

# Poincaré duality

①

Def An **orientation** on an  $n$ -dim'l  $\mathbb{R}$ -vector space  $V$  is a choice of a connected component of  $\Lambda^n V \setminus \{0\}$ . An ordered basis  $v_1, \dots, v_n$  is **positively oriented** if  $v_1 \wedge \dots \wedge v_n$  is in the given component.

Observe that an orientation is determined by a nonzero form  $\omega: \Lambda^n V \rightarrow \mathbb{R}$ . Namely,  $\omega^{-1}(\mathbb{R}_+)$  gives an orientation. With this in mind:

Def An  $n$ -dim'l  $C^\infty$  manifold  $X$  is **orientable** if there exists a nowhere zero  $n$ -form  $\omega$  on  $X$ .

Two such forms  $\omega_1, \omega_2$  determine the same orientation if  $\omega_2 = f \omega_1$ , where  $f > 0$  everywhere.

(2)

Ex A complex manifold  $X$  is orientable. If  $z_1 = x_1 + iy_1, \dots, z_n = x_n + iy_n$  are holomorphic coordinates,  $\omega = f dx_1 \wedge dy_1 \wedge dx_2 \wedge \dots$  with  $f > 0$ , gives an orientation.

Ex The Möbius strip, etc. are well known to be nonorientable.

Reminders Let  $\Sigma^p(X) = \text{space of } p\text{-forms}$ ,

We have operators

$$d: \Sigma^p(X) \rightarrow \Sigma^{p+1}(X)$$

Such that  $d^2 = 0$ . We define

de Rham cohomology

$$H_{dR}^p(X) = \underbrace{\ker d: \Sigma^p(X) \rightarrow \Sigma^{p+1}(X)}_{\text{im } d: \Sigma^{p-1}(X) \rightarrow \Sigma^p(X)}$$

Recall de Rham's thm:

$$H_{dR}^p(X) \cong \underbrace{H^p(X, \mathbb{R}_X)}_{\text{sheaf cohomology}}$$

(3)

We have a strong form

Thm (multiplication de Rham:  $H^k$ )

Under the previous isomorphism, cup product on the right, corresponds to  $\wedge$  on the left.

The final thing we need to recall is integration on manifolds. If

$X$  is a compact oriented manifold.

Then there is an operation

$$\int_X : \Sigma^n(X) \rightarrow \mathbb{R}$$

such that Stokes' theorem holds

i.e. 
$$\int_X d\alpha = 0$$

[ Our manifolds don't have a boundary which is why we get 0 ]

(4)

Thm (Poincaré duality 7)

If  $X$  is a compact connected-orientable  $n$  dimensional manifold,  
then we have an isomorphism

$$H_{dR}^n(X) \cong \mathbb{R}$$

given by  $\alpha \mapsto \int_X \alpha$ .

For any  $i$ ,

$$H_{dR}^i(X) \times H_{dR}^{n-i}(X) \rightarrow \mathbb{R}$$

$$(\alpha, \beta) \longmapsto \int_X \alpha \wedge \beta$$

gives a non degenerate pairing.

Hence

$$H_{dR}^{n-i}(X) \cong H_{dR}^i(X)^*$$

$$(\cong H_{dR}^i(X) \text{ noncanonically})$$

Ex Let  $X = \mathbb{R}^n / \mathbb{Z}^n \hookrightarrow \mathbb{R}^n$

(5)

$n$ -torus. Then

$$H^i(X) \cong \wedge^i \mathbb{R}^n$$

Numerically, Poincaré reduces to

the well known identity  $\binom{n}{i} = \binom{n}{n-i}$ .

## 2 Proof of Poincaré

Given a possibly noncompact manifold  $X$ , let

$$\Sigma_c^p(X) = \{ \alpha \in \Sigma^p(X) \mid \alpha \text{ has compact support} \}.$$

$$\text{Clearly } d(\Sigma_c^p(X)) \subset \Sigma_c^{p+1}(X)$$

So  $\Sigma_c^\bullet(X) \subset \Sigma^\bullet(X)$  is a subcomplex

Define compactly supported de Rham

6

cohomology  $h_2$

$$H_{\text{cdR}}^p(X) = H^p(\Sigma_a^0(X), \mathbb{R})$$

The one computation we need is

$$\underline{\text{Thm}} \quad H_{\text{cdR}}^p(\mathbb{R}^n) = \begin{cases} \mathbb{R} & \text{if } p = n \\ 0 & \text{otherwise} \end{cases}$$

(See Spivak's D. Geom vol I  
or ...)

We'll prove a statement both  
weaker and stronger than before

Thm If  $X$  is orientable connected  
 $n$ -manifold, then.

$$H_{\text{cdR}}^{n-i}(X) \cong H_{\text{dR}}^i(X)^*$$

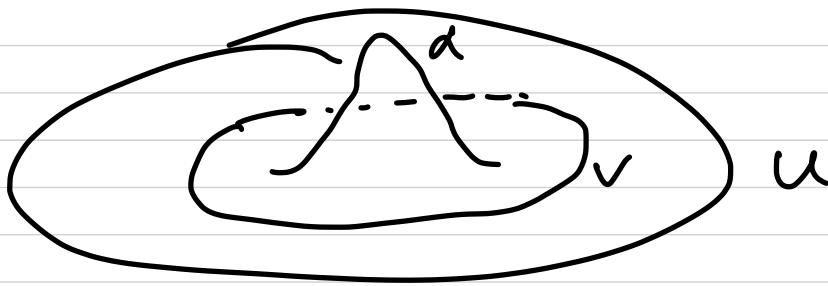
(7)

Define  $e^p(U) = \text{Hom}_{\mathbb{R}}(\Sigma_c^p(U), \mathbb{R})$

If  $U \supseteq V$ , and  $\beta \in \mathcal{E}^p(U)$

$\alpha \in \Sigma_c^p(V)$ , define

$$\beta|_V(\alpha) = \beta(\alpha \text{ extend by } 0 \text{ to } U)$$



This makes  $\mathcal{E}^p$  a presheaf.

In fact

lemma  $\mathcal{E}^p$  is a sheaf.

(8)

Define  $\delta: \mathcal{C}^p \rightarrow \mathcal{C}^{p+1}$

$$\text{by } (\delta\beta)(\alpha) = \sum_c^{p+1} (-1)^c \beta(d\alpha)$$

$\uparrow$   $\underbrace{\hspace{10em}}$   
 $\mathcal{C}^{p+1}(c)$  can ignore

Then  $\delta^2 = 0$ , so we have a complex of sheaves

$$\text{Def } \mathbb{R}_x \rightarrow \mathcal{C}^0 \\ 1 \mapsto \int_x$$

Putting previous results together shows

Lemma

$$\text{on } \mathbb{R}_x \rightarrow \mathcal{C}^0 \xrightarrow{\delta} \mathcal{C}^1 \xrightarrow{\delta} \dots$$

is a soft resolution.



p f of Poincaré duality (2nd version)

On the one hand

$$H^p(X, \mathbb{R}_x) \cong H_{dR}^p(X)$$

On the other hand

$$H^p(X, \mathbb{R}) = H^p(\Sigma^{\bullet}(X)) \cong H_{cDR}^{n-p}(X)^*$$



### 3 Riemann Surfaces

Prop If  $X$  is a compact (always connected) Riemann surface, then

$b_1(X) = \dim H^1(X, \mathbb{R})$  is even.

$b_0(X) = b_2(X) = 1$ , and all other

Betti numbers are zero

(10)

proof The pairing  
 $\langle \alpha, \beta \rangle = \int_X \alpha \wedge \beta$

$$H^1(X) \times H^1(X) \rightarrow \mathbb{R}$$

is nondegenerate by Poincaré duality and skew symmetric.

So now use

Lemma If  $A$  is an  $n \times n$  skew symmetric matrix, with  $n$  odd, then  $\det(A) = 0$ .

$$H^0(X) = \{f \in C^\infty(X) \mid df = 0\} = \mathbb{R}$$

all other values follow from Poincaré //

Def The genus of a compact R.S.  $X$  is  $g = \frac{1}{2} \dim H^1(X)$

e.g.  $g = 2$  for



A useful observation is

Cor. (of th) The Euler characteristic

$$e(X) = \sum (-1)^i h_i(X) = 2 - 2g$$

Next we want to compute some holomorphic invariants

Let  $\mathcal{O}_X$  = sheaf of holomorphic fct.

$$C \subset C^\infty(U) \otimes_{\mathbb{R}} \mathbb{C} =: \Sigma_{\mathbb{C}}^\infty(U)$$

Give a local holomorphic coordinate  $z$  on  $U$ , let

$$x = \operatorname{Re} z \quad \text{and} \quad y = \operatorname{Im} z.$$

$$dz = dx + i dy \in \Sigma_{\mathbb{C}}^1(U) := \Sigma^1(U) \otimes_{\mathbb{R}} \mathbb{C}$$

and  $d\bar{z} = dx - i dy \in \Sigma_{\mathbb{C}}^1(U)$

$$\Sigma^{1,0}(U) = C_{\mathbb{C}}^\infty(U) \cdot dz; \quad \Sigma^{0,1}(U) = C_{\mathbb{C}}^\infty(U) \cdot d\bar{z}$$

We extend  $d: C_{X,\mathbb{C}}^\infty \rightarrow \Sigma_{X,\mathbb{C}}^1$

$\mathbb{C}$ -linearly. We split

$$d = \partial + \bar{\partial}, \quad \text{where}$$

$$\partial f = \frac{1}{2} \left( \frac{\partial f}{\partial x} - i \frac{\partial f}{\partial y} \right) dz \in \Sigma^{1,0}$$

$$\bar{\partial} f = \frac{1}{2} \left( \frac{\partial f}{\partial x} + i \frac{\partial f}{\partial y} \right) d\bar{z} \in \Sigma^{0,1}$$

Prop  $f$  is holomorphic  $\Leftrightarrow \bar{\partial} f = 0$

pf This follows from the Cauchy-Riemann eq. //

lemma  $d(\mathcal{O}_x) \subset \Sigma_x^1$

pf Obvious. //

We define  $\partial: \Sigma^{1,0} \rightarrow \Sigma^2$ ,  $\bar{\partial}: \Sigma^{0,1} \rightarrow \Sigma^2$

by

$$\bar{\partial}(f dz) = (\bar{\partial} f) \wedge dz$$

$$\partial(f d\bar{z}) = (\partial f) \wedge d\bar{z}$$

We again have

$$d = \partial + \bar{\partial}$$

We note  $\Sigma^2 = C^{\infty} \cdot dz \wedge d\bar{z} =: \Sigma''$

Thm If  $D \subset \mathbb{C}$  is an open disc,

$$\mathcal{O}(D) \xrightarrow{d} \Sigma_x^1(D)$$

is surjective.

(13)

Suppose  $\alpha \in \Omega^1(D)$ , then

$$d\alpha = (\partial + \bar{\partial})\alpha = \bar{\partial}\alpha = 0$$

Therefore

$$\alpha = df$$

by the Poincaré lemma

However, we must have  $\bar{\partial}f = 0$ .

$$\text{Therefore } f \in \mathcal{O}(D) //$$

Cor We have an exact sequence

$$0 \rightarrow \mathcal{O}_x \rightarrow \Theta_x \xrightarrow{d} \Omega^1_x \rightarrow 0$$

It follows that we get an exact seq.

$$0 \rightarrow \underbrace{H^0(x, \mathcal{O}_x)}_{\mathbb{C}} \rightarrow \underbrace{H^0(x, \Theta_x)}_{\mathbb{C}} \xrightarrow{d}$$

$$\rightarrow H^0(x, \Omega^1_x) \rightarrow H^1(x, \mathbb{C}) \rightarrow H^1(x, \Theta_x) \xrightarrow{d}$$

$$\rightarrow H^1(x, \Omega^1_x) \rightarrow \underbrace{H^2(x, \mathbb{C})}_{\mathbb{C} \oplus \mathbb{C}}$$

(14)

Since  $H^0(X, \mathcal{O}_X) = \mathbb{C}$ , it follows  
that the first map labelled  $d$  is zero.  
The second map labelled  $d$  is  
also zero by,

Thm  $H^1(X, \Omega'_X) \cong \mathbb{C}$

It follows that

Prop There is a short exact seq.

$$0 \rightarrow H^0(X, \Omega'_X) \rightarrow H^1(X, \mathbb{C}) \rightarrow H^1(X, \mathcal{O}_X) \rightarrow 0$$

Cor  $b_1(X) = \dim H^0(\Omega'_X) + \dim H^1(\mathcal{O}_X)$

## 4 Serre duality

Thm (Serre duality) Let  $X$  be a compact Riemann surface, and  $L$  be a line bundle on  $X$ , cup product gives a nondegenerate pairing

$$H^1(X, L) \times H^0(X, \Omega_X^1 \otimes L^*) \rightarrow H^1(X, \Omega_X^1) \cong \mathbb{C}$$

Therefore, there is a natural isomorphism

$$H^1(X, L) \cong H^0(X, \Omega_X^1 \otimes L^*)^*$$

Cor The genus

$$g(X) = \dim H^0(X, \Omega_X^1) = \dim H^1(X, \mathcal{O}_X)$$

pf Serre implies

$$\dim H^0(X, \Omega^1) = \dim H^1(X, \mathcal{O}_X)$$

The result follows from this plus the previous cor.

$$2g = \dim H^0(\Omega_X^1) + \dim H^1(\mathcal{O}_X)$$

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## § Harmonic Forms

We will say a few words about proofs. First, we recall that holomorphic functions and harmonic functions are closely related. This can be explained by noting that

$$\partial \bar{\partial} f = \frac{1}{4} \underbrace{\left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right)}_{\Delta} f \, dz \wedge d\bar{z}$$

$$\bar{\partial} \partial f = -\partial \bar{\partial} f$$

Therefore

$f$  holomorphic  $\Rightarrow f \& \bar{f}$  harmonic

$\Rightarrow \operatorname{Re} f$  harmonic

Conversely it is known that a real valued harmonic function is the real part of a holomorphic function locally.

This suggests



Def A 1-form  $\alpha$  on R.S. is **harmonic** if it's a linear combination of holomorphic 1-form and the complex conjugate of a holomorphic 1-form (= antiholomorphic 1-form).

Remark This isn't the usual def, but it's equivalent

Lemma A harmonic 1-form is closed i.e. it satisfies  $d\alpha = 0$ .

pf when  $\alpha$  is holomorphic

$$d\alpha = \bar{\partial}\alpha = 0$$

likewise for the antiholomorphic case //

"Hodge" Thm (Weyl) when  $X$  is a compact R.S.

every class in  $H^1(X, \mathbb{C})$  has a unique harmonic representation.

Historical Note Hodge proved the analogous thm in higher dims.