

\mathcal{Z} -Stable ASH Algebras

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Abstract. The Jiang–Su algebra \mathcal{Z} has come to prominence in the classification program for nuclear C^* -algebras of late, due primarily to the fact that Elliott’s classification conjecture in its strongest form predicts that all simple, separable, and nuclear C^* -algebras with unperforated K-theory will absorb \mathcal{Z} tensorially, *i.e.*, will be \mathcal{Z} -stable. There exist counterexamples which suggest that the conjecture will only hold for simple, nuclear, separable and \mathcal{Z} -stable C^* -algebras. We prove that virtually all classes of nuclear C^* -algebras for which the Elliott conjecture has been confirmed so far consist of \mathcal{Z} -stable C^* -algebras. This follows in large part from the following result, also proved herein: separable and approximately divisible C^* -algebras are \mathcal{Z} -stable.

Introduction

The Jiang–Su algebra \mathcal{Z} is a simple, separable, unital and nuclear C^* -algebra KK-equivalent to \mathbb{C} [12]. Since its discovery in 1995 there has been a steady accumulation of evidence linking \mathcal{Z} to Elliott’s program to classify separable, nuclear C^* -algebras via K-theoretic invariants: Jiang and Su [12] proved that simple, infinite-dimensional AF algebras and Kirchberg algebras (simple, nuclear, purely infinite and satisfying the universal coefficient theorem) are \mathcal{Z} -stable, *i.e.*, for any such algebra A one has an isomorphism $\alpha: A \rightarrow A \otimes \mathcal{Z}$. This in particular implies that the purely infinite algebras covered by Kirchberg’s classification of \mathcal{O}_2 -stable C^* -algebras and by the Kirchberg–Phillips classification are \mathcal{Z} -stable [14]. Gong, Jiang, and Su [8] showed that if a simple, unital and nuclear C^* -algebra A has a weakly unperforated ordered K_0 -group, then the ordered K_0 -groups of A and $A \otimes \mathcal{Z}$ are isomorphic. All known counterexamples to Elliott’s classification conjecture fail to be \mathcal{Z} -stable.

In the present paper (a natural sequel to [30]) we prove that as of this moment, all classes of non-type-I C^* -algebras for which the Elliott conjecture is confirmed consist entirely of \mathcal{Z} -stable algebras. In the approximately homogeneous (AH) case, this result follows for the most part from [5, 6] and our Theorem 2.3, which states that separable and approximately divisible C^* -algebras are \mathcal{Z} -stable. One must then expend considerable effort in proving that certain approximately subhomogeneous (ASH) C^* -algebras which are not approximately homogeneous are nevertheless \mathcal{Z} -stable — such algebras need not be approximately divisible. To the best of the authors’ knowledge, the classification results of [7, 10, 12, 13, 17, 20, 27, 31] exhaust the known classes of properly ASH, *i.e.*, not AH, algebras for which the Elliott conjecture is confirmed. We prove that some of these classification results in fact cover approximately divisible C^* -algebras, and so are \mathcal{Z} -stable by Theorem 2.3. For the remaining classes of

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ASH algebras, we develop an approach to proving \mathcal{Z} -stability which should remain applicable as more general classification results for ASH algebras arise.

We feel that our results, combined with the counterexamples of [22, 28, 29], demonstrate the necessity for stabilisation by \mathcal{Z} in the classification program for separable, nuclear C^* -algebras.

1 \mathcal{Z} -Stability and an Augmented Invariant

In this section we review the effect of tensoring with \mathcal{Z} on the Elliott invariant of a simple, unital and nuclear C^* -algebra A , and examine the effect of this operation on an augmented version of the invariant. Our conclusion, predictably, is that tensoring with \mathcal{Z} has no effect whatsoever provided that (the invariant of) A is sufficiently well behaved.

Let A be a simple and nuclear C^* -algebra. Define an invariant

$$I(A) := ((K_*A, K_*A^+), T^+A, r_A, \mu_A),$$

where (K_*A, K_*A^+) is the (pre-)ordered topological K -theory of A (this includes the order on the direct sum $K_*A := K_0A \oplus K_1A$ coming from partial unitaries, which we review below), T^+A is the (possibly empty) space of positive tracial functionals, r_A is the pairing between T^+A and K_0A given by evaluation, and $\mu_A: T^+A \rightarrow \mathbb{R}^+$ is the trace-norm map defined by $\mu_A(\tau) = \sup_{a \in \mathcal{B}_1(A)} \tau(a)$, where $\mathcal{B}_1(A)$ is the unit ball of A . If A is unital, then we replace T^+A with the space of tracial states TA , and ignore μ_A (it is identically equal to one). We include instead the K_0 -class of the unit, $[\mathbf{1}_A]$. The space T^+A and the pairing r_A are only relevant in the setting of stably finite C^* -algebras.

The invariant $I(A)$ is a (slightly) augmented version of the usual Elliott invariant. The latter, say $\text{Ell}(A)$, is obtained from $I(A)$ by considering only the order structure on K_0A , rather than K_*A .

To prepare the next proposition, we review the definition of K_*A^+ . An element u of a unital C^* -algebra A is called a *partial unitary* if it is both normal and a partial isometry. For such a u , the element $u + (\mathbf{1}_A - uu^*)$ is a unitary in A . Say that an element $x \oplus y \in K_0A \oplus K_1A = K_*A$ is positive if

- (i) $x = [p]$ for some projection $p \in M_n(A)$,
- (ii) there is a partial unitary $v \in M_k(A)$ such that

$$[vv^*] \leq [p] \quad \text{and} \quad [v + (\mathbf{1}_{M_k(A)} - vv^*)]_1 = y.$$

Proposition 1.1 *Let A be a simple, separable, unital, and nuclear C^* -algebra. Then $I(A) \cong I(A \otimes \mathcal{Z})$ if and only if the (pre-)ordered group (K_0A, K_0A^+) is weakly unperforated and the order structure on K_*A is the strict order coming from the direct summand K_0A of K_*A .*

Proof In [8] it is shown that $\text{Ell}(A) \cong \text{Ell}(A \otimes \mathcal{Z})$ whenever (K_0A, K_0A^+) is weakly unperforated, so that any difference between $I(A)$ and $I(A \otimes \mathcal{Z})$ must occur in the cone K_*A^+ .

Theorem 2 of [11] states that the natural map

$$\eta: \mathcal{U}(B \otimes \mathcal{Z})/\mathcal{U}(B \otimes \mathcal{Z})_0 \rightarrow K_1(B \otimes \mathcal{Z})$$

is an isomorphism whenever B is a unital C^* -algebra. For a projection $p \in B$, the map $\iota: K_1(pBp) \rightarrow K_1B$ given by sending the class of a unitary $v \in M_k(pBp)$ to the class of the unitary $v + (\mathbf{1}_{M_k(B)} - \mathbf{1}_{M_k(pBp)}) \in M_k(B)$ is surjective whenever p is full. Let $q \in A \otimes \mathcal{Z}$ be a projection, and let $y \in K_1(A \otimes \mathcal{Z})$. Since A is simple, q is full and the map $\iota: K_1(q(A \otimes \mathcal{Z})q) \rightarrow K_1(A \otimes \mathcal{Z})$ is surjective, hence there is a unitary $v \in M_k(q(A \otimes \mathcal{Z})q)$, some $k \in \mathbb{N}$, such that $\iota([v]_1) = y$. By [11, Lemma 3.2], \mathcal{Z} -stability passes to corners (in fact, to hereditary subalgebras; see [30, §3]), whence the map

$$\eta: \mathcal{U}(q(A \otimes \mathcal{Z})q)/\mathcal{U}(q(A \otimes \mathcal{Z})q)_0 \rightarrow K_1(q(A \otimes \mathcal{Z})q)$$

is an isomorphism. It follows that v may be chosen to lie in $q(A \otimes \mathcal{Z})q$. Thus, $([q], y)$ is positive for every y , and $K_*(A \otimes \mathcal{Z})$ has the strict order coming from $K_0(A \otimes \mathcal{Z})$. ■

Proposition 1.1 does not address non-unital algebras, and cannot be adapted immediately to a non-unital algebra with unit adjoined. Nevertheless, we can say something about $I(A \otimes \mathcal{Z})$ in this case: if γ is the unique normalised trace on \mathcal{Z} , then the isomorphism $\zeta: T^+A \rightarrow T^+(A \otimes \mathcal{Z})$ given by $\zeta(\tau) = \tau \otimes \gamma$ preserves the trace-norm map.

2 Approximate Divisibility

In this section we show that separable, approximately divisible C^* -algebras are \mathcal{Z} -stable, answering a question posed by Jiang [11]. We first recall the definition of approximate divisibility, then give a new version of [30, Theorem 2.2] to make the special inductive limit decomposition of \mathcal{Z} available for our purposes. Following the terminology of [30], we denote by $\mathcal{Q}(A)$ the quotient $\prod_{\mathbb{N}} A / \bigoplus_{\mathbb{N}} A$ for any C^* -algebra A ; $\mathcal{M}(A)$ will be the multiplier algebra of A .

Definition 2.1 A C^* -algebra A is said to be approximately divisible, if, for any $N \in \mathbb{N}$, there is a sequence of unital $*$ -homomorphisms $\mu_n: M_N \oplus M_{N+1} \rightarrow \mathcal{M}(A)$ which is approximately central for A , i.e., $\|[\mu_n(x), a]\| \xrightarrow{n \rightarrow \infty} 0$ for all $a \in A$ and $x \in M_N \oplus M_{N+1}$.

Proposition 2.2 Let A and \mathcal{D} be separable C^* -algebras, \mathcal{D} unital, strongly self-absorbing and K_1 -injective. Suppose that \mathcal{D} can be written as the closure of an increasing union of nuclear C^* -algebras \mathcal{D}_i , $i \in \mathbb{N}$. If for each $i \in \mathbb{N}$ there exists a unital $*$ -homomorphism $\gamma_i: \mathcal{D}_i \rightarrow \mathcal{Q}(\mathcal{M}(A)) \cap A'$, then there is an isomorphism $\varphi: A \rightarrow A \otimes \mathcal{D}$ and $\varphi \approx_{\text{a.u.}} \text{id}_A \otimes \mathbf{1}_{\mathcal{D}}$.

Proof By the Choi–Effros lifting theorem we can lift the γ_i to u.c.p. maps

$$\tilde{\gamma}_i: \mathcal{D}_i \rightarrow \prod_{\mathbb{N}} \mathcal{M}(A).$$

Denote the components of $\tilde{\gamma}_i$ by $\tilde{\gamma}_{i,n}$, $n \in \mathbb{N}$. Each $\tilde{\gamma}_{i,n}$ is a nuclear u.c.p. map, so it can be approximated pointwise by finite rank u.c.p. maps. These in turn may be extended to u.c.p. maps $\tilde{\gamma}_{i,n}^{(k)}: \mathcal{D} \rightarrow \mathcal{M}(A)$ by Arveson’s extension theorem. Fix i for a moment; using separability of \mathcal{D}_i we can choose a suitable subsequence $(k_n)_{n \in \mathbb{N}}$ of $(k)_{k \in \mathbb{N}}$, such that the u.c.p. map

$$\tilde{\gamma}_i := (\tilde{\gamma}_{i,n}^{(k_n)})_{n \in \mathbb{N}}: \mathcal{D} \rightarrow \prod_{\mathbb{N}} \mathcal{M}(A),$$

when restricted to \mathcal{D}_i , also lifts γ_i . Again, let $\tilde{\gamma}_{i,n}$ denote the components of $\tilde{\gamma}_i$.

But now, using the properties of the γ_i and the fact that the \mathcal{D}_i exhaust all of \mathcal{D} , it is straightforward to construct subsequences $(i_k)_{k \in \mathbb{N}}$ of $(i)_{i \in \mathbb{N}}$ and $(n_k)_{k \in \mathbb{N}}$ of $(n)_{n \in \mathbb{N}}$, such that the u.c.p. map $\tilde{\gamma} := (\tilde{\gamma}_{i_k, n_k})_{k \in \mathbb{N}}: \mathcal{D} \rightarrow \prod_{\mathbb{N}} \mathcal{M}(A)$ induces a $*$ -homomorphism $\gamma': \mathcal{D} \rightarrow \mathcal{Q}(\mathcal{M}(A)) \cap A'$. The assertion now holds by [21, Theorem 7.2.2]. ■

Theorem 2.3 *Let A be a separable and approximately divisible C^* -algebra. Then there is an isomorphism $\varphi: A \rightarrow A \otimes \mathcal{Z}$ and $\varphi \approx_{\text{a.u.}} \text{id}_A \otimes \mathbf{1}_{\mathcal{Z}}$.*

Proof By [12, Proposition 2.5], \mathcal{Z} may be written as an increasing union of dimension drop algebras $I[p_j, p_j q_j, q_j]$ with relatively prime integers p_j, q_j . For each $j \in \mathbb{N}$ there are integers m_j, n_j such that $1 = m_j \cdot p_j + n_j \cdot q_j$, since p_j, q_j are relatively prime. Define $N_j := |m_j| \cdot p_j + |n_j| \cdot q_j$ and unital $*$ -homomorphisms

$$\gamma'_j: I[p_j, p_j q_j, q_j] \rightarrow M_{N_j} \oplus M_{N_j+1}$$

by

$$\gamma'_j := \left(\bigoplus_1^{|m_j|} \text{ev}_0 \oplus \bigoplus_1^{|n_j|} \text{ev}_1 \right) \oplus \left(\bigoplus_1^{|m_j|+m_j} \text{ev}_0 \oplus \bigoplus_1^{|n_j|+n_j} \text{ev}_1 \right).$$

By definition of approximate divisibility, for each $j \in \mathbb{N}$ there exists a unital $*$ -homomorphism $\iota_j: M_{N_j} \oplus M_{N_j+1} \rightarrow \mathcal{Q}(\mathcal{M}(A)) \cap A'$. Define unital $*$ -homomorphisms

$$\gamma_j: I[p_j, p_j q_j, q_j] \rightarrow \mathcal{Q}(\mathcal{M}(A)) \cap A'$$

by $\gamma_j := \iota_j \circ \gamma'_j$ and the result will follow from Proposition 2.2. ■

3 AH Algebras

By [6], simple AH algebras of bounded topological dimension are approximately divisible, so Theorem 2.3 yields the following.

Corollary 3.1 *A separable, unital and simple AH algebra of finite topological dimension is \mathcal{Z} -stable.*

Note that the corollary covers precisely the algebras classified in [5]. There are also a number of classification results for non-unital and simple AH algebras, and for non-simple AH algebras. In recent work Ivanescu [10] has proved that simple C^* -algebras which are stably isomorphic to approximately interval (AI) algebras are

classified up to isomorphism by the invariant $I(\cdot)$ described in Section 1 of this paper, generalising work of I. Stevens [25]. AI algebras are approximately divisible (cf. [4]), as are their tensor products with the compact operators [30, Corollary 3.2]. It follows that the stabilization $A \otimes \mathcal{K}$ of an algebra A as treated in [10] is \mathcal{Z} -stable. Another application of [30, Corollary 3.2] shows that A itself must be \mathcal{Z} -stable.

In the non-simple case, K. Stevens [26] classifies certain non-simple and approximately divisible AI algebras, which by Theorem 2.3 are \mathcal{Z} -stable. There is also the impressive result of Dadarlat and Gong [2], which classifies certain ASH algebras of real rank zero. These are frequently approximately divisible, subject to K-theoretic conditions which we will not describe here.

The converse of Theorem 2.3 is not true; in fact, \mathcal{Z} itself is a counterexample (\mathcal{Z} is not approximately divisible, since it is unital and projectionless). However, it seems natural to ask for a converse at least in the case of an abundance of projections:

Question 3.2 Are approximate divisibility and \mathcal{Z} -stability equivalent for simple, unital, nuclear, non-type-I C^* -algebras of real rank zero?

The idea that \mathcal{Z} -stability should entail classifiability via the Elliott invariant also suggests the following.

Question 3.3 Let A be a separable, unital and simple AH algebra. Is $A \otimes \mathcal{Z}$ an AH algebra of finite topological dimension?

As it turns out, we can make some immediate progress on Question 3.3.

Proposition 3.4 Let $A := p(\mathcal{C}(X) \otimes \mathcal{K})p$ be a $\text{rank}(p)$ -homogeneous C^* -algebra over a connected compact Hausdorff space X . Then

$$((K_0(A \otimes \mathcal{Z}), K_0(A \otimes \mathcal{Z})^+, [p \otimes \mathbf{1}_{\mathcal{Z}}]), K_1(A \otimes \mathcal{Z}))$$

is a weakly unperforated, graded, ordered group with the strict order coming from K_0 . Furthermore, the strictly positive elements of $K_0(A \otimes \mathcal{Z})$ are precisely the images under the map $K_0(\text{id}_A \otimes \mathbf{1}_{\mathcal{Z}})$ of those elements in $K_0(A)$ having strictly positive virtual dimension.

Proof Since p is a full projection, one may repeat the proof of Proposition 1.1 to conclude that $K_*(A \otimes \mathcal{Z})$ has the strict order coming from K_0 .

The elements of $K_0(A)$ can be thought of as differences of stable isomorphism classes of complex vector bundles over X . Let $x = [\gamma] - [\omega]$ be such a difference. The quantity $\text{rank}(\gamma) - \text{rank}(\omega)$ is known as the virtual dimension of x . If $\text{rank}(\gamma) - \text{rank}(\omega) > 0$, then there exists $N \in \mathbb{N}$ such that $nx \in K^0(X)^+$ for all $n \geq N$ [9, Theorem 8.1.2]. If $\text{rank}(\gamma) - \text{rank}(\omega) = 0$, then $mx \in K^0(X)^+$ for some $m \in \mathbb{N}$ if and only if $mx = 0$. If $\text{rank}(\gamma) - \text{rank}(\omega) < 0$, then no positive integral multiple of x is positive in $K_0(A)$. Note that $(K_0(A), K_0(A)^+, [p])$ is a simple ordered group: every non-zero positive element is represented by a difference $x = [\gamma] - [\omega]$ where $\text{rank}(\gamma) - \text{rank}(\omega) > 0$, and the stability properties of vector bundles imply that every sufficiently large natural number multiple of x will dominate a second fixed element $y \in K^0(X)$.

By [8, Corollary 1.3], the inclusion $\iota: A \rightarrow A \otimes \mathcal{Z}$ given by $a \mapsto a \otimes \mathbf{1}_{\mathcal{Z}}$ induces a group isomorphism $\iota_*: K_0(A) \rightarrow K_0(A \otimes \mathcal{Z})$. Theorem 1.4 in [8] states that, with ι as above and $x \in K_0(A)$, one has $\iota_*(x) > 0$ if and only if $nx > 0$ for some $n \in \mathbb{N}$. The hypotheses of this theorem are that A is simple and unital, but an examination of the proof shows that these can be weakened to the assumptions that A is stably finite and that $K_0(A)$ is a simple ordered group. Applying this new version of [8, Theorem 1.4] to our situation yields the following: $(K_0(A \otimes \mathcal{Z}), K_0(A \otimes \mathcal{Z})^+, [p \otimes \mathbf{1}_{\mathcal{Z}}])$ is a simple ordered group such that $\iota_*([\gamma] - [\omega]) > 0$ if and only if $\text{rank}(\gamma) - \text{rank}(\omega) > 0$. ■

With A as in the proposition above, we have that

$$((K_0(A \otimes \mathcal{Z}), K_0(A \otimes \mathcal{Z})^+, [p \otimes \mathbf{1}_{\mathcal{Z}}]), K_1(A \otimes Z))$$

has the strict order coming from $K_0(A \otimes Z)$, and that

$$(K_0(A \otimes \mathcal{Z}), K_0(A \otimes \mathcal{Z})^+, [p \otimes \mathbf{1}_{\mathcal{Z}}])$$

is a finitely generated ordered abelian group order isomorphic to

$$G := \mathbb{Z}^n \oplus (K_0(A \otimes \mathcal{Z}))_{\text{tor}} = \mathbb{Z}^n \oplus G_{\text{tor}},$$

where an element $(x_1, \dots, x_n) \oplus g \in G$ is strictly positive if and only if $x_1 > 0$; the x_1 co-ordinate is the virtual dimension of a K_0 -class. Let (G, G^+) denote this ordered group, and note that in general it does not have the Riesz interpolation property. It is true, however, that if $a_1, a_2 \leq b_1, b_2$ in (G, G^+) and if the first free co-ordinates of b_1 and b_2 exceed those of both a_1 and a_2 by at least 2, then there is an interpolating element g (i.e., $a_1, a_2 \leq g \leq b_1, b_2$) — any g with first free co-ordinate strictly greater than the first free co-ordinates of the a_i 's and strictly less than the first free co-ordinates of the b_i 's will serve. In fact, the same is true when $G \oplus K_1(A \otimes \mathcal{Z})$ is equipped with the strict order from (G, G^+) . We use these observations to prove the main results of this section. We recall first some standard notions and notation. Let $\text{Aff}(T(A))$ denote the space of continuous real-valued affine functions on $T(A)$, and let $W(A)$ denote the Cuntz monoid of generalised Murray–von Neumann equivalence classes of positive elements in the algebraic direct limit

$$M_\infty(A) = \varinjlim_{i \rightarrow \infty} (M_i(A), \psi_i),$$

where $\psi_i: M_i(A) \rightarrow M_{i+1}(A)$ denotes inclusion as the upper left corner. One says that $W(A)$ is almost unperforated if $x \leq y$ whenever $(n + 1)x \leq ny$ for $x, y \in W(A)$ and $n \in \mathbb{N}$. Finally, let $\text{sr}(A)$ denote the stable rank of A .

Theorem 3.5 *Let A be a simple, unital AH algebra. Then $I(A \otimes \mathcal{Z})$ is the augmented invariant of a simple, unital AH algebra of bounded topological dimension.*

Proof The main theorem of [33] states that every instance of the Elliott invariant for which

- (i) the ordered K_0 -group has the Riesz interpolation property, is simple and weakly unperforated, and the torsion-free part of K_0 is not isomorphic to \mathbb{Z} or the trivial group,
- (ii) K_1 is a countable abelian group,
- (iii) the tracial state space is a non-empty metrizable Choquet simplex,
- (iv) the continuous affine pairing between traces and states on K_0 preserves extreme points

occurs as $\text{Ell}(B)$ for some simple, unital AH algebra B of bounded topological dimension. Items (ii)–(iv) above are automatically satisfied for AH algebras (see [33], for instance), so we need only establish the properties in (i) for

$$((K_0(A \otimes \mathcal{Z}), K_0(A \otimes \mathcal{Z})^+, [\mathbf{1}_A \otimes \mathbf{1}_{\mathcal{Z}}]))$$

in order to prove our theorem with $\text{Ell}(A \otimes \mathcal{Z})$ in place of $\text{I}(A \otimes \mathcal{Z})$. The full conclusion of the theorem then follows from the fact that the ordering on $K_*(A \otimes \mathcal{Z})$ is the strict one coming from $K_0(A \otimes \mathcal{Z})$ (Proposition 1.1).

Weak unperforation and simplicity for $K_0(A \otimes \mathcal{Z})$ follow from [8, Theorem 1] and the simplicity of A , respectively.

The algebra A is the limit of an inductive sequence (A_j, ϕ_j) , where each A_j is a finite direct sum of n_j homogeneous algebras over compact connected Hausdorff spaces. For each $j \in \mathbb{N}$ and each $1 \leq i \leq n_j$, let $(G^{i,j} := G_0^{i,j} \oplus G_1^{i,j}, G^{i,j+})$ denote the graded ordered K_* -group of the i -th building block of A_j tensored with \mathcal{Z} . By the simplicity of A we may assume, modulo compression of the inductive sequence, that the partial morphisms $K_*(\phi_j)_i^k: G^{i,j} \rightarrow G^{k,j+1}$ have large multiplicity with respect to the co-ordinates of $G_0^{i,j}$ and $G_0^{k,j+1}$ which correspond to the rank of a projection in the i -th direct summand of A_j and the k -th direct summand of A_{j+1} . This co-ordinate in each of $G_0^{i,j}$ and $G_0^{k,j+1}$ is precisely the free co-ordinate which dominates the order in each group, as discussed above. Call this the first co-ordinate for convenience. Now let $a_1, a_2 \leq b_1, b_2$ be elements of $G^{i,j}$, and note that this implies that either the first co-ordinate of both b_1 and b_2 is strictly larger than either of the first co-ordinates of a_1 and a_2 , or, without loss, that $a_1 = b_1$. In the latter case, $a_1 = b_1$ is an interpolating element. In the first case we push the four elements forward via $K_*(\phi_j)_i^k$, and note that the first co-ordinate of the images of the b_i 's exceeds the first co-ordinate of either of the a_i 's by at least two, whence, by the discussion above, there is an interpolating element $g \in G^{k,j+1}$.

To see that the free part of $K_0(A \otimes \mathcal{Z})$ cannot be cyclic, simply note that this would imply that the first co-ordinate multiplicities of the partial maps $K_*(\phi_j)_i^k$ are bounded, contradicting the simplicity of A . ■

Corollary 3.6 *Let A be a simple, unital, and infinite-dimensional AH algebra. Then $A \otimes \mathcal{Z}$ is weakly divisible in the sense of [19].*

Proof Every simple, partially ordered abelian group (G, G^+) which is weakly unperforated, has the Riesz interpolation property, and such that G/G_{tor} is not cyclic must be weakly divisible. This statement follows from three facts: any such group can be realised as the ordered K_0 -group of a simple, unital AH algebra of bounded topological dimension [33]; any such algebra is approximately divisible [6]; approximately

divisible C^* -algebras have weakly divisible K_0 -groups (immediate). It follows from Theorem 3.5 that $((K_0(A \otimes \mathcal{Z}), K_0(A \otimes \mathcal{Z})^+, [\mathbf{1}_A \otimes \mathbf{1}_{\mathcal{Z}}]))$ is weakly divisible, *i.e.*, each $x \in K_0(A \otimes \mathcal{Z})^+$ has a decomposition $x = 2y + 3z$ for some $y, z \in K_0(A \otimes \mathcal{Z})^+$. The stable rank of $A \otimes \mathcal{Z}$ is 1 by [23, Theorem 6.7]. Thus, for any projection $p \in A \otimes \mathcal{Z}$, there is a unital embedding of $M_2 \oplus M_3$ into $p(A \otimes \mathcal{Z})p$. By [19, Lemma 5.2], this suffices for the weak divisibility of $A \otimes \mathcal{Z}$. ■

Corollary 3.7 *Let A be a simple, unital, and infinite-dimensional AH algebra. If $K_0(A)$ is weakly unperforated, then it is also weakly divisible and has the Riesz interpolation property.*

Proof As in the proof of Corollary 3.6, every weakly unperforated, simple, partially ordered abelian group (G, G^+) with the Riesz interpolation property is weakly divisible whenever G/G_{tor} is not cyclic. Thus, it will suffice to prove that $(K_0(A), K_0(A)^+)$ has the Riesz interpolation property, and that $K_0(A)/K_0(A)_{\text{tor}}$ is not cyclic.

Let $A \cong \lim_{j \rightarrow \infty} (A_j, \phi_j)$ be an inductive limit decomposition of A , as in the proof of Theorem 3.5. Notice that in this proof, the only property of the ordered groups $(K_0(A_j), K_0(A_j)^+)$ required to establish the Riesz interpolation property for $(K_0(A), K_0(A)^+)$ is this: each direct summand of $(K_0(A_j), K_0(A_j)^+)$ corresponding to a connected component of $\text{Sp}(A_j)$ has the strict order coming from the cyclic subgroup generated by the K_0 -class of the trivial complex line bundle.

Now suppose that $x \in (K_0(A_j), K_0(A_j)^+)$ has strictly positive virtual dimension. The stability properties of vector bundles imply that some multiple mx , $m \in \mathbb{N}$, of x is both positive and non-zero in $(K_0(A_j), K_0(A_j)^+)$. If, in $(K_0(A), K_0(A)^+)$, the image of mx is non-zero, then the image of x in the same group is positive by weak unperforation. Thus, we may equip each direct summand of $(K_0(A_j), K_0(A_j)^+)$ corresponding to a connected component of $\text{Sp}(A_j)$ with the strict order coming from the cyclic subgroup generated by the K_0 -class of the trivial complex line bundle without disturbing $(K_0(A), K_0(A)^+)$. The Riesz interpolation property now follows from the proof of Theorem 3.5, as does the property that $K_0(A)/K_0(A)_{\text{tor}}$ is not cyclic. ■

Given a partially ordered abelian group (G, G^+) , form the cone

$$\overline{G^+} := \{x \in G \mid mx \in G^+ \text{ and } mx \neq 0 \text{ for some } m \in \mathbb{N}\}.$$

The order on G obtained by replacing G^+ with $\overline{G^+}$ is called the saturated order. It follows from [8, Theorem 1] that

$$(K_0(A \otimes \mathcal{Z}), K_0(A \otimes \mathcal{Z})^+) \cong (K_0(A), \overline{K_0(A)^+}),$$

whence the saturated order on the K_0 -group of a simple, unital, and infinite-dimensional AH algebra is weakly divisible and has the Riesz interpolation property.

Corollary 3.8 *Let A be a simple, unital and infinite-dimensional AH algebra with unique tracial state. Then the image of $K_0(A)$ in $\mathbb{R} = \text{Aff}(T(A))$ is dense.*

Proof By the comments preceding the statement of the corollary, the saturation of $(K_0(A), K_0(A)^+)$ is weakly divisible, which implies that $K_0(A)$ contains elements of arbitrarily small trace. The image of $K_0(A)$ under the unique tracial state on A is therefore a dense subset of $\mathbb{R} \cong \text{Aff}(T(A))$, as desired. ■

Based on [18, Theorem 1], Nate Brown has shown us a proof of the following theorem, which will also follow from the (more general) results in [36]. The arguments of [18, 36] are quite different; the latter is built upon the methods developed in [15, 34, 35]. The second statement of the theorem follows from [16].

Theorem 3.9 *Let A be a simple unital AH algebra. If $A \otimes \mathcal{Z}$ has real rank zero (this is automatically the case if A has real rank zero), then $A \otimes \mathcal{Z}$ is tracially AF. In particular, A is AH of bounded topological dimension.*

If, in the preceding theorem, A happens to have only one tracial state, then real rank zero follows from Corollary 3.8 above and [23, Corollary 7.3].

Theorem 3.10 *Let A be a simple, unital AH algebra with unique tracial state. Then $A \otimes \mathcal{Z}$ is tracially AF.*

Proof Since $A \otimes \mathcal{Z}$ is \mathcal{Z} -stable, it has stable rank one by [23, Theorem 6.7]. As mentioned above, $A \otimes \mathcal{Z}$ has real rank zero. Furthermore, it is locally type I with weakly unperforated K_* -group. It follows from [1, Theorem 7.1] that $A \otimes \mathcal{Z}$ is tracially AF. ■

The last result of this section combines results from [6, 16, 18, 23] and our Theorem 2.3.

Theorem 3.11 *Let A be a simple, unital, and infinite-dimensional AH algebra, and suppose that the image of $K_0(A)$ in $\text{Aff}(T(A))$ is uniformly dense. Then the following are equivalent:*

- (i) A is \mathcal{Z} -stable;
- (ii) A is AH of bounded topological dimension;
- (iii) A is tracially AF;
- (iv) $W(A)$ is almost unperforated and $\text{sr}(A) = 1$.

Proof (i) \Rightarrow (iv). If A is \mathcal{Z} -stable, then $W(A)$ is almost unperforated and $\text{sr}(A) = 1$ by [23, Theorems 4.5, 6.7], respectively.

(iv) \Rightarrow (iii). A is simple, unital, exact, has stable rank one, and $W(A)$ is almost unperforated. Add to this the condition that the image of $K_0(A)$ in $\text{Aff}(T(A))$ is uniformly dense, and one has the hypotheses of [23, Proposition 7.1]. The conclusion is that A has real rank zero. It then follows from [18, Theorem 1] that A is tracially AF.

(iii) \Rightarrow (ii). If A is tracially AF, then it is AH of bounded topological dimension by Lin's classification theorem [16, 21].

(ii) \Rightarrow (i). A is approximately divisible by [6]. This implies \mathcal{Z} -stability by Theorem 2.3. ■

Note that the class of algebras described in the hypotheses of Theorem 3.11 need not satisfy any of the four equivalent conditions in the conclusion of the same. Indeed, Villadsen has constructed simple, unital AH algebras of arbitrary finite stable rank having unique trace and projections of arbitrarily small trace [32].

If, as in the hypotheses of Theorem 3.11, A has a unique tracial state, Corollary 3.8 implies that the density condition on the image of K_0 can be dropped.

There is evidence to suggest that conditions (i), (ii), and (iv) in the conclusion of Theorem 3.11 are equivalent for general simple, unital and infinite-dimensional AH algebras.

4 ASH Algebras

The list of ASH classification results as mentioned in the introduction can be divided into two groups according to whether or not they cover a class of approximately divisible C^* -algebras. Obviously, one may apply Theorem 2.3 to the approximately divisible algebras to obtain \mathcal{L} -stability, so our task is twofold: decide which of the classification results for ASH algebras cover approximately divisible C^* -algebras, and find an alternative method for proving \mathcal{L} -stability for the classified ASH algebras which are not approximately divisible. One can order the classification theorems covering ASH algebras which may fail to be approximately divisible by increasing generality: [7, 12, 17, 27]. We shall prove that the algebras treated in [17] are \mathcal{L} -stable in Theorem 4.2 below. As it turns out, the remaining ASH classification results cover algebras which are approximately divisible, though this fact is far from obvious for the algebras treated in [13].

Razak [20] established the first classification result for simple, nuclear, and stably projectionless C^* -algebras. The algebras classified are simple inductive limits of subhomogeneous building blocks of the form

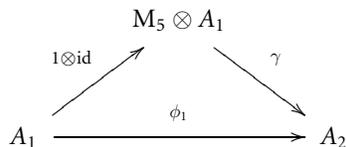
$$A_{n,k} = M_n(\mathbb{C}) \otimes \{ f \in \mathcal{C}([0, 1], M_k(\mathbb{C})) \mid \exists a \in \mathbb{C} \text{ such that } f(0) = \text{diag}(a, \dots, a, 0), f(1) = \text{diag}(a, \dots, a) \}.$$

Let \mathcal{R} denote this class of building blocks. Razak's [31] results are generalised to cover simple inductive limits of finite direct sums of the building blocks above, and the range of the Elliott invariant for this class of algebras is computed.

The following proposition appears to be known to a few experts, but has not appeared in print.

Proposition 4.1 *Let $A = \lim_{i \rightarrow \infty} (A_i, \phi_i)$ be a simple inductive limit, where each A_i is a finite direct sum of building blocks from \mathcal{R} . Then A is approximately divisible.*

Proof Let there be given a finite set $F \subseteq A$ and a tolerance $\epsilon > 0$. We may assume without loss of generality that $F \subseteq A_1$. We will use the existence and uniqueness theorems [31] to prove that, modulo compression of the inductive sequence (A_i, ϕ_i) , there exists a map $\gamma: M_5 \otimes A_1 \rightarrow A_2$ such that the diagram



commutes up to ϵ on F . The existence of γ implies the approximate divisibility of A .

The $*$ -homomorphism $\mathbf{1}_{M_5} \otimes \text{id}_{A_1} : A_1 \rightarrow M_5 \otimes A_1$ induces an isomorphism at the level of the augmented invariant $I(\bullet)$. (One need only verify this at the level of traces, since every A_i has trivial K -groups.) Thus, there is a map $\tilde{\gamma} : I(M_5 \otimes A_1) \rightarrow I(A_2)$ making the diagram above commute at the level of the Elliott invariant. The local existence theorem [31] implies that there exists a $*$ -homomorphism $\gamma' : M_5 \otimes A_1 \rightarrow A_2$ which agrees with $\tilde{\gamma}$ at the level of the Elliott invariant within a specified tolerance on a particular finite subset of $\text{Aff}(T(A_1))$ depending only on F . The local uniqueness theorem then implies that there is a unitary $u \in \mathcal{M}(A_2)$ such that

$$\gamma := u\gamma'(\cdot)u^* : M_5 \otimes A_1 \rightarrow A_2$$

has the required property. ■

We now recall the ASH algebras considered in [17]. Let N, n, d_1, \dots, d_N be natural numbers such that d_i divides n for every i , and let x_1, \dots, x_N be distinct points in \mathbb{T} . Denote by $A(n, d_1, \dots, d_N)$ the C^* -algebra $\{f \in \mathcal{C}(\mathbb{T}) \otimes M_n \mid f(x_i) \in M_{d_i}, i = 1, 2, \dots, N\}$, where M_{d_i} is embedded unitaly in M_n . Let \mathcal{S} denote the collection of all such algebras. These algebras are often referred to as dimension drop circles. We will refer to the points x_1, \dots, x_N as the exceptional points of $A(n, d_1, \dots, d_N)$. The simple unital infinite dimensional inductive limits of finite direct sums of such algebras are shown to be classified by the Elliott invariant in [17].

Theorem 4.2 *Let $A = \lim_{i \rightarrow \infty} (A_i, \gamma_i)$ be a simple unital and infinite-dimensional inductive limit, where each A_i is a finite direct sum of building blocks from \mathcal{S} . Then A is \mathcal{Z} -stable.*

Proof By Proposition 2.2 it will suffice to prove the following: given a finite set $F \subseteq A$, a dimension drop interval $B = I[p, pq, q]$, a finite set $G \subseteq B$, and a tolerance $\epsilon > 0$, there is a unital embedding $\iota : B \rightarrow A$ such that

$$\|\iota(g)f - f\iota(g)\| < \epsilon, \quad \forall f \in F, g \in G.$$

By [17, Lemma 9.6], we may assume that the unital $*$ -homomorphism γ_i is injective for every $i \in \mathbb{N}$. We may further assume that $F \subseteq A_1$, where

$$A_1 = \bigoplus_{j=1}^l A_{1,j},$$

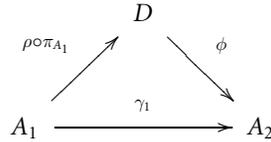
and, for each $1 \leq j \leq l$, there are natural numbers n_j, N_j , and $d_{1,j}, \dots, d_{1,N_j}$ such that $A_{1,j} = A(n_j, d_{1,j}, \dots, d_{1,N_j,j})$.

Consider the building block $A(pq, p, q)$ where the dimension drops occur at $1, -1 \in \mathbb{T}$. The fixed point algebra of $A(pq, p, q)$ under the automorphism induced by the flip on \mathbb{T} with fixed points $1, -1$ is isomorphic to B . Assume that the exceptional points of A_1 are disjoint from $\{1, -1\} \subseteq \mathbb{T}$. Let $\pi_B : B \rightarrow A(pq, p, q) \otimes A_1$ be the $*$ -monomorphism obtained by embedding B into $A(pq, p, q)$ as the fixed point algebra described above and then embedding $A(pq, p, q)$ into $A(pq, p, q) \otimes A_1$ as $A(pq, p, q) \otimes \mathbf{1}_{A_1}$. Let $\pi_{A_1} : A_1 \rightarrow A(pq, p, q) \otimes A_1$ be the embedding obtained by identifying A_1 with $\mathbf{1}_{A(pq,p,q)} \otimes A_1$. Let ρ_j denote the restriction of $A(pq, p, q) \otimes A_{1,j}$ to the

closed subset $\Delta = \{(x, x) \mid x \in \mathbb{T}\} \subseteq \mathbb{T}^2$ of its spectrum. The image of ρ_j , say D_j , is easily seen to be a building block of the form $A(n_j p q, p q d_{1,j}, \dots, p q d_{N_j,j}, p n_j, q n_j)$, which, by [17, Corollary 3.6, Lemma 3.9], has the same Elliott invariant as $A_{1,j}$. Set

$$D := \bigoplus_{j=1}^l D_j \quad \rho := \bigoplus_{j=1}^l \rho_j.$$

To prove the theorem it will suffice to establish the existence of a $*$ -homomorphism $\phi: D \rightarrow A_2$ making the diagram



commute up to ϵ on $F \subseteq A_1$. Then B (and hence G) can be embedded into D such that the image commutes with $\rho \circ \pi_{A_1}(F)$.

The composition $\rho \circ \pi_{A_1}: A_1 \rightarrow D$ induces a KK-equivalence and an isomorphism at the level of the Elliott invariant. (The details of this calculation are straightforward. The interested reader is referred to [17, §§4, 5].) Thus, there are a positive element $\mathbf{x}_\phi \in \text{KK}(D, A_2)$ and an isomorphism $\eta_\phi: \text{Ell}(D) \rightarrow \text{Ell}(A_2)$ such that

$$[\rho \circ \pi_{A_1}] \cdot \mathbf{x}_\phi = [\gamma_1] \in \text{KK}(A_1, A_2) \quad \text{and} \quad \eta_\phi \circ \text{Ell}(\rho \circ \pi_{A_1}) = \text{Ell}(\gamma_1).$$

Let the superscript \sharp denote the map induced by a $*$ -homomorphism at the level of the Hausdorffized algebraic K_1 -group $\mathcal{U}(\cdot)/\overline{D\mathcal{U}(\cdot)}$. Then one also has a morphism

$$\nu_\phi: \mathcal{U}(D)/\overline{D\mathcal{U}(D)} \rightarrow \mathcal{U}(A_2)/\overline{D\mathcal{U}(A_2)}$$

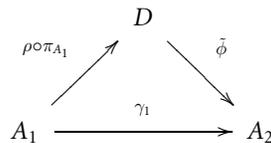
such that $\nu_\phi \circ (\rho \circ \pi_{A_1})^\sharp = \gamma_1^\sharp$. We have used the subscript ϕ above to suggest, as indeed will turn out to be the case, that these invariant level maps above can be lifted to a $*$ -homomorphism $\tilde{\phi}: D \rightarrow A_2$.

By the simplicity of A , we may assume that the fibres of A_2 are of arbitrarily large rank at every point in the spectrum of A_2 . Knowing this, we may invoke [17, Theorem 8.1], specialising it to our purpose.

Theorem 4.3 (Mygind) *Let $\epsilon > 0$ and a finite set $H \subseteq \text{Aff}(TA_1)$ be given. Then there exists a $*$ -homomorphism $\tilde{\phi}: D \rightarrow A_2$ such that*

- (i) $[\tilde{\phi}] = \mathbf{x}_\phi \in \text{KK}(D, A_2)$;
- (ii) $\tilde{\phi}^\sharp = \nu_\phi$;
- (iii) $\|(\tilde{\phi} \circ \rho \circ \pi_{A_1})(h) - \hat{\gamma}_1(h)\| < \epsilon \|h\|, \forall h \in H$.

Thus, the diagram



is at least approximately commutative (with respect to the finite set $H \subseteq \text{Aff}(TA_1)$ of the theorem above) at the level of the Elliott invariant, KK , and $\mathcal{U}(\cdot)/\overline{D\mathcal{U}(\cdot)}$. By enlarging H if necessary, we may apply [17, Lemma 9.1] to conclude that $\tilde{\phi}$ is injective.

To complete the proof we recall [17, Theorem 7.7].

Theorem 4.4 (Mygind) For $C_1, C_2 \in \mathcal{S}$, let $\beta, \psi: C_1 \rightarrow C_2$ be $*$ -homomorphisms inducing the same element of $\text{KK}(C_1, C_2)$. Let F be a finite subset of C_1 , and let $\epsilon > 0$ be given. There exists a natural number l such that if p and q are positive integers with $l \leq p \leq q$, if $\delta > 0$, if

- (i) $\hat{\psi}(\hat{h}) > \frac{\delta}{p}, h \in H(C_1, l)$,
- (ii) $\hat{\psi}(\hat{h}) > \frac{\delta}{q}, h \in H(C_1, p)$,
- (iii) $\|\hat{\beta}(\hat{h}) - \hat{\psi}(\hat{h})\| < \delta, h \in \tilde{H}(C_1, 2q)$,
- (iv) $\hat{\psi}(\hat{h}) > \delta, h \in H(C_1, 4q)$,
- (v) $D_{C_2}(\beta^\sharp(q_{C_1}(\nu^{C_1})), \psi^\sharp(q(\nu^{C_1}))) < \frac{1}{4q^2}$,

then there exists a unitary $u \in C_2$ such that $\|\psi(f) - u^* \beta(f) u\| < \epsilon$ for all $f \in F$.

Many undefined objects appear in hypotheses (i)–(v). We will address this issue presently. Replace C_i with A_i for $i = 1, 2$, β with $\tilde{\phi} \circ \rho \circ \pi_{A_1}$, and ψ with γ_1 . In [17] it is proved that the simplicity of $A = \lim_{i \rightarrow \infty} (A_i, \gamma_i)$ allows one to assume that conditions (i), (ii) and (iv) are satisfied, modulo compression of the inductive sequence for A . We may assume that [17, Theorem 8.1(iii)] holds for $H = \tilde{H}(A_1, 4q)$, so that condition (iii) is satisfied. Condition (v) is a statement concerning the distance between two elements of the algebraic K_1 -group of A_2 . Since D_{A_2} is a metric and since

$$\tilde{\phi} \circ \rho \circ \pi_{A_1}^\sharp(\nu^{A_1}) = \gamma_1^\sharp(\nu^{A_2})$$

by part (ii) of the conclusion of Theorem 4.2 above, one sees that condition (v) is satisfied. Thus, γ_1 and $\tilde{\phi} \circ \rho \circ \pi_{A_1}$ agree up to ϵ on the finite set $F \subseteq A_1$ after conjugation by a unitary element in A_2 . Set $\phi := \text{Ad}(u) \circ \tilde{\phi}$. The inclusion of B into D composed with ϕ yields a unital embedding $\iota: B \rightarrow A_2 \hookrightarrow A$. The image $\iota(G)$ then commutes with F up to ϵ , as required. ■

It remains to address the algebras classified in [13]. Let \mathcal{P} denote the collection of C^* -algebras of the form

$$A(a_1, \dots, a_m; b_1, \dots, b_l) := \left\{ f \in M_n \otimes \mathcal{C}([0, 1]) \mid f(0) \in \bigoplus_{i=1}^m M_{a_i}, f(1) \in \bigoplus_{j=1}^l M_{b_j} \right\},$$

where n, a_1, \dots, a_m and b_1, \dots, b_l are natural numbers such that

$$\sum_{i=1}^m a_i = \sum_{j=1}^l b_j = n.$$

Notice that the spectrum of such an algebra is not Hausdorff in general. We call the points 0 and 1 in the Hausdorffized spectrum of $A(a_1, \dots, a_m; b_1, \dots, b_l)$ broken

endpoints, and the spectra of the simple blocks of the fibres over 0 or 1 *fractional endpoints*. In [13] it is shown that simple, unital, infinite-dimensional inductive limits of finite direct sums of members of \mathcal{P} are classified by the Elliott invariant.

Theorem 4.5 *Let $A = \lim_{i \rightarrow \infty} (A_i, \gamma_i)$ be simple unital and infinite-dimensional inductive limit, where $A_i \in \mathcal{P}$, $i \in \mathbb{N}$. Then A is approximately divisible.*

Before proceeding with the proof we recall some terminology from [13]. Let C, D be C^* -algebras. Morphisms $\kappa: K_0(A) \rightarrow K_0(B)$, $\theta: T(B) \rightarrow T(B)$ are said to be compatible if $\langle e, \theta(t) \rangle = \langle \kappa(e), t \rangle$ for all $e \in K_0(A)$ and $t \in T(B)$. A decomposition of a compatible pair (κ, θ) , denoted $(\kappa, \theta = \sum_j (\kappa_j, \theta_j))$, consists of

- mutually orthogonal C^* -subalgebras D_1, D_2, \dots, D_n of D such that $\mathbf{1}_D \in D_1 + D_2 + \dots + D_n$,
- a compatible pair (κ_j, θ_j) for (C, D_j) for each j satisfying $\kappa = \iota_* (\bigoplus_{j=1}^n \kappa_j)$, where $\iota: D_1 + D_2 + \dots + D_n \rightarrow D$ is the inclusion map, and

$$\theta(t) = \sum_{j=1}^n \theta_j(t|_{D_j}), \quad t \in T(B),$$

where θ_j is again naturally extended.

Let $C = A(k_0^1, \dots, k_0^r; k_1^1, \dots, k_1^r)$. Then

$$K_0(C) = \left\{ (l_0^1, \dots, l_0^r, l_1^1, \dots, l_1^r) \in \mathbb{Z}^s \times \mathbb{Z}^r \mid \sum_i l_0^i = \sum_j l_1^j \right\}.$$

We now consider some basic compatible pairs:

(i) Let $D = M_m(\mathbb{C})$, $\kappa(l_0^1, \dots, l_0^r, l_1^1, \dots, l_1^r) = \sum_i l_0^i$, and $\theta(\text{Tr}) = \mu$, where Tr is the unique normalised trace on D and μ is any Radon probability measure on $[0, 1]$. Then (κ, θ) is a compatible pair for (C, D) . Such a pair is called *generic*.

(ii) Let x be a broken endpoint of C . Let $D = C(x)$,

$$\kappa(l_0^1, \dots, l_0^r, l_1^1, \dots, l_1^r) = (l_0^1, \dots, l_0^r),$$

and $\theta(\delta_x^i) = \delta_x^i$ for $1 \leq i \leq r_x$, where δ_x^i is the unique normalised trace of the matrix algebra $M_{k_x^i}$. Such a pair is called *broken*.

(iii) Let y be a fractional endpoint of C , and let $D = C(y)$,

$$\kappa(l_0^1, \dots, l_0^r, l_1^1, \dots, l_1^r) = l_x^j$$

(l_x^j is the co-ordinate corresponding to y), and $\theta(\text{Tr}) = \delta_y$. A compatible pair equivalent to this will be called *fractional* at y .

Proof of Theorem 4.5 Let $A = \lim(A_i, \gamma_i)$ be a simple, unital and infinite dimensional C^* -algebra, where $A_i \in \mathcal{P}$ for every $i \in \mathbb{N}$. Let there be given a finite set $F \subseteq A$, a natural number N and a tolerance $\epsilon > 0$. We require a unital embedding of $\iota: M_N \oplus M_{N+1} \rightarrow A$ which commutes with F up to ϵ . We may assume that $F \subseteq A_1$, so that it will suffice to find a unital embedding of $M_N \oplus M_{N+1}$ into A_2 which commutes with $\gamma_1(F)$ up to ϵ . In light of [13, Lemma 2.3], we may assume that A_1 and A_2 are single building blocks.

We recall the following [13, Theorem 3.7] (local existence).

Theorem 4.6 (Jiang–Su) *Let A_1 be a splitting interval algebra. Then for any finite subset F of A_1 and any positive tolerance ϵ there is a constant $K \in \mathbb{N}$ such that for any compatible pair (κ, θ) for (A_1, A_2) , where A_2 is a splitting interval algebra of generic fibre dimension m , there is a constant C depending only on A_1 and a $*$ -homomorphism $\alpha: A_1 \rightarrow A_2$ of the standard form [13] which induces κ and almost induces θ in the sense that*

$$\|\alpha^*(t)(f) - \theta(f)\| < \epsilon + \frac{CK\|f\|}{m}$$

for any $f \in F, t \in T(A_2)$.

By [13, Theorem 3.6] we may assume that K , which depends only on F and ϵ , is even. Since we are dealing with a simple limit, we may assume that m above is arbitrarily large. In fact, we may assume that the dimension of each simple matrix block at the endpoints of the spectrum of A_2 is arbitrarily large [13, Corollary 5.3].

We recall the construction of the map α above, and show that this map can be perturbed to a $*$ -homomorphism $\phi: A_1 \rightarrow A_2$ which induces κ and almost induces θ as above, and whose image commutes with a unital copy of $M_N \oplus M_{N+1}$ inside A_2 . We then apply the local uniqueness result of [13] to complete the proof.

For $x \in \{0, 1\}$, let $Q_x: A_2 \rightarrow A_2(x)$ be the canonical evaluation map. Applying [13, Lemma 3.5] to the compatible pairs $(\kappa^{(x)}, \theta^{(x)}) := ((Q_x)_* \circ \kappa, \theta \circ (Q_x)^*)$, we obtain decompositions $(\kappa^{(x)}, \theta^{(x)}) = \sum_j (\kappa_j^{(x)}, \theta_j^{(x)})$, where each $(\kappa_j^{(x)}, \theta_j^{(x)})$ is either fractional, broken, or generic. Furthermore, these two decompositions have the same total number of summands, and the same number of summands which are fractional pairs at the fractional endpoint y of A_1 for each such y . Assume, therefore, that $(\kappa_j^{(0)}, \theta_j^{(0)})$ is fractional at y if and only if $(\kappa_j^{(1)}, \theta_j^{(1)})$ is fractional at y .

We now group the remaining compatible pairs into “batches” in a manner similar to that of the proof of [13, Theorem 3.7]. In each simple block of $A_2(0)$, group the generic pairs into batches of size $KN(2N + 1)$, leaving at most $KN(2N + 1) - 1$ such pairs unaligned. Group equivalent broken pairs — those corresponding to the same endpoint of the spectrum of A_1 — into batches of size $KN(2N + 1)$, leaving at most $2KN(2N + 1) - 2$ unaligned pairs. Carry out a similar grouping of the pairs in the decomposition for $(\kappa_j^{(1)}, \theta_j^{(1)})$. By [13, Lemma 5.2] we may assume that at least $2/\epsilon$ batches of generic pairs occur inside each simple block of $A_2(0)$ and $A_2(1)$. After batching, the total number of unaligned pairs in $A_2(0)$ does not exceed $(2 + s_0)(KN(N + 1) - 1)$, where s_0 denotes the number of direct summands of $A_2(0)$. Assume that the total number of batches at 1 does not exceed that at 0, and that if two pairs $(\kappa_j^{(0)}, \theta_j^{(0)})$ and $(\kappa_l^{(0)}, \theta_l^{(0)})$ are in the same batch, then so are $(\kappa_j^{(1)}, \theta_j^{(1)})$ and $(\kappa_l^{(1)}, \theta_l^{(1)})$.

Find mutually orthogonal subalgebras B_1, B_2, \dots, B_k of A_2 such that

- (i) if $(\kappa_j^{(0)}, \theta_j^{(0)})$ is fractional at y , then $B_j \simeq A_1(y)$;
- (ii) if $(\kappa_j^{(0)}, \theta_j^{(0)})$ is not fractional, then B_j is a splitting interval algebra with $B_j(x)$ isomorphic to the fibre of A_1 giving rise to $\kappa_j^{(x)}, x \in \{0, 1\}$.

Define ϕ to be equal to α on the batched compatible pairs for the time being. Note that any perturbation of α on the subalgebras B_j corresponding to fractional or unaligned pairs will have a negligible effect on traces.

Consider the fibre over a broken endpoint of the spectrum of A_2 having the greater number, say β , of simple blocks. Choose a batch of generic pairs from each such block. It is elementary that one may choose β batches of generic pairs from among the simple blocks over the opposite endpoint such that at least one batch is chosen from each simple block, and the proportion of batches chosen among all generic batches in any given simple block does not exceed ϵ . Pair these batches of generic compatible pairs, and assume that if $(\kappa_j^{(0)}, \theta_j^{(0)})$ is in a chosen batch at one endpoint, then $(\kappa_j^{(1)}, \theta_j^{(1)})$ is in the paired batch at the other endpoint. Let $B^{(l)}$ be the direct sum of the B_j corresponding to the l -th pair of batches. Define a $*$ -homomorphism $\phi_l: A_1 \rightarrow B^{(l)}$ to be the direct sum of $(KN/2)(2N + 1)$ copies of evaluation at each of the two broken endpoints of the spectrum of A_1 , $1 \leq l \leq \beta$. Notice that this choice of morphism does not change the induced maps $\kappa_j^{(0)}$ and $\kappa_j^{(1)}$ corresponding to the B_j under consideration. Let J_β be the set of all indices contained in the paired batches above.

We now address the unaligned pairs. To each unaligned compatible pair in $A_2(0)$ there corresponds a compatible pair in $A_2(1)$ and a subalgebra B_j of A_2 whose endpoints lie entirely inside a simple block of $A_2(0)$ and $A_2(1)$, respectively. The map defined in [13, Theorem 3.7] from A_1 to B_j can be replaced with evaluation at a broken endpoint, say 0, of A_1 without changing the induced map on K-theory. Since the number of unaligned pairs is negligible when compared to the total number of pairs, this modification has a negligible effect on traces. Let I denote the set of indices of the unaligned pairs and let $\phi_{\beta+1}: A_1 \rightarrow \bigoplus_{j \in I} B_j$ be the direct sum of the modified maps above.

It follows from the proof of [13, Theorem 3.7] that there is a morphism

$$\tilde{\phi}: A_1 \rightarrow \bigoplus_{j \notin J_\beta \cup I} B_j$$

(which is in fact equal to α on these B_j) such that

$$\phi := \tilde{\phi} \oplus \left(\bigoplus_{l=1}^{\beta+1} \phi_l \right)$$

induces κ and almost induces θ in the manner of the conclusion of [13, Theorem 3.7].

Note that by the proof of [13, Theorem 3.7], the direct sum of the B_j from any given batch sits inside a subalgebra of A_2 isomorphic to $M_{nKN(2N+1)}(\mathcal{C}([0, 1]))$, where n is the dimension of the generic fibre of A_1 .

The important difference between the construction of ϕ produced here and that of α in the proof of [13, Theorem 3.7] is that, using the assumption of simplicity, we are able to guarantee that the multiplicity of the evaluation of A_1 at any fractional endpoint is at least $N(N+1)$ in each simple block over the two endpoints of the Hausdorffized spectrum of A_2 , and that the map $\tilde{\phi}$ can be written as the $N(2N + 1)$ -fold direct sum of another morphism ψ . Furthermore, the image of $\bigoplus_{l=1}^{\beta+1} \phi_l$ consists entirely of fractional evaluations. Let s_x denote the number of simple blocks of $A_2(x)$, $x \in \{0, 1\}$. For $1 \leq i \leq s_0$, write $a_i N + b_i(N + 1)$ for the number of evaluations at y in the i -th simple block of $A_2(0)$. Since there are at least $N(N + 1)$ such evaluations in each simple block, we may assume that $a_i, b_i \geq 0$ for all i . Similarly, write

$c_j N + d_j(N + 1)$ for the number of evaluations at y in the j -th block of $A_2(1)$ with $c_j, d_j \geq 0$. Since, by the construction of the ϕ_i , there are at least $2KN(2N + 1)$ such evaluations in each simple block, one may modify the choices of the a_i, b_i, c_j , and d_j so that

$$\sum_i a_i = \sum_j c_j \quad \text{and} \quad \sum_i b_i = \sum_j d_j.$$

Having noticed this, one sees that ϕ can be factored through $A_1 \otimes (M_N \oplus M_{N+1})$.

One can easily verify the hypotheses of [13, Theorem 4.2] (local uniqueness) for ϕ, γ_1, F , and ϵ , and conclude that ϕ is approximately unitarily equivalent to γ_1 on the finite subset F of A_1 . This establishes the approximate divisibility of A . ■

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