

On the independence of K-theory and stable rank for simple C^* -algebras

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Abstract. Jiang and Su and (independently) Elliott discovered a simple, nuclear, infinite-dimensional C^* -algebra \mathcal{L} having the same Elliott invariant as the complex numbers. For a nuclear C^* -algebra A with weakly unperforated K_* -group the Elliott invariant of $A \otimes \mathcal{L}$ is isomorphic to that of A . Thus, any simple nuclear C^* -algebra A having a weakly unperforated K_* -group which does not absorb \mathcal{L} provides a counterexample to Elliott's conjecture that the simple nuclear C^* -algebras will be classified by the Elliott invariant. In the sequel we exhibit a separable, infinite-dimensional, stably finite instance of such a non- \mathcal{L} -absorbing algebra A , and so provide a counterexample to the Elliott conjecture for the class of simple, nuclear, infinite-dimensional, stably finite, separable C^* -algebras.

1. Introduction

Elliott's classification of AF C^* -algebras ([2]) via the scaled, ordered K_0 -group began what is now a widespread effort to classify nuclear C^* -algebras via the Elliott invariant. In the case of a stably finite, unital, simple C^* -algebra A this invariant consists of the group $K_*A = K_0A \oplus K_1A$, the class of the unit of A in K_*A , an order structure on K_*A (an element $[p] \oplus x$ is positive if $[p]$ is positive in K_0A and x can be represented as the K_1 -class of a unitary $u \in M_I(A)$ such that uu^* is a sub-projection of p), the Choquet simplex of normalised traces TA , and the pairing between K_0A and TA via evaluation. In this paper the invariant above will be denoted $\text{Ell}(A)$. Let $\text{sr}(A)$ be the stable rank of A , as defined by Rieffel in [9]. $\text{Ell}(-)$ has been particularly successful in classifying simple C^* -algebras of stable rank one. Until now, it was not known whether this invariant would suffice for the classification of stably finite C^* -algebras of stable rank greater than one.

Recall that an ordered group (G, G^+) is said to be weakly unperforated if $x \notin G^+$ and $nx \in G^+$ for some natural number n implies that $x = 0$. We recall that the Elliott invariant of a simple nuclear unital C^* -algebra A is isomorphic to that of $A \otimes \mathcal{L}$ whenever K_*A is weakly unperforated ([4]). If $A \cong A \otimes \mathcal{L}$, then we say that A is \mathcal{L} -stable. Our main result is the following:

Theorem 1.1. *For each natural number $n \geq 2$ there exists a simple, unital, nuclear, separable, infinite-dimensional, stably finite, non- \mathcal{L} -stable C^* -algebra B_n such that K_*B_n is weakly unperforated and $\text{sr}(B_n) \in \{n + 1, n + 2\}$. In particular,*

$$\text{Ell}(B_n) \simeq \text{Ell}(B_n \otimes \mathcal{L}).$$

Thus, B_n and $B_n \otimes \mathcal{L}$ constitute a counterexample to the Elliott conjecture for the class of simple, nuclear, infinite-dimensional, stably finite C^* -algebras. We note that the existence of B_n answers Question 1.5 of [4] negatively; the weak unperforation of the K_* -group does not imply that a simple, unital, nuclear, separable, infinite-dimensional C^* -algebra absorbs \mathcal{L} .

The title of this paper derives from the fact that the algebra B_n of Theorem 1.1 has $\text{sr}(B_n) \in \{n + 1, n + 2\}$ while, as we shall see, $\text{sr}(B_n \otimes \mathcal{L}) \leq 2$. It is possible (but purely speculative) that finer invariants such as K -theory with coefficients, the semigroup of Murray-von Neumann equivalence classes of projections, or higher algebraic K -theory will recover stable rank, and so the independence of the title is only with respect to the notion of K -theory captured by $\text{Ell}(-)$.

We conclude this section with an outline of the sequel. Section 2 lists several theorems from [3], which are applied in section 3 to construct the algebra B_n of Theorem 1.1. The general ideas of this latter section are also found in [3]. In section 4, B_n is shown to have the properties claimed in Theorem 1.1.

Acknowledgements. The author would like to thank George Elliott and Ping Wong Ng for their many helpful comments on earlier drafts of this paper. This research was funded by both the Israel Halperin Graduate Award at the University of Toronto and by a Natural Sciences and Engineering Research Council of Canada Postdoctoral Fellowship.

2. Background and essential results

We begin by reviewing the definition of the generalised mapping torus, due to Elliott. Let C, D be C^* -algebras and let ϕ_0, ϕ_1 be $*$ -homomorphisms from C to D . Then the generalised mapping torus of C and D with respect to ϕ_0 and ϕ_1 is

$$A := \{(c, d) \mid d \in C([0, 1]; D), c \in C, d(0) = \phi_0(c), d(1) = \phi_1(c)\}.$$

We will denote A by $A(C, D, \phi_0, \phi_1)$ where appropriate for clarity. We now list (without proof) some theorems of [3] which will be used in the sequel.

Theorem 2.1 (Elliott and Villadsen [3], Theorem 2). *The index map $b_* : K_*C \rightarrow K_{1-*}SD = K_*D$ in the six term periodic sequence for the extension*

$$0 \rightarrow SD \rightarrow A \rightarrow C \rightarrow 0$$

is the difference

$$K_*\phi_1 - K_*\phi_0 : K_*C \rightarrow K_*D.$$

Thus, the six-term exact sequence may be written as the short exact sequence

$$0 \rightarrow \text{Coker } b_{1-*} \rightarrow \mathbf{K}_*A \rightarrow \text{Ker } b_* \rightarrow 0.$$

In particular, if b_{1-*} is surjective, then \mathbf{K}_*A is isomorphic to its image, $\text{Ker } b_*$, in \mathbf{K}_*C .

Suppose that cancellation holds for D —i.e., that cancellation holds in the semigroup of Murray-von Neumann equivalence classes of projections in D and in matrix algebras over D (equivalently, in $D \otimes \mathcal{K}$). It follows that if b_1 is surjective, so that $\mathbf{K}_0A \subseteq \mathbf{K}_0C$, then

$$(\mathbf{K}_0A)^+ = (\mathbf{K}_0C)^+ \cap \mathbf{K}_0A.$$

The preceding conclusion also holds if cancellation is only known to hold for each pair of projections in $D \otimes \mathcal{K}$ obtained as the images under the maps ϕ_0 and ϕ_1 of a single projection in $C \otimes \mathcal{K}$. (In other words, if two such projections in $D \otimes \mathcal{K}$ have the same \mathbf{K}_0 class then they should be equivalent, assuming as before that b_1 is surjective.)

Theorem 2.2 (Elliott and Villadsen [3], Theorem 3). *Let A_1 and A_2 be building block algebras as described above,*

$$A_i = A(C, D, \phi_0^i, \phi_1^i), \quad i = 1, 2.$$

Let there be given four maps between the fibres,

$$\begin{aligned} \gamma &: C_1 \rightarrow C_2, \\ \delta, \delta' &: D_1 \rightarrow D_2, \quad \text{and,} \\ \varepsilon &: C_1 \rightarrow D_2, \end{aligned}$$

such that δ, δ' and ε have mutually orthogonal images, and

$$\begin{aligned} \delta\phi_0^1 + \delta'\phi_1^1 + \varepsilon &= \phi_0^2\gamma, \\ \delta\phi_1^1 + \delta'\phi_0^1 + \varepsilon &= \phi_1^2\gamma. \end{aligned}$$

Then there exists a unique map

$$\theta : A_1 \rightarrow A_2,$$

respecting the canonical ideals, giving rise to the map $\gamma : C_1 \rightarrow C_2$ between the quotients (or fibres at infinity), and such that for any $0 < s < 1$, if e_s denotes evaluation at s , and e_∞ the evaluation at infinity,

$$e_s\theta = \delta e_s + \delta' e_{1-s} + \varepsilon e_\infty.$$

Theorem 2.3 (Elliott and Villadsen [3], Theorem 4). *Let A_1 and A_2 be building block algebras as in Theorem 2.1. Let $\theta : A_1 \rightarrow A_2$ be a homomorphism constructed as in Theorem 2.2, from maps $\gamma : C_1 \rightarrow C_2$, $\delta, \delta' : D_1 \rightarrow D_2$, and $\varepsilon : C_1 \rightarrow D_2$.*

Let there be given a map $\beta : D_1 \rightarrow C_2$ such that the composed map $\beta\phi_1^1$ is a direct summand of the map γ , and such that the composed maps $\phi_0^2\beta$ and $\phi_1^2\beta$ are direct summands of the maps δ' and δ , respectively. Suppose that the decomposition of γ as the orthogonal sum of $\beta\phi_1^1$ and another map is such that the image of the second map is orthogonal to the image of β . (Note that this requirement is automatically satisfied if C_1, D_1 , and the map $\beta\phi_1^1$ are unital.)

It follows that, for any $0 < t < 1/2$, the map $\theta : A_1 \rightarrow A_2$ is homotopic to a map $\theta_t : A_1 \rightarrow A_2$ differing from it only as follows: the map $e_\infty\theta_t$ has the direct summand βe_t instead of one of the direct summands $\beta\phi_0^1 e_\infty$ and $\beta\phi_1^1 e_\infty$ of $e_\infty\theta$, and for each $0 < s < 1$ the map $e_s\theta_t$ has either the direct summand $\phi_0^2\beta e_t$ instead of the direct summand $\phi_0^2\beta e_s$ of $e_s\theta$, or the direct summand $\phi_1^2\beta e_t$ instead of the direct summand $\phi_1^2\beta e_s$ of $e_s\theta$, or both.

Furthermore, let $\alpha : D_1 \rightarrow C_2$ be any map homotopic to β within the hereditary sub- C^* -algebra of C_2 generated by the image of β . Then the map θ_t is homotopic to a map $\theta'_t : A_1 \rightarrow A_2$ differing from θ_t only in the direct summands mentioned, and such that $e_\infty\theta'_t$ has the direct summand αe_t instead of βe_t , and for each $0 < s < 1$, $e_s\theta'_t$ has either $\phi_0^2\alpha e_t$ instead of $\phi_0^2\beta e_t$, or $\phi_1^2\alpha e_t$ instead of $\phi_1^2\beta e_t$.

Theorem 2.4 (Elliott and Villadsen [3], Theorem 5). *Let*

$$A_1 \xrightarrow{\theta_1} A_2 \xrightarrow{\theta_2} \dots$$

be a sequence of separable building block C^ -algebras,*

$$A_i = A(C_i, D_i, \phi_0^i, \phi_1^i), \quad i = 1, 2, \dots$$

with each map $\theta_i : A_i \rightarrow A_{i+1}$ obtained by the construction of Theorem 2.2 (and thus respecting the canonical ideals). For each $i = 1, 2, \dots$ let $\beta_i : D_i \rightarrow C_{i+1}$ be a map verifying the hypotheses of Theorem 2.3.

Suppose that for every $i = 1, 2, \dots$, the intersection of the kernels of the boundary maps ϕ_0^i and ϕ_1^i from C_i to D_i is zero.

Suppose that, for each i , the image of each of ϕ_0^{i+1} and ϕ_1^{i+1} generates D_{i+1} as a closed two-sided ideal, and that this is in fact true for the restriction of ϕ_0^{i+1} and ϕ_1^{i+1} to the smallest direct summand of C_{i+1} containing the image of β_i . Suppose that the closed two-sided ideal of C_{i+1} generated by the image of β_i is a direct summand.

Suppose that, for each i , the maps $\delta'_i - \phi_0^i\beta_i$ and $\delta_i - \phi_1^i\beta_i$ from D_i to D_{i+1} are injective.

Suppose that, for each i , the map $\gamma_i - \beta_i\phi_1^i$ takes each non-zero direct summand of C_i into a subalgebra of C_{i+1} not contained in any proper closed two-sided ideal.

Suppose that, for each i , the map $\beta_i : D_i \rightarrow C_{i+1}$ can be deformed—inside the hereditary sub- C^ -algebra generated by its image—to a map $\alpha_i : D_i \rightarrow C_{i+1}$ with the following property: There is a direct summand of α_i , say $\bar{\alpha}_i$, such that $\bar{\alpha}_i$ is non-zero on an arbitrary given element x_i of D_i , and has image a simple sub- C^* -algebra of C_{i+1} , the closed two-sided ideal generated by which contains the image of β_i .*

Choose a dense sequence (t_n) in the open interval $(0, 1/2)$, such that $t_{2n} = t_{2n-1}$, $n = 1, 2, \dots$.

Choose a sequence of elements $x_3 \in D_3, x_5 \in D_5, x_7 \in D_7, \dots$ (necessarily non-zero) with the following property: For some countable basis for the topology of the spectrum of each of D_1, D_2, \dots , and for some choice of non-zero element of the closed two-sided ideal associated to each of these (non-empty) open sets, under successive application of the maps $\delta_i - \phi_1^{i+1}\beta_i$ each one of these elements is taken into x_j for all j in some set $S \subseteq \{3, 5, 7, \dots\}$ such that $\{t_j, j \in S\}$ is dense in $(0, 1/2)$. Choose α_j as above such that $\bar{\alpha}_j(x_j) \neq 0$ for some direct summand $\bar{\alpha}_j$ of α_j for each $j \in \{3, 5, 7, \dots\}$. For each $j \in \{4, 6, 8, \dots\}$ choose α_j with respect to the non-zero element $(\delta'_{j-1} - \phi_0^j\beta_{j-1})(x_{j-1})$ of D_j . (If $j = 1$ or 2 , choose $\alpha_j = \beta_j$.)

It follows that, if θ'_i denotes the deformation of θ_i constructed in Theorem 4, with respect to the point $t_i \in (0, 1/2)$ and the maps α_i and β_i (and a fixed homotopy of β_i to α_i), then the inductive limit of the sequence

$$A_1 \xrightarrow{\theta'_1} A_2 \xrightarrow{\theta'_2} \dots$$

is simple.

3. The construction of B_n

We now specify C^* -algebras $A_i = A_i(C_i, D_i, \phi_i^0, \phi_i^1)$ as in Theorem 2.1, and maps $\delta_i, \delta'_i, \gamma_i$, and β_i satisfying the hypotheses of Theorems 2.2, 2.3, and 2.4 in order to construct an inductive sequence

$$A_1 \xrightarrow{\theta'_1} A_2 \xrightarrow{\theta'_2} \dots$$

whose limit will be the C^* -algebra B_n of Theorem 1.1.

Let D denote the closed unit disc in the complex numbers. Put

$$X_i = D^n \times \mathbb{C}P^{n\sigma(1)} \times \mathbb{C}P^{n\sigma(2)} \times \dots \times \mathbb{C}P^{n\sigma(i)}$$

—the $\sigma(i)$ are natural numbers to be specified—so that

$$X_{i+1} = X_i \times \mathbb{C}P^{n\sigma(i+1)},$$

and let

$$\pi_{i+1}^1 : X_{i+1} \rightarrow X_i, \quad \pi_{i+1}^2 : X_{i+1} \rightarrow \mathbb{C}P^{n\sigma(i+1)}$$

be the co-ordinate projections.

We will take $C_i = p_i(C(X_i) \otimes \mathcal{K})p_i$, where p_i is a projection in $C(X_i) \otimes \mathcal{K}$ to be specified. Let $D_i = C_i \otimes M_{k_i, \dim(p_i)}$, where k_i is a positive integer to be specified. Define maps

$$\mu_i, \nu_i : C_i \rightarrow C_i \otimes M_{\dim(p_i)}$$

as follows:

$$\begin{aligned}\mu_i(a) &= p_i \otimes a(x_i), \\ \nu_i(a) &= a \otimes 1_{\dim(p_i)}.\end{aligned}$$

For $t \in \{0, 1\}$, we will take ϕ_i^t to be the direct sum of l_i^t copies of μ_i and $k_i - l_i^t$ copies of ν_i , where the l_i^t are non-negative integers to be specified. All that we mention now is that we should have $l_i^1 - l_i^0 \neq 0$. We need only specify the ϕ_i^t up to unitary equivalence, a fact we shall exploit below.

By Theorem 2.1 we have that for any $e \in \mathbf{K}_0(C_i)$,

$$\begin{aligned}b_0(e) &= (l_i^1 - l_i^0)(\mathbf{K}_0(\mu_i) - \mathbf{K}_0(\nu_i)) \\ &= (l_i^1 - l_i^0)(\dim(e) \cdot \mathbf{K}_0(p_i) - \dim(p_i) \cdot e).\end{aligned}$$

Since $l_i^1 - l_i^0 \neq 0$ and since $\mathbf{K}_0 C_i$ is a finitely generated free abelian group, we have that $\text{Ker } b_0$ is the largest subgroup of $\mathbf{K}_0 C_i$ containing $\mathbf{K}_0(p_i)$ and isomorphic to the integers. In the sequel we will choose p_i so that $\mathbf{K}_0(p_i)$ in fact generates said subgroup. Since $\mathbf{K}_1 C_i = 0$ we have, by Theorem 2.1, that $\mathbf{K}_0 A_i$ is isomorphic as an ordered group to its image, $\text{Ker } b_0$, in $\mathbf{K}_0 C_i$, considered as a sub ordered group. The latter (with the choice of p_i below) is isomorphic to the integers with the unique unperforated order structure, and the image of $[1_{A_i}]$ is $[p_i]$.

Let p_1 be a projection corresponding to the vector bundle

$$\theta_1 \times \xi_{n\sigma(1)},$$

over X_1 , where θ_1 denotes the trivial line bundle of dimension one over \mathbf{D} , ξ_k denotes the universal line bundle over \mathbf{CP}^k for every natural number k , and $\sigma(1) = 1$. We now specify, inductively, the maps $\gamma_i : C_i \rightarrow C_{i+1}$. Consider first the map

$$\psi_i := \text{id} \otimes 1$$

from $C(X_i)$ to $C(X_{i+1}) = C(X_i \times \mathbf{CP}^{n\sigma(i+1)}) = C(X_i) \otimes C(\mathbf{CP}^{n\sigma(i+1)})$, where 1 denotes the unit of $C(\mathbf{CP}^{n\sigma(i+1)})$ and id denotes the identity map from $C(X_i)$ to itself.

Consider also the map

$$\beta_i^t := \pi_{i+1}^{2*}(\xi_{n\sigma(i+1)}) \cdot e_{x_i}$$

from $C(X_i)$ to $C(X_{i+1}) \otimes \mathcal{H}$, where e_{x_i} denotes evaluation at x_i . All that we shall require of the x_i at this stage is that $\pi_{i+1}^1(x_{i+1}) = x_i$.

Now, inductively, let us take γ_i to be the map from C_i to $C(X_{i+1}) \otimes \mathbf{M}_2(\mathcal{H})$ consisting of the direct sum of the following two maps: first, the restriction to $C_i \subseteq C(X_i) \otimes \mathcal{H}$ of the tensor product of ψ_i with the identity map from \mathcal{H} to \mathcal{H} , and second, the map from C_i to $C(X_{i+1}) \otimes \mathbf{M}_{q_i}(\mathcal{H})$ consisting of the composition of the map ϕ_i^1 from C_i to D_i with the

direct sum of q_i copies of the tensor product of the map β'_i with the identity map from \mathcal{K} to \mathcal{K} (restricted to $D_i \subseteq C(X_i) \otimes \mathcal{K}$), where q_i is to be specified. The induction consists in first considering the case $i = 1$ (as p_1 has already been chosen), then setting $p_2 = \gamma_1(p_1)$, so that C_2 is specified as the cut-down of $C(X_2) \otimes \mathcal{K}$ by p_2 , and continuing in this way.

With the maps γ_i defined as above, we have that p_i is a projection in $C(X_i) \otimes \mathcal{K}$ corresponding to the vector bundle

$$\theta_1 \times \zeta_n \times \sigma(2)\zeta_{n\sigma(2)} \times \cdots \times \sigma(i)\zeta_{n\sigma(i)},$$

where

$$\sigma(i) = \prod_{l=1}^{i-1} (\text{mult}(\gamma_l) - 1).$$

Notice that by the Künneth formula (in [10], Chapter 5, for instance) the classes $[\theta_1]$, $[\zeta_{n\sigma(1)}], \dots, [\zeta_{n\sigma(i)}]$ are independent in $\mathbf{K}^0(X_i)$ (we are abusing notation slightly here, using $[\zeta_k]$ to represent the class of the induced bundle $\pi^*(\zeta_k)$, where π is projection from X_i onto $\mathbf{C}P^k$). Suppose that $[p_i] = ky$ for some $k \in \mathbf{Z}$, $y \in \mathbf{K}^0(X_i)$. It follows from independence that we have $[\zeta_n] = ky'$, $y' \in \mathbf{K}^0(\mathbf{C}P^n)$, whence $k = \pm 1$. We conclude that $[p_i]$ itself generates the subgroup of rational multiples of $[p_i]$ in $\mathbf{K}^0 X_i$, as desired. Thus γ_i induces an isomorphism of ordered groups from $\text{Ker } b_0$ at the i^{th} stage to $\text{Ker } b_0$ at the $(i + 1)^{\text{th}}$ stage.

Note that $\gamma_i - \beta_i \phi_i^1$ is non-zero, and so takes C_i into a subalgebra of C_{i+1} not contained in any proper closed two-sided ideal.

Next, we construct the maps $\delta_i, \delta'_i : D_i \rightarrow D_{i+1}$, with orthogonal images, such that

$$\begin{aligned} \delta_i \phi_i^0 + \delta'_i \phi_i^1 &= \phi_{i+1}^0 \gamma_i, \\ \delta_i \phi_i^1 + \delta'_i \phi_i^0 &= \phi_{i+1}^1 \gamma_i, \end{aligned}$$

and $\phi_{i+1}^0 \beta_i$ and $\phi_{i+1}^1 \beta_i$ are direct summands of δ'_i and δ_i , respectively. To do this we shall have to modify ϕ_{i+1}^0 and ϕ_{i+1}^1 by inner automorphisms. This will not affect the \mathbf{K} -theory of A .

Note that, up to unitary equivalence, we have

$$e_{x_{i+1}} \gamma_i = \text{mult}(\gamma_i) e_{x_i},$$

where $\text{mult}(\gamma_i)$ denotes the factor by which γ_i multiplies dimension. It follows that up to unitary equivalence

$$\begin{aligned} \mu_{i+1} \gamma_i &= p_{i+1} \otimes e_{x_{i+1}} \gamma_i \\ &= \gamma_i(p_i) \otimes \text{mult}(\gamma_i) e_{x_i} \\ &= \text{mult}(\gamma_i) \gamma_i(p_i \otimes e_{x_i}) \\ &= \text{mult}(\gamma_i) \gamma_i \mu_i, \end{aligned}$$

and

$$\begin{aligned} v_{i+1}\gamma_i &= \gamma_i \otimes \mathbf{1}_{\dim(p_{i+1})} \\ &= \text{mult}(\gamma_i)\gamma_i \otimes \mathbf{1}_{\dim(p_i)} \\ &= \text{mult}(\gamma_i)\gamma_i v_i. \end{aligned}$$

Take δ_i and δ'_i to be r_i and s_i copies of γ_i , where r_i and s_i are integers to be specified. The conditions

$$\delta_i\phi_i^0 + \delta'_i\phi_i^1 = \phi_{i+1}^0\gamma_i$$

and

$$\delta_i\phi_i^1 + \delta'_i\phi_i^0 = \phi_{i+1}^1\gamma_i,$$

understood up to unitary equivalence imply that

$$r_i\gamma_i(l_i^t\mu_i - (k_i - l_i^t)v_i) + s_i\gamma_i(l_i^{1-t}\mu_i + (k_i - l_i^{1-t})v_i) = (l_{i+1}^t\mu_{i+1} + (k_{i+1} - l_{i+1}^t)v_{i+1})\gamma_i,$$

again, up to unitary equivalence. As $K_0(\mu_i)$ and $K_0(v_i)$ are independent, the above equation is equivalent to the two equations

$$\begin{aligned} r_i l_i^t + s_i l_i^{1-t} &= \text{mult}(\gamma_i)l_{i+1}^t, \\ (r_i + s_i)k_i &= \text{mult}(\gamma_i)k_{i+1}. \end{aligned}$$

Choose $r_i = 2 \text{mult}(\gamma_i)$ and $s_i = \text{mult}(\gamma_i)$, so that

$$k_{i+1} = 3k_i,$$

and

$$l_{i+1}^t = 2l_i^t + l_i^{1-t}.$$

Take $k_1 = 1$, $l_1^1 = 1$, and $l_1^0 = 0$. Then $l_i^1 - l_i^0 \neq 0$ for all i , as required.

Next, let us show that, up to unitary equivalence preserving the equations $\delta_i\phi_i^t + \delta'_i\phi_i^{1-t} = \phi_{i+1}^t\gamma_i$, $\phi_{i+1}^1\beta_i$ is a direct summand of $\delta_i = 2 \text{mult}(\gamma_i)\gamma_i$ and $\phi_{i+1}^0\beta_i$ is a direct summand of $\delta'_i = \text{mult}(\gamma_i)\gamma_i$.

Note that $\phi_{i+1}^t\beta_i$ is a direct sum of l_{i+1}^t copies of $p_{i+1} \otimes \beta_i$ and $(k_{i+1} - l_{i+1}^t)$ copies of β_i , whereas δ_i and δ'_i contain, respectively, $q_i \text{mult}(\gamma_i)$ and $2q_i \text{mult}(\gamma_i)$ copies of β_i . Note also, that by [5], Theorem 8.1.2, a trivial projection of dimension $\dim(p_{i+1}) + \frac{1}{2} \dim X_{i+1}$ in $C(X_{i+1}) \otimes \mathcal{K}$ contains a copy of p_{i+1} . Therefore, $2 \dim(p_{i+1}) + 2 \dim X_{i+1}$ copies of β_i contain a copy of $p_{i+1} \otimes \beta_i$ (since $2 \dim(p_{i+1}) + 2 \dim X_{i+1}$ copies of ξ_{i+1} contain a trivial projection of dimension $\dim(p_{i+1}) + \frac{1}{2} \dim X_{i+1}$). It follows that

$k_{i+1}(2 \dim(p_{i+1}) + 2 \dim X_{i+1})$ copies of β_i contain a copy of $\phi_{i+1}^t \beta_i$ when t is equal to either 0 or 1. By a copy of a given map from D_i to D_{i+1} we mean another map obtained from it by conjugating by a partial isometry in D_{i+1} with initial projection the image of the unit.

Note that

$$k_{i+1}(2 \dim(p_{i+1}) + 2 \dim X_{i+1}) = 6k_i \text{mult}(\gamma_i)(\dim(p_i) + \dim X_i),$$

and that $k_i, \dim(p_i)$, and $\dim X_i$ have already been specified, and do not depend on q_i . It follows that, with

$$q_i \geq 6k_i(\dim(p_i) + \dim X_i),$$

$q_i \text{mult}(\gamma_i)$ copies of β_i contain a copy of $\phi_{i+1}^t \beta_i$ ($t \in \{0, 1\}$). In particular δ'_i and δ_i contain copies of $\phi_{i+1}^0 \beta_i$ and $\phi_{i+1}^1 \beta_i$, respectively.

With q_i as above, let us show that for each $t = 0, 1$ there exists a unitary $u_t \in D_{i+1}$ such that

$$(\text{Ad } u_t)\phi_{i+1}^t \gamma_i = \phi_{i+1}^t \gamma_i,$$

with $(\text{Ad } u_0)\phi_{i+1}^0 \beta_i$ a direct summand of δ'_i and $(\text{Ad } u_1)\phi_{i+1}^1 \beta_i$ a direct summand of δ_i . In other words, for each $t = 0, 1$, we must show that the partial isometry constructed in the preceding paragraph, producing a copy of $\phi_{i+1}^t \beta_i$ inside δ_i or δ'_i may be chosen in such a way that it extends to a unitary element of D_{i+1} —which in addition commutes with the image of $\phi_{i+1}^t \gamma_i$.

Let us consider the case $t = 0$; the case $t = 1$ is similar. First note that the unit of the image of $\phi_{i+1}^0 \beta_i$ —the initial projection of the partial isometry transforming $\phi_{i+1}^0 \beta_i$ into a direct summand of δ'_i —lies in the commutant of the image of $\phi_{i+1}^0 \gamma_i$. Indeed, this projection is the image by $\phi_{i+1}^0 \beta_i$ of the unit of D_i , which, by construction, is the image of the unit of C_i by ϕ_i^1 . The property that $\beta_i \phi_i^1$ is a direct summand of γ_i implies that the image by $\beta_i \phi_i^1$ of the unit of C_i commutes with the image of γ_i . The unit of the image of $\phi_{i+1}^0 \beta_i$ therefore commutes with the image of $\phi_{i+1}^0 \gamma_i$, as desired.

The final projection of the above partial isometry also commutes with the image of $\phi_{i+1}^0 \gamma_i$. Indeed, it is the unit of the image of a direct summand of δ'_i , and since D_i is unital it is the image of the unit of D_i by this direct summand; since C_i is unital and $\phi_i^1 : C_i \rightarrow D_i$ is unital, the projection in question is the image of the unit of C_i by a direct summand of $\delta'_i \phi_i^1$. But $\delta'_i \phi_i^1$ is itself a direct summand of $\phi_{i+1}^0 \gamma_i$, and so the projection in question is the image of the unit of C_i by a direct summand of $\phi_{i+1}^0 \gamma_i$, and in particular commutes with the image of $\phi_{i+1}^0 \gamma_i$.

Note that both direct summands of $\phi_{i+1}^0 \gamma_i$ under consideration ($\phi_{i+1}^0 \beta_i \phi_i^1$ and a copy of it) factor through the evaluation of C_i at the point x_i , and so are contained in the largest such direct summand of $\phi_{i+1}^0 \gamma_i$; this largest direct summand, say π_i , is seen to exist by inspection of the construction of $\phi_{i+1}^0 \gamma_i$. Since both projections under consideration (the images of $1 \in C_i$ by the two copies of $\phi_{i+1}^0 \beta_i \phi_i^1$) are less than $\pi_i(1)$, to show that they are unitarily equivalent in the commutant of the image of $\phi_{i+1}^0 \gamma_i$ (in D_{i+1}) it is sufficient to show

that they are unitarily equivalent in the commutant of the image of π_i in $\pi_i(1)D_{i+1}\pi_i(1)$. Note that this image is isomorphic to $M_{\dim(p_i)}(C)$. By construction, the two projections in question are Murray-von Neumann equivalent in D_{i+1} and hence in $\pi_i(1)D_{i+1}\pi_i(1)$, but all we shall use from this is that they have the same class in $K^0 X_{i+1}$. Note that the dimension of these projections is $(k_{i+1} \dim(p_{i+1}))(k_i \dim(p_i))$, and that the dimension of $\pi_i(1)$ is at least $l_{i+1}^0 (\dim(p_{i+1}))^2$. Since the two projections under consideration commute with $\pi_i(C_i)$, and this is isomorphic to $M_{\dim(p_i)}(C)$, to prove unitary equivalence in the commutant of $\pi_i(C_i)$ in $\pi_i(1)D_{i+1}\pi_i(1)$ it is sufficient to prove unitary equivalence of the product of these projections with a fixed minimal projection of $\pi_i(C_i)$, say e . Since $K^0 X_{i+1}$ is torsion free, the products of the two projections under consideration with e still have the same class in $K^0 X_{i+1}$. To prove that they are unitarily equivalent in $eD_{i+1}e$, it is sufficient (and necessary) to prove that both they and their complements inside e are Murray-von Neumann equivalent. Since both the cut-down projections and their complements inside e have the same class in $K^0 X_{i+1}$, to prove that they (i.e., the two pairs) are equivalent it is sufficient, by [5], Theorem 8.1.5, to show that all four projections have dimension at least $\frac{1}{2} \dim X_{i+1}$ (note that $\dim X_i$ is even). Dividing the numbers above by $\dim(p_i)$ (the order of the matrix algebra), we see that the dimension of the first pair of projections is $k_{i+1}k_i \text{mult}(\gamma_i) \dim(p_i)$, so that the dimension of the second pair of projections is at least

$$\text{mult}(\gamma_i)(l_{i+1}^0 \dim(p_{i+1}) - k_{i+1}k_i \dim(p_i)).$$

By construction, $\dim(p_i) = \frac{1}{2} \dim X_i$. Since $k_{i+1}k_i$ is non-zero for all i , the first inequality holds. Since l_{i+1}^0 , the second inequality holds if $\text{mult}(\gamma_i)$ is strictly greater than $k_{i+1}k_i$. Since $k_{i+1}k_i = 3k_i^2$, and k_i was chosen before q_i , we may modify our choice of q_i to ensure that $\text{mult}(\gamma_i)$ is sufficiently large.

This shows that the two projections in D_{i+1} under consideration are unitarily equivalent by a unitary in the commutant of the image of $\phi_{i+1}^0 \gamma_i$. Replacing ϕ_{i+1}^0 by its composition with the corresponding inner automorphism, we may suppose that the two projections in question are equal. In other words $\phi_{i+1}^0 \beta_i$ is unitarily equivalent to the cut-down of δ'_i by the projection $\phi_{i+1}^0 \beta_i(1)$.

Now consider the compositions of these two maps with ϕ_i^1 , i.e., $\phi_{i+1}^0 \beta_i \phi_i^1$ and the cut-down of $\delta'_i \phi_i^1$ by the projection $\phi_{i+1}^0 \beta_i(1)$. Since both of these maps can be viewed as the cut-down of $\phi_{i+1}^0 \gamma_i$ by the same projection, they are in fact the same map.

Therefore, any unitary inside the cut-down of D_{i+1} by $\phi_{i+1}^0 \beta_i(1)$ taking $\phi_{i+1}^0 \beta_i$ into the cut-down of δ'_i by this projection—such a unitary is known to exist—must commute with the image of $\phi_{i+1}^0 \beta_i \phi_i^1$, and hence with the image of $\phi_{i+1}^0 \gamma_i$ —since this commutes with the projection $\phi_{i+1}^0 \beta_i(1)$. The extension of such a partial unitary to a unitary u_0 in D_{i+1} equal to one inside the complement of this projection then belongs to the commutant of the image of $\phi_{i+1}^0 \gamma_i$, and transforms $\phi_{i+1}^0 \beta_i$ into the cut-down of δ'_i by this projection, as desired.

Inspection of the construction will show that the maps $\delta'_i - \phi_i^0 \beta_i$ and $\delta_i - \phi_i^1 \beta_i$ are injective, as required in the hypotheses of Theorem 2.4.

Replacing ϕ_{i+1}^t with $(\text{Ad } u_i)\phi_{i+1}^t$ and deforming the β_i to other point evaluations α_i which are non-zero on a given element (as we may, since X_i is connected), we have completed the construction of the desired inductive system (A_i, θ_i) satisfying the hypotheses of Theorem 2.4. Thus, the limit B_n of the inductive system with deformed finite stage maps, (A_i, θ'_i) , is simple. Notice that $(\mathbf{K}_0 B_n, [1_{B_n}]) = (\mathbb{Z}, 1)$ —the θ'_i are unital and $(\mathbf{K}_0 A_i, [1_{A_i}]) = (\mathbb{Z}, 1)$ for every i —and that B_n is separable, nuclear and stably finite since each of the A_i is $([1])$.

4. The main result

In this section we prove Theorem 1.1 through a series of lemmas. We establish that $\text{sr}(B_n) \in \{n + 1, n + 2\}$ (Lemma 4.1), that \mathbf{K}_* is weakly unperforated (Lemma 4.3), and that B_n does not absorb \mathcal{L} (Lemma 4.4). Taken together, these results show that B_n is as claimed in Theorem 1.1.

Lemma 4.1.

$$\text{sr}(B_n) \in \{n + 1, n + 2\}.$$

The proof will depend on some definitions and results which we review below.

For a unital C^* -algebra A we let

$$\text{Lg}_s(A) = \{(a_1, \dots, a_s) \in A^s \mid a_1 A + \dots + a_s A = A\}$$

for every natural number s , and recall that the stable rank of A , $\text{sr}(A)$, is the least natural number s such that $\text{Lg}_s(A)$ is dense in A^s . If no such natural number exists, we set $\text{sr}(A) = \infty$ ([9]). Note that if (c_k, d_k) are elements of a generalised mapping torus $A(C, D, \phi_0, \phi_1)$ for $k \in \{1, 2, \dots, n\}$ such that

$$\text{dist}((c_1, c_2, \dots, c_n), \text{Lg}_n(C)) \geq \delta,$$

then

$$\text{dist}(((c_1, d_1), (c_2, d_2), \dots, (c_n, d_n)), \text{Lg}_n(A)) \geq \delta.$$

Indeed, one can check that

$$\|(c, d)\| := \max\left\{\|c\|, \sup_{t \in [0, 1]} \|d(t)\|\right\}$$

defines the unique C^* -norm on $A(C, D, \phi_0, \phi_1)$. Thus, if $\text{dist}(c, c') \geq \delta$ for $c, c' \in C$, then $\text{dist}((c, d), (c', d')) \geq \delta$ for any $(c, d), (c', d') \in A(C, D, \phi_0, \phi_1)$.

For the remainder of this proof, any notation with subscript i refers, where applicable, to the corresponding object in section 3. In order to show that B_n has stable rank greater than n , we must exhibit n sequences of elements $A_i \ni a_{i,j} = \theta'_{i1}(a_{1,j}) = (c_{i,j}, d_{i,j})$, $1 \leq j \leq n$, $i \in \mathbb{N}$, such that

$$\text{dist}(((c_{i,1}, d_{i,1}), (c_{i,2}, d_{i,2}), \dots, (c_{i,n}, d_{i,n})), \text{Lg}_n(A_i)) \geq \delta > 0$$

for all i . From this it follows that

$$\text{dist}((\theta'_{\infty 1}((c_{1,1}, d_{1,1})), \dots, \theta'_{\infty 1}((c_{1,n}, d_{1,n}))), \text{Lg}_n(B_n)) \geq \delta,$$

so that $\text{sr}(B_n) > n$ by definition. (Here $\theta'_{\infty 1}$ denotes the inclusion of A_i into B_n .) By the definition of the norm on the A_i , it will be enough to show that

$$\text{dist}((c_{i,1}, c_{i,2}, \dots, c_{i,n}), \text{Lg}_n(C_i)) \geq \delta > 0$$

for all i .

We now review Theorem 7 of [11]. Let $e(\cdot)$ denote the Euler class of a vector bundle. Suppose that C is a C^* -algebra of the form

$$(r + q)(C(M \times D^n) \otimes \mathcal{K})(r + q),$$

where M is a smooth oriented manifold, and r and q are orthogonal projections in $C(M \times D^n) \otimes \mathcal{K}$ such that r corresponds to the trivial line bundle and q corresponds to a vector bundle α for which $e(\alpha)^n \neq 0$. Let $\pi : M \times D^n \rightarrow D^n$ be projection onto D^n , and let $f_j : D^n \rightarrow D$ be the j^{th} co-ordinate projection.

Theorem 4.2 (Villadsen [11], Theorem 7). *Let C, π and f_j be as above, and let $\tilde{c} = (c_1, \dots, c_n) \in C^n$ be such that $rc_jr = (f_j \circ \pi)r$ for all $1 \leq j \leq n$. Then, $\text{dist}(\tilde{c}, \text{Lg}_n(C)) \geq 1$.*

Proof of Lemma 4.1. We wish to apply Theorem 4.2 above to the algebras $C_i, i \geq 1$. The sequel is similar to the proof of Theorem 8 in [11]. For all i , let r_i denote the sub-projection of the unit of C_i corresponding to the one-dimensional trivial sub-bundle of $\theta_1 \times \xi_n \times \dots \times \sigma(i)\xi_{n\sigma(i)}$. Note that p_i considered as a vector bundle over X_i is the Whitney sum of r_i and a second vector bundle, say q_i , and this second vector bundle has $e(q_i)^n \neq 0$. Indeed,

$$q_i = \xi_n \times \sigma(2)\xi_{n\sigma(2)} \times \dots \times \sigma(i)\xi_{n\sigma(i)},$$

and $e(\omega \oplus \gamma) = e(\omega)e(\gamma)$ for any two vector bundles ω and γ over a fixed base space so that

$$e(q_i)^n = e(\xi_n)^n e(\xi_{n\sigma(2)})^{n\sigma(2)} \dots e(\xi_{n\sigma(i)})^{n\sigma(i)}.$$

(We are, as before, abusing notation slightly, using ξ_k to represent the bundle induced on X_i by ξ_k via projection from X_i onto $\mathbb{C}P^k$.) Since the integral cohomology ring $H^*(\mathbb{C}P^k)$ is generated by $e(\xi_k)$ with the relation $e(\xi_k)^{k+1} = 0$, we may conclude by the K nneth Theorem that $e(q_i)^n \neq 0$, as claimed. Each X_i is of the form $M_i \times D^n$ for some smooth oriented manifold M_i , so the C_i have the same form as the algebra C of Theorem 4.2.

Note that for any element $c \in C_i$ there exists an element $(c, d) \in A_i$ for some suitable $d \in C([0, 1]; D)$. Let $\pi_i : X_i \rightarrow D^n$ be the co-ordinate projection, and let $f_j : D^n \rightarrow D$ be projection onto the j^{th} co-ordinate. Let $a_{1,j} = (c_{1,j}, d_{1,j})$ be elements of A_1 such that

$c_{1,j} = (f_j \circ \pi_1)r_1$, $1 \leq j \leq n$. For each $i \geq 2$, put $a_{i,j} = \theta'_{i-1} \circ \theta'_{i-2} \circ \cdots \circ \theta'_1(a_{1,j})$. Write $a_{i,j} = (c_{i,j}, d_{i,j})$.

In section 3, the map γ_i was constructed as the direct sum of ψ_i and a second map. Let ψ_{i1} denote the composition $\psi_i \circ \psi_{i-1} \circ \cdots \circ \psi_1$. Note that $\psi_{i1}(r_1) = r_{i+1}$. By Theorem 2.3,

$$c_{i+1,j} = \psi_i(c_{i,j}) \oplus c'_{i+1,j},$$

where $c'_{i+1,j}$ is an element of the cut down of C_i by q_i ; the deformation of θ_i to θ'_i is visible in the fibre at infinity only in the perturbation of the image of the second direct summand of ψ_i —the image of ψ_i remains unchanged. Thus, by construction

$$r_{i+1}c_{i+1,j}r_{i+1} = \psi_{i1}(r_1)c_{i+1,j}\psi_{i1}(r_1) = \psi_{i1}(c_{1,j}) = (f_j \circ \pi_i)\psi_{i1}(r_1) = (f_j \circ \pi_i)r_{i+1}.$$

By Theorem 4.2 we conclude that

$$\text{dist}((c_{i+1,1}, c_{i+1,2}, \dots, c_{i+1,n}), \text{Lg}_n(C_{i+1})) \geq 1.$$

As noted above, this implies that the simple limit B_n has stable rank strictly greater than n .

We now show that $\text{sr}(B_n) \leq n + 2$. Given an exact sequence $B \rightarrow A \rightarrow C$ of C^* -algebras, [9], Corollary 4.12, states that

$$\text{sr}(A) \leq \max\{\text{sr}(B), \text{sr}(C) + 1\}.$$

Applying this formula to the exact sequence $SD_i \rightarrow A_i \rightarrow C_i$ we have

$$\text{sr}(A_i) \leq \max\{\text{sr}(SD_i), \text{sr}(C_i) + 1\}.$$

It is known that

$$\text{sr}(p(C(X) \otimes \mathcal{K})p) = \lceil [\dim X/2]/\dim p \rceil + 1$$

whenever X a compact Hausdorff space and p is a projection in $C(X) \otimes \mathcal{K}$ ([8]). Thus, $\text{sr}(C_i) = \text{sr}(p_i(C(X_i) \otimes \mathcal{K})p_i) = n + 1$ by inspection of the dimensions of the p_i and X_i . Since SD_i is an ideal in $D_i \otimes C([0, 1])$, we have

$$\text{sr}(SD_i) \leq \text{sr}(D_i \otimes C([0, 1])) \leq \text{sr}(D_i) + 1$$

by [9], Corollary 7.2. [9], Theorem 6.1 states that

$$\text{sr}(M_n(A)) \leq \lceil (\text{sr}(A) - 1)/n \rceil + 1,$$

so that $\text{sr}(D_i) = \text{sr}(M_{k_i, \dim p_i} \otimes C_i) \leq n + 1$ for all i . We conclude that $\text{sr}(A_i) \leq n + 2$, so that $\text{sr}(B_n) \leq n + 2$ by [9], Theorem 5.1. Combining this with the fact that $\text{sr}(B_n) \geq n + 1$ yields Lemma 4.1. \square

Lemma 4.3. *The ordered group $K_*B_n = K_0B_n \oplus K_1B_n$ is weakly unperforated. Its order structure is the strict one coming from the first direct summand $(K_0B_n, K_0B_n^+) = (\mathbb{Z}, \mathbb{Z}^+)$.*

Proof. Since $(K_0B_n, K_0B_n^+)$ is weakly unperforated it will be enough to show that every element in K_1B_n is the K_1 -class of a unitary element in B_n . Since K_*B_n is the inductive limit of the K_*A_i , it will suffice to prove this assertion for all A_i with i sufficiently large. By the formulas and discussion in the proof of Lemma 4.1, we know that $\text{sr}(M_{\dim p_i}(SC_i)) = 2$ for all i sufficiently large. Assume that i is so large for the remainder of the proof.

From [1] and [9] we know that there is a bijection between elements of K_1SD_i and the K_1 -classes of unitaries in $M_3 \otimes M_{\dim p_i}(SC_i)$. Furthermore, any unitary in this latter algebra is homotopic to a unitary in $M_{3 \dim p_i}(SC_i)$. Unitaries in $M_{3 \dim p_i}(SC_i)$ give rise to unitaries in SD_i , since $3 \leq k_i$ for all i . Thus, every element of K_1SD_i can be represented as the K_1 -class of a unitary. The map $K_1\iota$ induced by the inclusion $\iota : SD_i \rightarrow A_i$ is surjective (as $K_1C_i = 0$) and the desired conclusion for A_i follows from functoriality. \square

Lemma 4.4. *For $n \geq 2$, B_n and $B_n \otimes \mathcal{L}$ are not isomorphic.*

Proof. We proceed by showing that $\text{sr}(B_n \otimes \mathcal{L}) \leq 2$, so that $\text{sr}(B_n) \neq \text{sr}(B_n \otimes \mathcal{L})$.

The algebra \mathcal{L} is an inductive limit of prime dimension drop algebras $I[p_i, p_iq_i, q_i]$, $i = 1, 2, \dots$, where $p_i \rightarrow \infty$ and $q_i \rightarrow \infty$ as $i \rightarrow \infty$ (cf. [6]). For any C^* -algebra A the algebra $I[p_i, p_iq_i, q_i] \otimes A$ is a full algebra of operator fields, so by [8], Theorem 1.1, we have

$$\text{sr}(I[p_i, p_iq_i, q_i] \otimes A) \leq \sup_{t \in [0,1]} \{ \text{sr}(A_t \otimes C([0, 1])) \},$$

where A_t is the fibre of $I[p_i, p_iq_i, q_i] \otimes A$ at $t \in [0, 1]$. Since each such fibre is one of $M_{p_i}(A)$, $M_{q_i}(A)$, or $M_{p_iq_i}(A)$ we may rewrite our estimate above as

$$\text{sr}(I[p_i, p_iq_i, q_i] \otimes A) \leq \max \{ \text{sr}(M_{p_iq_i}(A \otimes C([0, 1]))), \text{sr}(M_{q_i}(A \otimes C([0, 1]))), \text{sr}(M_{p_i}(A \otimes C([0, 1])) \}.$$

By [9], Corollary 7.2, we have $\text{sr}(A \otimes C[0, 1]) \leq \text{sr}(A) + 1$. By [9], Theorem 6.1, we have that $\text{sr}(M_n(A)) \leq \lceil (\text{sr}(A) - 1)/n \rceil + 1$. Thus, there exists $i_0 \in \mathbb{N}$ such that $\text{sr}(M_{p_iq_i}(A \otimes C([0, 1]))), \text{sr}(M_{q_i}(A \otimes C([0, 1])))$ and $\text{sr}(M_{p_i}(A \otimes C([0, 1])))$ are all less than or equal to two for $i \geq i_0$. We conclude that

$$\text{sr}(I[p_i, p_iq_i, q_i] \otimes A) \leq 2$$

for all $i \geq i_0$. Finally, $B_n \otimes \mathcal{L}$ is an inductive limit of algebras of the form $I[p_i, p_iq_i, q_i] \otimes B_n$, all but finitely many of which have stable rank less than or equal to two. By [9], Theorem 5.1, the limit $B_n \otimes \mathcal{L}$ must have stable rank less than or equal to two, as claimed. \square

Thus, we have established Theorem 1.1. In closing, we note that given two natural numbers n and m one may carry out the construction of section 3 to produce algebras B_n and B_m which, if the parameters q_i are chosen to be the same for both constructions, will have isomorphic Elliott invariants. This shows that one can produce simple, nuclear,

infinite-dimensional, stably finite counterexamples to the Elliott conjecture which lie entirely outside the class of \mathcal{L} absorbing C^* -algebras. The explicit calculation of $\text{Ell}(B_n)$ and $\text{Ell}(B_m)$ is long and not particularly illuminating. We leave it to the reader.

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Eingegangen 7. August 2003, in revidierter Fassung 3. März 2004