# The Use of Graph Theory in Forensic Footwear Analysis

## Tony Allen

Advisors: Dr. Martin Herman, Dr. Hariharan Iyer Information Technology Lab Federal and Industrial Relations Office

NIST SURF Colloquium, 2017



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A Crash Course on Graph Theory 0000 An Examp

Summary

# Outline



- Background
- Our Approach
- A Crash Course on Graph Theory
  Definitions and Examples
- 3 An Example



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Problem Statement ●0 ○○○	A Crash Course on Graph Theory 0000	An Example	
Background			





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## 3 An Example



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Motivation			



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Motivation			

Commonly found in crime scenes



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Motivation			

- Commonly found in crime scenes
- Often have distinctive features sole patterns, wear, imperfections



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Background			
Motivation			

- Commonly found in crime scenes
- Often have distinctive features sole patterns, wear, imperfections
- Current practices are subjective



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Three important steps:

1. Develop tool to extract features



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Three important steps:

- 1. Develop tool to extract features
- 2. Develop methods for computing high performance comparison scores



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Three important steps:

- 1. Develop tool to extract features
- 2. Develop methods for computing high performance comparison scores
- 3. Build database of impressions for testing and training algorithms



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Three important steps:

- 1. Develop tool to extract features
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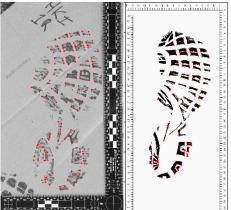
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# A Comparison Score — Distance

How to compute distance measure:

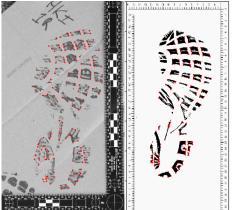


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# A Comparison Score — Distance

1. Find features common in both impressions.

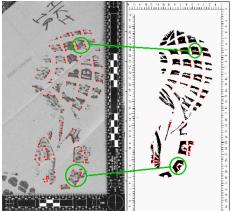


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# A Comparison Score — Distance

1. Find features common in both impressions.

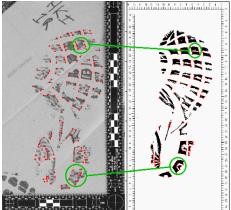


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# A Comparison Score — Distance

2. Use these features to align the prints.



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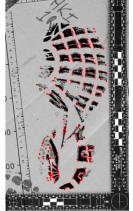
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# A Comparison Score — Distance

2. Use these features to align the prints.

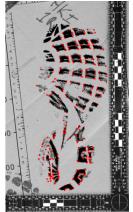


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# A Comparison Score — Distance

3. Calculate distance between features after alignment.



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# A Comparison Score — Distance

# But remember, this process needs to be accurate, repeatable, and reproducible!

## That's where Graph Theory comes in!

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# Outline

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Definitions and Examples

## Definition

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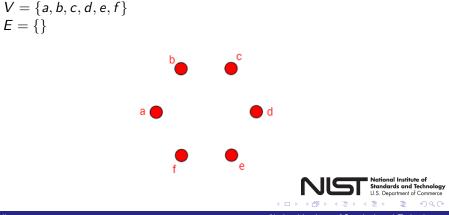
A graph G = (V, E) is comprised of a set of vertices V and a set of edges E, which are 2-element subsets of V.



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Definition			

A graph G = (V, E) is comprised of a set of vertices V and a set of edges E, which are 2-element subsets of V.



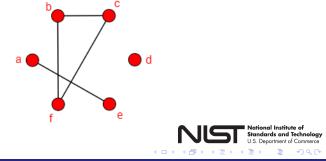
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A graph G = (V, E) is comprised of a set of vertices V and a set of edges E, which are 2-element subsets of V.

$$V = \{a, b, c, d, e, f\}$$
$$E = \{ae, bc, bf, cf\}$$



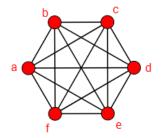
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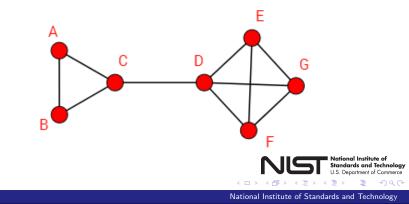
 $V = \{a, b, c, d, e, f\}$ E = {ab, ac, ad, ae, af, bc, bd, be, bf, cd, ce, cf, de, df, ef}



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## Example (Social Networks)

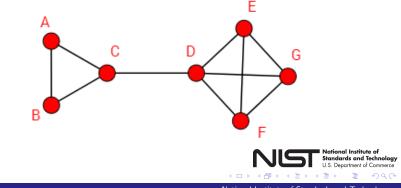
Let  $V = \{Alice, Bob, Charlie, David, Eve, Fred, Grace\}$  and let uv be an edge if and only if person u and person v are friends.



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Definitions and Examples			

A *clique* is a subset of vertices in which each pair of distinct vertices are adjacent.

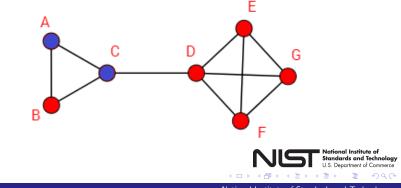


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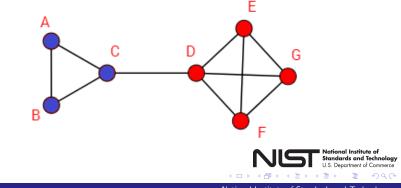


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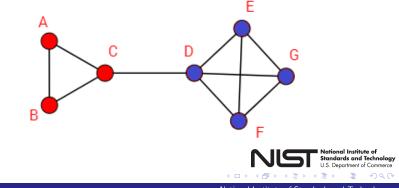


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A *clique* is a subset of vertices in which each pair of distinct vertices are adjacent.



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Summary

# How does this apply?

## Definiton - Product Graph:

Given two configurations of features,

$$P = \{p_1, ..., p_n\}$$
 and  $Q = \{q_1, ..., q_m\},$ 

we construct a product graph with vertex set

$$V = \{p_1q_1, ..., p_1q_m, ..., p_nq_1, ..., p_nq_m\}.$$

Two vertices  $p_i q_j$  and  $p_k q_\ell$  are connected if and only if the distance between points  $p_i$  and  $p_k$  is equal to (within a margin of error) the distance between points  $q_i$  and  $q_\ell$ .

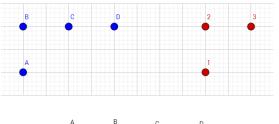
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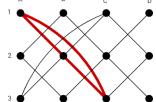
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# A Small Example





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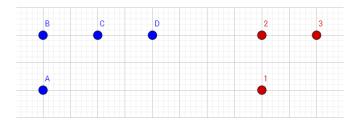
## What does a clique in the product graph represent?



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# What does a clique in the product graph represent? A set of features in P that are congruent to a set of features in Q.



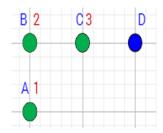


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What does a clique in the product graph represent?A set of features in P that are congruent to a set of features in Q.We can then align the impressions by this congruence.





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# Shoe Example

## 0. Create product graph.



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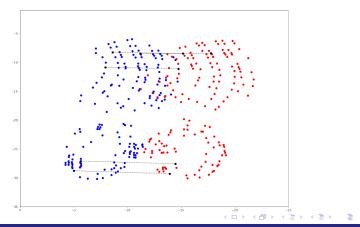
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# Shoe Example

1. Find a large clique in the product graph.

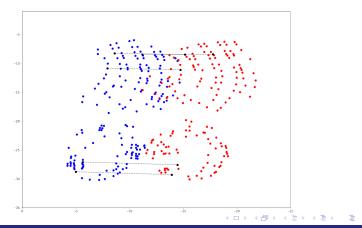


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Shoe Example			

2. Align the impressions by the clique correspondence.

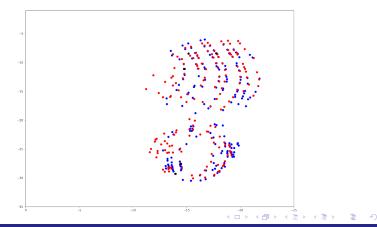


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2. Align the impressions by the clique correspondence.



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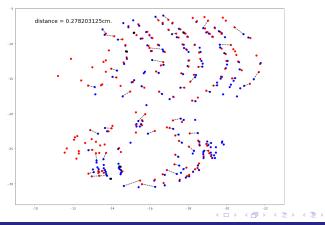
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# Shoe Example

## 3. Compute distance between features.



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# Improvements and Future Work

- Normalize distance score for comparison
- Investigate other similarity scores
- Allow for error in classifying characteristics
- Implement machine learning to test and develop scoring systems



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- There is a need for forensic footwear comparisons to be objective and reproducible.
- The distance between impressions is just one similarity measure.
- But Graph Theory is a helpful tool in computing this (and other!) scores.



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Special Thanks to:

Dr. Martin Herman Dr. Hari Iyer

and to you for listening!



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