n}_i = (f_{i,1}, \dots, f_{i,n}) \in \mathbb{N}^n$ . Then,*

$$(J_{m_i,n}(V_i))_{i \in \mathbb{N}} \in \mathbf{P}_k^c.$$

*Proof.* First observe that it follows from Definitions 4.5 and 4.2 that for each  $i \in \mathbb{N}$  there exists a tuple  $\bar{f}_i = (f_{i,1}, \dots, f_{i,k_i})$  of multi-homogeneous polynomials such that there exists an arithmetic circuit computing  $\bar{f}_i$  of size  $C_i$  which is polynomially bounded in  $i$ , and such that  $V_i$  is defined by the proper quantifier-free formula

$$\psi_i \stackrel{\text{def}}{=} \bigwedge_{j=1}^{k_i} (f_{i,j} = 0).$$

It now follows from Notation 3.8 that

- (1)  $J_{m_i,n}(\psi_i) = \bigwedge_{j=1}^{K_i} \psi_{i,j}$ , where
- (2)  $K_i = 2^n \prod_{j=1}^n (d_{i,j-1} + 1)$ , and  $d_{i,0}, \dots, d_{i,n-1}$  are defined as in Notation 3.8;
- (3) for each  $j \in [1, n]$ , the sequence  $(d_{i,j-1})_{i \in \mathbb{N}}$  is polynomially bounded in  $i$ ;
- (4) for each  $i, j$ ,  $\psi_{i,j} = \bigwedge_{h=1}^{k_i} (F_{i,j,h} = 0)$ , and
- (5) there exists an arithmetic circuit of size  $C_{ij}$  computing the tuple

$$(F_{i,j,1}, \dots, F_{i,j,k_i}),$$

and for each  $j \in [1, n]$ , the sequence  $(C_{i,j})_{i \in \mathbb{N}}$  is polynomially bounded in  $i$ .

This shows that

$$c(J_{m_i,n}(V_i)) \leq 2^n \prod_{j=1}^n (d_{i,j-1} + 1) C_i,$$

and hence the sequence  $(c(J_{m_i,n}(V_i)))_{i \in \mathbb{N}}$  is polynomially bounded in  $i$ , since  $n$  is a constant, and the sequences  $(d_{i,j})_{i \in \mathbb{N}}$  and  $(C_{i,j})_{i \in \mathbb{N}}$  are bounded polynomially in  $i$ , as observed previously. This proves the lemma.  $\square$

**Lemma 4.13.** *The following sequences belong to  $\mathbf{P}_{\mathbb{Z}}$ .*

- (1)  $(\text{Rec}_{\mathbf{n}_i} : \mathbb{Z}[T]_{\leq |\mathbf{n}_i|} \rightarrow \mathbb{Z}[T]_{\leq |\mathbf{n}_i|})_{i \in \mathbb{N}}$ , for any sequence  $(\mathbf{n}_i)_{i \in \mathbb{N}}$  such that the sequence  $(|\mathbf{n}_i|)_{i \in \mathbb{N}}$  is polynomially bounded.
- (2)  $(\text{Trunc}_{m_i, n_i} : \mathbb{Z}^{n_i+1} \rightarrow \mathbb{Z}^{m_i+1})_{i \in \mathbb{N}}$ , for any pair of polynomially bounded sequences  $(m_i)_{i \in \mathbb{N}}, (n_i)_{i \in \mathbb{N}}$ ;
- (3)  $(M_{(1-T)^{N_i}} : \mathbb{Z}[T]_{d_i} \rightarrow \mathbb{Z}[T]_{d_i+N_i})_{i \in \mathbb{N}}$ , where  $(d_i), (N_i)_{i \in \mathbb{N}}$  are two polynomially bounded sequences, for  $f \in \mathbb{Z}[T]$ ,  $M_f(g) = fg$ ;
- (4)  $(\text{pseudo}_{n_i} : \mathbb{Z}[T]_{\leq 2n_i} \rightarrow \mathbb{Z}[T]_{n_i})_{i \in \mathbb{N}}$ , for any polynomially bounded sequence  $(n_i)_{i \in \mathbb{N}}$ , where  $\text{pseudo}$  maps a polynomial  $P(T)$  to  $P^{\text{even}} - TP^{\text{odd}}$ .

*Proof.* Obvious.  $\square$

*Proof of Theorem 4.11.* Suppose that  $L = (V_i \subset \mathbb{P}^{\mathbf{m}_i})_{i \in \mathbb{N}} \in \mathbf{PH}_k^c$ . It follows from Remark 4.10 that there exists  $n \geq 0$   $L' = (V'_i \subset \mathbb{P}^{\mathbf{m}_i} \times \mathbb{P}^{\mathbf{n}_i})_{i \in \mathbb{N}} \in \mathbf{P}_k^c$ , with  $\mathbf{m}_i \in \mathbb{N}^{\mathbf{m}_i}, \mathbf{n}_i \in \mathbb{N}^{\mathbf{n}_i}$  for some fixed  $n$ , and  $\mathbf{Q}_1, \dots, \mathbf{Q}_n \in \{\exists, \forall\}$ , such that

$$L = \mathbf{Q}_1 \cdots \mathbf{Q}_n L'.$$

This implies that for each  $i \in \mathbb{N}$ ,  $V_i = (V'_i)^{\omega_i}$ , where  $\omega_i \in \{\exists, \forall\}^{[1, n]}$ , is defined by  $\omega_i(j) = \mathbf{Q}_j$ . Lemma 4.12 now implies that  $(J_{m_i, n}(V'_i))_{i \in \mathbb{N}} \in \mathbf{P}_k^c$ .

Let  $\pi_{\mathbf{m}_i, \mathbf{n}_i} : V'_i \rightarrow \mathbb{P}^{\mathbf{m}_i}$  (respectively,  $J(\pi_{\mathbf{m}_i, \mathbf{n}_i}) : J_{m_i, n}(V'_i) \rightarrow \mathbb{P}^{\mathbf{m}_i}$ ) denote the restriction of the projection morphism to  $V'_i$  (respectively,  $J_{m_i, n}(V'_i)$ ).

Let  $\pi_{\mathbf{m}_i, \mathbf{n}_i, \mathbf{w}} : V'_{i, \mathbf{w}} \rightarrow \{\mathbf{w}\}$  (respectively,  $J(\pi_{\mathbf{m}_i, \mathbf{n}_i, \mathbf{w}}) : J_{m_i, n}(V'_{i, \mathbf{w}}) \rightarrow \mathbb{P}^{\mathbf{m}_i}$ ) denote the pull-back of  $\pi_{\mathbf{m}_i, \mathbf{n}_i}$  (respectively,  $J(\pi_{\mathbf{m}_i, \mathbf{n}_i})$ ) under the inclusion  $\{\mathbf{w}\} \hookrightarrow \mathbb{P}^{\mathbf{m}_i}$ .

Observe that,

$$(J_{m_i, n}(V'_i))_{\mathbf{w}} \cong J_{0, n}(V'_{i, \mathbf{w}}).$$

Theorem 3.12 now implies that

$$1_{V_i} = F^{\omega_i}(Q(J_{0, n}(V'_{i, \mathbf{w}}))) = F^{\omega_i} \circ \text{pseudo}_{d_i, n}(P(J_{0, n}(V'_{i, \mathbf{w}}))),$$

where  $F^{\omega_i}$  is the operator appearing in Theorem 3.12.

It follows also from the definition of the operator  $F^{\omega_i}$  (as in Theorem 3.12) and Lemma 4.13, that the two sequences of operators  $(F^{\omega_i})_{i \in \mathbb{N}} \in \mathbf{P}_{\mathbb{Z}}$ ,  $(\text{pseudo}_{d_i, n})_{i \in \mathbb{N}}$  are in  $\mathbf{P}_{\mathbb{Z}}$ , and so is the sequence of their compositions. It now follows from Definition 4.7 that the sequence  $(1_{V_i})_{i \in \mathbb{N}} \in \#\mathbf{P}_k^c$ .  $\square$

## 5. BOUNDS ON BETTI NUMBERS

As before, we work over an algebraically closed field  $k$ . We fix a prime number  $\ell \neq \text{char}(k)$ , and work with étale cohomology with  $\mathbb{Q}_{\ell}$ -coefficients. Let  $X \subset \mathbb{P}^M \times \mathbb{P}^N$  be an algebraic subset. In this section, we will apply the results of the previous section to obtain bounds on sums of the Betti numbers of the image  $\pi(X)$  under the projection to  $\mathbb{P}^M$  in terms of those of the relative join. Finally, we compare this bound with those achieved through an application of classical elimination theory.

**5.1. Classical results on bounds for sums of Betti numbers of algebraic sets.** In this subsection, we recall some classical results on bounds of (sums of) Betti numbers for algebraic subsets of  $\mathbb{A}^N$  and  $\mathbb{P}^N$ . The results here are due to Oleinik and Petrovskiĭ, Thom, Milnor, Bombieri, Adolphson-Sperber, and Katz. We follow closely the paper of Katz ([Kat01]).

Given an algebraic set  $X$ , let  $h^i(X) := \dim(H^i(X, \mathbb{Q}_{\ell}))$  (resp.  $h_c^i(X) := \dim(H_c^i(X, \mathbb{Q}_{\ell}))$ ). Let  $h(X) = \sum_i h^i(X)$  and  $h_c(X) = \sum_i h_c^i(X)$ . Finally, we denote by  $\chi(X)$  and  $\chi_c(X)$  the Euler characteristic (resp. compactly supported Euler characteristic) of

$X$ . With this notation, one has the following classical bounds on sums of Betti numbers and Euler characteristics.

- (1) Suppose  $\text{char}(k) = 0$ . If  $X \subset \mathbb{A}^N$  ( $N \geq 1$ ) defined by  $r \geq 1$  equations  $F_i$  with  $\deg(F_i) \leq d$ , then Oleĭnik and Petrovskiĭ [PO49], Thom [Tho65] and Milnor [Mil64] showed that

$$h(X) \leq d(2d - 1)^{2N-1}.$$

While the result in *loc. cit.* is stated for singular cohomology with coefficients in  $\mathbb{Q}$ , standard arguments give the same result for  $\ell$ -adic cohomology over any algebraically closed field of characteristic zero. Standard arguments ([Kat01]) now show that

$$h_c(X) \leq 2^r(1 + rd)(1 + 2rd)^{2N+1}.$$

- (2) In general, Bombieri [Bom78b] gave the explicit upper bound

$$|\chi_c(X)| \leq (4(1 + d) + 5)^{N+r}.$$

- (3) Bombieri's bounds were improved upon by Adolphson and Sperber ([AS88b]). They considered the homogeneous polynomial

$$D_{N,r}(X_0, \dots, X_N) := \sum_{|W|=N} X^W,$$

and showed that

$$|\chi_c(X) \leq 2^r D_{N,r}(1, 1 + d, 1 + d, \dots, 1 + d) \leq 2^r(r + 1 + rd)^N.$$

- (4) In [Kat01], Katz derived bounds on sums of Betti numbers given any universal bound

$$|\chi_c(X)| \leq E(N, r, d).$$

More precisely, let

$$A(N, r, d) := E(N, r, d) + 2 + 2 \sum_{n=1}^{N-1} E(n, r, d),$$

and

$$B(N, r, d) := 1 + \sum_{\emptyset \neq S \subset \{1, 2, \dots, r\}} A(N + 1, 1, 1 + d(\#S)).$$

Then for  $X$  as before, Katz showed [Kat01, Theorem 1] that

$$h_c(X) \leq B(N, r, d).$$

- (5) Suppose now that  $X \subset \mathbb{P}^N$  is defined by the vanishing of  $r \geq 1$  homogeneous polynomials of degree at most  $d$ . Then [Kat01, Theorem 3] gives:

$$h_c(X) = h(X) \leq 1 + \sum_{n=1}^N B(n, r, d).$$

Here are some explicit versions of this bound.

- (1) Bombieri's bound, gives

$$B(N, r, d) \leq 2^r \times (5/4) \times (4(2 + rd + 5))^{N+2}$$

- (2) The Adolphson-Sperber bound gives

$$B(N, r, d) \leq 2^r \times 3 \times 2 \times (2 + (1 + rd))^{N+1}$$

In particular, one has the following bounds due to Katz:

- (1) For  $X \subset \mathbb{A}^N$  defined by  $r$  polynomials of degree  $\leq d$ , the Adolphson-Sperber bound gives:

$$h_c(X) \leq 2^r \times 3 \times 2 \times (2 + (1 + rd))^{N+1}.$$

- (2) For  $X \subset \mathbb{P}^N$  defined by  $r$  homogeneous polynomials of degree  $\leq d$ , the Adolphson-Sperber bound gives:

$$h_c(X) = h(X) \leq (3/2) \times 2^r \times 3 \times 2 \times (2 + (1 + rd))^{N+1}$$

We can apply these results to obtain bounds on sums of the Betti numbers for  $X \subset \mathbb{P}^N \times \mathbb{P}^M$  defined by a bi-homogeneous system  $F_i = F_i(X_0, \dots, X_{N+1}, Y_0, \dots, Y_{M+1})$  with bi-homogeneous degree bounded by  $(d_1, d_2)$ . The above bounds then give the following:

**Proposition 5.1.** *Let  $X \subset \mathbb{P}^N \times \mathbb{P}^M$  be an algebraic set defined  $r$  by bi-homogeneous polynomials  $F_i(X_0, \dots, X_{N+1}, Y_0, \dots, Y_{M+1})$  of bi-degree  $(d_1, d_2)$ . Then one has:*

$$h_c(X) = h(X) \leq \sum_{\substack{0 \leq i \leq N \\ 0 \leq j \leq M}} B(r, d_1 + d_2, i + j)$$

Here, for  $i + j = 0$ , we set  $B(r, d_1 + d_2, 0) = 1$ .

*Proof.* We may decompose  $\mathbb{P}^N \times \mathbb{P}^M = (\mathbb{A}^N \times \mathbb{P}^M) \amalg (\mathbb{P}^{N-1} \times \mathbb{P}^M)$ . This gives a decomposition  $X = (X \cap (\mathbb{A}^N \times \mathbb{P}^M)) \amalg (X \cap (\mathbb{P}^{N-1} \times \mathbb{P}^M))$ . One now argues recursively.  $\square$

**5.2. Bounds on the Betti numbers of images via relative joins.** As a direct consequence of Proposition 5.1 and Theorem 2.30 we obtain:

**Theorem 5.2.** *Let  $X \subset \mathbb{P}^N \times \mathbb{P}^M$  be an algebraic subset defined  $r$  by bi-homogeneous polynomials  $F_i(X_0, \dots, X_{N+1}, Y_0, \dots, Y_{M+1})$  of bi-degree  $(d_1, d_2)$ , and  $\pi : \mathbb{P}^N \times \mathbb{P}^M \rightarrow \mathbb{P}^M$  the projection morphism. Then, for all  $p > 0$ ,*

$$\begin{aligned} \sum_{h=0}^{p-1} b_h(\pi(X)) &\leq \frac{2}{p} \sum_{h=0}^{p-1} b_h(J_\pi^{[p]}(X)) \\ &\leq \frac{2}{p} \sum_{\substack{0 \leq i \leq (N+1)(p+1)-1 \\ 0 \leq j \leq M}} B(i + j, r(p+1), d_1 + d_2). \end{aligned}$$

*Proof.* The first inequality follows from Theorem 2.30, and the second from Proposition 5.1.  $\square$

## 6. RELATIVE JOINS VERSUS PRODUCTS

In Section 5, upper bounds on the Betti numbers of  $\pi(X)$ , where  $X \subset \mathbb{P}^N \times \mathbb{P}^n$  is an algebraic subset and  $\pi : \mathbb{P}^N \times \mathbb{P}^n \rightarrow \mathbb{P}^n$  were derived in terms of the join  $J_\pi^p(X)$ . There is another more direct way to obtain an upper bound on  $\pi(X)$ : namely from the spectral sequence associated to the hypercover

$$X \xleftarrow{\quad} X \times_\pi X \xleftarrow{\quad} X \times_\pi X \times_\pi X \xleftarrow{\quad} \cdots$$

one obtains the inequality for each  $i \geq 0$

$$(6.1) \quad b_i(\pi(X)) \leq \sum_{p+q=i} b_q(\underbrace{X \times_\pi \cdots \times_\pi X}_{(p+1)}).$$

In this section we compare the upper bounds on Betti numbers coming from considering the relative join with that coming from inequality (6.1).

**6.1. Exponentially large error for the hypercovering inequality.** Let  $X \subset \mathbb{P}^m \times \mathbb{P}^n$  and  $\pi : \mathbb{P}^m \times \mathbb{P}^n \rightarrow \mathbb{P}^n$  the projection. Then, for each  $p \geq 0$ , it follows from Theorem 2.30 that

$$P(\pi(X)) = (1 - T^2)P(J_\pi^{[p]}(X)) \pmod{T^p},$$

from which it follows that

$$(6.2) \quad b_i(\pi(X)) = b_i(J_\pi^{[p]}(X)) - b_{i-2}(J_\pi^{[p]}(X)), 0 \leq i < p.$$

Telescoping Eqn. (6.2) we obtain for all odd  $p > 0$ ,

$$(6.3) \quad \sum_{2i < p} b_{2i}(\pi(X)) = b_{p-1}(J_\pi^{[p]}(X)),$$

$$(6.4) \quad \sum_{2i-1 < p} b_{2i-1}(\pi(X)) = b_{p-2}(J_\pi^{[p]}(X)).$$

Inequalities (6.3) and (6.4) sometime give more information on the Betti numbers of  $\pi(X)$  than what can be inferred from inequality (6.1).

For instance, consider the projection map  $\mathbb{P}^1 \times \mathbb{P}^n \rightarrow \mathbb{P}^n$ , and  $X = \mathbb{P}^1 \times \mathbb{P}^n$ . Applying inequality (6.1) one gets

$$\begin{aligned} 1 &= b_{2n}(\pi(X)) \\ &= b_{2n}(\mathbb{P}^n) \\ &\leq \sum_{p+q=2n} b_q(\underbrace{X \times_\pi \cdots \times_\pi X}_{(p+1)}) \\ &= \sum_{p+q=2n} b_q(\underbrace{\mathbb{P}_k^1 \times \cdots \times \mathbb{P}_k^1 \times \mathbb{P}^n}_{(p+1)}) \\ &= \sum_{p+q=n} \sum_{0 \leq j \leq 2q} \binom{2p+1}{j} \\ &= \sum_{0 \leq p \leq n} \sum_{0 \leq j \leq 2(n-p)} \binom{2p+1}{j}. \end{aligned}$$

This example shows that the difference between the two sides of the inequality (6.1) can be exponentially large in  $n$ .

On other hand, it follows from the fact that  $J_\pi^{[2n+1]}(X) = \mathbb{P}^{2(2n+2)-1} \times \mathbb{P}^n$ , and Eqn. (6.3), that with  $p = 2n + 1$

$$\begin{aligned} \sum_{2i < p} b_{2i}(\pi(X)) &= b_{2n}(J_\pi^{[2n+1]}(X)), \\ &= b_{2n}(\mathbb{P}_k^{2(2n+2)-1} \times \mathbb{P}_k^n) \\ &= n + 1. \end{aligned}$$

**6.2. Joins and defects.** We discuss another way in which the relative join gives better information on the Betti numbers of the image under projection of an algebraic set than what can be gleaned from inequality (6.1). We prove the following theorem.

**Theorem 6.5.** *Let  $X \subset \mathbb{P}^N \times \mathbb{P}^n$  be a local complete intersection variety of pure dimension  $n - r$ . Let  $\pi : \mathbb{P}^N \times \mathbb{P}^n \rightarrow \mathbb{P}^n$  be the projection morphism, and suppose that  $\pi|_X$  has finite fibers. Then, for all  $i, 0 \leq i < \lfloor \frac{n-r}{r} \rfloor$ ,*

$$\begin{aligned} b_i(\pi(X)) &= 1 \text{ if } i \text{ is even,} \\ b_i(\pi(X)) &= 0 \text{ if } i \text{ is odd.} \end{aligned}$$

*Proof.* For any  $p \geq 0$ ,  $J_\pi^{[p]}(X)$  is an algebraic subset of  $\mathbb{P}_k^{(p+1)(N+1)-1} \times \mathbb{P}^n$ . Thus the ambient dimension,  $M$ , of  $J_\pi^{[p]}(X)$  equals  $(p+1)N + p + n$ . Since  $X$  is a local complete intersection of dimension  $n - r$ , the number of equations needed to define  $X$  locally is  $N + r$ . This implies that the number of equations  $E$  needed to define  $J_\pi^{[p]}(X)$  locally is  $(p+1)(N+r)$ .

Using [GM88, Section 2.2, page 24 (LHT for singular spaces)] we deduce

$$\begin{aligned} 0 \leq i &< \dim J_\pi^{[p]}(X) - (E - \text{codim} J_\pi^{[p]}(X)) \\ &= M - E \\ &= (p+1)N + p + n - (p+1)(N+r) \\ &= p + n - (p+1)r \\ &= n - r - p(r-1), \\ b_i(J_\pi^{[p]}(X)) &= b_i(\mathbb{P}^{(p+1)(N+1)-1} \times \mathbb{P}^n). \end{aligned}$$

On other hand

$$b_i(\pi(X)) = b_i(J_\pi^{[p]}(X)) - b_{i-2}(J_\pi^{[p]}(X)),$$

for  $0 \leq i < p$ . It follows that for  $0 \leq i < \min(p, n - r - p(r-1))$ ,

$$b_i(\pi(X)) = b_i(\mathbb{P}^{(p+1)(N+1)-1} \times \mathbb{P}^n) - b_{i-2}(\mathbb{P}^{(p+1)(N+1)-1} \times \mathbb{P}^n).$$

The integral value of  $p$  that maximizes the function  $\min(p, n - r - p(r-1))$  equals  $\lfloor \frac{n-r}{r} \rfloor$  from which we deduce that for  $0 \leq i < \lfloor \frac{n-r}{r} \rfloor$ ,

$$(6.6) \quad b_i(\pi(X)) = b_i(\mathbb{P}^{(p+1)(N+1)-1} \times \mathbb{P}^n) - b_{i-2}(\mathbb{P}^{(p+1)(N+1)-1} \times \mathbb{P}^n).$$

The theorem follows from (6.6).  $\square$

## REFERENCES

- [AK75] Allen B. Altman and Steven L. Kleiman, *Joins of schemes, linear projections*, Compositio Math. **31** (1975), no. 3, 309–343. MR 0396560 [9](#), [10](#)
- [AS88a] Alan Adolphson and Steven Sperber, *On the degree of the L-function associated with an exponential sum*, Compositio Math. **68** (1988), no. 2, 125–159. MR 966577 (90b:11134) [7](#), [8](#)
- [AS88b] ———, *On the degree of the L-function associated with an exponential sum*, Compositio Math. **68** (1988), no. 2, 125–159. MR 966577 [32](#)
- [Bö0] Peter Bürgisser, *Completeness and reduction in algebraic complexity theory*, Algorithms and Computation in Mathematics, vol. 7, Springer-Verlag, Berlin, 2000. MR 1771845 [28](#)
- [Bas12] Saugata Basu, *A complex analogue of Toda's theorem*, Found. Comput. Math. **12** (2012), no. 3, 327–362. MR 2915565 [3](#), [6](#), [7](#), [12](#), [20](#)

- [BCSS98] L. Blum, F. Cucker, M. Shub, and S. Smale, *Complexity and real computation*, Springer-Verlag, New York, 1998, With a foreword by Richard M. Karp. MR 1479636 (99a:68070) [5](#), [6](#), [28](#)
- [BL72] W. Barth and M. E. Larsen, *On the homotopy groups of complex projective algebraic manifolds*, Math. Scand. **30** (1972), 88–94. MR 0340643 [17](#)
- [Bom78a] E. Bombieri, *On exponential sums in finite fields. II*, Invent. Math. **47** (1978), no. 1, 29–39. MR 0506272 (58 #22072) [7](#), [8](#)
- [Bom78b] ———, *On exponential sums in finite fields. II*, Invent. Math. **47** (1978), no. 1, 29–39. MR 0506272 [32](#)
- [BZ10] Saugata Basu and Thierry Zell, *Polynomial hierarchy, Betti numbers, and a real analogue of Toda’s theorem*, Found. Comput. Math. **10** (2010), no. 4, 429–454. MR 2657948 [7](#)
- [FOV99] H. Flenner, L. O’Carroll, and W. Vogel, *Joins and intersections*, Springer Monographs in Mathematics, Springer-Verlag, Berlin, 1999. MR 1724388 [3](#)
- [GM88] M. Goresky and R. MacPherson, *Stratified Morse theory*, Ergebnisse der Mathematik und ihrer Grenzgebiete (3) [Results in Mathematics and Related Areas (3)], vol. 14, Springer-Verlag, Berlin, 1988. MR 932724 (90d:57039) [35](#)
- [Hei85] Joos Heintz, *Corrigendum: “Definability and fast quantifier elimination in algebraically closed fields”* [Theoret. Comput. Sci. **24** (1983), no. 3, 239–277; MR0716823 (85a:68062)], Theoret. Comput. Sci. **39** (1985), no. 2-3, 343. MR 821213 [5](#), [7](#)
- [HS77] Robin Hartshorne and Robert Speiser, *Local cohomological dimension in characteristic  $p$* , Ann. of Math. (2) **105** (1977), no. 1, 45–79. MR 0441962 [17](#)
- [Kat01] Nicholas M. Katz, *Sums of Betti numbers in arbitrary characteristic*, Finite Fields Appl. **7** (2001), no. 1, 29–44, Dedicated to Professor Chao Ko on the occasion of his 90th birthday. MR 1803934 [7](#), [8](#), [31](#), [32](#)
- [Mil64] J. Milnor, *On the Betti numbers of real varieties*, Proc. Amer. Math. Soc. **15** (1964), 275–280. MR 0161339 (28 #4547) [7](#), [32](#)
- [Ogu75] Arthur Ogus, *On the formal neighborhood of a subvariety of projective space*, Amer. J. Math. **97** (1975), no. 4, 1085–1107. MR 0401764 [17](#)
- [Pap94] C. Papadimitriou, *Computational complexity*, Addison-Wesley, 1994. [6](#)
- [PO49] I. G. Petrovskii and O. A. Oleĭnik, *On the topology of real algebraic surfaces*, Izvestiya Akad. Nauk SSSR. Ser. Mat. **13** (1949), 389–402. MR 0034600 (11,613h) [7](#), [32](#)
- [Poi95] Bruno Poizat, *Les petits cailloux*, Nur al-Mantiq wal-Marifah [Light of Logic and Knowledge], vol. 3, Aléas, Lyon, 1995, Une approche modèle-théorique de l’algorithmie. [A model-theoretic approach to algorithms]. MR 1333892 [6](#)
- [Poi00] ———, *A course in model theory*, Universitext, Springer-Verlag, New York, 2000, An introduction to contemporary mathematical logic, Translated from the French by Moses Klein and revised by the author. MR 1757487 [4](#)
- [SGA77] *Cohomologie  $l$ -adique et fonctions  $L$* , Lecture Notes in Mathematics, Vol. 589, Springer-Verlag, Berlin-New York, 1977, Séminaire de Géométrie Algébrique du Bois-Marie 1965–1966 (SGA 5), Edité par Luc Illusie. MR 0491704 [13](#)
- [Tho65] R. Thom, *Sur l’homologie des variétés algébriques réelles*, Differential and Combinatorial Topology (A Symposium in Honor of Marston Morse), Princeton Univ. Press, Princeton, N.J., 1965, pp. 255–265. MR 0200942 (34 #828) [7](#), [32](#)
- [Tod91] S. Toda, *PP is as hard as the polynomial-time hierarchy*, SIAM J. Comput. **20** (1991), no. 5, 865–877. MR 1115655 (93a:68047) [3](#), [6](#)
- [UI18] M. Umut Isik, *Complexity classes and completeness in algebraic geometry*, Foundations of Computational Mathematics (2018). [28](#)
- [Val84] L. G. Valiant, *An algebraic approach to computational complexity*, Proceedings of the International Congress of Mathematicians, Vol. 1, 2 (Warsaw, 1983) (Warsaw), PWN, 1984, pp. 1637–1643. MR 804803 [6](#)

DEPARTMENT OF MATHEMATICS, PURDUE UNIVERSITY, 150 N. UNIVERSITY STREET, WEST LAFAYETTE, IN 47907, U.S.A.

*E-mail address:* [sbasu@math.purdue.edu](mailto:sbasu@math.purdue.edu)

DEPARTMENT OF MATHEMATICS, PURDUE UNIVERSITY, 150 N. UNIVERSITY STREET, WEST LAFAYETTE, IN 47907, U.S.A.

*E-mail address:* [patel471@purdue.edu](mailto:patel471@purdue.edu)