

RESEARCH STATEMENT

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1 Introduction

The *partitions* of $n \in \mathbb{N}$ are tuples of positive integers (a_1, a_2, \dots, a_k) such that $a_1 \geq a_2 \geq \dots \geq a_k$ and $a_1 + a_2 + \dots + a_k = n$. Using $\Pi[n]$ to denote the set of such partitions, the quantities $\mathfrak{p}(n) := \text{card}(\Pi[n])$ are the (ordinary) *partition numbers*. In 1918 Hardy and Ramanujan used the functional equation of the Dedekind η -function to give an asymptotic formula for $\mathfrak{p}(n)$ as $n \rightarrow \infty$; namely, in [11] they establish (in addition to stronger results) that

$$\log \mathfrak{p}(n) \sim \kappa \sqrt{n}, \quad \text{where } \kappa := \pi \sqrt{2/3}, \quad (1.1)$$

and the relation $a(n) \sim b(n)$ indicates that $\lim_{n \rightarrow \infty} a(n)/b(n) = 1$. At nearly the same time Ramanujan announced and proved his eponymous “congruences”, which are the relations that

$$\mathfrak{p}(5n+4) \equiv 0 \pmod{5}, \quad \mathfrak{p}(7n+5) \equiv 0 \pmod{7}, \quad \text{and} \quad \mathfrak{p}(11n+6) \equiv 0 \pmod{11}.$$

Here we discuss our work on both arithmetic and analytic aspects of the novel class of “signed” partition enumerations involving multiplicative $f : \mathbb{N} \rightarrow \{0, \pm 1\}$. Following this, we discuss a few problems toward developing more general results on some families of signed partition numbers.

Let $f : \mathbb{N} \rightarrow \{0, \pm 1\}$, and for $n \in \mathbb{N}$ and any partition $\pi = (a_1, a_2, \dots, a_k) \in \Pi[n]$ let

$$f(\pi) := f(a_1)f(a_2) \cdots f(a_k). \quad (1.2)$$

With this we define the $(f-)$ signed partition numbers

$$\mathfrak{p}(n, f) = \sum_{\pi \in \Pi[n]} f(\pi). \quad (1.3)$$

Definition (1.3) generalizes several classical partition-related quantities, e.g., with the constant function 1 one has $\mathfrak{p}(n) = \mathfrak{p}(n, 1)$, and with the indicator function $\mathbf{1}_A$ for $A \subset \mathbb{N}$, the quantities $\mathfrak{p}(n, \mathbf{1}_A)$ are the A -restricted partition numbers. Many examples and families of restricted partitions numbers are well studied (see, e.g., [1, 10]).

2 Arithmetic work; q -series and periodic vanishings

We say a sequence $(a_n)_{\mathbb{N}}$ *vanishes on an arithmetic progression* (or has a *periodic vanishing*) if one has $a_{km+t} = 0$ for some $0 \leq t < m$ and all $k \geq 0$. In such a case we may say that a_n “vanishes on all $n \equiv r \pmod{m}$ ”. The past two decades have seen a boom of work on periodic vanishings in the coefficients of various q -series; we note [3, 4, 12, 14, 16] as only a small portion of the extant literature.

For odd prime p let $\chi(n) = \chi_p(n) = \left(\frac{n}{p}\right)$ denote the Legendre symbol. In addition to $\chi(\pi)$, defined via (1.2), for any partition $\pi = (a_1, a_2, \dots, a_k)$ of any positive n , we set

$$[-\chi](\pi) := (-1)^k \chi(\pi) = (-1)^k \chi(a_1) \chi(a_2) \cdots \chi(a_k).$$

The quantities $\mathfrak{p}(n, \chi)$ and $\mathfrak{p}(n, -\chi)$ are the *Legendre-signed partition numbers*. We note that $\mathfrak{p}(n, \chi)$ and $\mathfrak{p}(n, -\chi)$ may equivalently be defined via

$$\prod_{r=1}^{p-1} (\chi(r)q^r; q^p)_\infty^{-1} = \sum_{n=0}^{\infty} \mathfrak{p}(n, \chi) q^n, \quad \text{and} \quad \prod_{r=1}^{p-1} (-\chi(r)q^r; q^p)_\infty^{-1} = \sum_{n=0}^{\infty} \mathfrak{p}(n, -\chi) q^n,$$

where $(z; q)_\infty = \prod_{n=0}^{\infty} (1 - zq^n)$ is the q -Pochhammer symbol.

In [8], using a number of q -series identities and symbolic manipulations, we establish the following vanishing result.

Theorem 2.1. *One has*

$$\begin{aligned} \mathfrak{p}(n, +\chi_5) &= 0 & \text{for } n \equiv 2 \pmod{10}, \\ \mathfrak{p}(n, -\chi_5) &= 0 & \text{for } n \equiv 6 \pmod{10}. \end{aligned}$$

In [9], using the Hardy-Littlewood method (in particular following Vaughan's work on partitions into primes [17]), vanishing results for $\mathfrak{p}(n, \chi_p)$ akin to Theorem 2.1 are ruled out for a large proportion of primes.

Theorem 2.2. *For odd prime $p \neq 5$ with $p \not\equiv 1 \pmod{8}$, one has $\mathfrak{p}(n, \chi_p) \rightarrow \infty$ as $n \rightarrow \infty$. Thus, for said p the sequences $(\mathfrak{p}(n, \chi_p))_{\mathbb{N}}$ do not vanishing identically on any arithmetic progression.*

In light of Theorem 2.2, we investigate if any $p \equiv 1 \pmod{8}$ exhibit vanishings like those of Theorem 2.1. Thus far, numerical trials have produced only one further such prime, namely $p = 17$. The confirmation of periodic vanishing in the sequences $(\mathfrak{p}(n, \pm\chi_{17}))_{\mathbb{N}}$ is established in the author's *in preparation* manuscript [6].

Theorem 2.3. *One has*

$$\mathfrak{p}(n, \chi_{17}) = 0 \quad \text{for } n \equiv 17, 19, 25, 27 \pmod{34}.$$

Equivalently, $\mathfrak{p}(n, \chi_{17}) = 0$ whenever n is both odd and $1 - 24n$ is congruent to a quartic residue $\pmod{17}$. Further, one has

$$\mathfrak{p}(n, -\chi_{17}) = 0 \quad \text{for } n \equiv 11, 15, 29, 33 \pmod{34}.$$

Equivalently, $\mathfrak{p}(n, -\chi_{17}) = 0$ whenever n is both odd and $1 - 24n$ is congruent to a quadratic-nonquartic residue $\pmod{17}$.

There is compelling evidence (both empirical and theoretical) for the following.

Conjecture 2.4. The only odd primes for which $\mathfrak{p}(n, \chi_p)$ and $\mathfrak{p}(n, -\chi_p)$ vanish on some arithmetic progressions $\pmod{2p}$ are 5 and 17.

A “soft” explanation of the periodic vanishing demonstrated by $\mathfrak{p}(n, \chi_5)$ is provided by the following asymptotic formula.

Theorem 2.5 ([9, Thm. 1.7]). *As $n \rightarrow \infty$ one has*

$$\mathfrak{p}(n, \chi_5) = \mathfrak{a}_5 n^{-3/4} \exp\left(\frac{1}{2}\kappa\sqrt{\frac{4}{5}n}\right) \left[1 + (-1)^n \mathfrak{b}_5 + \mathfrak{d}_5 \cos\left(\frac{2\pi}{5}n - \frac{\pi}{10}\right) + O(n^{-1/5})\right], \quad (2.1)$$

where

$$\kappa = \pi\sqrt{\frac{2}{3}}, \quad \mathfrak{a}_5 = \left(\frac{3+\sqrt{5}}{960}\right)^{1/4}, \quad \mathfrak{b}_5 = \frac{3-\sqrt{5}}{2}, \quad \text{and} \quad \mathfrak{d}_5 = \sqrt{2(5-\sqrt{5})}.$$

Ignoring the error term $O(n^{-1/5})$ in (2.1) and considering the 10-periodic term

$$\mathfrak{S}(n) := 1 + (-1)^n \left(\frac{3-\sqrt{5}}{2}\right) + \sqrt{2(5-\sqrt{5})} \cos\left(\frac{2\pi n}{5} - \frac{\pi}{10}\right),$$

it is surprising (and suggestive) to find that

$$\mathfrak{S}(2) = 0 \quad \text{and} \quad \mathfrak{S}(n) \neq 0 \quad \text{for } 1 \leq n \leq 10 \text{ with } n \neq 2.$$

3 Asymptotic results on some $\mathfrak{p}(n, f)$

When f assumes both positive and negative values one expects these signs to cause cancellations in the sums $\mathfrak{p}(n, f)$. We recall the Möbius μ and Liouville λ functions from prime number theory: If $n = p_1^{a_1} p_2^{a_2} \cdots p_r^{a_r}$ with distinct primes p_i and all $a_i \geq 1$, then

$$\lambda(n) := (-1)^{a_1 + \cdots + a_r} \quad \text{and} \quad \mu(n) := \begin{cases} (-1)^r & \text{if all } a_i = 1, \\ 0 & \text{otherwise.} \end{cases}$$

The following result is an immediate corollary of the main results of [7].

Theorem 3.1. *For all $\varepsilon > 0$, as $n \rightarrow \infty$ one has*

$$\mathfrak{p}(n, \mu) = O(e^{(1+\varepsilon)\sqrt{n}}) \quad \text{and} \quad \mathfrak{p}(n, \lambda) = O(e^{(\frac{1}{2}+\varepsilon)\kappa\sqrt{n}}), \quad (3.1)$$

where $\kappa = \pi\sqrt{2/3}$. In addition, for positive integer k , as $k \rightarrow \infty$ one has

$$\log \mathfrak{p}(2k, \mu) \sim \sqrt{2k} \quad \text{and} \quad \log \mathfrak{p}(2k, \lambda) \sim \frac{1}{2}\kappa\sqrt{2k}. \quad (3.2)$$

Given the relations of (3.2), it is natural to consider to what extent those relations “extend” to odd n . In [5] this question is answered under mild assumptions on the zeros of the Riemann zeta function $\zeta(s)$. Let $\Theta := \sup\{\operatorname{Re}(\rho) : \zeta(\rho) = 0\}$. It is well known that $\frac{1}{2} \leq \Theta \leq 1$; the assertion that $\Theta = \frac{1}{2}$ is the Riemann Hypothesis (RH).

Again for odd primes p let $\chi_p(n)$ denote the Legendre symbol $(\frac{n}{p})$. In [9], asymptotic formulae for different families of *Legendre-signed partition numbers* $\mathfrak{p}(n, \chi_p)$ are established, where primes are separated by their residue modulo 8. Of particular interest are the asymptotics for $\mathfrak{p}(n, \chi_p)$ for $p \equiv 3 \pmod{4}$; indeed, in such cases the generating functions for the sequences $(\mathfrak{p}(n, \chi_p))_{\mathbb{N}}$ lack “modular” functional equations, as happens when $p \equiv 1 \pmod{4}$. Because the exact first-order asymptotic formulae for $\mathfrak{p}(n, \chi_p)$ with $p \equiv 3 \pmod{4}$ involve complicated constants, we present the following simpler result which is Corollary 1.6 in [9].

Theorem 3.2. Let $\kappa := \pi\sqrt{2/3}$. If $p \equiv 7 \pmod{8}$, then as $n \rightarrow \infty$ one has

$$\mathfrak{p}(n, \chi_p) \asymp n^{\sqrt{p}L(1, \chi_p)/4\pi-3/4} \exp\left(\frac{1}{2}\kappa\sqrt{(1-\frac{1}{p})n}\right),$$

where $L(s, \chi_p)$ is the Dirichlet L -function for χ_p . If $p \equiv 3 \pmod{8}$, then as $n \rightarrow \infty$ one has the stronger relation

$$\mathfrak{p}(n, \chi_p) \sim \mathfrak{a}_p n^{\sqrt{p}L(1, \chi_p)/4\pi-3/4} \exp\left(\frac{1}{2}\kappa\sqrt{(1-\frac{1}{p})n}\right),$$

where

$$\mathfrak{a}_p = \left(\frac{p-1}{384p^2}\right)^{\frac{1}{4}} \exp\left(\frac{\sqrt{p}L(1, \chi)}{2\pi} \left(\gamma + \frac{1}{2} \log\left(\frac{384}{p(p-1)}\right) - \frac{L'(1, \chi)}{L(1, \chi)}\right)\right),$$

and γ is the Euler-Mascheroni constant.

4 Proposed research

Here we discuss several directions of potential future research.

4.1 Vanishings of Legendre-signed partition numbers. Theorems 2.5 and 2.2 indicate that sequences $\mathfrak{p}(n, \chi_p)_{\mathbb{N}}$ involving primes $p \equiv 1 \pmod{8}$ should be further explored. Empirical computations have been done, and these computations further indicate that the periodic vanishings of $\mathfrak{p}(n, \pm\chi_5)$ and $\mathfrak{p}(n, \pm\chi_{17})$ are indeed quite rare.

Problem 4.1. Establish the presence of periodic vanishings, or lack thereof, in sequences $(\mathfrak{p}(n, \pm\chi_p))_{\mathbb{N}}$ with $p \equiv 1 \pmod{8}$. In particular, resolve Conjecture 2.4.

When $p \equiv 1 \pmod{4}$, the generating function

$$\prod_{r=1}^{p-1} (\chi_p(r)q; q^p)_{\infty}^{-1} = 1 + \sum_{n=1}^{\infty} \mathfrak{p}(n, \chi_p) q^n$$

can be expressed as a quotient Dedekind's $\eta(z)$ function and Jacobi θ -functions, and thus enjoys a modular-like functional equation. This functional transformation relation allows one to give a convergent series representation for the coefficients $\mathfrak{p}(n, \chi_p)$, à-la Rademacher's convergent series for the ordinary partition numbers $\mathfrak{p}(n, 1)$.

Specifically, using the abbreviations $\kappa = \pi\sqrt{2/3}$ and $\lambda_n = \sqrt{n-1/24}$, Rademacher establishes [15] that

$$\mathfrak{p}(n) = \kappa(384)^{-\frac{1}{4}} \lambda_n^{\frac{3}{4}} \sum_{k=1}^{\infty} A_k(n) k^{-1} I_{\frac{3}{2}}(\kappa\lambda_n/k), \quad (4.1)$$

where $I_{\nu}(z)$ is the modified Bessel function of the first kind, and $A_k(n)$ is related to the classical Kloosterman sums.

For fixed $p \equiv 1 \pmod{4}$ let

$$\mathfrak{L}_k(n) := \sum'_{0 < h \leq k} \exp\{\pi i \Lambda(h, k) - 2\pi i h n/k\}, \quad (4.2)$$

where $\Lambda(h, k)$ is a certain “character-twisted” Dedekind sum. Specifically,

$$\Lambda(h, k) = \frac{1}{2} \{s_{\chi}(h, k) - s_{\chi}(2h, k)\} + \frac{1}{2} \{s(2h, k) - s(2hp, k)\}, \quad (4.3)$$

$$s_\chi(h, k) := \sum_{\mu \bmod [k, p]} \chi(\mu)((h\mu/k))((\mu/[k, p])), \quad (4.4)$$

where $[k, p] = \text{lcm}(k, p)$, and $((x)) = 0$ for $x \in \mathbb{Z}$ and $((x)) = x - [x] - \frac{1}{2}$ otherwise. We note that when $(k, p) = 1$, our $s_\chi(h, k)$ agrees with the $s_\chi(h, k)$ in Berndt's notation [2].

Applying Rademacher's and Lehner's methods from, e.g., [15] and [13], we find that for $p < 24$, one has

$$\begin{aligned} \mathfrak{p}(n, \chi_p) = & \sum_{\substack{k=1 \\ 2 \nmid k, p \nmid k}}^{\infty} \frac{1}{k} \{ \lambda_k \mathfrak{L}_k(n) + \lambda_{2k} \mathfrak{L}_{2k}(n) \} I_1(f(k, n)), \\ & + \sum_{\substack{k=1 \\ 4 \nmid k, p \nmid k}}^{\infty} \frac{1}{k} \{ \lambda_k \mathfrak{L}_k(n) \} I_1(f(k, n))/k + \sum_{\substack{k=1 \\ 2 \nmid k, p \nmid k}}^{\infty} \{ \mathfrak{L}_k^+(n) \} I_1(g(k, n))/k, \end{aligned} \quad (4.5)$$

where the λ_k are products of at most $2p$ fixed cosecant terms, I_1 is the modified Bessel function of the first kind, and f and g are elementary functions. The quantity $\mathfrak{L}_k^+(n)$ here is a modified version of the sum (4.2), wherein the sum in (4.2) is changed to only sum over those $h \pmod{k}$ such that $(h, k) = 1$ and $\chi(h) = +1$.

Determination of the sums \mathfrak{L}_k requires detailed knowledge of $24k\Lambda(h, k) \pmod{48k}$. A number of lemmata on these congruences have been established by the author already; completion of a handful of further results will allow for Salié-like formulae for the Kloosterman sums

$$\mathfrak{L}_q(n, m) := \sum'_{h \pmod{q}} \exp \{ \pi i \Lambda(h, q) - 2\pi i (hn + \overline{2hm})/q \} \quad (q = p^\alpha),$$

where $h\bar{h} \equiv 1 \pmod{q}$.

4.2 Different families of signed partition numbers. Recent and ongoing work with J. McLaughlin establishes further novel examples of periodic vanishings in the spirit of signed partitions, as seen in the following result.

Theorem 4.2 ⁽¹⁾. *If one defines the sequence a_n via*

$$A(q) := \sum_{n=0}^{\infty} a_n q^n = \frac{(q, q^3, q^4, q^9, q^{10}, q^{12}; q^{13})_{\infty}}{(-q^2, -q^5, -q^6, -q^7, -q^8, -q^{11}; q^{13})_{\infty}},$$

then one has

$$a_{13n+3} = a_{13n+9} = a_{13n+11} = 0 \quad \text{for all } n \geq 0.$$

Proofs for vanishings modulo other select primes (for the corresponding q -series) are in progress, and empirical evidence suggests that these select primes with such vanishings are extremely rare.

Problem 4.3. Determine which primes $p \equiv 1 \pmod{4}$ exhibit periodic vanishings in their signed partition numbers akin to those of Theorem 4.2.

¹In-preparation manuscript joint with J. McLaughlin

4.3 More general theory. The discussions of the previous sections have only involved arithmetic functions taking values in $\{0, \pm 1\}$. However, it is evident that the questions and methods discussed can be readily ported to examples involving more general weights, especially those involving characters χ with $|\chi| = 1$.

Problem 4.4. Examine sequences $\mathfrak{p}(n, \chi)$ with general Dirichlet characters χ , and find examples (if any exist) of characters χ which produce periodic vanishings in $\mathfrak{p}(n, \chi)$.

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