# PROOF OF A CONDITIONAL THEOREM OF LITTLEWOOD ON THE DISTRIBUTION OF VALUES OF ENTIRE FUNCTIONS 

 UDC 517.53A. È. ERËMENKO AND M. L. SODIN


#### Abstract

It is proved that for any entire function $f$ of finite nonzero order there is a set $S$ in the plane with density zero and such that for any $a \in \mathbf{C}$ almost all the roots of the equation $f(z)=a$ belong to $S$. This assertion was deduced by Littlewood from an unproved conjecture about an estimate of the spherical derivative of a polynomial. This conjecture is proved here in a weakened form.

Bibliography: 11 titles.


## §1. Introduction

For a meromorphic function $f$, denote by $\rho_{f}$ its spherical derivative,

$$
\rho_{f}=\left|f^{\prime}\right| /\left(1+|f|^{2}\right)
$$

Let $D(z, R)=\{w \in \mathbf{C}:|w-z|<R\}$ and $D(R)=D(0, R)$, and let $m_{2}$ be Lebesgue measure on the plane $\mathbf{C}$. In [1] Littlewood considered the quantities

$$
\varphi(n)=\sup _{P} \iint_{D(1)} \rho_{P} d m_{2}=\sup _{P} \sup _{r>0} \frac{1}{r} \iint_{D(r)} \rho_{P} d m_{2}
$$

Here the supremum is over all polynomials $P$ of degree $n, n=1,2, \cdots$. The analogous quantities for rational functions will be denoted by $\psi(n)$. It follows from the SchwartzBunyakovskiĭ inequality that

$$
\psi(n) \leq\left\{\iint_{D(1)} d m_{2} \cdot \sup _{f} \iint_{D(1)} \rho_{f}^{2} \iint_{D(1)} \rho_{f}^{2} d m_{2}\right\}^{1 / 2}
$$

The second integral is none other than the spherical area (with multiplicity counted of the image of the disk $D(1)$ under the action of a rational function of degree $n$. Thus, this integral does not exceed $\pi n$, and we get that

$$
\begin{equation*}
\varphi(n) \leq \psi(n) \leq \pi \sqrt{n} \tag{1.1}
\end{equation*}
$$

The best-known lower estimates were obtained by Hayman [2]: $\psi(n) \geq A_{1} \sqrt{n}$ and $\varphi(n) \geq$ $A_{2} \log n$. Here $A_{1}$ and $A_{2}$ are absolute constants. Thus, inequality (1.1) gives the correct order of $\psi(n)$. Up to the present time it was not known whether estimate (1.1) can be

[^0]strengthened for $\varphi(n)$. It was conjectured in [1] that there are absolute constants $A$ and $\alpha$ such that
\[

$$
\begin{equation*}
\varphi(n) \leq A n^{1 / 2-\alpha} \tag{1.2}
\end{equation*}
$$

\]

Littlewood deduced [1] the following remarkable consequence of this conjecture: For any entire function $f$ of finite nonzero order there exists a small portion $S$ of the plane such that for any $a \in \mathbf{C}$ the roots of the equation $f(z)=a$ belong to $S$ with a negligible exception. For example, for $f(z)=e^{z}$ we can take $S=\left\{x+i y:|y|>x^{2}\right\}$. Then the set $S$ has zero density, i.e.,

$$
m_{2}(S \cap D(r))=o\left(r^{2}\right), \quad r \rightarrow \infty
$$

and for any $a$ all the roots of the equation $e^{z}=a$ fall in $S$ with finitely many exceptions.
In this article we prove this corollary (Theorem 2) and get the estimate $\varphi(n)=o(\sqrt{n})$, $n \rightarrow \infty$ (Theorem 1). Theorems 1 and 2 are contained in $\S \xi 3$ and 4, respectively. The proofs of both theorems use two lemmas from potential theory which are contained in §2. These lemmas may also be of independent interest.

## §2

LEmma 1. Suppose that $u \geq 0$ is a subharmonic function on a domain $G \subset \mathbf{C}$, $\mu=\mu_{u}$ is the associated measure in the Riesz sense, and $N=\{z \in G: u(z)=0\}$. Then there exist Borel sets $E$ and $L$ such that $N=E \cup L, m_{2} L=0$, and $\mu E=0$.

Proof Assume that $G$ and $u$ are bounded. The transition to the general case does not involve difficulties. We take $E$ to be the set of points of density of $N$ which belong to $N$. Let $L=N \backslash E$. By Lebesgue's theorem (see, for example, [3]), $m_{2} L=0$.

We show that $\mu E=0$. Fix a point $z_{0} \in E$ and an arbitrarily small number $\varepsilon>0$. Let $r_{0}, 0<r_{0}<\varepsilon$, be such that $D\left(z_{0}, r_{0}\right) \subset G$ and

$$
m_{2}\left((G \backslash N) \cap D\left(z_{0}, r\right)\right)<\delta r^{2}, \quad r<r_{0}
$$

where $\delta=\left(4^{4} \cdot 3 \cdot 5 \log 2\right)^{-1}$. Denote by $\theta(r)$ the angular measure of the set $(G \backslash N) \cap$ $\partial D\left(z_{0}, r_{0}\right)$. We show that on each interval $[r, 2 r] \subset\left(0, r_{0}\right]$ there is a point $r^{*}$ such that $\theta\left(r^{*}\right) \leq \eta=4^{-4} \cdot 3^{-1}$. Indeed, if $\theta\left(r^{*}\right) \geq \eta, r \leq r^{*} \leq 2 r$, then, by the SchwarzBunyakovskiĭ inequality,

$$
\begin{aligned}
r^{2} & =\left(\int_{r}^{2 r} d t\right)^{2} \leq \int_{r}^{2 r} t \theta(t) d t \cdot \int_{r}^{2 r} \frac{d t}{t \theta(t)} \\
& \leq m_{2}\left((G \backslash N) \cap D\left(z_{0}, 2 r\right)\right) \eta^{-1} \log 2 \leq 4 \delta r^{2} \eta^{-1} \log 2=\frac{4}{5} r^{2}
\end{aligned}
$$

a contradiction.
Thus, on each interval $[r, 2 r] \subset\left(0, r_{0}\right]$ there is a point $r^{*}$ such that $\theta\left(r^{*}\right)<\eta$. Therefore, there exists a sequence ( $r_{k}$ ) with the following properties:

$$
\begin{gather*}
1 / 4 \leq r_{k} / r_{k-1} \leq 1 / 2, \quad k=1,2, \ldots,  \tag{2.1}\\
\theta\left(r_{k}\right)<\eta, \quad k=1,2, \ldots \tag{2.2}
\end{gather*}
$$

Let $M=\sup \{u(z): z \in G\}$ and $M_{k}=\sup \left\{u(z):\left|z-z_{0}\right|=r_{k}\right\}, k=0,1,2, \cdots$. Using the Poisson formula, we get by (2.2) that

$$
\begin{aligned}
M_{k+1} & \leq \frac{r_{k}+r_{k+1}}{r_{k}-r_{k+1}} \int_{0}^{2 \pi} u\left(z_{0}+r_{k} e^{i \theta}\right) d \theta \\
& \leq 3 M_{k} \theta\left(r_{k}\right) \leq 3 \eta M_{k} \leq(3 \eta)^{k+1} M_{0} \leq(3 \eta)^{k+1} M
\end{aligned}
$$

It follows from (2.1) that $4^{-k-1} \leq r_{k+1} / r_{0}$; therefore,

$$
\begin{equation*}
M_{k+1} \leq\left(3 \cdot 4^{4} \eta\right)^{k+1}\left(r_{k+1} / r_{0}\right)^{4} M=\left(r_{k+1} / r_{0}\right)^{4} M \leq r_{k+1}^{3} \tag{2.3}
\end{equation*}
$$

for sufficiently large $k$.
Let $n(t)=\mu D\left(z_{0}, t\right)$. It follows from the Poisson-Jensen formula, the fact that $u\left(z_{0}\right)=$ 0 , and (2.3) that for sufficiently large $k$

$$
n\left(r_{k} / e\right) \leq \int_{r_{k} / e}^{r_{k}} \frac{n(t)}{t} d t \leq \frac{1}{2 \pi} \int_{0}^{2 \pi} u\left(z_{0}+r_{k} e^{i \theta}\right) d \theta \leq M_{k} \leq r_{k}^{3}
$$

Thus, each point $z_{0} \in E$ can be included in a disk $D\left(z_{0}, R\left(z_{0}\right)\right)$ such that $\mu D\left(z_{0}, R\left(z_{0}\right)\right)$ $\leq e^{3}\left(R\left(z_{0}\right)\right)^{3}$, and $R\left(z_{0}\right) \leq \varepsilon e^{-3}$ for all $z_{0} \in E$. According to a theorem on coverings (see, for example, [3], p. 5), there exists a countable covering of $E$ by these disks with multiplicity at most six: $E \subset \bigcup_{k} D\left(z_{k}, R\left(z_{k}\right)\right)$. We have that

$$
\mu E \leq \sum_{k} \mu D\left(z_{k}, R\left(z_{k}\right)\right) \leq e^{3} \sum_{k}\left(R\left(z_{k}\right)\right)^{3} \leq \varepsilon \sum_{k}\left(R\left(z_{k}\right)\right)^{2} \leq \frac{6 \varepsilon}{\pi} m_{2} E .
$$

Since $\varepsilon>0$ is arbitrary, this leads us to conclude that $\mu E=0$, which is what was required to prove.

We show the connection between Lemma 1 and [4] [6]. Let $D$ be a domain having a Green's function, and assume that $\infty \in D$. Extending to $\mathbf{C} \backslash D$ the Green's function with a pole at $\infty$ by assigninig the value zero, we get a function $u \geq 0$ which is subharmonic in $\mathbf{C}$. The Riesz measure $\mu$ of this function coincides with the harmonic measure at $\infty$ with respect to $D$. In this case Lemma 1 becomes a result of Øksendal (see [4] and [5]) asserting that the harmonic measure $\mu$ and Lebesgue measure $m_{2}$ are mutually singular. If it is assumed in addition that $D$ is simply connected, then a stronger result is known [6]: $\mu$ is singular with respect to the Hausdorff measure $m_{1+\varepsilon}$ for any $\varepsilon>0$.

The following "stable" variant of Lemma 1 appears to be plausible.
CONJECTURE. There exist absolute constants $B>0$ and $\beta>0$ such that for any function $u$ subharmonic in $D(1)$ with $0<u<1$ and any $\varepsilon>0$

$$
\{z \in D(1): u(z) \leq \varepsilon\} \subset L_{\varepsilon} \cup E_{\varepsilon}
$$

where $m_{2} L_{\varepsilon}<B \varepsilon^{\beta}$ and $\mu E_{\varepsilon} \leq B \varepsilon^{\beta}$.
Simple examples show that this conjecture can be true only for $\beta \leq 1 / 2$. It is possible to deduce (1.2) with any $\alpha<1 / 2-\beta / 2$ from our conjecture by the method used below in proving Theorem 1.

To formulate the next lemma we require some definitions.
Fix a number $C>0$ and denote by $U$ the set of functions $u$ subharmonic in $D(2)$ with the properties $u(z) \leq C, z<2$, and $u(0) \geq-C$.

The following facts from potential theory can be found, for example, in [7] and [8]. The set $U$ is a compact subset of the space $L^{1}=L^{1}\left(D(2), d m_{2}\right)$. All the topological terms below relate to the topology of $L^{1}$ unless otherwise stated. The convergence $u_{n} \rightarrow u$ implies weak convergence of the associated measures in the Riesz sense: $\mu_{n} \rightarrow \mu$. This means that

$$
\iint_{D(2)} g d \mu_{n} \rightarrow \iint_{D(2)} g d \mu
$$

for each continuous function $g$ with compact support in $D(2)$. If $\mu_{n} \rightarrow \mu$, then $\varlimsup_{n \rightarrow \infty} \mu_{n} K \leq \mu K$ for each compact set $K \subset D(2)$.

The subset $U^{+} \subset U$ consisting of nonnegative functions is closed in $U$; hence it is compact.

Lemma 2. Suppose that $u \in U^{+}$and $\delta>0$. Then there exist a set $E \subset \overline{D(1)}$ and a number $\varepsilon>0$ with the following properties. For any function $v \in U$ in the ball $\|u-v\|<\varepsilon$

$$
\begin{align*}
\mu_{v} E & \leq \delta  \tag{2.4}\\
\{z \in \overline{D(1)}: v(z) & <\varepsilon\} \subset E \cup L_{v} \tag{2.5}
\end{align*}
$$

where

$$
\begin{equation*}
m_{2} L_{v} \leq \delta \tag{2.6}
\end{equation*}
$$

Proof. Applying Lemma 1 to the function $u$, we find a set $E$ (change its notation to $E^{*}$ ) and a set $L$ with the properties in Lemma 1 . Let

$$
M_{1}=L \cap \overline{D(1)}, \quad M_{2}=E^{*} \cap \overline{D(1)}, \quad M_{3}=\{z \in \overline{D(1)}: u(z)>0\}
$$

Choose a number $\varepsilon>0$ such that the closed set $K=\left\{z \in \overline{D(1)}: u(z) \geq 2 \varepsilon_{1}\right\} \subset M_{3}$ satisfies the condition

$$
\begin{equation*}
m_{2}\left(M_{3} \backslash K\right)<\delta / 4 \tag{2.7}
\end{equation*}
$$

Choose $E$ to be a compact subset of $M_{2}$ such that

$$
\begin{equation*}
m_{2}\left(M_{2} \backslash E\right)<\delta / 4 \tag{2.8}
\end{equation*}
$$

Since $\mu_{u} M_{2}=0$ and $E \subset M_{2}$,

$$
\begin{equation*}
\mu_{u} E=0 \tag{2.9}
\end{equation*}
$$

In view of (2.9) there exists an $\varepsilon_{2}>0$ such that if $v \in U$ and $\|u-v\| \leq \varepsilon_{2}$, then

$$
\begin{equation*}
\mu_{v} E<\delta \tag{2.10}
\end{equation*}
$$

Further, if $\|u-v\|<\varepsilon_{1} \delta / 4$ and $X_{v}=\left\{z \in \overline{D(1)}:|u(z)-v(z)|>\varepsilon_{1}\right\}$, then

$$
\begin{equation*}
m_{2} X_{v} \leq \frac{1}{\varepsilon_{1}} \iint_{X_{v}}|u-v| d m_{2} \leq \frac{1}{\varepsilon_{1}}\|u-v\|<\delta / 4 \tag{2.11}
\end{equation*}
$$

Let $\varepsilon=\min \left(\varepsilon_{1}, \varepsilon_{2}, \varepsilon_{1} \delta / 4\right)$. If $v \in U,\|u-v\| \leq \varepsilon$, then (2.4) holds because of (2.10). Moreover,

$$
\begin{gathered}
\{z \in \overline{D(1)}: v(z)<\varepsilon\} \subset(\overline{D(1)} \backslash K) \cup X_{v} \\
=X_{v} \cup\left(M_{3} \backslash K\right) \cup\left(M_{2} \backslash E\right) \cup M_{1} \cup E=: L_{v} \cup E,
\end{gathered}
$$

and, by (2.7), (2.8), and (2.11),

$$
m_{2} L_{v} \leq m_{2} X_{v}+m_{2}\left(M_{3} \backslash K\right)+m_{2}\left(M_{2} \backslash E\right)+m_{2} M_{1}<\delta
$$

Lemma 2 is proved.

## §3.

THEOREM 1. $\varphi(n)=o(\sqrt{n})$ as $n \rightarrow \infty$.
Proof. By the Schwarz-Bunyakovskiĭ inequality, for any measurable set $K \subset \overline{D(1)}$

$$
\begin{equation*}
\iint_{K} \rho_{j} d m_{2} \leq\left\{m_{2} K \cdot \iint_{K} \rho_{f}^{2} d m_{2}\right\}^{1 / 2} \tag{3.1}
\end{equation*}
$$

(cf. §1). If the theorem is false, then there exist arbitrarily large indices $n, n$ th-degree polynomials $P_{n}$, and a number $x>0$ such that

$$
\begin{equation*}
\iint_{D(1)} \rho_{P_{n}} d m_{2} \geq x \sqrt{n} \tag{3.2}
\end{equation*}
$$

We consider the sequence of nonnegative subharmonic functions

$$
\begin{aligned}
v_{n}(z)=\frac{1}{n} & \log \sqrt{1+\left|P_{n}(z)\right|^{2}}=\iint_{D(1)} \log |z-\xi| d \mu_{n}(\xi) \\
& +\iint_{|\xi| \geq 1} \log \left|1-\frac{z}{\xi}\right| d \mu_{n}(\xi)+C_{n}
\end{aligned}
$$

A direct computation shows that

$$
\begin{equation*}
\Delta v_{n}(z)=2 \rho_{P_{n}}^{2}(z) / n \tag{3.3}
\end{equation*}
$$

(see, for example, [9], p. 19). In particular, $\mu_{n}(\mathbf{C})=1$. Passing to a subsequence if necessary, we assume that $\mu_{n} \rightarrow \mu$ weakly in each disk $D(R), R>0$.

We now consider two cases.
$1^{\circ}$. $\underline{\lim } C_{n}<+\infty$. Again choosing a subsequence, we assume that $v_{n} \rightarrow u \in U^{+}$. Let us apply Lemma 2 with $\delta=x^{2} /\left(16 \pi^{2}\right)$ to the function $u$. We get a partition of $\overline{D(1)}$ into three sets $E, L_{n}=L_{v_{n}}$, and $M_{n}=\left\{z \in \overline{D(1)}: v_{n}(z) \geq \varepsilon\right\}$, and for sufficiently large $n$ in the chosen sequence

$$
\begin{equation*}
\mu_{n} E \leq \delta, \quad m_{2} L_{2} \leq \delta \tag{3.4}
\end{equation*}
$$

By (3.1), (3.3), and (3.4),

$$
\begin{align*}
& \iint_{E \cup L_{n}} \rho_{P_{n}} d m_{2} \leq\left(\pi \iint_{E} \rho_{P_{n}}^{2} d m_{2}\right)^{1 / 2}+\left(\pi n m_{2} L_{n}\right)^{1 / 2}  \tag{3.5}\\
& =\left(\pi^{2} n \mu_{n} E\right)^{1 / 2}+\left(\pi n m_{2} L_{n}\right)^{1 / 2} \leq 2 \pi \sqrt{n \delta}=x \sqrt{n} / 2
\end{align*}
$$

Further, the image of $M_{n}$ under the mapping $P_{n}$ is contained in the exterior of the disk of radius $\sqrt{e^{2 n \varepsilon}-1}$ about zero. Therefore, the spherical area of this image (with multiplicity taken into account) tends to 0 as $n \rightarrow \infty$ along the chosen sequence. Hence, by (3.1),

$$
\begin{equation*}
\iint_{M_{n}} \rho_{P_{n}} d m_{2}=o(1), \quad n \rightarrow \infty \tag{3.6}
\end{equation*}
$$

But (3.5) and (3.6) contradict (3.2).
$2^{\circ} . C_{n} \rightarrow+\infty$. Then it follows from the Cartan-Ahlfors lower estimate for the potentials (see, for example, [8] or [7]) that for sufficiently large $n$ in the chosen sequence

$$
v_{n}(z) \geq 1, \quad z \in \overline{D(1)} \backslash L_{n}
$$

when $m_{2} L_{n}<\delta$. Of course, as in the first case, we again contradict (3.2), and Theorem 1 is proved.

> §4.

Let $f$ be an entire function of order $\lambda<\infty$. We consider a comparison function $V(r)=r^{\lambda} l(r)$ such that

$$
\varlimsup_{r \rightarrow \infty} \frac{\log M(r, f)}{V(r)}=1, \quad M(r, f)=\max _{|z| \leq r}|f(z)|
$$

and $l(r) \sim l(2 r), r \rightarrow \infty$. Such a function $V(r)$ always exists [9].

Denote by $n(r, a)$ the number of roots of the equation $f(z)=a$ (counting multiplicity) in the disk $D(r)$, and by $n(r, a ; S)$ the number of roots of this equation on the set $S \cap D(r)$.

THEOREM 2. Suppose that $f$ is an entire function of order $\lambda<\infty$, and let $V$ be a comparison function. Then there exists a set $S$ of density zero such that for all $a \in \mathbf{C}$

$$
n(r, a)=n(r, a ; S)+o(V(r)), \quad r \rightarrow \infty
$$

REMARK 1. A consideration of elliptic functions shows that Theorem 2 ceases to be true for meromorphic functions.

REMARK 2. Theorem 2 loses its content if $\lambda=0$. In this case, as shown by Gol'dberg and Zabolotskiǐ [10], we have that $n(r, a)=o(V(r)), r \rightarrow \infty$, for all $a \in \mathbf{C}$. But if $\lambda>0$, then it is well known [9] that $\lim _{r \rightarrow \infty} n(r, a) / V(r)>0$ for all but possibly one exceptional value of $a \in \mathrm{C}$.

We remark that a stronger assertion than Theorem 2 both about the characteristic of $S$ and about an estimate for the remainder is deduced in [1] from the conjecture (1.2).

Proof of Theorem 2. We use the notation $x^{+}=\max (x, 0)$ and $x^{-}=(-x)^{+}$. Let $\mathbf{E}_{L}(f)$ be the set of $a \in \mathbf{C}$ such that

$$
\begin{equation*}
\int_{0}^{2 \pi} \log ^{+} \frac{1}{\left|f\left(r e^{i \theta}\right)-a\right|} d \theta \geq \lambda \log r \tag{4.1}
\end{equation*}
$$

for an unbounded set of values $r$. It is known [11] that

$$
\begin{equation*}
m_{2} \mathbf{E}_{L}(f)=0 \tag{4.2}
\end{equation*}
$$

Assume without loss of generality that $f(0)=1$ and that $0 \notin \mathbf{E}_{L}(f)$. We choose a large number $M>0$ and consider on $D(2)$ the family of subharmonic functions

$$
\begin{gather*}
v_{n, a}(z)=\frac{\log \left|f\left(2^{n} z\right)-a\right|}{V\left(2^{n}\right)}, \quad n=1,2, \ldots  \tag{4.3}\\
a \in Q=\left\{a \in \mathbf{C}:|a| \leq M,|a-1| \geq \frac{1}{M}\right\}
\end{gather*}
$$

This family is contained in a certain set $U$ (defined before Lemma 2).
It follows from (4.1) and (4.3) that for $a \notin \mathbf{E}_{L}(f)$

$$
\begin{gather*}
\operatorname{dist}\left(v_{n, a}, U^{+}\right) \leq\left\|v_{n, a}-v_{n, a}^{+}\right\|=\left\|v_{n, a}^{-}\right\| \\
=\frac{1}{V\left(2^{n}\right)} \int_{0}^{2} \int_{0}^{2 \pi} \log ^{+} \frac{1}{\left|f\left(2^{n} r e^{i \theta}\right)-a\right|} r d r d \theta \leq C_{1} n\left(V\left(2^{n}\right)\right)^{-1}, \quad n \geq n_{0}(a) \tag{4.4}
\end{gather*}
$$

where $C_{1}$ is a constant depending only on $V$.
For each $\delta>0$ and each function $u \in U^{+}$we choose a number $\varepsilon=\varepsilon(\delta, u)<\delta$ according to Lemma 2 and consider the covering of the set $U^{+} \subset U$ by balls of radii $\varepsilon(\delta, u) / 3$ about each point $u \in U^{+}$. In view of compactness there exists a finite subcovering by balls about some points $u_{i, \delta}, 1 \leq i \leq N_{\delta}$. Let

$$
\gamma(\delta)=\min \left\{\varepsilon\left(\delta, u_{i, \delta}\right) / 3: 1 \leq i \leq N_{\delta}\right\} \rightarrow 0, \quad \delta \rightarrow 0 .
$$

Choose a sequence $\delta_{n} \rightarrow 0$ decreasing so slowly that

$$
\begin{equation*}
C_{1} n / V\left(2^{n}\right)<\gamma\left(\delta_{n}\right)=o(1), \quad n \rightarrow \infty \tag{4.5}
\end{equation*}
$$

Let $v_{n}=v_{n, 0}$. The nonnegative function $v_{n}^{+}$is contained in one of the $N_{\delta_{n}}$ balls in the finite covering constructed above. Denote the center of this ball by $u_{n}$ and its radius by $\varepsilon_{n}$. By construction, $3 \varepsilon_{n}=\varepsilon\left(\delta_{n}, u_{n}\right)$ and $\gamma\left(\delta_{n}\right)<\varepsilon_{n}$

$$
\begin{equation*}
\left\|u_{n}-v_{n}^{+}\right\|<\varepsilon_{n} . \tag{4.6}
\end{equation*}
$$

Let us apply Lemma 2 to $u=u_{n}, \delta=\delta_{n}$. By the choice of $\varepsilon_{n}$, the assertion of the lemma holds with $\varepsilon=3 \varepsilon_{n}$. Lemma 2 gives us sets $E_{n}$ and $L_{n}=L_{v_{n}^{+}}$such that for any function $v \in U$ with $\left\|v-u_{n}\right\|<3 \varepsilon_{n}$

$$
\begin{equation*}
\mu_{v} E_{n}<\delta_{n} \tag{4.7}
\end{equation*}
$$

and, moreover, by (4.6),

$$
\begin{gather*}
\left\{z \in \overline{D(\mathbf{1})}: v_{n}^{+}(z)<3 \varepsilon_{n}\right\} \subset E_{n} \cup L_{n}  \tag{4.8}\\
m_{2} L_{n}<\delta_{n} . \tag{4.9}
\end{gather*}
$$

Using the inequality $\log ^{+}|a+b| \leq \log ^{+}|a|+\log ^{+}|b|+\log 2$ and (4.5), we get that

$$
\begin{equation*}
\left|v_{n, a}^{+}(z)-v_{n}^{+}(z)\right| \leq C_{2}\left(V\left(2^{n}\right)\right)^{-1}<\gamma\left(\delta_{n}\right)<\varepsilon_{n} \tag{4.10}
\end{equation*}
$$

for $z \in D(2)$ and $n>n_{0}(a)$. By (4.10) and (4.8), for any $a \in Q$ we have that

$$
\begin{equation*}
\left\{z \in \overline{D(1)}: v_{n, a}(z)<\varepsilon_{n}\right\} \subset\left\{z \in \overline{D(1)}: v_{n}(z)<2 \varepsilon_{n}\right\} \subset E_{n} \cup L_{n} \tag{4.11}
\end{equation*}
$$

from some index on.
Now let $S_{n}=\left\{z: 2^{n-1}<|z| \leq 2^{n}, 2^{-n} z \in L_{n}\right\}$ and $S^{Q}=\bigcup_{1}^{\infty} S_{n}$. In view of (4.9)

$$
m_{2}\left(S^{Q} \cap D\left(2^{n}\right)\right)=m_{2}\left(\bigcup_{k=1}^{n} S_{k}\right) \leq \sum_{k=1}^{n} 2^{k} \delta_{k}=o\left(2^{n}\right), \quad n \rightarrow \infty
$$

Therefore, the set $S^{Q}$ has zero density.
Let $a \in Q \backslash \mathbf{E}_{L}(f)$. We estimate the number of $a$-points of $f$ in the set $\left\{z: 2^{n-1}<\right.$ $\left.|z| \leq 2^{n}\right\} \backslash S_{n}$. This number is equal to

$$
V\left(2^{n}\right) \mu_{v_{n, a}}\left(\{z: 1 / 2<|z| \leq 1\} \backslash L_{n}\right) .
$$

Note first that the function $v_{n, a}$ is harmonic on the set $\left\{z \in D(2): v_{n, a}(z) \geq \varepsilon_{n}\right\}$. Therefore, by (4.11),

$$
\begin{equation*}
\mu_{v_{n, a}}\left(\{z: 1 / 2<|z| \leq 1\} \backslash L_{n}\right) \leq \mu_{v_{v, a}}\left(E_{n}\right) \tag{4.12}
\end{equation*}
$$

Further, it follows from (4.4), (4.5), (4.10), and (4.6) that for $a \in Q \backslash \mathbf{E}_{L}(f)$

$$
\begin{aligned}
\left\|v_{n, a}-u_{n}\right\| & \leq\left\|v_{n, a}-v_{n, a}^{+}\right\|+\left\|v_{n, a}^{+}-v_{n}^{+}\right\|+\left\|v_{n}^{+}-u_{n}\right\| \\
& \leq \gamma\left(\delta_{n}\right)+\varepsilon_{n}+\varepsilon_{n} \leq 3 \varepsilon_{n}, \quad n>n_{0}(a)
\end{aligned}
$$

Consequently, (4.7) is applicable to $v_{n, a}$, and we get that

$$
\begin{equation*}
\mu_{v_{n, a}} E_{n}<\delta_{n} \tag{4.13}
\end{equation*}
$$

Using (4.12), (4.13), and the properties of the comparison function $V$, we get that the number of $a$-points of $f$ in $\overline{D(2)} \backslash \bigcup_{1}^{n} S_{k}$ is

$$
\sum_{k=1}^{n} V\left(2^{k}\right) \mu_{v_{k, a}} E_{k} \leq \sum_{k=1}^{n} \delta_{k} V\left(2^{k}\right)=o\left(\sum_{k=1}^{n} V\left(2^{k}\right)\right)=o\left(V\left(2^{n}\right)\right), \quad n \rightarrow \infty
$$

Therefore, for $a \in Q \backslash \mathbf{E}_{L}(f)$

$$
n(r, a)=n\left(r, a ; S^{Q}\right)+o(V(r)), \quad r \rightarrow \infty
$$

We now consider the countable family of sets

$$
Q_{k}=\{a \in \mathbf{C}:|c| \leq k,|a-1| \geq 1 / k\}
$$

which together cover the whole plane $\mathbf{C}$ except for the point 1 . To each $Q_{k}$ there corresponds a set $S(k)=S^{Q_{k}}$ of density zero such that for all $a \in Q_{k} \backslash \mathbf{E}_{L}(f)$

$$
\begin{equation*}
n(r, a)=n(r, a ; S(k))+o(V(r)), \quad r \rightarrow \infty . \tag{4.14}
\end{equation*}
$$

We choose an increasing sequence of positive numbers $\left(r_{k}\right), r_{k} \rightarrow \infty$, such that

$$
\begin{equation*}
m_{2}((S(1) \cup \cdots \cup S(k)) \cap D(r)) \leq 2^{-k} r^{2}, \quad r>r_{k} \tag{4.15}
\end{equation*}
$$

Let $S_{0}=\bigcup_{k=1}^{\infty}\left(S(k) \backslash \overline{\left.D\left(r_{k}\right)\right)}\right.$. If $r_{k-1} \leq r<r_{k}$, then $m_{2}\left(S_{0} \cap D(r)\right) \leq 2^{-k+1} r^{2}$ by (4.15). Consequently, the density of $S_{0}$ is equal to 0 . It follows from (4.14) that for $a \notin \mathbf{E}_{L}(f)$, $a \neq 1$,

$$
n(r, a)=n\left(r, a, S_{0}\right)+o(V(r)), \quad r \rightarrow \infty
$$

Finally, adding to $S_{0}$ the set $f^{-1}\left(\mathbf{E}_{L}(f) \cup\{1\}\right)$ of measure zero (by (4.2)), we get the desired set $S$. The theorem is proved.

The authors thank V. S. Azarin, A. L. Vol'berg, A. A. Gol'dberg, and S. Yu. Favorov for a discussion of this work and for valuable comments.

Received 30/JAN/85

## Bibliography

1. J. E. Littlewood, On some conjectural inequalities, with applications to the theory of integral functions, J. London Math. Soc. 27 (1952), 387-393.
2. W. K. Hayman, On a conjecture of Littlewood, J. Analyse Math. 36 (1979), 75-95.
3. Miguel de Guzmán, Differentiation of integrals in $\mathbf{R}^{n}$, Lecture Notes in Math., vol. 481, SpringerVerlag, 1975.
4. Bernt K. Øksendal, Null sets for measures orthogonal to $R(X)$, Amer. J. Math. 94 (1972), 331-342.
5. __, Brownian motion and sets of harmonic measure zero, Pacific J. Math. 95 (1981), 179-192.
6. N. G. Makarov, Defining subsets, the support of harmonic measure, and perturbation of the spectra of operators in Hilbert space, Dokl. Akad. Nauk SSSR 274 (1984), 1033-1037; English transl. in Soviet Math. Dokl. 29 (1984).
7. N. S. Landkof, Foundations of modern potential theory, "Nauka", Moscow, 1966; English transl., Springer-Verlag, 1972.
8. V. S. Azarin, Theory of the growth of subharmonic functions. I, Lecture notes, Khar'kov. Gos. Univ., Kharkov, 1978. (Russian)
9. A. A. Gol'dberg and I. V. Ostrovskiĭ, Distribution of the values of meromorphic functions, "Nauka", Moscow, 1970. (Russian)
10. A. A. Gol'dberg and N. V. Zabolotskiil, The concentration index of a subharmonic function of zero order, Mat. Zametki 34 (1983), 227-236; English transl. in Math. Notes 34 (1983).
11. J. E. Littlewood, Mathematical notes (11): On exceptional values of power series, J. London Math. Soc. 5 (1930), 82-87.

[^0]:    1980 Mathematics Subject Classification (1985 Revision). Primary 30D35; Secondary, 30C10.

