

# The Microlocal Analysis of some X-ray transforms in Electron Tomography (ET)

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Joint work with Raluca Felea (lines),  
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# The Model of Electron Tomography (ET)

## Intro

$f$  is the scattering potential of an object.

$\gamma$  is a line or curve over which electrons travel.

## The X-ray Transform:

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**The Goal:** Recover a picture of the object including molecule shapes from ET data over a finite number of lines or curves.

**Data Acquisition:** Take multiple micrographs (ET images) of a prepared sample of particles by moving the sample in relation to the electron beam.

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- **For larger fields of view** ( $\sim 8,000$  nm), the electron beams need to be wider and **electrons far from the central axis travel over helix-like curves, not lines** [A. Lawrence et al.].

# The Admissible Case for Lines in $\mathbb{R}^3$

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## Definition (Cone Condition (Admissible Line Complex))

$\Xi$  satisfies the *Cone Condition* if for all  $\ell \in \Xi$  and any two points  $\mathbf{x}_0$  and  $\mathbf{x}_1$  in  $\ell$ , the cones  $S_{\mathbf{x}_0}$  and  $S_{\mathbf{x}_1}$  have the same tangent plane along  $\ell$ .

[Gelfand and coauthors, Guillemin, Greenleaf, Uhlmann, Boman, Q, Finch, Katsevich, Sharafutdinov, and many others]

They wrote a series of beautiful articles using sophisticated microlocal analysis to understand admissible complexes,  $\Xi$ , of geodesics on manifolds.

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**[GU 1989]:** If  $\Gamma$  satisfies a curvature condition, then  $\mathcal{P}^*\mathcal{P}$  is a singular Fourier integral operator in  $I^{(-1),0}(\Delta, \Gamma_\Sigma)$  where  $\Gamma_\Sigma$  is a flow-out from the diagonal,  $\Delta$ .

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**Applications of microlocal analysis in tomography and radar:** Ambartsoumian, Antoniano, Cheney, deHoop, Felea, Finch, Greenleaf, Guillemin, Krishnan, Lan, Nolan, Q, Stefanov, Uhlmann, and many others.

# Small field of view ET: Lines parallel a curve on $S^2$

$\theta : ]a, b[ \rightarrow S^2$  a smooth, regular curve.  $C = \theta(]a, b[)$

For any  $x \in \mathbb{R}^3$ ,

$$S_x = \{x + s\theta(t) \mid s \in \mathbb{R}, t \in ]a, b[ \}$$

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## Hypothesis (Curvature Conditions)

*Let  $\theta : ]a, b[ \rightarrow S^2$  be a smooth regular curve. Let  $\beta(t) = \theta(t) \times \theta'(t)$ . We assume the following curvature conditions*

- (a)  $\forall t \in ]a, b[, \theta''(t) \cdot \theta(t) \neq 0$ .
- (b)  $\forall t \in ]a, b[, \beta'(t) \neq \mathbf{0}$ .
- (c) *The curve  $t \mapsto \beta(t)$  is simple.*

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$$C_{\text{cone}} = \{\theta(t) := (\cos(\alpha), \sin(\alpha) \cos(t), \sin(\alpha) \sin(t)) \mid t \in [0, 2\pi]\}.$$

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# Examples

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In the coordinate system of the specimen, conical tilt data are over lines in the complex of lines parallel  $C_{\text{cone}}$ .

For  $\mathbf{x} \in \mathbb{R}^3$ ,  $S_{\mathbf{x}}$  is the circular cone with vertex  $\mathbf{x}$  with opening angle  $\alpha$  with vertical axis.

This complex satisfies the cone condition and the curvature conditions and [GU 1989] does apply.

## Theorem (Microlocal Regularity Theorem [FeQu 2011])

Assume the smooth regular curve  $C \subset S^2$  satisfies the curvature conditions, and let  $\mathcal{P}$  be the associated X-ray transform with a smooth nowhere zero measure. Let  $D$  be the second order derivative on the detector plane *in the  $\theta'$  direction*.

Then  $\mathcal{L} = \mathcal{P}^* D \mathcal{P}$  is in  $I^{0,1}(\Delta, \Gamma_\Sigma)$  where

$\Gamma_\Sigma = \{(\mathbf{y}, \xi, \mathbf{x}, \xi) \mid (\mathbf{y}, \xi) \in N^*(S_x)\}$ .

Therefore the wavefront set above  $\mathbf{x}$

$$\text{WF}(\mathcal{L}(f))_{\mathbf{x}} \subset (\text{WF}(f)_{\mathbf{x}} \cap \mathcal{V}_{\mathbf{x}}) \cup \mathcal{A}(f)_{\mathbf{x}}$$

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$$\mathcal{A}(f)_{\mathbf{x}} = \{(\mathbf{x}, \xi) \mid \exists \mathbf{y} \in S_{\mathbf{x}} \text{ such that } (\mathbf{y}, \xi) \in (N^*(S_{\mathbf{x}}) \cap \text{WF}(f))\}.$$

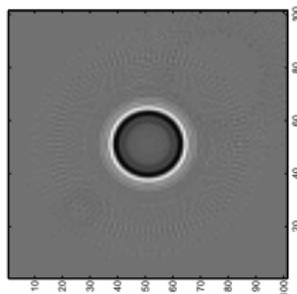
Therefore  $\mathcal{L}(f)$  can show visible singularities of  $f$ .

However,  $\mathcal{L}(f)$  can add (or mask) singularities at  $\mathbf{x}$  coming from other covectors in  $\text{WF}(f)$  conormal to  $S_{\mathbf{x}}$ . (Proof uses [GU])

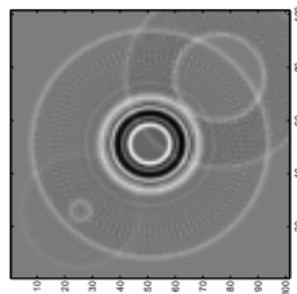
- Since  $\mathcal{L} \in I^{0,1}(\Delta, \Gamma_\Sigma)$ , the added singularities will be *one degree weaker in Sobolev scale* than if  $D$  were an arbitrary differential operator since, in general,  $\mathcal{L}$  would be in  $I^{1,0}$ .
- This algorithm has been tested on electron microscope data for single axis tilt [QO 2008, QSO 2009].

Cross-section of reconstructions from conical tilt data of several balls [QBC 2008]. Note decreased strength of added singularities when using  $D$  instead of  $\Delta$ .

Reconstruction using  $D$



Reconstruction using  $\Delta$



# Large Field of View ET: The Curvilinear X-ray Transform

**The curvilinear paths:** For each tilt angle  $t \in ]a, b[$ , electron paths are inverse images of the smooth fiber map

$$\mathbf{p}_t : \mathbb{R}^3 \rightarrow \mathbb{R}^2, \quad \mathbf{p}_t(\mathbf{x}) = \mathbf{y}$$

$\mathbf{y}$  is on the detector plane.

**Curves:**  $(t, \mathbf{y}) \in Y = ]a, b[ \times \mathbb{R}^2$        $\gamma_{t,\mathbf{y}} = \mathbf{p}_t^{-1}(\{\mathbf{y}\}) \cong$  a line.

**Curvilinear X-ray Transform:**  $\mathcal{P}_{\mathbf{p}} f(t, \mathbf{y}) = \int_{\mathbf{x} \in \gamma_{t,\mathbf{y}}} f(\mathbf{x}) ds$

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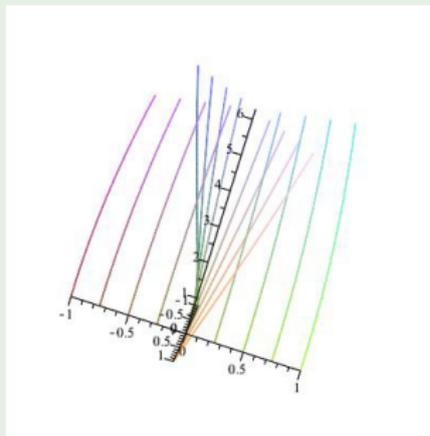
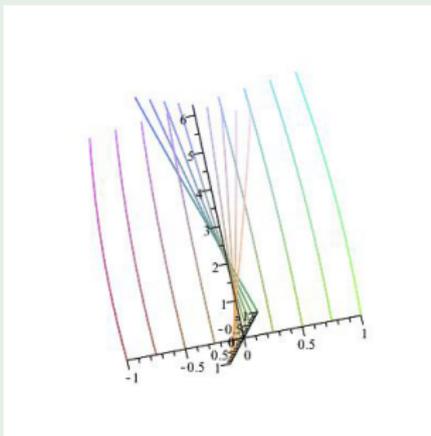
**Curvilinear X-ray Transform:**  $\mathcal{P}_{\mathbf{p}} f(t, \mathbf{y}) = \int_{\mathbf{x} \in \gamma_{t,\mathbf{y}}} f(\mathbf{x}) ds$

**Backprojection Operator:**  $\mathcal{P}_{\mathbf{p}}^* g(\mathbf{x}) = \int_{t \in ]a, b[} g(t, \mathbf{p}_t(\mathbf{x})) dt,$

which is the integral over all curves through  $\mathbf{x}$  (as  $\mathbf{x} \in \gamma_{t,\mathbf{p}_t(\mathbf{x})}$ )

If the curve doesn't join up at  $a$  and  $b$ , one multiplies by a cut off function near the ends of  $]a, b[$ .

## Example (Helical Electron Paths With Pitch $20\pi$ )



Single-axis tilt data geometry, multi-axis tilt ET and conical tilt ET over curves fit into our model.

# Regularity Assumptions:

( $\partial_{\mathbf{x}}$  and  $\partial_t$  are gradients)

- 1 For each  $t \in ]a, b[$ , the curves  $\gamma_{t,\mathbf{y}}$  are smooth, unbounded, and don't intersect.  $(\mathbf{x}, t) \mapsto \mathbf{p}_t(\mathbf{x}) \in \mathbb{R}^2$  is a  $C^\infty$  map. Fixing  $t$ ,  $\mathbf{p}_t$  is a fiber map in  $\mathbf{x}$  with fibers diffeomorphic to lines. Therefore,  $\partial_{\mathbf{x}}\mathbf{p}_t(\mathbf{x})$  has maximal rank (two).
- 2 Curves move differently at different points as  $t$  changes.  $\forall (t, \mathbf{y}) \in Y$  and  $\mathbf{x}_0$  and  $\mathbf{x}_1$  in  $\gamma_{t,\mathbf{y}}$ , if  $\mathbf{x}_1 \neq \mathbf{x}_0$ , then  $\partial_t\mathbf{p}_t(\mathbf{x}_0) \neq \partial_t\mathbf{p}_t(\mathbf{x}_1)$ .
- 3 The curves wiggle enough as  $t$  changes. The  $4 \times 3$  matrix  $\begin{pmatrix} \partial_{\mathbf{x}}\mathbf{p}_t(\mathbf{x}) \\ \partial_t\partial_{\mathbf{x}}\mathbf{p}_t(\mathbf{x}) \end{pmatrix}$  has maximal rank (three). [▶ Geometric Meaning](#)

# Our Reconstruction Operator

$$\mathcal{L}(f) = \mathcal{P}_{\mathbf{p}}^* D \mathcal{P}_{\mathbf{p}} f \quad \text{where } D \text{ is a } 2^{nd} \text{ order PDO}$$

Using the composition calculus of FIO “essentially”

$$\mathcal{L}(f)(\mathbf{x}) \sim D' \mathcal{P}_{\mathbf{p}}^* \mathcal{P}_{\mathbf{p}} f = D' \int_{S_{\mathbf{x}}} f W dA$$

for some singular weight  $W$  and  $\Psi$ DO  $D'$  where

$$S_{\mathbf{x}} = \bigcup_{t \in ]a, b[} \gamma_{t, \mathbf{p}_t(\mathbf{x})} \quad \text{is the “cone” of curves through } \mathbf{x}$$

# Our Reconstruction Operator Adds Singularities

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Thus, singularities of  $f$  that are normal to  $S_{\mathbf{x}}$  could appear as **added** singularities in the reconstruction  $\mathcal{L}f(\mathbf{x})$  (as in the admissible case).

## Theorem (Microlocal Regularity Theorem, [QR 2012])

Let  $\mathcal{P}_p$  satisfy our assumptions. Let  $f \in \mathcal{E}'(\mathbb{R}^3)$ . Let  $D$  be a differential operator on  $\mathbb{R}^2$  acting on  $\mathbf{y}$ . Then, the wavefront set at  $\mathbf{x}$

$$(\mathrm{WF}(\mathcal{L}(f)))_{\mathbf{x}} \subset (\mathrm{WF}(f) \cap \mathcal{V}_{\mathbf{x}}) \cup \mathcal{A}_{\mathbf{x}}$$

Proof uses Hörmander-Sato Lemma. [Stefanov-Uhlmann (magnetic geodesics), Greenleaf and Uhlmann, Guillemin, Krishnan, Palamodov...]

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where  $\mathcal{V}_{\mathbf{x}}$  is the set of visible singularities (normals to curves through  $\mathbf{x}$ ), and  $\mathcal{A}_{\mathbf{x}}$  is a set of added singularities above  $\mathbf{x}$  coming from singularities of  $f$  that are  $\perp$  to  $S_{\mathbf{x}}$ .

- Our algorithm can accurately show visible singularities of  $f$ .
- However, any backprojection algorithm can add (or mask) singularities to the reconstruction from singularities of  $f$  normal to  $S_{\mathbf{x}}$  at points far from  $\mathbf{x}$ . This is because

▶  $\Pi_L : \mathcal{C} \rightarrow T^*(Y)$  is not Injective    ▶  $\Pi_L$  is not an immersion

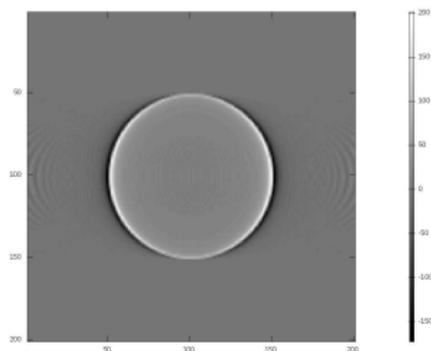
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# Helical data with Pitch $20\pi$

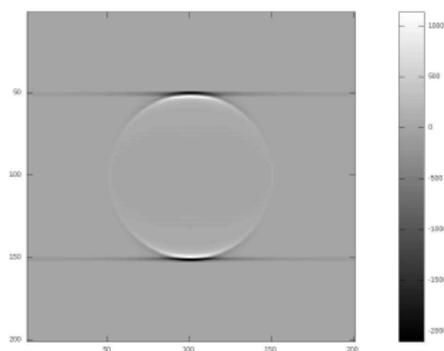
As in the admissible case, the choice of derivative can decrease the effect of the added singularities. However, here, it decreases only *nearby* added singularities!

Reconstruction of one ball. 70 angles in  $[0, \pi]$ .  $x_1$  axis is vertical.

Derivative in good direction



Derivative  $\perp$  good direction



- **For admissible complexes:**
  - Greenleaf and Uhlmann's theory shows what singularities are added.
  - Choosing the right differential operator can decrease the strength of added singularities. This is behind the improved local algorithms for cone beam CT [Katsevich, Anastasio, Wang] and slant hole SPECT/conical tilt ET [QBC, QÖ]. A first order  $\Psi$ DO was suggested for cone beam CT in [FLU].

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- **For curved paths:**
  - No inversion algorithm exists in general. Our algorithm is local, shows boundaries, and easy to implement.
  - Added singularities are intrinsic to any backprojection algorithm for this data.
  - In general, the good differential operator decreases *nearby* singularities but not all singularities (because far-away added singularities are in directions that don't get annihilated by it).

**HAPPY BIRTHDAY, GUNTHER! Thanks for the beautiful math!**

# Fourier Integral Operators

$Z$  and  $X$  are open subsets of  $\mathbb{R}^n$ :

$$F(f)(\mathbf{z}) = \int_{\mathbf{x} \in X, \omega \in \mathbb{R}^n} e^{i\phi(\mathbf{z}, \mathbf{x}, \omega)} p(\mathbf{z}, \mathbf{x}, \omega) f(\mathbf{x}) dx d\omega$$

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**Canonical Relation:**

$$\mathcal{C} = \{(\mathbf{z}, \partial_{\mathbf{z}}\phi(\mathbf{z}, \mathbf{x}, \omega); \mathbf{x}, -\partial_{\mathbf{x}}\phi(\mathbf{z}, \mathbf{x}, \omega)) \mid \partial_{\omega}\phi(\mathbf{z}, \mathbf{x}, \omega) = 0\}$$

$$\begin{array}{ccc} & \mathcal{C} & \\ \swarrow \Pi_L & & \searrow \Pi_R \\ Z \times (\mathbb{R}^n \setminus \mathbf{0}) & & X \times (\mathbb{R}^n \setminus \mathbf{0}) \end{array}$$

# Fourier Integral Operators

$Z$  and  $X$  are open subsets of  $\mathbb{R}^n$ :

$$F(f)(\mathbf{z}) = \int_{\mathbf{x} \in X, \omega \in \mathbb{R}^n} e^{i\phi(\mathbf{z}, \mathbf{x}, \omega)} p(\mathbf{z}, \mathbf{x}, \omega) f(\mathbf{x}) dx d\omega$$

**Phase Function:**  $\phi(\mathbf{z}, \mathbf{x}, \omega)$  (e.g.,) linear in  $\omega$ , smooth.

**Amplitude:**  $p(\mathbf{z}, \mathbf{x}, \omega)$  increases like  $(1 + \|\omega\|)^s$  (order  $\sim s$ ).

**Canonical Relation:**

$$\mathcal{C} = \{(\mathbf{z}, \partial_{\mathbf{z}}\phi(\mathbf{z}, \mathbf{x}, \omega); \mathbf{x}, -\partial_{\mathbf{x}}\phi(\mathbf{z}, \mathbf{x}, \omega)) \mid \partial_{\omega}\phi(\mathbf{z}, \mathbf{x}, \omega) = 0\}$$

$$\begin{array}{ccc} & \mathcal{C} & \\ \Pi_L \swarrow & & \searrow \Pi_R \\ Z \times (\mathbb{R}^n \setminus \mathbf{0}) & & X \times (\mathbb{R}^n \setminus \mathbf{0}) \end{array}$$

**WF relation:**  $\text{WF}(F(f)) \subset \Pi_L \left( \Pi_R^{-1}(\text{WF}(f)) \right)$ .

**What it means:** FIO change singularities in specific ways determined by the geometry of  $\mathcal{C}$ .

# Pseudodifferential operators ( $\Psi$ DOs)

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**WF relation:**  $\text{WF}(P(f)) \subset \Pi_L \left( \Pi_R^{-1}(\text{WF}(f)) \right) = \text{WF}(f)$ .

**What it means:**  $\Psi$ DO do not move wavefront set.

## If the rank assumption doesn't hold:

- Then  $\begin{pmatrix} \partial_{\mathbf{x}} \boldsymbol{\rho}_t(\mathbf{x}) \\ \partial_t \partial_{\mathbf{x}} \boldsymbol{\rho}_t(\mathbf{x}_0) \end{pmatrix}$  has rank two.

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- So, the normal plane doesn't “change” as  $t$  is changed infinitesimally.

From data  $\mathcal{P}_{\mathbf{p}} f$ , one sees only covectors conormal to  $\gamma_{t, \mathbf{p}_t(\mathbf{x})}$  at  $\mathbf{x}$ .

**Moral:** Infinitesimally, one does not see a full three-dimensional set of cotangent vectors at  $\mathbf{x}$  from the data (☹).

## Theorem (QR 2012)

$\Pi_L$  is not injective. Let  $(t, \mathbf{y}) \in Y$  and  $\eta \in \mathbb{R}^2 \setminus \mathbf{0}$ . Covectors in  $\mathcal{C}$  map to the same point under  $\Pi_L$  **iff** they are of the form  $\lambda_j := (t, \mathbf{p}_t(\mathbf{x}_j), -\eta \cdot \partial_t \mathbf{p}_t(\mathbf{x}_j) dt + \eta \cdot d\mathbf{y}; \mathbf{x}_j, \eta \cdot \partial_{\mathbf{x}} \mathbf{p}_t(\mathbf{x}_j) d\mathbf{x})$  for  $j = 0, 1$ , where

$$\mathbf{p}_t(\mathbf{x}_0) = \mathbf{p}_t(\mathbf{x}_1) \quad (1)$$

$$\eta \cdot (\partial_t \mathbf{p}_t(\mathbf{x}_0) - \partial_t \mathbf{p}_t(\mathbf{x}_1)) = \mathbf{0}. \quad (2)$$

Condition (2) means that  $\eta$  is perpendicular to  $\partial_t \mathbf{p}_t(\mathbf{x}_0) - \partial_t \mathbf{p}_t(\mathbf{x}_1)$ . In all cases, for all  $\mathbf{x}_0$  and  $\mathbf{x}_1$  in  $\gamma_{t, \mathbf{p}_t(\mathbf{x}_0)}$  there are covectors for which this condition holds.

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## Remark

Condition (1) means that  $\mathbf{x}_0$  and  $\mathbf{x}_1$  both lie on the same curve,  $\gamma_{t, \mathbf{p}_t(\mathbf{x}_0)}$ .

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$\Pi_L$  is not an immersion. Let

$$\lambda := (t, \mathbf{p}_t(\mathbf{x}), -\eta \cdot \partial_t \mathbf{p}_t(\mathbf{x}) dt + \eta \cdot d\mathbf{y}; \mathbf{x}, \eta \cdot \partial_{\mathbf{x}} \mathbf{p}_t(\mathbf{x}) d\mathbf{x}) \in \mathcal{C}.$$

$\Pi_L$  is not an immersion at  $\lambda$  **iff**

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For each  $(t, \mathbf{x})$  there is a one-dimensional set of such covectors  $\lambda$ .

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## Proof.

This follows from the expression for  $\Pi_L : \mathcal{C} \rightarrow T^*Y$  and that

$\begin{pmatrix} \partial_{\mathbf{x}} \mathbf{p}_t(\mathbf{x}) \\ \partial_t \partial_{\mathbf{x}} \mathbf{p}_t(\mathbf{x}) \end{pmatrix}$  is assumed to have maximal rank (three) and  $\partial_{\mathbf{x}} \mathbf{p}_t$  has maximal rank (two). □

# Description of $D(t, \mathbf{x})$

For each  $(t, \mathbf{y})$  and  $\mathbf{x} \in \gamma_{t, \mathbf{y}}$ , we choose a unit tangent vector  $\mathbf{v}$  to  $\gamma_{t, \mathbf{y}}$  at  $\mathbf{x}$  and we let

$$\eta_0 = (\partial_t \partial_{\mathbf{x}} \mathbf{p}_t(\mathbf{x}) \mathbf{v})^t \quad D = D(t, \mathbf{y}) = (\partial_{\eta_0})^2$$

where  $D$  operates on the  $\mathbf{y}$  coordinate.

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The covectors above  $(t, \mathbf{p}_t(\mathbf{x}), \mathbf{x})$

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on which  $\Pi_L$  is not an injective immersion are those for which  $\eta$  satisfies

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