## Math 353, Midterm 1

Name:

This exam consists of 8 pages including this front page.

## Ground Rules

1. No calculator is allowed.
2. Show your work for every problem unless otherwise stated.

| Score |  |  |
| :---: | :---: | :--- |
| 1 | 15 |  |
| 2 | 16 |  |
| 3 | 15 |  |
| 4 | 15 |  |
| 5 | 21 |  |
| 6 | 18 |  |
| Total | 100 |  |

Notations: $\mathbb{R}$ denotes the set of real number; $F$ is always a field, for example, $F=$ $\mathbb{R} ; M_{m \times n}(F)$ denotes the set of $m \times n$-matrices with entries in $F ; F^{n}=M_{n \times 1}(F)$ denotes the set of $n$-column vectors; $P_{n}(F)$ denotes the set of polynomials with coefficients in $F$ and the most degree $n$, that is,

$$
P_{n}(F)=\left\{f(x)=a_{n} x^{n}+a_{n-1} x^{n-1}+\cdots+a_{1} x+a_{0}, \quad a_{i} \in F, \forall i\right\} .
$$

Given $A \in M_{m \times n}(F)$, then the linear transformation $L_{A}: F^{n} \rightarrow F^{m}$ is defined via $L_{A}(x)=A x$ for any $x \in F^{n}$.

1. The following are true/false questions. You don't have to justify your answers. Just write down either T or F in the table below. (3 points each)
(a) Let $W_{1}, W_{2}$ be subspaces of a vector space $V$, then $W_{1} \cup W_{2}$ is a subspace of $V$.
(b) Let $T: V \rightarrow W$ be a linear transformation then

$$
\operatorname{nullity}(T)+\operatorname{rank}(T)=\operatorname{dim}_{F} W
$$

(c) Let $A, B$ be $n \times n$-matrices. Then $A B$ is invertible if and only if both $A$ and $B$ are invertible.
(d) Let $A \in M_{n \times n}(F)$ be a square matrix. Consider the linear transformation $L_{A}: F^{n} \rightarrow F^{n}$ via $L_{A}(x)=A x$. Then for any basis $\beta$ of $F^{n}$, we have $[T]_{\beta}=A$.
(e) If rows of a matrix $A$ are linearly independent then so are the columns.

|  | (a) | (b) | (c) | (d) | (e) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Answer | F | F | T | F | F |

2. Multiple Choice. (4 points each)
(i) Suppose that $A$ is an $m \times n$ matrix with entries in $\mathbb{R}$ and consider a system of linear equations $A x=b$ over the field $\mathbb{R}$. Which of the following statement is correct?
(a) If $\operatorname{rank}(A)=m$ and $n>m$ then the system $A x=b$ has infinitely many solutions.
(b) If $N(A)=\{0\}$, then $m \leq n$.
(c) If $\operatorname{rank}(A)=n$, then $A x=b$ has a unique solution.
(d) If $\operatorname{rank}(A)=m$ and $n \geq m$, then $A x=b$ has at least one solution.
(e) If $\operatorname{rank}(A)=m$ and $n=m$, then $A x=b$ could have no solution.

The correct answer is (a) (d)
(ii) Suppose $V$ is a vector space over $F$ with $\operatorname{dim}_{F} V=5$. Which of the following statement is NOT correct.
(a) Any set of 6 vectors in $V$ spans $V$.
(b) Any set of 6 vectors in $V$ is linearly dependent.
(c) $V$ is isomorphic to $F^{5}$.
(d) If a set $S$ of vectors in $V$ is linearly independent then there are at most 5 vectors in $S$.
(e) Suppose $S=\left\{v_{1}, v_{2}, v_{3}, v_{4}, v_{5}\right\}$ spans $V$ then $S$ forms a basis of $V$.

The correct answer is (a)
(iii) Let $T: \mathbb{R}^{2} \rightarrow \mathbb{R}^{3}$ be a linear transformation so that $T\binom{1}{1}=\left(\begin{array}{l}1 \\ 0 \\ 2\end{array}\right)$ and $T\binom{2}{3}=\left(\begin{array}{c}1 \\ -1 \\ 4\end{array}\right)$. Which of the following statement is FALSE?
(a) $T$ is one-to-one.
(b) Such a linear transformation $T$ is unique.
(c) The standard matrix representing $T$ (i.e., the matrix representing $T$ for the standard bases of $\mathbb{R}^{2}$ and $\left.\mathbb{R}^{3}\right)$ is $\left(\begin{array}{lll}1 & -1 & 2 \\ 2 & -2 & 4\end{array}\right)$.
(d) $\operatorname{rank}(T)=2$.
(e) $T\binom{-1}{-2}=\left(\begin{array}{c}0 \\ 1 \\ -2\end{array}\right)$.

The correct answer is (c)
(iv) Let $A \in M_{n \times n}(F)$ be a square matrix. Which of the following statement is NOT equivalent to the statement that $A$ is invertible.
(a) The linear transformation $L_{A}: F^{n} \rightarrow F^{n}$ given by $L_{A}(x)=A x$ is an isomorphism.
(b) The system of linear equation $A X=b$ has a unique solution.
(c) The system of linear equation $A X=b$ has a solution.
(d) $A$ is a product of elementary matrices.
(e) Rows of $A$ are linearly independent.

The correct answer is (c)
3. Let $V=M_{2 \times 2}(\mathbb{R})$ and $v_{1}=\left(\begin{array}{ll}1 & 1 \\ 0 & 0\end{array}\right), v_{2}=\left(\begin{array}{cc}0 & 1 \\ 2 & -1\end{array}\right), v_{3}=\left(\begin{array}{ll}1 & 2 \\ 3 & 1\end{array}\right)$.
(a) Show that $v_{1}, v_{2}, v_{3}$ are linearly independent. (7 points)
(b) Find $v_{4}$ so that $S=\left\{v_{1}, v_{2}, v_{3}, v_{4}\right\}$ forms a basis of $V$. (8 points)

## Solutions

(a) Consider equation $x_{1} v_{1}+x_{2} v_{2}+x_{3} v_{3}=0$. Then we have the following system of linear equations

$$
\left(\begin{array}{ccc}
1 & 0 & 1 \\
1 & 1 & 2 \\
0 & 2 & 3 \\
0 & -1 & 1
\end{array}\right)\left(\begin{array}{l}
x_{1} \\
x_{2} \\
x_{3}
\end{array}\right)=\left(\begin{array}{l}
0 \\
0 \\
0 \\
0
\end{array}\right)
$$

Using elementary (row) operations to simplify the above system, we have

$$
\left(\begin{array}{lll}
1 & 0 & 1 \\
0 & 1 & 1 \\
0 & 0 & 1 \\
0 & 0 & 0
\end{array}\right)\left(\begin{array}{l}
x_{1} \\
x_{2} \\
x_{3}
\end{array}\right)=\left(\begin{array}{l}
0 \\
0 \\
0 \\
0
\end{array}\right)
$$

So it is clear the system only has solution $x_{1}=x_{2}=x_{3}=0$. So $v_{1}, v_{2}, v_{3}$ are linearly independent.
(b) Suppose that $v_{4}=\left(\begin{array}{ll}a & b \\ c & d\end{array}\right)$ and consider the equation $x_{1} v_{1}+x_{2} v_{2}+$ $x_{3} v_{3}+x_{3} v_{4}=0$. We get the equation

$$
\left(\begin{array}{cccc}
1 & 0 & 1 & a \\
1 & 1 & 2 & b \\
0 & 2 & 3 & c \\
0 & -1 & 1 & d
\end{array}\right)\left(\begin{array}{l}
x_{1} \\
x_{2} \\
x_{3} \\
x_{4}
\end{array}\right)=\left(\begin{array}{l}
0 \\
0 \\
0 \\
0
\end{array}\right)
$$

Using elementary (row) operations to simplify the above system, we have

$$
\left(\begin{array}{cccc}
1 & 0 & 1 & a \\
0 & 1 & 1 & b-a \\
0 & 0 & 1 & c-2(b-a) \\
0 & 0 & 0 & d-2 c+5(b-a)
\end{array}\right)\left(\begin{array}{l}
x_{1} \\
x_{2} \\
x_{3} \\
x_{4}
\end{array}\right)=\left(\begin{array}{l}
0 \\
0 \\
0 \\
0
\end{array}\right)
$$

So it is clear that the system only has zero solution if an only if

$$
d-2 c+5(b-a) \neq 0
$$

For example, $v_{4}=\left(\begin{array}{ll}0 & 0 \\ 0 & 1\end{array}\right)$.
4. Let $T: P_{2}(\mathbb{R}) \rightarrow P_{2}(\mathbb{R})$ be a linear transformation given by $T(f(x))=f^{\prime}(x)$. (5 points)
(a) Find the standard matrix representing $T$, that is, the matrix represent$\operatorname{ing} T$ for the standard basis $\left\{1, x, x^{2}\right\}$. (5 points)
(b) Find nullity of $T$ and the rank of $T$. ( 5 points)
(c) Given a basis $\beta=\left\{1-x, 1+x, x^{2}+x\right\}$. Find matrix $[T]_{\beta}$. (5 points)

## Solutions:

(a) We have

$$
T\left(1, x, x^{2}\right)=\left(0,1,2 x^{2}\right)=\left(1, x, x^{2}\right)\left(\begin{array}{lll}
0 & 1 & 0 \\
0 & 0 & 2 \\
0 & 0 & 0
\end{array}\right)
$$

So the standard matrix of $T$ is $\left(\begin{array}{lll}0 & 1 & 0 \\ 0 & 0 & 2 \\ 0 & 0 & 0\end{array}\right)$.
(b) It is clear that the range $R(T)$ of $T$ is spanned by $T(1), T(x)$, and $T\left(x^{2}\right)$. Since 1 and $2 x$ are linearly independent, they forms of basis of $R(T)$ and hence $\operatorname{dim}_{\mathbb{R}} R(T)=\operatorname{rank}(T)=2$. By dimension theorem, the nullity of $T$ is $\operatorname{dim}_{\mathbb{R}} P_{2}(\mathbb{R})-\operatorname{rank}(T)=3-2=1$.
(c) Let $\alpha=\left\{1, x, x^{2}\right\}$ be the standard basis and $Q=\left[I_{P_{2}(\mathbb{R})}\right]_{\alpha}^{\beta}$. Since $\left(1-x, 1+x, x^{2}+x\right)=\left(1, x x^{2}\right)\left(\begin{array}{ccc}1 & 1 & 0 \\ -1 & 1 & 1 \\ 0 & 0 & 1\end{array}\right)$ So we conclude that $Q=\left(\begin{array}{ccc}1 & 1 & 0 \\ -1 & 1 & 1 \\ 0 & 0 & 1\end{array}\right)$.
Then

$$
[T]_{\beta}=\left(\begin{array}{ccc}
1 & 1 & 0 \\
-1 & 1 & 1 \\
0 & 0 & 1
\end{array}\right)^{-1}\left(\begin{array}{lll}
0 & 1 & 0 \\
0 & 0 & 2 \\
0 & 0 & 0
\end{array}\right)\left(\begin{array}{ccc}
1 & 1 & 0 \\
-1 & 1 & 1 \\
0 & 0 & 1
\end{array}\right)=\left(\begin{array}{ccc}
-\frac{1}{2} & \frac{1}{2} & -\frac{1}{2} \\
-\frac{1}{2} & \frac{1}{2} & \frac{3}{2} \\
0 & 0 & 0
\end{array}\right)
$$

5. Let $A \in M_{m \times n}(F)$ be an $m \times n$-matrix and $B \in M_{n \times p}(F)$ be $n \times p$-matrix.
(a) Show that $L_{A B}=L_{A} L_{B}$ as linear transformations from $F^{p}$ to $F^{m}$. (5 points)
(b) Show that $R\left(L_{A B}\right) \subset R\left(L_{A}\right)$. (5 points)
(c) Show that $\operatorname{rank}(A B) \leq \operatorname{rank}(A)$. (5 points)
(d) Show that $\operatorname{rank}(A B) \leq \operatorname{rank}(B)$ (Hint: use the fact that $\operatorname{rank}\left(C^{t}\right)=$ $\operatorname{rank}(C)$ for any matrix $C)$. (6 points)

## Proof:

(a) For any $x \in F^{p}$, we have $L_{A B}(x)=(A B) x$ and

$$
\left(L_{A} L_{B}\right)(x)=L_{A}\left(L_{B}(x)\right)=L_{A}(B x)=A(B x)=(A B) x .
$$

So $L_{A B}=L_{A} L_{B}$.
(b) By definition of range, we have

$$
R\left(L_{A B}\right)=\left\{L_{A B}(x) \in F^{m} \mid x \in F^{p}\right\} .
$$

Since $L_{A B}=L_{A} L_{B}$ by (a), we have

$$
L_{A B}(x)=\left(L_{A} L_{B}\right)(x)=L_{A}\left(L_{B}(x)\right) \in R\left(L_{A}\right) .
$$

Hence $R\left(L_{A B}\right) \subset R\left(L_{A}\right)$.
(c) By the definition of rank, we have $\operatorname{rank}(A B)=\operatorname{dim}_{F}\left(R\left(L_{A B}\right)\right)$ and $\operatorname{rank}(A)=\operatorname{dim}_{F} R\left(L_{A}\right)$. By (b), we have $R\left(L_{A B}\right) \subset R\left(L_{A}\right)$. So $\operatorname{dim}_{F} R\left(L_{A B}\right) \leq \operatorname{dim}_{F} R\left(L_{A}\right)$ and hence $\operatorname{rank}(A B) \leq \operatorname{rank}(A)$.
(d) Since $\operatorname{rank}(C)=\operatorname{rank}\left(C^{t}\right)$, we have $\operatorname{rank}(A B)=\operatorname{rank}\left((A B)^{t}\right)=\operatorname{rank}\left(B^{t} A^{t}\right)$. By (c), we have $\operatorname{rank}\left(B^{t} A^{t}\right) \leq \operatorname{rank}\left(B^{t}\right)=\operatorname{rank}(B)$. Therefore, $\operatorname{rank}(A B) \leq$ $\operatorname{rank}(B)$.
6. Let $V, W$ be vector spaces over a field $F$ and $T: V \rightarrow W$ a linear transformation. Let $v_{1}, \ldots, v_{n} \in V$ be vectors in $V$.
(a) Suppose that $T$ is one-to-one and $\left\{v_{1}, \ldots, v_{n}\right\}$ are linearly independent. Show that $\left\{T\left(v_{1}\right), \ldots, T\left(v_{n}\right)\right\}$ are also linearly independent. (6 points)
(b) Suppose that $T$ is onto and $\left\{v_{1}, \ldots, v_{n}\right\}$ spans $V$. Show that $\left\{T\left(v_{1}\right), \ldots, T\left(v_{n}\right)\right\}$ spans $W$. (6 points)
(c) Suppose that $T$ is an isomorphism and $\left\{v_{1}, \ldots, v_{n}\right\}$ is a basis of $V$. Show that $\left\{T\left(v_{1}\right), \ldots, T\left(v_{n}\right)\right\}$ is a basis of $W$. (6 points)

## Proof:

(a) To show that $\left\{T\left(v_{1}\right), \ldots, T\left(v_{n}\right)\right\}$ is linearly independent, we consider vector equation: $x_{1} T\left(v_{1}\right)+\cdots+x_{n} T\left(v_{n}\right)=\overrightarrow{0}$. It suffices to show that $x_{1}=\cdots x_{n}=0$. Note that $T$ is linear, we have $T\left(\sum_{i=1}^{n} x_{i} v_{i}\right)=\overrightarrow{0}$. This means that $\sum_{i=1}^{n} x_{i} v_{i}$ is in $N(T)$. Since $T$ is one-to-one, which is equivalent to that $N(T)=\{\overrightarrow{0}\}$. So

$$
\sum_{i=1}^{n} x_{i} v_{i}=\overrightarrow{0}
$$

But $\left\{v_{1}, \ldots, v_{n}\right\}$ is linearly independent, this forces $x_{1}=\ldots x_{n}=0$.
(b) It suffices to show that for any $w \in W, w$ is a linear combination of $\left\{T\left(v_{1}\right), \ldots, T\left(v_{n}\right)\right\}$. Since $T$ is onto, that is, $R(T)=W$, then there exists a $v \in V$ such that $T(v)=w$. Since $\left\{v_{1}, \ldots, v_{n}\right\}$ spans $V$, we have $v=\sum_{i=1}^{n} a_{i} v_{i}$ for some $a_{i} \in F$. Hence

$$
w=T(v)=T\left(\sum_{i=1}^{n} a_{i} v_{i}\right)=\sum_{i=1}^{n} a_{i} T\left(v_{i}\right)
$$

That is, $w$ is a linear combination of $\left\{T\left(v_{1}\right), \ldots, T\left(v_{n}\right)\right\}$.
(c) $T$ is isomorphism if and only if $T$ is one-to-one and onto. By (a) and (b), we see that $\left\{T\left(v_{1}\right), \ldots, T\left(v_{n}\right)\right\}$ is linearly independent and spans $W$. So it is a basis of $W$.

