



On the Inverse Problem of Finding Cosmic Strings and Other Topological Defects

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Abstract: We consider how microlocal methods developed for tomographic problems can be used to detect singularities of the Lorentzian metric of the Universe using measurements of the Cosmic Microwave Background radiation. The physical model we study is mathematically rigorous but highly idealized.

1. Introduction

We study the detection of singularities of the Lorentzian metric of the Universe from Cosmic Microwave Background (CMB) radiation measurements. The singularities are considered in the sense of the wave front set that describes where the metric is non-smooth in the spacetime and also in which direction the singularity occurs. The direction of the singularity is characterized by using the Fourier transform of the metric, see Definition 2.1 below.

A singularity in the metric could be caused for example by a cosmic string [2, 39]. A cosmic string is a singularity in the stress energy tensor that is supported on a two-dimensional timelike surface in the spacetime. The existence of cosmic strings finds support in super-string theories [30]; however, there is no direct connection between string theory and the theory of cosmic strings. We refer to [23, 26, 32] regarding the existence (or inexistence) of cosmic strings in view of CMB measurements collected by the Planck Surveyor mission in 2013.

The singularities of which potential detectability is interesting to study include cosmic strings, monopoles, cosmic walls and black holes. There is a vast physical literature concerning the effects of particular types of singularities or topological defects on the CMB measurements, see e.g. [4, 5, 7] and references therein. The contribution of the present paper is to adapt techniques from the mathematical study of inverse problems to CMB measurements. These techniques allow us to detect singularities without a priori knowledge of their geometry. Hence it might be also possible to detect singularities that are not predicted by the current physical knowledge. Furthermore, the techniques allow

us to study the opposite question, that is, what type of singularities are invisible to our measurements and therefore cannot be detected [8,9].

Several types of measurements have been proposed in astrophysical literature for detection of topological defects of the Universe. These include optical measurements, such as gravitational lensing effects caused by cosmic strings [1,31,33], observations of density of mass in the Universe, measurements of gravitational waves [20,21], and the temperature changes in the Cosmic Microwave Background [7,23,26,32]. We show that the CMB measurements have a tomographic nature, and we concentrate on them in this paper.

The detection of singularities has been extensively studied in microlocal theory of tomography, see e.g. [10,11,27] and the review [6]. In many problems related to tomography, for instance in medical imaging, it has been shown that measurements can be used to detect singularities. Moreover, the visible singularities have been characterised in many cases. In this microlocal context, a singularity is considered to be invisible if it causes only a smooth perturbation in the measured data.

In the present paper we characterize the singularities of the Lorentzian metric that are visible from a linearization of the CMB measurements, and that move slower than the speed of light. We show also that all singularities moving faster than the speed of light are invisible. We do not analyze recovery of singularities moving at the speed of light. Our approach is based on a highly idealized deterministic model of CMB measurements, but such a model can be viewed as a first step in developing tomographic methods for more realistic, possibly stochastic, models of the CMB measurements, see e.g. [15].

We obtain the characterization of visible singularities via microlocal analysis of the geodesic ray transform on a Friedmann–Lemaître–Robertson–Walker type spacetime. The transform is restricted on light rays and we call it the light ray transform. Such a light ray transform has been studied in 1+2 dimensions in [14], and the 1+3 dimensional light ray transform, as considered in the present paper, belongs to the class of Fourier integral operators studied in [12].

Contrary to [12], we avoid using the $I^{p,l}$ calculus by first microlocalizing on spacelike covectors. Then we invert microlocally the light ray transform up to potential fields, see (11) below for the definition, and conformal multiples of the metric of the spacetime. This is sharp since those two subspaces belong to the kernel of the linearization and they correspond to the gauge invariance of the non-linear problem under diffeomorphisms and conformal changes. The spacelike covectors correspond physically to singularities moving slower than the speed of light.

The geodesic ray transform for tensor fields on Riemannian manifolds appears as a linearization of boundary and lens rigidity problems and related geophysics problems. It is well studied, see e.g., [34,37] and [22] for a recent survey. It is known that potential tensor fields, i.e., symmetrized covariant derivatives of tensors of order one less are in the kernel. Such potential fields linearize the invariance due to isometries for tensors of order 2. In many Riemannian cases, this is the whole kernel. In the Lorentzian case, we have a conormal invariance as well, which adds another subspace to the kernel. Our main results show that microlocally, those two subspaces are the exact microlocal kernel. Let us also mention that existence of conjugate points poses serious challenges for the inversion of geodesic ray transforms, however, in the case we study, the Friedmann–Lemaître–Robertson–Walker metric is conformal to the flat Minkowski one, which allows us to use Fourier analysis.

This paper is organized as follows. Section 1 is the introduction and Sect. 2 introduces some notations. In Sect. 3, we formulate the inverse problem for the CMB measurements

and its linearization, and in Sect. 4 we state the main results. Section 5 deals with the parametrization of the CMB measurements. In Sect. 6, we describe the conformal invariance inherent in the problem. Section 7 contains the reduction of the linearized problem to inversion of the light ray transform. In Sect. 8, we study the light ray transform in a translation invariant case and express its normal operator as a Fourier multiplier. This motivates our subsequent study in the general case, which is not translation invariant due to the fact that measurements are available only in a small set in the spacetime. In Sect. 9, we study the null space of the light ray transform that corresponds to the gauge invariance of the problem. In Sects. 10 and 11, we characterize the visible spacelike singularities. Moreover, we compute the symbol of the normal operator of the light ray transform on the cone of spacelike covectors, on which it is a pseudodifferential operator.

2. Notations

Let $M \subset \mathbb{R}^n$ be open. We denote by $\mathcal{D}'(M)$ the distributions on M and by $\mathcal{E}'(M)$ the distributions with compact support. Moreover, we denote by $C^m(M)$, $m = 0, 1, 2, \dots, \infty$, the space of m -times continuously differentiable functions, and let $C_0^m(M) \subset C^m(M)$ be the subspace of functions with compact support. For $m < \infty$, we define also the Banach space

$$C_b^m(M) = \{u \in C^m(M); \sum_{|\alpha| \leq m} \sup_{x \in M} |\partial_x^\alpha u(x)| < \infty\}.$$

We denote by Sym^2 the symmetric 2-tensors on M , and by Λ^1 the 1-forms on M . We use the notations $\mathcal{F}(M; E)$, $\mathcal{F} = \mathcal{D}', \mathcal{E}', C^m, C_b^m; E = Sym^2, \Lambda^1, \mathbb{C}$, for the subspaces \mathcal{F} of distributions taking values on the vector bundle E .

We denote by $S^m(T^*M) = S_{1,0}^m(T^*M)$, $m \in \mathbb{R}$, the symbols as defined in [16]. If $\chi \in S^m(T^*M)$ then we denote the corresponding pseudodifferential operator also by χ . We denote the wave front set of a distribution $u \in \mathcal{D}'(M; E)$ by $WF(u)$. For reader's convenience we recall the definition here.

Definition 2.1. Let $u \in \mathcal{D}'(M; E)$, $E = Sym^2, \Lambda^1, \mathbb{C}$. A point $(x, \xi) \in T^*M \setminus 0$ is in the wave front set of u if there does not exist an open cone $\Gamma \subset T_x^*M$ containing ξ and a function $\phi \in C_0^\infty(M)$ satisfying $\phi(x) \neq 0$ such that for all $N = 1, 2, \dots$ there is $C > 0$ such that the Fourier transform of ϕu decays as follows:

$$|\widehat{\phi u}(\xi)| \leq C(1 + |\xi|)^{-N}, \quad \xi \in \Gamma.$$

Let us recall a typical example, see e.g. [18, Th. 8.1.5]. Let $u \in C_0^\infty(M)$, $k \leq n$, and let us consider coordinates $(x', x'') \in \mathbb{R}^{k \times (n-k)}$ on M . Then the Euclidean surface measure $\delta(x'')$ of $\{(x', 0); x' \in \mathbb{R}^k\}$ satisfies

$$WF(u\delta(x'')) = \{(x', 0; 0, \xi'') \in T^*M \setminus 0; (x', 0) \in \text{supp}(u)\},$$

where ξ'' is the covector corresponding to x'' . As all smooth surfaces in M are locally of this form, it can be seen that the wave front set of a surface measure is the conormal bundle of the corresponding surface. This applies in particular to cosmic strings that are singularities supported on two dimensional surfaces, see e.g. [2, Chapter 6].

3. Mathematical Formulation of the CMB Measurements

3.1. The data and the inverse problem. Let us begin by formulating a mathematical problem on a time-oriented Lorentzian manifold (M, g) of dimension $1 + n$. Below, we will consider a linearized version of this problem, and solve the linearized problem only in a microlocal sense. We assume that M is a smooth manifold and that g is a C^2 -smooth metric tensor with signature $(-, +, \dots, +)$.

We recall that a point (x, V) on the tangent bundle TM is called spacelike if the inner product $(V, V)_g$ is strictly positive, timelike if $(V, V)_g < 0$, and lightlike if $(V, V)_g = 0$. A submanifold $\Sigma \subset M$ is called spacelike if all the tangent vectors in $T\Sigma$ are spacelike, and a geodesic $\gamma : [0, \ell] \rightarrow M$ is called a null geodesic if its tangent vector $\dot{\gamma}(\tau)$ is lightlike for one, and hence for all $\tau \in [0, \ell]$. Let us also recall that $(x, Z) \in TM$ is called an observer if Z is future pointing and $(Z, Z)_g = -1$.

Let $\Sigma \subset M$ be a smooth spacelike submanifold of codimension one, and let ν be the future pointing normal vector field on Σ that is of unit length in the sense that $(\nu, \nu)_g = -1$. Let \mathcal{U} be another smooth submanifold of M and let Z be a smooth section of TM defined on \mathcal{U} , and suppose that (x, Z) is an observer for all $x \in \mathcal{U}$.

Let $E_0 > 0$ and let us consider a null geodesic β satisfying

$$\beta(0) \in \Sigma, \quad (\dot{\beta}(0), \nu)_g = -E_0, \quad (1)$$

and suppose that $\beta(\tau) \in \mathcal{U}$ for some $\tau \in \mathbb{R}$. We define

$$x = \beta(\tau), \quad V = E^{-1} \dot{\beta}(\tau) - Z, \quad (2)$$

where $E = -(\dot{\beta}(\tau), Z)_g$. Note that V satisfies

$$(V, Z)_g = 0, \quad (V, V)_g = 1, \quad (3)$$

and that (x, V) determines uniquely a null geodesic β satisfying (1). Indeed, $\beta(\tau) = x$ and $\dot{\beta}(\tau) = E(V + Z)$ determine a null geodesic up to an affine reparametrization, that is, up to a choice of τ and E , and (1) fixes the parametrization.

We denote by $\mathbb{S}_{g,x,Z}$ the set of vectors $V \in T_x M$ satisfying (3). The set $\mathbb{S}_{g,x,Z}$ is called the celestial sphere of the observer (x, Z) , see e.g. [29]. Furthermore, we denote by $\mathcal{S}_{g,Z}\mathcal{U}$ the set of points $(x, V) \in TM$ such that $x \in \mathcal{U}$, $V \in \mathbb{S}_{g,x,Z}$, and that there is a null geodesic β satisfying (1) and (2) for some $\tau \in \mathbb{R}$.

Physically, the null geodesics β satisfying (1) correspond to photons that are emitted with a fixed energy E_0 uniformly in all future pointing lightlike directions on Σ . Moreover, E and V in (2) are the energy and the Newtonian velocity of β as measured by the observer (x, Z) . The proportional difference between the emitted and observed energies,

$$\mathcal{R}_{g,Z}(x, V) = \frac{E_0 - E}{E} = \frac{(\dot{\beta}(0), \nu)_g}{(\dot{\beta}(\tau), Z)_g} - 1, \quad (x, V) \in \mathcal{S}_{g,Z}\mathcal{U}, \quad (4)$$

is called the redshift of β as measured by (x, Z) . Here β is the null geodesic satisfying (1) and (2) for some $\tau \in \mathbb{R}$. A general formulation of the inverse problem that we consider is the following:

Problem 3.1 (*Inverse problem for redshift measurements*). Given the function $\mathcal{R}_{g,Z} : \mathcal{S}_{g,Z}\mathcal{U} \rightarrow \mathbb{R}$ determine (W, g) where $W \subset M$ is the union of the null geodesics connecting points of \mathcal{U} to points of Σ .

The red shift measurements (4) do not change under the group of transformations generated by diffeomorphisms and conformal changes. Indeed, if ψ is a diffeomorphism that is identity on Σ and \mathcal{U} , then the redshift data corresponding to g and its pullback ψ^*g are the same. Next, if c is a smooth strictly positive function on M satisfying $c = 1$ on Σ and \mathcal{U} , then the redshift data corresponding to cg and g are the same. This is based on the fact that the null geodesics of cg are the same as those of g as point sets but they are parameterized differently, see Lemma 6.1 below. Hence the determination of (M, g) in Problem 3.1 should be understood modulo the gauge invariance given by this group of transformations.

3.2. *The linearized CMB inverse problem.* Let us now turn to a linearization of Problem 3.1 at a Friedmann–Lemaître–Robertson–Walker type model, that is, at a Lorentzian manifold of the following warped product form

$$g(x) = -dt^2 + a^2(t)dy^2, \quad x = (t, y) \in M = (0, \infty) \times \mathbb{R}^3, \tag{5}$$

where $a > 0$ is smooth on $(0, \infty)$ and may have singularity as $t \rightarrow 0$. A singularity at the boundary $t = 0$ corresponds physically to the Big Bang. In particular, the choice $a(t) = t^{2/3}$ gives the Einstein-de Sitter cosmological model [29, p. 31]. Occasionally we write $a(x) = a(t)$ for $x = (t, y)$.

Let $t_0 > 0$ be small and consider the surface

$$\Sigma = \{(t, y) \in M : t = t_0\}. \tag{6}$$

Then the photons satisfying (1) give a model for the CMB radiation. Physically, the time t_0 corresponds to the time when the Universe was cool enough so that stable atoms could form. As these atoms could no longer absorb the thermal radiation, the photons that were around stayed forming the CMB radiation.

The model is highly idealized as the initial frequency spectrum of microwave background radiation would more likely follow e.g. Planck photon distribution function, see e.g. [29, Ex. 5.5.4]. A physically more realistic model would allow the photons emitted at the surface Σ to have an energy distribution with median E_0 . This would lead to similar considerations as below, by assuming that we measure the energy distribution of photons and find the median of this distribution. However, for simplicity we consider the case when all emitted photons have constant energy.

Let $g_\epsilon \in C^2(M; Sym^2)$, $\epsilon \in [0, 1]$, be a one parameter family of Lorentzian metrics, and suppose that $g_\epsilon = g$ in $M \setminus M_0$ where

$$M_0 = (t_0, \infty) \times \mathbb{R}^3.$$

Here t_0 is as in (6). We will now describe the CMB measurements on (M, g_ϵ) . Let $t_1 > t_0$, let $\mathcal{U}_1 \subset \mathbb{R}^3$ be open and bounded, and define $\mathcal{U} = \{t_1\} \times \mathcal{U}_1$. To avoid technicalities in the exposition, we assume that $g_\epsilon = g$ on \mathcal{U} and consider the observers (x, ∂_t) , $x \in \mathcal{U}$. We will study more general observers in Sect. 5.

Let S^2 be the Euclidean unit sphere in \mathbb{R}^3 . We define the diffeomorphism

$$\theta : S^2 \rightarrow \mathbb{S}_{g_\epsilon, x, \partial_t} - \partial_t, \quad \theta(v) = -(1, a(t_1)^{-1}v) \in \mathbb{R}^{1+3}. \tag{7}$$

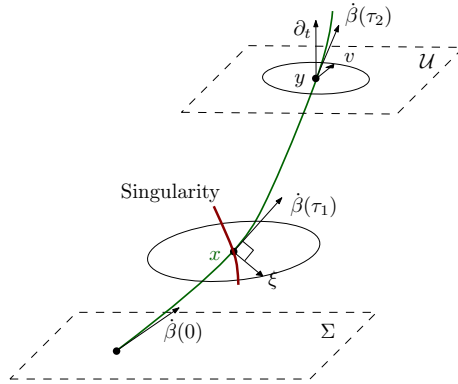


Fig. 1. The observer (y, ∂_t) measures the energy of the photon β . The photon has the Newtonian velocity v on the celestial sphere of (y, ∂_t) . We show that the measurement carries information on the singularity $(x, \xi) \in \text{WF}(\partial_\epsilon g_\epsilon|_{\epsilon=0})$ that satisfies $\beta(\tau_1) = x$ and $\xi(\dot{\beta}(\tau_1)) = 0$, see Theorem 4.4

If g_ϵ is sufficiently close to the background metric g then Lemma 3.2 below says that $\mathcal{S}_{g_\epsilon, Z\mathcal{U}}$ can be parametrized by $\mathcal{U}_1 \times S^2$, and the redshifts $\mathcal{R}_{g_\epsilon, \partial_t}$ of CMB photons as measured by (x, ∂_t) , $x \in \mathcal{U}$, can be parametrized as follows

$$R_\epsilon(y, v) = (\dot{\gamma}_\epsilon(\tau_\epsilon(x, \theta); x, \theta), \partial_t)_{g_\epsilon} - 1, \quad (y, v) \in \mathcal{U}_1 \times S^2, \tag{8}$$

where $x = (t_1, y)$, $\theta = \theta(v)$, $\gamma_\epsilon(\cdot; x, \theta)$ is the geodesic of (M, g_ϵ) with the initial data (x, θ) , and

$$\tau_\epsilon(x, \theta) = \min\{\tau > 0; \gamma_\epsilon(\tau; x, \theta) \in \Sigma\}. \tag{9}$$

Lemma 3.2. *Let g be a Lorentzian metric tensor of the form (5). Let $t_1 > t_0$, let $\mathcal{U}_1 \subset \mathbb{R}^3$ be bounded, and define $\mathcal{U} = \{t_1\} \times \mathcal{U}_1$. Let $g_\epsilon \in C^2(M; \text{Sym}^2)$, $\epsilon \in [0, 1]$, be a one parameter family of Lorentzian metrics satisfying $g_0 = g$. Suppose that the map $\epsilon \mapsto g_\epsilon$ is differentiable from $[0, 1]$ to $C_b^2(M_0; \text{Sym}^2)$ and that $g_\epsilon = g$ in $\mathcal{U} \cup \Sigma$. Let $(x, v) \in \mathcal{U} \times S^2$, and define $\theta = \theta(v)$ and $\tau_\epsilon = \tau_\epsilon(x, \theta)$ for small ϵ . Let $c > 0$. Then the geodesic*

$$\beta_\epsilon(\rho) = \gamma_\epsilon(-c\rho + \tau_\epsilon; x, \theta),$$

satisfies $\beta_\epsilon(0) \in \Sigma$ and $\beta_\epsilon(\tau_\epsilon/c) = x$. In particular, if we choose the parametrization $c = E_0/(\dot{\gamma}_\epsilon(\tau_\epsilon; x, \theta), \partial_t)_{g_\epsilon}$, then $(\beta_\epsilon(0), \partial_t)_{g_\epsilon} = -E_0$ and the redshift of β_ϵ as measured by (x, ∂_t) satisfies

$$\mathcal{R}_{g_\epsilon, \partial_t}(x, \theta + \partial_t) = (\dot{\gamma}_\epsilon(\tau_\epsilon; x, \theta), \partial_t)_{g_\epsilon} - 1.$$

Proof. We will show that τ_ϵ is well-defined for small $\epsilon > 0$ in Lemma 5.1 below. We have $\beta_\epsilon(0) \in \Sigma$, $\beta_\epsilon(\tau_\epsilon/c) = x$, $(\dot{\beta}_\epsilon(0), \partial_t)_{g_\epsilon} = -E_0$, and the redshift is

$$\frac{(\dot{\beta}_\epsilon(0), \partial_t)_{g_\epsilon}}{(\dot{\beta}_\epsilon(\tau_\epsilon/c), \partial_t)_{g_\epsilon}} - 1 = \frac{-E_0}{-c(\theta, \partial_t)_{g_\epsilon}} - 1 = (\dot{\gamma}_\epsilon(\tau_\epsilon; x, \theta), \partial_t)_{g_\epsilon} - 1,$$

since $(\theta, \partial_t)_{g_\epsilon} = 1$. \square

We are ready to formulate the linearized version of Problem 3.1 that we will consider.

Problem 3.3 (*Linearized microlocal CMB inverse problem*). Given $\partial_\epsilon R_\epsilon(x, v)|_{\epsilon=0}$, $(x, v) \in \mathcal{U} \times S^2$, determine the wave front set $\text{WF}(\partial_\epsilon g_\epsilon|_{\epsilon=0})$ on T^*W where $W \subset M$ is as in Problem 3.1.

We characterize the spacelike vectors in $\text{WF}(\partial_\epsilon g_\epsilon|_{\epsilon=0})$ that can be recovered, and show that all timelike vectors are smoothed out. Physically, the timelike vectors in $\text{WF}(\partial_\epsilon g_\epsilon|_{\epsilon=0})$ correspond to singularities moving faster than light, whence we do not expect such singularities to be present to begin with.

Lightlike singularities could correspond to gravitational waves. Their recovery from the anisotropies in the Cosmic Microwave Background radiation is an interesting question. However, we leave this as a topic for future work.

4. Statement of the Results

Let f be a symmetric 2-tensor on a Lorentzian manifold (M, g) , and define the light ray transform of f by

$$X_g f(x, \theta) = \int_{\mathbb{R}} (f_{lm} \circ \gamma) \dot{\gamma}^l \dot{\gamma}^m d\tau, \tag{10}$$

where $x \in M$, $\theta \in T_x M$ is lightlike, and $\gamma(\tau) = \gamma(\tau; x, \theta)$ is the geodesic of (M, g) with the initial data (x, θ) . When g is of the form (5), we define for $t_1 > t_0$,

$$L_{t_1} f(y, v) = X_g f(x, \theta), \quad y \in \mathbb{R}^3, v \in S^2,$$

where $x = (t_1, y)$ and $\theta = \theta(v)$ is defined by (7). Note that for an arbitrary Lorentzian manifold (M, g) , the map X_g may fail to be well defined even when $f \in C_0^\infty(M; \text{Sym}^2)$. This is the case, for example, if (M, g) has closed null geodesics. However, we show the following lemma.

Lemma 4.1. *The light ray transform L_{t_1} is a Fourier integral operator of order $-3/4$ whose associated canonical transformation is the twisted conormal bundle of the following point-line relation*

$$\{(p, y, v) \in M \times \mathbb{R}^3 \times S^2; p = \gamma(\tau; (t_1, y), \theta(v)) \text{ for some } \tau \in \mathbb{R}\}.$$

In particular, L_{t_1} is continuous from $\mathcal{E}'(M; \text{Sym}^2)$ to $\mathcal{D}'(\mathbb{R}^3 \times S^2)$.

The proof of the lemma will be presented in Sect. 10. The following theorem reduces the linearized CMB inverse problem to inversion of a limited angle restriction of the light ray transform.

Theorem 4.2. *Let $t_1 > t_0$, let $\mathcal{U}_1 \subset \mathbb{R}^3$, and let $g_\epsilon \in C^2(M; \text{Sym}^2)$, $\epsilon \in [0, 1]$, satisfy the assumptions of Lemma 3.2. Define*

$$f(t, x') = \begin{cases} \partial_\epsilon g_\epsilon(t, x')|_{\epsilon=0}, & t \in (t_0, t_1), \\ 0, & \text{otherwise,} \end{cases}$$

Then on $\mathcal{U}_1 \times S^2$,

$$\partial_\epsilon R_\epsilon|_{\epsilon=0} = \frac{1}{2a(t_0)} L_{t_1} a^2 \mathcal{L}_{a\partial_t} a^{-2} f,$$

where $\mathcal{L}_{a\partial_t}$ is the Lie derivative along the scaled world velocity $a\partial_t$, and the powers a^2 and a^{-2} of the warping factor are interpreted as multiplication operators.

The light ray transform has a non-trivial null space.

Lemma 4.3. *Let g be a Lorentzian metric tensor of the form (5) and let $t_1 > 0$. Define $M_1 = (0, t_1) \times \mathbb{R}^3$ and*

$$\mathcal{N} = \{cg + d^s\omega; c \in \mathcal{E}'(M_1), \omega \in \mathcal{E}'(M_1; \Lambda^1)\},$$

where d^s is the symmetric differential defined in coordinates as follows

$$(d^s\omega)_{ij} = \frac{(\nabla_i\omega)_j + (\nabla_j\omega)_i}{2}. \tag{11}$$

Here $\nabla_i = \nabla_{\partial_{x_i}}$ is the covariant derivative on (M, g) . Then $L_{t_1}f = 0$ for all $f \in \mathcal{N}$.

Proof. By density of C_0^∞ in \mathcal{E}' , we may assume that $c \in C_0^\infty(M_1)$ and that $\omega \in C_0^\infty(M_1; \Lambda^1)$, and consider $f = cg + d^s\omega$. Then $L_{t_1}f = 0$ on $\mathbb{R}^3 \times S^2$, since $(\dot{\gamma}, \dot{\gamma})_g = 0$ holds for null geodesics γ and

$$\partial_\tau\omega(\dot{\gamma}(\tau)) = (d^s\omega)_{ij}\dot{\gamma}^i(\tau)\dot{\gamma}^j(\tau)$$

holds for all geodesics γ . \square

In fact, this lemma holds for every Lorentzian metric as far as the null-geodesics are non-trapping. Note that the group of transformations $g \mapsto g + h, h \in \mathcal{N}$, is the linearization of the gauge invariance of the nonlinear problem, see the discussion after Problem 3.1.

The lemma is an analog of the corresponding results for compact Riemannian manifolds, where it is known that the geodesic ray transform vanishes on potential fields, i.e., on tensors of order $m \geq 1$ which are symmetric differentials of tensor fields of order $m - 1$ vanishing on the boundary, see [34]. What is new here is the scalar multiple cg of the metric.

Our main result is the following.

Theorem 4.4. *Let g be a Lorentzian metric tensor of the form (5). Let $t_1 > 0$, let $\mathcal{U}_1 \subset \mathbb{R}^3$ be open, and define $M_1 = (0, t_1) \times \mathbb{R}^3$. Let $(x, \xi) \in T^*M_1$ be spacelike, and suppose that there is a null geodesic γ of (M, g) and $\tau_1, \tau_2 \in \mathbb{R}$ such that*

$$\gamma(\tau_1) = x, \quad \xi(\dot{\gamma}(\tau_1)) = 0, \quad \gamma(\tau_2) \in \{t_1\} \times \mathcal{U}_1. \tag{12}$$

Then there is $\chi \in S^0(T^*(\mathbb{R}^3 \times S^2))$ supported in $T^*(\mathcal{U}_1 \times S^2)$ such that for all $f \in \mathcal{E}'(M; \text{Sym}^2)$ the following are equivalent

- (i) $(x, \xi) \in \text{WF}(L_{t_1}^*\chi L_{t_1}f)$,
- (ii) $(x, \xi) \in \text{WF}(f + h)$ for all $h \in \mathcal{N}$.

In particular, the theorem says that the operator $L_{t_1}^*\chi L_{t_1}$ does not move spacelike singularities. We will give an explicit choice of χ in Sect. 11 and show that $L_{t_1}^*\chi L_{t_1}$ is a pseudodifferential operator of order -1 . We will also compute its principal symbol after a conformal scaling, see Proposition 11.4 below.

Note that the visibility condition (12) is analogous with the visibility condition for limited angle X-ray tomography, see e.g. [27, Th. 3.1]. It is also sharp in the sense that if for a spacelike $(x, \xi) \in T^*M$ there does not exist a null geodesic γ satisfying (12) then

for all $\chi \in C_0^\infty(\mathcal{U}_1)$ the wave front set $\text{WF}(\chi L_{t_1} f)$ is empty if $\text{WF}(f)$ is contained in a small conical neighborhood of (x, ξ) in T^*M .

We will also show that all timelike singularities are lost in the sense that if $\text{WF}(f)$ contains only timelike covectors then $\text{WF}(L_{t_1} f)$ is empty. In other words, L_{t_1} is smoothing on the cone of timelike covectors.

We note that the above mathematical model on the CMB measurements is highly idealised in several ways. First, we have assumed that the measurements are done in a set $\mathcal{U} = \{t_1\} \times \mathcal{U}_1$ where $\mathcal{U}_1 \subset \mathbb{R}^3$ is an open set. This is essentially equivalent to having observations in an open neighbourhood of \mathcal{U} in \mathbb{R}^4 . As the open neighbourhood of the world-line of the Earth in the space-time, where measurements could realistically be done, is small in the cosmological scale, it would be more realistic to study models where the observations are given for light rays that intersect a smaller dimensional submanifold, for example a timelike geodesic. We emphasize that the invisible singularities characterised in Theorem 4.4 are, of course, invisible also in measurements done on a smaller submanifold. Thus Theorem 4.4 gives information on structures that could exist but that cannot be detected even in highly idealised measurements.

Second, the CMB measurements, e.g. those done by the Planck collaboration, are noisy and thus the microlocal analysis considered in this paper needs to be combined with statistical analysis, involving non-Gaussian models for the CMB temperature fluctuations. For reviews on the presently used statistical methods, see e.g. [3,24,25]. We plan to consider physical issues related to this paper in the future.

5. Parametrization of the CMB Measurements

In this section we show that the function τ_ϵ , see (9), is well-defined. We do this in a slightly more general context than that in Lemma 3.2, that is, we relax the assumption that $g_\epsilon = g$ on \mathcal{U} , and consider observers (x, Z_ϵ) on \mathcal{U} where Z_ϵ is not necessarily ∂_t .

Let $g_\epsilon \in C^2(M; \text{Sym}^2)$, $\epsilon \in [0, 1]$, be a one parameter family of Lorentzian metrics satisfying $g_0 = g$, where g is a Lorentzian metric tensor of the form (5). Let $\mathcal{W}_\epsilon \subset M$ be a spacelike submanifold of codimension one, and let Z_ϵ be a section of TM defined on \mathcal{W}_ϵ such that (x, Z_ϵ) is an observer with respect to g_ϵ . Let $\mathcal{U}_1 \subset \mathbb{R}^3$ be open and suppose that $\Phi_\epsilon : \mathcal{U}_1 \rightarrow \mathcal{W}_\epsilon$ is a diffeomorphism. In this section we consider measurements by the observers

$$(\Phi_\epsilon(y), Z_\epsilon), \quad y \in \mathcal{U}_1. \tag{13}$$

We assume that $\mathcal{W}_0 = \{t_1\} \times \mathcal{U}_1$ where $t_1 > t_0$, $\Phi_0(y) = (t_1, y)$, and that $Z_0 = \partial_t$.

For small $\epsilon > 0$, the CMB measurements by observers (x, Z_ϵ) can be parametrized by the vectors in the celestial spheres $\mathbb{S}_{g_\epsilon, x, Z_\epsilon}$, $x \in \mathcal{W}_\epsilon$. Indeed, we have the following lemma:

Lemma 5.1. *Let $M \subset \mathbb{R}^n$ be open, let g be a smooth Lorentzian metric tensor on M , let Z be a smooth vector field on M , and suppose that (x, Z) is an observer for all $x \in M$. Let $K_0 \subset M$ be compact and define*

$$K = \{(x, V - Z) \in TM; x \in K_0, V \in \mathbb{S}_{g, x, Z}\}.$$

Let $\Sigma \subset M$ be a smooth submanifold of codimension one, and suppose that the geodesics $\gamma(\cdot; x, \theta)$, $(x, \theta) \in K$, intersect Σ non-tangentially. Then there are neighborhoods $\mathcal{G} \subset$

$C_b^2(M; Sym^2)$ of g and $\mathcal{K} \subset TM$ of K such that the geodesics $\gamma_h(\cdot; x, \theta)$, $(x, \theta) \in \mathcal{K}$, with respect to $h \in \mathcal{G}$ intersect Σ . Moreover, the function

$$\tau_h(x, \theta) = \min\{\tau > 0; \gamma_h(\tau; x, \theta) \in \Sigma\}$$

is C^1 in $\mathcal{K} \times \mathcal{G}$, and the functions $\gamma_h(\tau; x, \theta)$ and $\dot{\gamma}_h(\tau; x, \theta)$ are C^1 in a neighborhood of the set

$$\{(\tau, x, \theta, h); \tau \in [0, \tau_h(x, \theta)], (x, \theta) \in \mathcal{K}, h \in \mathcal{G}\}.$$

Proof. Let $\mathcal{G}_0 \subset C_b^2(M; Sym^2)$ be a neighborhood of g such that all $h \in \mathcal{G}_0$ are Lorentzian. We define the open set $U = TM \times \mathcal{G}_0$ on the Banach space $E = TM \times C_b^2(M; Sym^2)$, and consider the vector field $F : U \rightarrow E$, $F(x, \theta, h) = (\theta, f(x, \theta, h), 0)$, where $f : E \rightarrow \mathbb{R}^n$ has components

$$f^j(x, \theta, h) = -\Gamma_{k\ell}^j(x, h)\theta^k\theta^\ell, \quad (x, \theta) \in TM, h \in C_b^2(M; Sym^2),$$

and $\Gamma_{k\ell}^j(x, h)$ are the Christoffel symbols of the metric tensor h at x . We recall that

$$\Gamma_{k\ell}^j(x, h) = \frac{1}{2}h^{jm} \left(\frac{\partial h_{mk}}{\partial x^\ell} + \frac{\partial h_{m\ell}}{\partial x^k} - \frac{\partial h_{k\ell}}{\partial x^m} \right),$$

where h^{jm} is the inverse of h_{jk} , and see that $\Gamma_{k\ell}^j : M \times \mathcal{G}_0 \rightarrow \mathbb{R}$ is continuously differentiable. Hence F is continuously differentiable, and the flow of F is continuously differentiable on its domain of definition $\text{Dom}(F) \subset \mathbb{R} \times U$, see e.g. [19, Th. 6.5.2]. Note that the projection of the flow on TM gives the geodesic flow with respect to the metric tensor h .

Let $\kappa = (x, \theta) \in K$. We have assumed that $(\tau_g(\kappa), \kappa, g) \in \text{Dom}(F)$. As $\gamma(\cdot; \kappa)$ intersects Σ non-tangentially, the implicit function theorem gives neighborhoods $\mathcal{K}_\kappa \subset TM$ of κ and $\mathcal{G}_\kappa \subset C_b^2(M; Sym^2)$ of g such that τ_h is C^1 on $\mathcal{K}_\kappa \times \mathcal{G}_\kappa$. The set K is compact since K_0 is compact and $S_{g_{\epsilon,x,Z}}$ is diffeomorphic to the sphere S^{n-2} . We choose a finite set $J \subset K$ such that $\mathcal{K}_\kappa, \kappa \in J$, is an open cover of K , and define $\mathcal{K} = \cup_{\kappa \in J} \mathcal{K}_\kappa$ and $\mathcal{G} = \cap_{\kappa \in J} \mathcal{G}_\kappa$. \square

Let us now continue our study of the observers (13). We choose a smooth family of diffeomorphisms $\Psi_{x,\epsilon} : S^2 \rightarrow S_{g_{\epsilon,x,Z}}$, $x \in \mathcal{W}_\epsilon$, such that

$$\Psi_{x,0}(v) = (0, -a(t_1)^{-1}v) \in \mathbb{R}^{1+3}, \quad x \in \mathcal{W}_0.$$

Then the redshift measurements can be parametrized as

$$\tilde{R}_\epsilon(y, v) = (\gamma_\epsilon(\tau_\epsilon(x_\epsilon, \theta_\epsilon); x_\epsilon, \theta_\epsilon), Z_\epsilon)_{g_\epsilon}, \quad (y, v) \in \mathcal{U}_1 \times S^2,$$

where $x_\epsilon = \Phi_\epsilon(y)$, $\theta_\epsilon(x, v) = \Psi_{x_\epsilon, \epsilon}(v) - Z_\epsilon$, $\gamma_\epsilon(\cdot; x, \theta)$ is the geodesic of (M, g_ϵ) with the initial data (x, θ) , and τ_ϵ is defined by (9). Note that $\theta_0 = \theta$ where θ is defined by (7).

We omit writing ϵ as a subscript when it is zero. The linearized measurements satisfy

$$\partial_\epsilon \tilde{R}_\epsilon(y, v)|_{\epsilon=0} = \partial_\epsilon R_\epsilon(y, v)|_{\epsilon=0} + \partial_\epsilon (\gamma(\tau(x_\epsilon, \theta_\epsilon); x_\epsilon, \theta_\epsilon), Z_\epsilon)_g|_{\epsilon=0},$$

where the second term is a smooth function on $\mathcal{U}_1 \times S^2$ if the derivatives at $\epsilon = 0$ of Z_ϵ and the local parametrizations Φ_ϵ and $\Psi_{x,\epsilon}$ are smooth on $\mathcal{U}_1 \times S^2$. Thus the second term plays no role in the microlocal analysis of $\partial_\epsilon \tilde{R}_\epsilon(x, v)|_{\epsilon=0}$ and we are reduced to the case covered in Theorem 4.2.

6. Conformal Invariance

In this section we show that Theorem 4.4 is invariant under conformal scaling. Let us recall that a metric tensor g of the form (5) is conformal to the Minkowski metric tensor in suitable coordinates. Indeed, we define the strictly increasing function

$$s(t) := \int_0^t a(t)^{-1} dt. \tag{14}$$

Then

$$g(s, x') = a^2(s)(-ds^2 + (dx')^2), \quad (s, x') \in (0, \infty) \times \mathbb{R}^3.$$

For example, the Einstein-de Sitter model corresponds to $a(t) = t^{2/3}$, and then $s(t) = 3t^{1/3}$ and $a(s) = s^2/9$.

It is well known that null geodesics are invariant up to a reparametrization under a conformal change of the metric. For the reader’s convenience we give a proof here.

Lemma 6.1. *Let g be a smooth Lorentzian metric tensor, let a be a smooth strictly positive function, and define $\tilde{g} = a^{-2}g$. Then the null geodesics γ of g correspond to the null geodesics μ of \tilde{g} under the reparametrization*

$$\gamma(\tau) = \mu(\sigma(c\tau)), \quad \tau(\sigma) = \int_0^\sigma a(\mu(\rho))^2 d\rho, \tag{15}$$

where $c = a(\gamma(0))^2$, $\tau(\sigma)$ is a strictly increasing function and $\sigma(\tau)$ is its inverse function. The reparametrization is chosen so that $\gamma(0) = \mu(0)$ and $\dot{\gamma}(0) = \dot{\mu}(0)$.

Proof. We define α by $e^\alpha = a$. Koszul formula implies

$$\nabla_X Y = \tilde{\nabla}_X Y + (X\alpha)Y + (Y\alpha)X - (X, Y)\tilde{g}\text{grad}_{\tilde{g}}\alpha, \tag{16}$$

where $\text{grad}_{\tilde{g}}$ is the gradient with respect to the metric \tilde{g} . We apply this formula to $X = Y = \dot{\mu}$, where μ is a null geodesic with respect to \tilde{g} . Then the first and the last term on the right hand side vanish and we have

$$D_\sigma \dot{\mu} = 2(\alpha \circ \mu)' \dot{\mu},$$

where $D_\sigma \dot{\mu}$ is the covariant derivative of $\dot{\mu}$ with respect to g along μ , and the prime denotes the derivative of a real valued function on \mathbb{R} . We have $\tau' = e^{2\alpha \circ \mu}$, $\sigma' = e^{-2\alpha \circ \mu \circ \sigma}$ and

$$\sigma'' = -2(\alpha \circ \mu \circ \sigma)' \sigma' = -2((\alpha \circ \mu)' \circ \sigma)(\sigma')^2.$$

Let us consider the curve γ defined by the formula (15) with $c = 1$. Then the covariant derivative $D_\tau \dot{\gamma}$ with respect to g along γ satisfies

$$\begin{aligned} D_\tau \dot{\gamma} &= D_\tau(\sigma'(\dot{\mu} \circ \sigma)) \\ &= \partial_\tau(\sigma'(\dot{\mu}^k \circ \sigma))\partial_k + (\sigma')^2(\dot{\mu}^i \circ \sigma)(\dot{\mu}^j \circ \sigma)(\Gamma_{ij}^k \circ \mu \circ \sigma)\partial_k \\ &= \sigma''(\dot{\mu} \circ \sigma) + (\sigma')^2(D_\sigma \dot{\mu}) \circ \sigma = 0. \end{aligned}$$

Here Γ_{ij}^k are the Christoffel symbols of g . We see that γ is a geodesic with respect to the metric g . The same is true for any $c \neq 0$ since affine reparametrizations of geodesics are geodesics. Moreover,

$$\dot{\gamma}(\tau) = ca^{-2}(\gamma(\tau))\dot{\mu}(\rho), \quad \rho = \sigma(c\tau). \tag{17}$$

□

Corollary 6.2. *Let g be a smooth Lorentzian metric tensor, let a be a smooth strictly positive function, and define $\tilde{g} = a^{-2}g$. Then the corresponding light ray transforms satisfy $X_g f = a^2 X_{\tilde{g}} a^{-2} f$.*

Proof. We set $\rho = \sigma(c\tau)$ and use (17) and $d\tau = c^{-1}a^2 d\rho$. \square

Lemma 6.3. *Let g be a smooth Lorentzian metric tensor, let a be a smooth strictly positive function, and define $\tilde{g} = a^{-2}g$. Let c be a smooth function and ω be a smooth 1-tensor. Then*

$$a^{-2}(cg + d^s \omega) = \tilde{c}\tilde{g} + \tilde{d}^s \tilde{\omega},$$

where $\tilde{c} = c + (\tilde{\omega}, d \log a)_{\tilde{g}}$ and $\tilde{\omega} = a^{-2}\omega$. Here \tilde{d}^s is the symmetric differential with respect to \tilde{g} .

Proof. We define α by $e^\alpha = a$, and write (16) in coordinates. This gives the following formula for the Christoffel symbols Γ_{ij}^k and $\tilde{\Gamma}_{ij}^k$ of g and \tilde{g} , respectively,

$$\Gamma_{ij}^k = \tilde{\Gamma}_{ij}^k + \delta_i^k \partial_j \alpha + \delta_j^k \partial_i \alpha - b^k \tilde{g}_{ij},$$

where $b^k = (\text{grad}_{\tilde{g}} \alpha)^k$. The symmetric derivative transforms as

$$(d^s \omega)_{ij} = \frac{1}{2} (\partial_i \omega_j + \partial_j \omega_i) - \Gamma_{ij}^k \omega_k = (\tilde{d}^s \omega)_{ij} - \omega_i \partial_j \alpha - \omega_j \partial_i \alpha + \omega_k b^k \tilde{g}_{ij}.$$

Moreover,

$$e^{2\alpha} (\tilde{d}^s (e^{-2\alpha} \omega))_{ij} = (\tilde{d}^s \omega)_{ij} - \omega_j \partial_i \alpha - \omega_i \partial_j \alpha.$$

\square

Let g be of the form (5) and define $\tilde{g} = a^{-2}g$. Let $x \in M$ and let $\xi \in T^*M$ be spacelike for g . Then ξ is spacelike for \tilde{g} . Suppose that γ is a null geodesic of (M, g) and that there are $0 < \tau_1 < \tau_2$ such that (12) holds. Let μ be the reparametrization (15) of γ . Then μ is a null geodesic of (M, \tilde{g}) and there are $\rho_1, \rho_2 \in \mathbb{R}$ such that

$$\mu(\rho_1) = x, \quad \xi(\dot{\mu}(\rho_1)) = 0, \quad \gamma(\rho_2) \in \{t_1\} \times \mathcal{U}_1.$$

Suppose now that Theorem 4.4 holds for \tilde{g} , that is, there is a symbol of order zero χ supported in $T^*(\mathcal{U}_1 \times S^2)$ such that for all $f \in \mathcal{E}'(M; \text{Sym}^2)$ the following holds:

$$(x, \xi) \in \text{WF}(\tilde{L}_{t_1}^* \chi \tilde{L}_{t_1} f) \quad \text{iff} \quad (x, \xi) \in \text{WF}(\tilde{f} + \tilde{c}\tilde{g} + \tilde{d}^s \tilde{\omega})$$

for all $\tilde{c} \in \mathcal{D}'(M)$ and $\tilde{\omega} \in \mathcal{D}'(M; \Lambda^1)$. Here $\tilde{L}_{t_1}(y, v) = X_{\tilde{g}}(x, \theta)$, where $x = (t_1, y)$ and $\theta = \theta(v)$ is defined by (7). Note that $L_{t_1} = a^2(t_1)\tilde{L}_{t_1}a^{-2}$, and

$$L_{t_1}^* \chi L_{t_1} = a^4(t_1)a^{-2}\tilde{L}_{t_1}^* \chi \tilde{L}_{t_1}a^{-2}.$$

Let $f \in \mathcal{E}'(M; \text{Sym}^2)$, $c \in \mathcal{D}'(M)$ and $\omega \in \mathcal{D}'(M; \Lambda^1)$, and let us define $\tilde{f} = a^{-2}f$, $\tilde{\omega} = a^{-2}\omega$, and $\tilde{c} = c + (\tilde{\omega}, d \log a)_{\tilde{g}}$. Then

$$a^{-2}(f + cg + d^s \omega) = \tilde{f} + \tilde{c}\tilde{g} + \tilde{d}^s \tilde{\omega},$$

and

$$\begin{aligned} (x, \xi) \in \text{WF}(L_{t_1}^* \chi L_{t_1} f), & \quad \text{iff} \quad (x, \xi) \in \text{WF}(\tilde{L}_{t_1}^* \chi \tilde{L}_{t_1} \tilde{f}) \\ (x, \xi) \in \text{WF}(f + cg + d^s \omega) & \quad \text{iff} \quad (x, \xi) \in \text{WF}(\tilde{f} + \tilde{c}\tilde{g} + \tilde{d}^s \tilde{\omega}). \end{aligned}$$

Thus Theorem 4.4 holds for g if it holds for \tilde{g} .

7. Derivation of the Tomography Problem

In this section we prove Theorem 4.2. The proof is similar to that in [28]. All the differentiations below are justified by Lemma 5.1. We omit writing $\epsilon = 0$ as a subscript.

Let $t_1 > t_0$, let $\mathcal{U}_1 \subset \mathbb{R}^3$, and define $\mathcal{U} = \{t_1\} \times \mathcal{U}_1$. Let $(x, v) \in \mathcal{U} \times S^2$ and write $\theta = \theta(x, v)$. Note that $\partial_t = a^{-1} \partial_s$ where s is defined by (14). We have

$$\partial_\epsilon (\dot{\gamma}_\epsilon(\tau_\epsilon(x, v); x, \theta), \partial_t)_{g_\epsilon} = a^{-1}(t_0) \partial_\epsilon (\dot{\gamma}_\epsilon(\tau(x, v); x, \theta), \partial_s)_{g_\epsilon},$$

since $\ddot{\gamma} = 0$ and therefore

$$\begin{aligned} \partial_\epsilon (\dot{\gamma}(\tau_\epsilon(x, v); x, \theta), \partial_s)_g &= \partial_\tau (\dot{\gamma}(\tau; x, \theta), \partial_s)_g |_{\tau=\tau_\epsilon(x, v)} \partial_\epsilon \tau_\epsilon(x, v) \\ &= (\ddot{\gamma}(\tau_\epsilon(x, v); x, \theta), \partial_s)_g \partial_\epsilon \tau_\epsilon(x, v) = 0. \end{aligned}$$

Moreover,

$$\partial_\epsilon (\dot{\gamma}_\epsilon(0; x, \theta), \partial_s)_{g_\epsilon} = \partial_\epsilon (\theta, \partial_s)_g = 0,$$

since $g_\epsilon = g$ in \mathcal{U} . Hence

$$a(t_0) \partial_\epsilon R(x, v) = \partial_\epsilon \left((\dot{\gamma}_\epsilon(\tau(x, v); x, \theta), \partial_s)_{g_\epsilon} - (\dot{\gamma}_\epsilon(0; x, \theta), \partial_s)_{g_\epsilon} \right). \quad (18)$$

We use the reparametrization (15) and recall the formula (17). Then

$$(\dot{\gamma}_\epsilon(\tau; x, \theta), \partial_s)_{g_\epsilon} = c(\dot{\mu}_\epsilon(\rho; x, \theta), \partial_s)_{\tilde{g}_\epsilon}, \quad (19)$$

where $c = a(x)^2$, $\rho = \sigma(c\tau)$, $\tilde{g}_\epsilon = a^{-2}g_\epsilon$ and $\mu_\epsilon(\rho; x, \theta)$ is the geodesic of (M, \tilde{g}_ϵ) with initial data (x, θ) .

The computations below will use the fact that $\tilde{g} = -ds^2 + (dx')^2$ is a constant tensor in the (s, x') coordinates. When computing in coordinates we write $(s, x') = (x^0, x^1, x^2, x^3)$, and use also the notation $\mu_\epsilon(\rho) = \mu_\epsilon(\rho; x, \theta)$.

The geodesic equations for μ_ϵ can be written in the form

$$\partial_\rho (\tilde{g}_{\epsilon, jk} \dot{\mu}_\epsilon^k) = \frac{1}{2} (\partial_{x^j} \tilde{g}_{\epsilon, lm}) \dot{\mu}_\epsilon^l \dot{\mu}_\epsilon^m. \quad (20)$$

Indeed, the Eq. (20) can be transformed to the equation,

$$\partial_\rho^2 \mu_\epsilon^j + \tilde{\Gamma}_{\epsilon, lm}^j \dot{\mu}_\epsilon^l \dot{\mu}_\epsilon^m = 0,$$

written in terms of the Christoffel symbols $\tilde{\Gamma}_{\epsilon, lm}^j$ of the metric \tilde{g}_ϵ , by using the chain rule on the left hand side and symmetrizing the obtained sum, see e.g. [38, p. 51].

We denote $h = \partial_\epsilon \tilde{g}_\epsilon |_{\epsilon=0}$ and have

$$\begin{aligned} \partial_\epsilon \left((\partial_{x^j} \tilde{g}_{\epsilon, lm}) \dot{\mu}_\epsilon^l \dot{\mu}_\epsilon^m \right) |_{\epsilon=0} &= (\partial_{x^j} \partial_\epsilon \tilde{g}_{\epsilon, lm} |_{\epsilon=0}) \dot{\mu}^l \dot{\mu}^m + (\partial_{x^j} \tilde{g}_{lm}) \partial_\epsilon \left(\dot{\mu}_\epsilon^l \dot{\mu}_\epsilon^m \right) |_{\epsilon=0} \\ &= (\partial_{x^j} h_{lm}) \dot{\mu}^l \dot{\mu}^m, \end{aligned}$$

where we have used the fact that \tilde{g} is a constant tensor. Hence

$$\partial_\rho \partial_\epsilon (\dot{\mu}_\epsilon, \partial_s)_{\tilde{g}_\epsilon} |_{\epsilon=0} = \partial_\epsilon \partial_\rho \left(\tilde{g}_{\epsilon, 0k} \dot{\mu}_\epsilon^k \right) |_{\epsilon=0} = \frac{1}{2} (\partial_{x^0} h_{lm}) \dot{\mu}^l \dot{\mu}^m.$$

We integrate this with respect to ρ and obtain

$$\frac{1}{2} \int_0^r (\partial_s h_{lm}) \dot{\mu}^l \dot{\mu}^m d\rho = \partial_\epsilon (\dot{\mu}_\epsilon(r), \partial_s) \tilde{g}_\epsilon |_{\epsilon=0} - \partial_\epsilon (\dot{\mu}_\epsilon(0), \partial_s) \tilde{g}_\epsilon |_{\epsilon=0}, \tag{21}$$

where $r > 0$. Now (21), (18) and (19) imply

$$c^{-1} a(t_0) \partial_\epsilon R(x, v) |_{\epsilon=0} = \frac{1}{2} \int_0^{\sigma_0} (\partial_s h_{lm}) \dot{\mu}^l \dot{\mu}^m d\rho,$$

where $\sigma_0 = \sigma(c\tau(x, v))$.

Let $t_1 > 0$, let f be the cutoff of $\partial_\epsilon g_\epsilon |_{\epsilon=0}$ as in Theorem 4.2, and suppose that $x \in \mathcal{U}_1$. Then $h = a^{-2} f$ on $\mu([0, \sigma_0])$ and $f = 0$ on $\mu(\mathbb{R} \setminus [0, \sigma_0])$. Note also that $(\mathcal{L}_{a\partial_t} h)_{lm} = (\mathcal{L}_{\partial_s} h)_{lm} = \partial_s h_{lm}$. Hence

$$\partial_\epsilon R(x, v) |_{\epsilon=0} = \frac{c}{2a(t_0)} X_{\tilde{g}} \mathcal{L}_{a\partial_t} a^{-2} f = \frac{1}{2a(t_0)} X_g a^2 \mathcal{L}_{a\partial_t} a^{-2} f,$$

and we have proven Theorem 4.2.

8. Backprojection of the Light Ray Transform

Let us now turn to the proof of Theorem 4.4. By the conformal invariance, we may assume that the background metric is the Minkowski metric, that is, $a = 1$ identically. If we have data on all the light rays, i.e., if $\mathcal{U}_1 = \mathbb{R}^3$, then the light ray transform L_{t_1} is invariant with respect to translations. Although the case $\mathcal{U}_1 = \mathbb{R}^3$ is physically unrealistic, we discuss it briefly in this section since it allows for very explicit computations.

After a translation in the t coordinate, we may suppose that $t_1 = 0$. We write $L = L_0$, and have

$$Lf(y, v) = \int_{\mathbb{R}} f_{lm}(s, y + sv) \theta^l \theta^m ds, \quad y \in \mathbb{R}^3, v \in S^2, \tag{22}$$

where $\theta = (1, v) \in \mathbb{R}^{1+3}$. Note that we have made change of variable $s = -\tau$ in comparison with (10), and also use $\theta = -\theta(v)$ in comparison with (7).

We use the Euclidean surface measures in all the integrations below. Let $f, h \in C_0^\infty(\mathbb{R}^4; \text{Sym}^2)$. Then

$$\begin{aligned} (Lf, Lh)_{L^2(\mathbb{R}^3 \times S^2)} &= \int_{S^2} \int_{\mathbb{R}} \int_{\mathbb{R}^3} \int_{\mathbb{R}} f_{lm}(r, y + rv) h_{jk}(s, y + sv) \theta^j \theta^k \theta^l \theta^m ds dy dr dv \\ &= \int_{S^2} \int_{\mathbb{R}} \int_{\mathbb{R}^4} f_{lm}(r, x' + (r - x^0)v) h_{jk}(x) \theta^j \theta^k \theta^l \theta^m dx dr dv, \end{aligned}$$

where we have used the fact that

$$(s, y) \mapsto (s, y + sv) = x = (x^0, x') \tag{23}$$

is a linear isometry on \mathbb{R}^{1+3} . After making the change of variables $\rho = x^0 - r$, we see that and the normal operator L^*L is the convolution $K^{jklm} * f_{lm}$, with the kernel

$$(K^{jklm}, \phi)_{\mathcal{D}' \times \mathcal{D}(\mathbb{R}^4)} = \int_{S^2} \int_{\mathbb{R}} \theta^j \theta^k \theta^l \theta^m \phi(\rho\theta) d\rho dv.$$

The following lemma is in the spirit of the representation of the ray transform in [34, 36] if we allow for a singular weight on the light cone.

Lemma 8.1. *The Fourier transform of K^{jklm} is a locally integrable function and satisfies*

$$\widehat{K}^{jklm}(\xi) = \begin{cases} 2\pi(|\xi'|^2 - |\xi_0|^2)^{-1/2} \int_{S^1_\xi} \theta^j \theta^k \theta^l \theta^m dv, & \xi \text{ is spacelike,} \\ 0, & \text{otherwise.} \end{cases}$$

Here $\xi = (\xi_0, \xi') \in \mathbb{R}^{1+3}$, $\theta = (1, v)$, $|\cdot|$ is the Euclidean norm, and

$$S^1_\xi = \{v \in S^2; \xi_0 + \xi'v = 0\} \tag{24}$$

is a circle of radius $|\xi'|^{-1} \sqrt{|\xi'|^2 - |\xi_0|^2}$.

Proof. To simplify the notation, we fix the indices j, k, l, m and denote $\mathbf{a}(v) = \theta^j \theta^k \theta^l \theta^m$. Let us also define $\kappa(v, \xi) = \xi_0 + \xi'v$. Then

$$\begin{aligned} (\widehat{K}^{jklm}, \phi)_{S' \times S(\mathbb{R}^4)} &= \int_{S^2} \int_{\mathbb{R}} \int_{\mathbb{R}^4} \mathbf{a} e^{-i\rho\xi \cdot \theta} \phi(\xi) d\xi d\rho dv \\ &= 2\pi \int_{S^2} (\kappa^* \delta, \phi)_{S' \times S(\mathbb{R}^4)} \mathbf{a} dv. \end{aligned}$$

Here the pullback is by $\kappa_v(\xi) = \kappa(v, \xi)$ with fixed v . Note that the gradient $\nabla_{\kappa_v} = \theta$ does not vanish, and therefore the pullback is well-defined.

Let us assume for a moment that $\text{supp}(\phi)$ does not intersect the set of lightlike vectors

$$\{\xi = (\xi_0, \xi') \in \mathbb{R} \times \mathbb{R}^3; |\xi_0| = |\xi'|\}.$$

Then

$$\int_{S^2} (\kappa^* \delta, \phi)_{S' \times S(\mathbb{R}^4)} \mathbf{a} dv = ((\kappa^* \delta, \mathbf{a})_{\mathcal{D}' \times \mathcal{D}(S^2)}, \phi)_{S' \times S(\mathbb{R}^4)},$$

Here the second pullback is by $\kappa_\xi(v) = \kappa(v, \xi)$ with fixed ξ . Let us now show that this is well-defined. We have $\nabla_{\mathbb{R}^3} \kappa_\xi = \xi'$. Thus on $S^1_\xi = \kappa_\xi^{-1}(0)$

$$|\nabla_{S^2} \kappa_\xi(v)|^2 = |\xi' - (\xi'v)v|^2 = |\xi'|^2 - |\xi'v|^2 = |\xi'|^2 - |\xi_0|^2.$$

We see that the pullback by κ_ξ is well-defined if and only if ξ is not lightlike.

If ξ is timelike, then $|\xi'| < |\xi_0| = |\xi'v| \leq |\xi'|$ on S^1_ξ which is a contradiction. Thus S^1_ξ does not intersect the timelike vectors and $\widehat{K}^{jklm} = 0$ on timelike vectors.

Suppose now that ξ is spacelike. Then

$$(\kappa^*_\xi \delta, \mathbf{a})_{\mathcal{D}' \times \mathcal{D}(S^2)} = (|\xi'|^2 - |\xi_0|^2)^{-1/2} \int_{S^1_\xi} \mathbf{a}(v) dv. \tag{25}$$

The set S^1_ξ is the intersection of S^2 with the affine plane

$$P_\xi = \{v \in \mathbb{R}^3; \xi'v = -\xi_0\}.$$

As ξ is spacelike, $0 \leq |\xi_0| < |\xi'|$, and we see that $w = -\xi_0 \xi' / |\xi'|^2 \in P_\xi$. Thus S^1_ξ is a circle of radius r satisfying $r^2 + |w|^2 = 1$, that is,

$$r = |\xi'|^{-1} \sqrt{|\xi'|^2 - |\xi_0|^2}.$$

To finish the proof, it is enough to show that \widehat{K}^{jklm} coincides with a locally integrable function. Let us first assume that $\text{supp}(\phi)$ does not intersect the set

$$\{\widehat{\xi} = (\xi_0, \xi') \in \mathbb{R} \times \mathbb{R}^3; |\xi'| = 0\}. \tag{26}$$

Then for each $\widehat{\xi} \in \text{supp}(\phi)$ we can choose spherical coordinates so that

$$v = v(\alpha, \beta) = (\sin \alpha \cos \beta, \sin \alpha \sin \beta, \cos \alpha)$$

and $v(0, \beta) = -\xi'/|\xi'|$. In these coordinates

$$\begin{aligned} \int_{S^2} (\kappa^* \delta, \phi)_{S' \times S(\mathbb{R}^4)} \mathbf{a} dv &= \int_{S^2} \int_{\mathbb{R}^3} \phi(-\xi' v, \xi') \mathbf{a}(v) dv d\xi \\ &= \int_{\mathbb{R}^3} \int_0^{2\pi} \int_0^\pi \phi(|\xi'| \cos \alpha, \xi') \mathbf{a}(\alpha, \beta) \sin \alpha d\alpha d\beta d\xi. \end{aligned}$$

The change of coordinates $\xi_0 = |\xi'| \cos \alpha$ gives

$$\int_{S^2} (\kappa^* \delta, \phi)_{S' \times S(\mathbb{R}^4)} \mathbf{a} dv = \int_{\mathbb{R}^3} |\xi'|^{-1} \int_0^{2\pi} \int_{-|\xi'|}^{|\xi'|} \phi(\xi_0, \xi') \mathbf{a}(\xi_0, \beta) d\xi_0 d\beta d\xi.$$

Hence away from the set (26), the Fourier transform \widehat{K}^{jklm} is the function

$$|\xi'|^{-1} 1_C(\xi) \int_0^{2\pi} \mathbf{a}(\xi_0, \beta) d\beta, \tag{27}$$

where 1_C is the indicator function of the set of spacelike vectors, that is, $1_C(\xi) = 1$ if ξ is spacelike and it is zero otherwise. Note that \mathbf{a} is bounded and that the function (27) is locally integrable.

We have seen that \widehat{K}^{jklm} vanishes on timelike vectors and that it is a function away from the set (26). The origin is the only vector in the set (26) that is not timelike. As K^{jklm} is homogeneous of degree -3 , its Fourier transform is homogeneous of degree -1 . The Fourier transform \widehat{K}^{jklm} is a function since the only distributions supported in the origin are the linear combinations of derivatives of the delta distribution, and these derivatives are homogeneous of degree -4 or less. \square

Note that the integral $\int_{S_\xi^1} \theta^j \theta^k \theta^l \theta^m dv$ is homogeneous of degree zero with respect to ξ . It can be evaluated explicitly for all the 4^4 combinations of the indices. As an example, let us give $\widehat{K}^{jjjj}(\xi)$, $j = 0, 1, 2, 3$, for a spacelike $\xi \in \mathbb{R}^4$. After a rotation in ξ' , we may assume that $\widehat{\xi} = (\xi_0, 0, 0, -|\xi'|)$. Then at $\widehat{\xi}$ it holds that

$$\widehat{K}^{0000}(\xi) = \frac{4\pi^2}{|\xi'|}, \quad \widehat{K}^{1111}(\xi) = \frac{3\pi^2(|\xi'|^2 - |\xi_0|^2)^2}{2|\xi'|^5}, \quad \widehat{K}^{3333}(\xi) = \frac{4\pi^2 \xi_0^4}{|\xi'|^5},$$

and $\widehat{K}^{2222}(\xi) = \widehat{K}^{1111}(\xi)$.

An analogous light ray transform can be defined for scalar functions as follows,

$$L_{sc} f(y, v) = \int_{\mathbb{R}} f(s, y + sv) ds, \quad y \in \mathbb{R}^3, \quad v \in S^2,$$

and a variation of the above argument shows that $L_{sc}^* L_{sc}$ is given by the Fourier multiplier \widehat{K}^{0000} . The scalar case will be considered in depth in [35].

9. The Null Space of the Symbol of the Normal Operator

In this section we will characterize the null space of the tensor $\widehat{K}^{jklm}(\xi)$ for spacelike $\xi \in \mathbb{R}^4$. Note that if $\omega \in C_0^\infty(\mathbb{R}^4; \Lambda^1)$, then the Fourier transform of its symmetric differential is

$$(\widehat{d^s \omega})_{ij}(\xi) = \frac{\xi_i \widehat{\omega}_j + \xi_j \widehat{\omega}_i}{2}.$$

To cover also the limited angle case, to be discussed in the next two sections, we will prove the following slightly more general result. We refer to (24) for the definition of S_ξ^1 .

Lemma 9.1. *Let $\xi \in \mathbb{R}^4$ be spacelike, let $\chi \in C^\infty(S_\xi^1)$ and suppose that $\chi \geq 0$ and that χ does not vanish identically. Then the null space of the linear map $N : f_{lm} \mapsto N^{jklm} f_{lm}$ on Sym^2 , where*

$$N^{jklm} = \int_{S_\xi^1} \chi \theta^j \theta^k \theta^l \theta^m dv, \quad \theta = (1, v), \quad j, k = 0, 1, 2, 3, \tag{28}$$

is $\text{Ker}(N) = \{cg_{lm} + \xi_l \omega_m + \xi_m \omega_l; c \in \mathbb{R}, \omega \in \mathbb{R}^4\}$. Here g is the Minkowski metric.

Proof. We have $g_{lm} \theta^l \theta^m = 0$ since θ is lightlike, and $\theta^m \xi_m = 0$ since $v \in S_\xi^1$. Thus the tensors $cg + \xi \otimes \omega + \omega \otimes \xi$ are in $\text{Ker}(N)$. Let us now show that there are no other tensors in $\text{Ker}(N)$. Suppose that $f \in \text{Ker}(N)$. Then

$$0 = \int_{S_\xi^1} \chi \bar{f}_{jk} \theta^j \theta^k \theta^l \theta^m f_{lm} dv = \int_{S_\xi^1} \chi |f_{jk} \theta^j \theta^k|^2 dv.$$

Then $f_{jk} \theta^j \theta^k = 0$ for v in a nonempty open subset of S_ξ^1 .

We write $\xi = (\xi_0, \xi') \in \mathbb{R}^{1+3}$. After a rotation in ξ' , we may assume that $\xi' = (0, 0, 1)$. As ξ is spacelike, there is a Lorentz boost B such that $\xi = Be_3$, where $e_3 = (0, 0, 0, 1)$. The boost B is a linear map B_j^k such that if $\tilde{\theta}^k = B_j^k \theta^j$ then $\theta^j = \theta^j$, $j = 1, 2$, and

$$\tilde{\theta}^0 = \theta^0 \cosh \alpha + \theta^3 \sinh \alpha, \quad \tilde{\theta}^3 = \theta^0 \sinh \alpha + \theta^3 \cosh \alpha, \tag{29}$$

where α is the hyperbolic angle (or rapidity) of the boost.

When $v \in S_\xi^1$ and $\theta = (1, v)$ we have

$$0 = \xi \theta = (Be_3) \theta = \tilde{\theta}^3,$$

since the boost B is symmetric. Combining this with (29) and $\theta^0 = 1$ gives $\tilde{\theta}^0 = 1/\cosh \alpha$. Indeed,

$$\theta^3 = -\frac{\sinh \alpha}{\cosh \alpha} \quad \text{and} \quad \tilde{\theta}^0 = \cosh \alpha - \frac{\sinh^2 \alpha}{\cosh \alpha} = \frac{1}{\cosh \alpha}.$$

Let us denote $A = B^{-1}$ and define a 3×3 symmetric tensor h by

$$h_{lm} = \cosh^{-2} \alpha \tilde{f}_{lm}, \quad l, m = 0, 1, 2,$$

where $\tilde{f}_{lm} = A_l^j f_{jk} A_m^k$. Let us also write $W = \cosh \alpha(\tilde{\theta}^0, \tilde{\theta}^1, \tilde{\theta}^2) \in \mathbb{R}^3$ and $w = (W^1, W^2) \in \mathbb{R}^2$. Then

$$0 = f_{jk} \theta^j \theta^k = \tilde{f}_{lm} \tilde{\theta}^l \tilde{\theta}^m = h_{lm} W^l W^m,$$

since $\tilde{\theta}^3 = 0$. Moreover, $W^0 = 1$ and $w = \cosh \alpha(\theta^1, \theta^2)$ satisfies

$$|w|^2 = \cosh^2 \alpha(1 - |\theta^3|) = \cosh^2 - \sinh^2 \alpha = 1,$$

since $\theta = (1, v)$ and $v \in S^2$. Thus

$$h((1, w), (1, w)) = h_{lm} W^l W^m = 0$$

for w in a nonempty open subset of S^1 . After a rotation we may assume that $w = (\sin \beta, \cos \beta)$ is in this set for small β . Differentiating three times with respect to β we get

$$0 = h((1, w), (1, w)), \tag{30}$$

$$0 = h((1, w), (0, w')), \tag{31}$$

$$0 = h((0, w'), (0, w')) - h((1, w), (0, w)), \tag{32}$$

$$0 = -3h((0, w'), (0, w)). \tag{33}$$

Now (33) implies that $h_{12} = 0$. By (33) we have

$$h((1, w), (0, w')) = h((1, 0), (0, w')) + h((0, w), (0, w')) = h((1, 0), (0, w')),$$

which implies together with (31) that $h_{02} = 0$. Differentiating this once more we see that $h_{01} = 0$. As all the cross terms vanish, (30) implies that $h_{11} = -h_{00}$. Differentiating (33) we see that

$$0 = h((0, w), (0, w)) - h((0, w'), (0, w')),$$

which implies that $h_{11} = h_{22}$. Thus h is proportional to the 1+2 dimensional Minkowski metric.

We have shown that there is $c \in \mathbb{R}$ such that $\tilde{f}_{ml} - c g_{ml}$ vanishes when $m, l = 0, 1, 2$. Moreover, we can choose $\tilde{\omega} \in \mathbb{R}^4$ such that

$$\tilde{f} - c g - e_3 \otimes \tilde{\omega} - \tilde{\omega} \otimes e_3 = 0.$$

We recall that $\xi = B e_3$. As the 1+3 dimensional Minkowski metric g is invariant under the Lorentz boost B , we have

$$f = c g + \xi \otimes \omega + \omega \otimes \xi,$$

where $\omega = B \tilde{\omega}$. \square

Let us now construct a projection onto a complement of $\text{Ker}(N)$ as a symbol P of order zero that commutes with N . The construction is inspired by [34,36]. We will raise and lower indices by using the Minkowski metric g . We define

$$\lambda_{kl}^{ij} = \kappa_k^i \kappa_l^j, \quad \kappa_k^i = \delta_k^i - \eta_k \eta^i, \quad \eta = \frac{\xi}{\sqrt{g(\xi, \xi)}},$$

where ξ is assumed to be spacelike, and δ_k^i is the Kronecker delta. Then $\eta^i \eta_i = g(\eta, \eta) = 1$ and

$$\kappa_k^i \eta_i = \eta_k - \eta_k \eta^i \eta_i = 0. \tag{34}$$

Thus $\xi \otimes \omega + \omega \otimes \xi \in \text{Ker}(\lambda)$ for any $\omega \in \mathbb{R}^4$.

We have $\kappa_k^i g_{ij} = g_{kj} - \eta_k \eta_j$, whence $\lambda g = \mu$, where

$$\mu_{kl} = g_{kl} - \eta_k \eta_l.$$

Let us define $h^{ij} = g^{ij} - \eta^i \eta^j$, and

$$P = \lambda - \frac{\mu \otimes h}{3}. \tag{35}$$

Lemma 9.2. *Let N be a tensor of the form (28). Then P is a projection satisfying $\text{Ker}(P) = \text{Ker}(N)$ and $PN = N = NP$.*

Proof. We have

$$h^{ij} g_{ij} = g^{ij} g_{ij} - g(\eta, \eta) = 4 - 1 = 3,$$

whence $g \in \text{Ker}(P)$. Moreover,

$$h^{ij} \eta_i = \eta^j - \eta^j \eta^i \eta_i = 0, \tag{36}$$

whence $\xi \otimes \omega + \omega \otimes \xi \in \text{Ker}(P)$ for any $\omega \in \mathbb{R}^4$. We have shown that $\text{Ker}(N) \subset \text{Ker}(P)$.

Let us show that $P^2 = P$. We have $\kappa_k^p \kappa_p^i = \kappa_k^i$ by (34), whence $\lambda^2 = \lambda$. Similarly (36) implies that

$$h^{ij} \mu_{ij} = \delta_{ij}^{ij} - g(\eta, \eta) = 3,$$

and $(\mu \otimes h)^2 = 3\mu \otimes h$. Moreover,

$$\lambda(\mu \otimes h) = (\lambda\mu) \otimes h = (\lambda g) \otimes h = \mu \otimes h.$$

Analogously with above, we have $\mu \otimes h\lambda = \mu \otimes h$. Thus

$$P^2 = \lambda^2 - \lambda \frac{\mu \otimes h}{3} - \frac{\mu \otimes h}{3} \lambda + \frac{(\mu \otimes h)^2}{9} = \lambda - 2 \frac{\mu \otimes h}{3} + \frac{\mu \otimes h}{3} = P.$$

We have $P_{kl}^{pq} N_{pq}^{ij} = N_{kl}^{ij}$ since

$$\kappa_k^p \theta_p = \theta_k - \eta_k \frac{\xi^p \theta_p}{\sqrt{g(\xi, \xi)}} = \theta_k, \quad h^{pq} \theta_p \theta_q = g(\theta, \theta) - \frac{\xi^p \theta_p \xi^q \theta_q}{g(\xi, \xi)} = 0.$$

Analogously $NP = N$, therefore $\text{Ker}(P) \subset \text{Ker}(N)$. \square

Lemma 9.3. *Let $M \subset \mathbb{R}^4$ be open and let $(x, \xi) \in T^*M$ be spacelike. Then for all $f \in \mathcal{E}'(M; \text{Sym}^2)$ we have that $(x, \xi) \in \text{WF}(Pf)$ if and only if $(x, \xi) \in \text{WF}(f + cg + d^s \omega)$ for all $c \in \mathcal{E}'(M)$ and $\omega \in \mathcal{E}'(M; \Lambda^1)$. Furthermore, if A is a pseudodifferential operator satisfying $A(cg + d^s \omega) = 0$ for all $c \in \mathcal{E}'(M)$ and $\omega \in \mathcal{E}'(M; \Lambda^1)$, then $(x, \xi) \in \text{WF}(APf)$ if and only if $(x, \xi) \in \text{WF}(Af)$.*

Proof. Let $\chi \in S^0(T^*M)$ satisfy $\chi(x, \xi) = 1$ and suppose that $\text{supp}(\chi)$ contains only spacelike covectors. Note that $(x, \xi) \in \text{WF}(Pf)$ if and only if $(x, \xi) \in \text{WF}(P\chi f)$, since $P(1 - \chi)$ is smoothing near (x, ξ) .

Let h be the Fourier transform of $(1 - P)\chi f$. Then $h(\eta) \in \text{Ker}(P) = \text{Ker}(N)$, and therefore $h(\eta)$ is of the form

$$\tilde{c}(\eta)g + \eta \otimes \tilde{\omega}(\eta) + \tilde{\omega}(\eta) \otimes \eta, \quad \eta \in \mathbb{R}^4.$$

By taking the inverse Fourier transform, we see that $(1 - P)\chi f$ is of the form $cg + d^s\omega$ for some $c \in \mathcal{D}'(\mathbb{R}^4)$ and $\omega \in \mathcal{D}'(\mathbb{R}^4; \Lambda^1)$. Let $\chi_2 \in C_0^\infty(M)$ satisfy $\chi_2(x) = 1$. Now $(x, \xi) \notin \text{WF}(P\chi f)$ implies $(x, \xi) \notin \text{WF}(f - \chi_2(cg + d^s\omega))$, since

$$\chi f = P\chi f + (1 - P)\chi f = P\chi f + cg + d^s\omega. \tag{37}$$

Suppose now that there are $c \in \mathcal{E}'(M)$ and $\omega \in \mathcal{E}'(M; \Lambda^1)$ such that $(x, \xi) \notin \text{WF}(f + cg + d^s\omega)$. Then $(x, \xi) \notin \text{WF}(Pf)$ since

$$\chi P(f + cg + d^s\omega) = \chi Pf,$$

and the pseudodifferential operator P does not move singularities. Furthermore, the formula (37) implies that $(x, \xi) \in \text{WF}(AP\chi f)$ if and only if $(x, \xi) \in \text{WF}(A\chi f)$. \square

10. Microlocal Analysis of the Light Ray Transform

Let us now study the light ray transform in the limited angle case, that is, when $\mathcal{U}_1 \subset \mathbb{R}^3$ is an arbitrary open set in Theorem 4.4. Let $\chi \in C_0^\infty(\mathcal{U}_1)$. The restricted light ray transform χL belongs to the class of operators considered in [12]. Here we will complement the results in [12] by computing the symbols of the restricted light ray transform and its normal operator with a suitable cutoff.

It is well known that a restricted ray transform is a Fourier integral operator associated with the canonical relation given by the twisted conormal bundle N^*Z' of its point-line relation Z [13]. We will next give a parametrization of N^*Z' and the symbol of χL in this parametrization.

We take the Fourier transform with respect to $y \in \mathbb{R}^3$ and get

$$\begin{aligned} \mathcal{F}L f(\eta, v) &= \int_{\mathbb{R}^3} \int_{\mathbb{R}} f_{lm}(s, y + sv)\theta^l \theta^m e^{-i\eta y} ds dy \\ &= \int_{\mathbb{R}^4} f_{lm}(x)\theta^l \theta^m e^{-i\eta(x' - x^0 v)} dx. \end{aligned}$$

Here $\theta = (1, v)$ and we have used the change of variables (23). We see that the Schwartz kernel of χL is the oscillatory integral

$$\int_{\mathbb{R}^3} e^{i\phi(x, y, v, \eta)} a(x, y, v, \eta) d\eta, \quad x \in \mathbb{R}^4, (y, v) \in \mathbb{R}^3 \times S^2, \tag{38}$$

where $a(x, y, v, \eta) = (2\pi)^{-3}\theta^l \theta^m$ and $\phi(x, y, v, \eta) = \eta(y - x') + x^0 \eta v$. We write $\mathcal{C} = \mathbb{R}^3 \times S^2$. The critical set of ϕ is

$$\begin{aligned} \mathcal{C}_\phi &= \{(y, v; x; \eta) \in \mathcal{C} \times \mathbb{R}^4 \times (\mathbb{R}^3 \setminus \{0\}); \phi'_\eta = 0\} \\ &= \{(y, v, x, \eta); y = x' - x^0 v\}. \end{aligned}$$

The phase function ϕ parametrizes the conormal bundle N^*Z of the point-line relation

$$Z = \{(x' - x^0v, v; x^0, x') \in \mathcal{C} \times \mathbb{R}^4; v \in S^2, x \in \mathbb{R}^4\}$$

via the diffeomorphism $C_\phi \ni (y, v, x, \eta) \mapsto (y, v, \phi'_{y,v}, x, \phi'_x) \in N^*Z$. Note that the twisted conormal bundle

$$\begin{aligned} N^*Z' = \{ & (y, v, \eta, w; x^0, x', \xi_0, \xi') \in (T^*\mathcal{C} \times T^*\mathbb{R}^4) \setminus 0; \\ & y = x' - x^0v, \eta = \xi', w = x^0\xi'|_{T_vS^2}, \xi_0 = -\xi'v, \\ & v \in S^2, \eta \in \mathbb{R}^3, x \in \mathbb{R}^4 \} \end{aligned} \tag{39}$$

is a canonical relation. Indeed, $N^*Z' \subset (T^*\mathcal{C} \setminus 0) \times (T^*\mathbb{R}^4 \setminus 0)$, since $\eta = 0$ if and only if $\xi' = 0$, and $\xi' = 0$ implies $\xi_0 = -\xi'v = 0$.

In the parametrization (38), the symbol of χL is

$$(2\pi)^{3/4} \chi(y) \theta^l \theta^m, \quad \theta = (1, v), \quad (y, v) \in \mathcal{C}.$$

The symbol is of order zero (38) and hence χL is a Fourier integral operator of order $-3/4$ associated with the canonical relation N^*Z' , see [16, Def. 3.2.2]. The theory of Fourier integral operators implies that χL gives a continuous map from $\mathcal{E}'(\mathbb{R}^4)$ to $\mathcal{D}'(\mathcal{C})$. Taking into account the conformal invariance, we have proven Lemma 4.1.

Let us next verify the claims in the end of Sect. 4 about the sharpness of Theorem 4.4.

Lemma 10.1. *Let $(y, v, \eta, w; x, \xi_0, \xi') \in N^*Z'$. Then ξ is lightlike if and only if $\xi'|_{T_vS^2} = 0$. Moreover, if ξ is lightlike then $\xi' = -\xi_0v$.*

Proof. We have $|\xi_0| = |\xi'v| \leq |\xi'| |v| = |\xi'|$ with the equality holding if and only if ξ' and v are collinear. But ξ' and v being collinear is equivalent with $\xi'|_{T_vS^2} = 0$. Thus $\xi'|_{T_vS^2} = 0$ if and only if $|\xi_0| = |\xi'|$. Suppose now that ξ is lightlike. Then $\xi = cv$ for some $c \in \mathbb{R}$, and thus $\xi_0 = -\xi'v = -c$. \square

Lemma 10.2. *Let $(x, \xi) \in T^*\mathbb{R}^4 \setminus 0$. Then the relation $C = N^*Z'$ mapping $T^*\mathbb{R}^4$ to $T^*\mathcal{C}$ satisfies the following:*

- (1) *If ξ is timelike, then $C(x, \xi) = \emptyset$.*
- (2) *If ξ is spacelike, then $C(x, \xi) = \{(x' - x^0v, v, \xi', x^0\xi'|_{T_vS^2}); v \in S^1_\xi\}$, where S^1_ξ is the circle defined in Lemma 8.1.*
- (3) *If ξ is lightlike, then $C(x, \xi) = (x' + \frac{x^0}{\xi_0}\xi', -\frac{\xi'}{\xi_0}, \xi', 0)$.*

Proof. If $(y, v; \eta, w) \in T^*\mathcal{C}$ is in relation N^*Z' with $(x, \xi) \in T^*\mathbb{R}^4$, that is, $(y, v; \eta, w) \in N^*Z'(x, \xi)$, then

$$|\xi_0| = |\xi'v| \leq |\xi|.$$

Thus ξ is lightlike or spacelike. Let us suppose that ξ be spacelike. We have $y = x' - x^0v$, $\eta = \xi'$ and $w = x^0\xi'|_{T_vS^2}$. Finally, $\xi_0 = -\xi'v$ is equivalent with $v \in S^1_\xi$. Let us now suppose that ξ in lightlike. Note that $\xi_0 \neq 0$ since otherwise $\xi = 0$. Lemma 10.1 implies that $v = -\xi'/\xi_0$ and that $w = 0$. \square

The restricted light ray transform χL maps singularities through the relation N^*Z' , that is, $\text{WF}(\chi Lf) \subset N^*Z' \text{WF}(f)$, see [16, Th. 4.1.1, Th. 2.5.14]. Hence Lemma 10.2 implies that $\text{WF}(\chi Lf)$ is empty if $\text{WF}(f)$ contains only timelike covectors. Let us now suppose that $(x, \xi) \in T^*\mathbb{R}^4$ is spacelike and that the circle

$$S^1_{x,\xi} = \{x' - x^0 v; v \in S^1_\xi\} \tag{40}$$

does not intersect \mathcal{U}_1 . Then Lemma 10.2 implies that $\text{WF}(\chi Lf)$ is empty if $\text{WF}(f)$ is contained in a small conical neighborhood of (x, ξ) in $T^*\mathbb{R}^4$.

The condition (12) is equivalent with $S^1_{x,\xi} \cap \mathcal{U}_1 \neq \emptyset$. Namely, if a null geodesic intersects x then, up to an affine reparametrization, it coincides with $\gamma(\tau; x, \theta)$ where $\theta = (1, v)$ for some $v \in S^2$. Now $\xi(\dot{\gamma}(0; x, \theta)) = \xi_0 + \xi'v$, whence $v \in S^1_\xi$ is equivalent with vanishing of $\xi(\dot{\gamma}(0; x, \theta))$. Finally, $\gamma(\tau; x, \theta)$ intersects $\{0\} \times \mathcal{U}_1$ for some $v \in S^1_\xi$ if and only if $S^1_{x,\xi} \cap \mathcal{U}_1 \neq \emptyset$.

Let us now suppose that $(x, \xi) \in T^*\mathbb{R}^4 \setminus 0$ is lightlike, and consider the null geodesic

$$\mu(\tau) = (x^0 + \tau, x' - \tau \xi_0^{-1} \xi'), \quad \tau \in \mathbb{R}. \tag{41}$$

Then N^*Z' maps all the points $(\mu(\tau), \xi) \in T^*\mathbb{R}^4, \tau \in \mathbb{R}$, on the same point in $T^*\mathcal{C}$. We expect that this leads to artifacts when trying to recover lightlike singularities, however, we do not analyze this further in the present paper.

11. Microlocal Analysis of the Normal Operator

Let us consider an operator A having kernel of the form (38), and use the following notation for the projections

$$\begin{array}{ccc} & N^*Z' & \\ \pi \swarrow & & \searrow \rho \\ T^*\mathbb{R}^4 & & T^*\mathcal{C} \end{array} \tag{42}$$

When the projection ρ is an injective immersion, the diagram (42) is said to satisfy the Bolker condition. In this case the corresponding normal operator A^*A is a pseudodifferential operator [13]. In our case, the Bolker condition holds only outside the set

$$\mathcal{L} = \{(y, v, \eta, w; x, \xi) \in T^*\mathcal{C} \times T^*\mathbb{R}^4; \xi \text{ is lightlike}\}.$$

Lemma 11.1. *The restriction of ρ on $N^*Z' \setminus \mathcal{L}$ is an injective immersion but $d\rho$ fails to be injective on $N^*Z' \cap \mathcal{L}$.*

Proof. We may use $(y, v, \xi', x^0) \in \mathcal{C} \times \mathbb{R}^{3+1}$ as coordinates on N^*Z . In these coordinates

$$\rho = (y, v, \xi', x^0 \xi' |_{T_v S^2}), \quad d\rho = \begin{pmatrix} id & 0 & 0 & 0 \\ 0 & id & 0 & 0 \\ 0 & 0 & id & 0 \\ 0 & * & * & \xi' |_{T_v S^2} \end{pmatrix},$$

where $*$ denotes an element that does not play a role in the proof. We see that $d\rho$ is injective if and only if $\xi'|_{T_v S^2} \neq 0$. By Lemma 10.1 this is equivalent with ξ being lightlike.

Let us now show global injectivity of the restriction. Let (y, v, ξ', x^0) and $(\tilde{y}, \tilde{v}, \tilde{\xi}', \tilde{x}^0)$ be in $C \times \mathbb{R}^{3+1}$, and suppose that ξ is not lightlike and that ρ maps the corresponding points in N^*Z on the same point. Then $\tilde{y} = y, \tilde{v} = v, \tilde{\xi}' = \xi',$ and $\tilde{x}^0 \xi'|_{T_v S^2} = x^0 \xi'|_{T_v S^2}$. Moreover, $\tilde{x}^0 = x^0$ since $\xi'|_{T_v S^2} \neq 0$ as ξ is not lightlike. \square

We will show next that A^*A is a pseudodifferential operator if we microlocalize away from the set \mathcal{L} .

Lemma 11.2. *Let $(x, \xi) \in T^*\mathbb{R}^4 \setminus \mathcal{O}$. Then the composed relation $C = (N^*Z')^{-1} \circ N^*Z'$ mapping $T^*\mathbb{R}^4$ to itself satisfies*

- (1) *If ξ is timelike, then $C(x, \xi) = \emptyset$.*
- (2) *If ξ is spacelike, then $C(x, \xi) = (x, \xi)$.*
- (3) *If ξ is lightlike, then $C(x, \xi) = \{(\mu(\tau); \xi); \tau \in \mathbb{R}\}$, where μ is the null geodesic (41).*

Proof. The timelike case follows immediately from Lemma 10.2. Let ξ be spacelike, and let $(y, v; \eta, w) \in T^*C$ be in relation N^*Z' with (x, ξ) and with a point $(\tilde{x}, \tilde{\xi})$. We have $\tilde{\xi}' = \eta = \xi'$. This implies that also $\tilde{\xi}^0 = -\xi'v = \xi^0$. As $\xi'|_{T_v S^2} \neq 0$ by Lemma 10.1, the equation $\tilde{x}^0 \xi'|_{T_v S^2} = w = x^0 \xi'|_{T_v S^2}$ implies $\tilde{x}^0 = x^0$. Finally $\tilde{x}' - x^0 v = y = x' - x^0 v$, whence $\tilde{x}' = x'$.

Let ξ be lightlike. Then Lemma 10.2 says that $(x' + \frac{x^0}{\xi_0} \xi', -\frac{\xi'}{\xi_0}, \xi', 0)$ is the only point in T^*C that is in relation N^*Z' with (x, ξ) . This determines ξ uniquely but x only up to a translation along μ . \square

Lemma 11.3. *Let us define $C = N^*Z' \setminus \mathcal{L}$. The composition $C^{-1} \circ C$ is clean in the sense of [17, Th. 21.2.14]. The projection π_2 from the intersection of $C^{-1} \times C$ with $T^*\mathbb{R}^4 \times \text{diag}(T^*C) \times T^*\mathbb{R}^4$ to $(T^*\mathbb{R}^4)^2$ is proper, and the fibers $\pi_2^{-1}(p), p \in (T^*\mathbb{R}^4)^2$, are connected.*

Proof. We write

$$X = C^{-1} \times C, \quad Y = T^*\mathbb{R}^4 \times \text{diag}(T^*C) \times T^*\mathbb{R}^4.$$

We need to show that $X \cap Y$ is a smooth manifold and that

$$T_p(X \cap Y) = T_p X \cap T_p Y, \quad p \in X \cap Y. \tag{43}$$

Lemma 11.2 implies that $X \cap Y$ coincides with the smooth manifold $\text{diag}(C)$ after a reordering of the factors in the Cartesian product. We may use $p = (y, v, \xi', x^0) \in C \times \mathbb{R}^{3+1}$ as coordinates on $X \cap Y$. Notice that a tangent vector of X , parametrized by $(\delta p, \delta q) \in T_{(p,p)}(C \times \mathbb{R}^{3+1})^2$, coincides with a tangent vector of Y if and only if $d\rho \delta p = d\rho \delta q$. This implies $\delta p = \delta q$ since $d\rho$ is injective on C . Thus (43) holds.

A fiber $\pi_2^{-1}(p), p \in (T^*\mathbb{R}^4)^2$, is diffeomorphic with the circle S^1_ξ if $p = (x, \xi, x, \xi)$ and ξ is spacelike, and it is empty otherwise. \square

Lemma 11.3 says that if we cut away the lightlike covectors, then we can use the clean intersection calculus [17, Th. 25.2.3]. Let $\chi_0 \in S^0(T^*\mathbb{R}^4)$ satisfy $\text{supp}(\chi_0) \cap \mathcal{L}_\pi = \emptyset$ where

$$\mathcal{L}_\pi = \{(x, \xi) \in T^*\mathbb{R}^4; \xi \text{ is lightlike}\}.$$

Then $A^*A\chi_0$ is a Fourier integral operator with the canonical relation $C^{-1} \circ C$ where $C = N^*Z' \setminus \mathcal{L}$, and Lemma 11.2 implies that $C^{-1} \circ C$ is in $N(\text{diag}(\mathbb{R}^4))'$. Hence $A^*A\chi_0$ is a pseudodifferential operator. The calculus implies also that the order of $A^*A\chi_0$ is -1 if the order of A is $-3/4$ since the fibers $\pi_2^{-1}(p)$, $p \in (T^*\mathbb{R}^4)^2$, are one dimensional (i.e. the excess of the composition is one).

If we are given the data Af we can not compute $A\chi_0f$, but we can choose pseudodifferential operators χ_0 on \mathbb{R}^4 and χ_1 on C so that $\chi_1A = \chi_1A\chi_0$ modulo a smoothing operator. Alternatively, we could choose pseudodifferential operators χ_1 and χ_0 , both on \mathbb{R}^4 , so that $\chi_1A^*A\chi_0 = \chi_1A^*A$ modulo a smoothing operator. By Lemma 11.2 this follows if $\chi_0 = 1$ in $\text{supp}(\chi_1)$ and $\text{supp}(\chi_0) \cap \mathcal{L}_\pi = \emptyset$. However, we prefer using χ_1 on C since we need also a cut off $\chi_2 \in C_0^\infty(\mathcal{U}_1)$ due to the fact that we do not have data on $\mathbb{R}^3 \setminus \mathcal{U}_1$.

Proposition 11.4. *Let $\tilde{\chi}_1 \in C_0^\infty(\mathbb{R})$ satisfy $\text{supp}(\tilde{\chi}_1) \subset (-1, 1)$, and define*

$$\chi_1(v, \eta) = \tilde{\chi}_1(|\eta v|/|\eta|), \quad v \in S^2, \eta \in \mathbb{R}^3.$$

Let $\mathcal{U}_1 \subset \mathbb{R}^3$ be open and let $\chi_2 \in C_0^\infty(\mathcal{U}_1)$. Then the principal symbol of the pseudodifferential operator $L^\chi_1\chi_2L$ is*

$$\sigma_0(x, \xi) = \frac{2\pi \tilde{\chi}_1(|\xi_0|/|\xi'|)}{(|\xi'|^2 - |\xi_0|^2)^{1/2}} \int_{S_\xi^1} \chi_2(x' - x^0v)\theta^j\theta^k\theta^l\theta^m dv,$$

where $\theta = (1, v)$.

Proof. We choose $\tilde{\chi}_0 \in C_0^\infty(-1, 1)$ such that $\tilde{\chi}_0 = 1$ in $\text{supp}(\tilde{\chi}_1)$, and define $\chi_0(\xi) = \tilde{\chi}_0(|\xi_0|/|\xi'|)$. If $(y, v, \eta, w; x, \xi_0, \xi') \in N^*Z'$ then $\eta = \xi'$ and $\xi_0 = -\xi'v = -\eta v$. Thus $|\eta v|/|\eta| = |\xi_0|/|\xi'|$ and $\chi_0(\xi) = 1$ if $\chi_1(v, \eta) \neq 0$. Now $\chi_1L = \chi_1L\chi_0$ modulo a smoothing operator and $\text{supp}(\chi_0) \cap \mathcal{L}_\pi = \emptyset$. Hence $L^*\chi_1\chi_2L$ is a pseudodifferential operator.

The kernel of $L^*\chi_1\chi_2L$ is of the form

$$\int_{C \times \mathbb{R}^3 \times \mathbb{R}^3} e^{-i\phi(x, y, v, \xi')} e^{i\phi(z, y, v, \eta)} \mathbf{a}(z, v, \eta) d\eta d\xi' dy dv, \quad x, z \in \mathbb{R}^4,$$

where $\mathbf{a}(z, v, \eta) = (2\pi)^{-3} \chi_1(v, \eta) \chi_2(z' - z^0v)\theta^j\theta^k\theta^l\theta^m$. We have

$$\phi(z, y, v, \eta) - \phi(x, y, v, \xi') = (\eta - \xi')y - \tilde{\phi}(z, v, \eta) + \tilde{\phi}(x, v, \xi'),$$

where $\tilde{\phi}(x, v, \xi') = \xi'x' - x^0\xi'v$. Hence the kernel simplifies to

$$\begin{aligned} & \int_{S^2 \times \mathbb{R}^3 \times \mathbb{R}^3} \delta(\eta - \xi') e^{i\tilde{\phi}(x, v, \xi') - i\phi(z, v, \eta)} \mathbf{a}(z, v, \eta) d\eta d\xi' dv \\ &= \int_{S^2 \times \mathbb{R}^3} e^{i\xi'(x' - z') - i(x^0 - z^0)\xi'v} \mathbf{a}(z, v, \xi') d\xi' dv \\ &= \int_{\mathbb{R}^4} \int_{S^2} e^{i\xi(x-z)} \kappa_\xi^* \delta(v) \mathbf{a}(z, v, \xi') dv d\xi, \end{aligned}$$

where $\kappa_\xi(v) = \xi_0 + \xi'v$. By (25) the symbol $\sigma(z, \xi)$ of $L^*\chi_1\chi_2L$ is

$$\begin{aligned} \sigma(z, \xi) &= (2\pi)^4(|\xi'|^2 - |\xi_0|^2)^{-1/2} \int_{S_\xi^1} \mathbf{a}(z, v, \xi')dv \\ &= \frac{2\pi\tilde{\chi}_1(|\xi_0|/|\xi'|)}{(|\xi'|^2 - |\xi_0|^2)^{1/2}} \int_{S_\xi^1} \chi_2(z' - z^0v)\theta^j\theta^k\theta^l\theta^m dv. \end{aligned}$$

Thus $\sigma_0(x, \xi) = \sigma(x, \xi)$. \square

Proof of Theorem 4.4. By the conformal invariance it is enough consider the Minkowski case. Let (x, ξ) be spacelike and choose the cutoff $\tilde{\chi}_1$ in Proposition 11.4 so that $\tilde{\chi}_1 = 1$ near $|\xi_0|/|\xi'|$. Then $\sigma_0(x, \xi) = c(\xi)N^{jklm}$, where $c(\xi) \neq 0$ and N^{jklm} is the tensor (28) with $\chi(v) = \chi_2(x' - x^0v)$. We recall that the visibility condition (12) is equivalent to $S_{x,\xi}^1 \cap \mathcal{U}_1 \neq \emptyset$, where the circle $S_{x,\xi}^1$ is defined by (40). Thus we can choose non-negative $\chi_2 \in C_0^\infty(\mathcal{U}_1)$ so that χ does not vanish identically on S_ξ^1 .

Let P be the projection (35). Note that both N and P are homogeneous of degree zero, and $W_0 = N + (1 - P)$ is elliptic near (x, ξ) . Indeed, if $W_0f = 0$ then

$$0 = PW_0f = NPf, \quad 0 = (1 - P)W_0f = (1 - P)f.$$

Thus $Pf \in \text{Ker}(N) = \text{Ker}(P)$ and $f = Pf = P^2f = 0$.

As W_0 is the principal symbol of the zeroth order pseudodifferential operator

$$W = c^{-1}L^*\chi_1\chi_2L + (1 - P),$$

there is a parametrix Q of W such that $W = 1$ modulo a smoothing operator near (x, ξ) . Thus $P = QWP = Qc^{-1}L^*\chi_1\chi_2LP$. Hence

$$(x, \xi) \in \text{WF}(Pf) \quad \text{if and only if} \quad (x, \xi) \in \text{WF}(L^*\chi_1\chi_2LPf),$$

and we apply Lemma 9.3 to conclude. \square

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