

# Algorithmic and Theoretical Considerations for Computing Generators of the Centralizer of $K$ in $\mathcal{U}(\mathfrak{g})$

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# Outline

- 1 Outline
- 2 Preliminaries
- 3 Generators for  $\mathfrak{U}(\mathfrak{g})^K$
- 4 Jackson-N Algorithms
- 5 Examples

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- Representation of a reductive Lie group

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Let  $G$  be a reductive Lie group. A *representation* of  $G$  on a complex Hilbert space  $V \neq 0$  is a homomorphism  $\pi$  of  $G$  into the group of bounded linear operators on  $V$  such that:

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### Theorem

*$G$  non-compact, semisimple. Then any non trivial irreducible  $\pi$  is of infinite dimension.*



# Unitary Dual

The set of irreducible unitary representations ( **The Unitary Dual**) of  $G$  denoted by  $\hat{G}$  is a fundamental tool to understand the actions of  $G$ . For  $G$  compact  $\hat{G}$  is essentially determined. Barbasch has treated the classical complex groups. However the following cases are still not resolved:

Type  $A$ :  $SU(p, q)$  for  $(p, q > 2)$

Type  $B$ :  $SO(p, q)$  for  $(p, q \geq 3)$

Type  $C$ :  $Sp(p, q)$  for  $(p, q \geq 2)$

Type  $D$ :  $SO(p, q)$  for  $(p, q \geq 3)$ ,  $SO^*(2n)$  for  $(n \geq 4)$

Type  $F_4$ :  $F_4(\mathbb{C})$ ,  $F_4(\textit{split})$

Type  $E_6$ :  $E_6(\mathbb{C})$ ,  $E_6(\textit{split})$ ,  $E_6(\textit{Hermitian})$ ,  $E_6(\textit{quaternionic})$

Type  $E_7$ :  $E_7(\mathbb{C})$  and all real non-compact forms

Type  $E_8$ :  $E_8(\mathbb{C})$  and all real non-compact forms

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Assume  $G$  real reductive. Works of Harish-Chandra, Vogan, Barbash and many others relate  $\hat{G}$  to  $\hat{K}$  where  $K$  is a maximal compact subgroup of  $G$ .

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Here the fundamental object is the  $(\mathfrak{g}, K)$ -module, a vector space equipped with two compatible actions of  $\mathfrak{g}$ , the complexification of the Lie algebra of  $G$  and  $K$ . One is usually interested in Harish-Chandra modules that is  $(\mathfrak{g}, K)$ -modules that have finite multiplicities as representations of  $K$ .

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- An attempt to determine irreducible  $(\mathfrak{g}, K)$ -modules (up to infinitesimal equivalence) by the action of  $\mathfrak{U}(\mathfrak{g})^K$ , the centralizer of the complexified  $K$  in the enveloping algebra of  $\mathfrak{g}$ , on any  $K$ -primary component.** Harish-Chandra, [Lepowski, McCollum 1973].

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Complete Results for:  $SU(2, 2)$ ,  $SU(n, 1)$ ,  $SO(n, 1)$ . ( Very few cases indeed)

## Kostant's 2006 result

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$K$ : the subgroup of the adjoint group of  $\mathfrak{g}$  corresponding to  $\mathfrak{k}$ .

Define a filtration

$$\mathfrak{U}(\mathfrak{g}) = \bigcup_{i=0}^{\infty} (\mathfrak{U}(\mathfrak{g}))_i$$

where  $(\mathfrak{U}(\mathfrak{g}))_i$  is the span of all  $j$ -fold products of elements of  $\mathfrak{g}$  for  $j \leq i$ .

By the Poincaré-Birkhoff-Witt theorem, the associated graded algebra with respect to this filtration is the symmetric algebra  $S(\mathfrak{g})$ . This is canonically isomorphic to the algebra of polynomial functions  $\mathbb{C}[\mathfrak{g}^*]$ , and since  $\mathfrak{g}$  is reductive it is self-dual and we can identify  $S(\mathfrak{g})$  with  $\mathbb{C}[\mathfrak{g}]$ . In particular, if we can find generators for  $\mathbb{C}[\mathfrak{g}]^K$  then any set of liftings of these generators to  $\mathfrak{U}(\mathfrak{g})$  will generate  $\mathfrak{U}(\mathfrak{g})^K$ .

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$$S(\mathfrak{g})^K = S(\mathfrak{g})_r^K \text{ where } r = \binom{2 \dim \mathfrak{g}}{2} \dim \mathfrak{p}$$

$S(\mathfrak{g})_r^K$  the subalgebra of  $S(\mathfrak{g})^K$  defined by  $K$ -invariant polynomials of degree at most  $r$ .



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An analysis shows that for  $SL_3(\mathbb{R})$  matrices with  $10^{32}$  entries would be needed in order to implement Kostant's method.

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## A Molien series for $\mathfrak{U}(\mathfrak{g})^K$

We describe a method by which Kostant's algorithm can be significantly accelerated by exploiting the Kostant-Rallis theorem via a certain homomorphism from  $\mathfrak{U}(\mathfrak{g})^K$  to the ring of regular functions on the nilpotent cone in  $\mathfrak{p}$ . The situation is analogous to that in the invariant theory of finite groups, where the Molien series is used to accelerate the algorithm suggested by Noether's degree bound.

**Harm Derksen and Gregor Kemper:** *Computational invariant theory, Invariant Theory and Algebraic Transformation Groups, I*, Springer-Verlag, Berlin, 2002, Encyclopaedia of Mathematical Sciences, 130.

## A Molien series for $\mathfrak{U}(\mathfrak{g})^K$

Various structural and algebraic considerations yield:

$$\mathbb{C}[\mathfrak{g}] = \mathbb{C}[\mathfrak{k}] \otimes \mathbb{C}[\mathfrak{p}] \simeq \mathbb{C}[\mathcal{N}_{\mathfrak{k}}] \otimes \mathbb{C}[\mathfrak{k}]^K \otimes \mathbb{C}[\mathcal{N}_{\mathfrak{p}}] \otimes \mathbb{C}[\mathfrak{p}]^K$$

where  $\mathcal{N}_{\mathfrak{k}}$  and  $\mathcal{N}_{\mathfrak{p}}$  are the cone of nilpotent elements of  $\mathfrak{k}$  and  $\mathfrak{p}$  respectively. Hence

$$\mathbb{C}[\mathfrak{g}]^K \simeq (\mathbb{C}[\mathcal{N}_{\mathfrak{k}}] \otimes \mathbb{C}[\mathcal{N}_{\mathfrak{p}}])^K \otimes \mathbb{C}[\mathfrak{k}]^K \otimes \mathbb{C}[\mathfrak{p}]^K.$$

This is not an algebra isomorphism but we know that lifting of generators will work!!

## A Molien series for $\mathfrak{U}(\mathfrak{g})^K$

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### Theorem (Jackson, N)

$\mathcal{A} \simeq \mathbb{C}[\mathcal{N}_{\mathfrak{p}}]^{K^e}$ , where  $e$  is a regular nilpotent element of  $\mathfrak{k}$  and  $K^e$ , the isotropy group of  $e$  in  $K$ .

## A Molien series for $\mathfrak{U}(\mathfrak{g})^K$

Let  $(h, e, f)$  be the Jacobson-Morozov triple associated to the regular nilpotent  $e$ . Since  $h$  is semisimple a simple argument leads to the following eigenspace decomposition:



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$$\mathbb{C}[\mathcal{N}_p]^{K^e} = \bigoplus_{i=0}^{\infty} \mathbb{C}[\mathcal{N}_p]_i^{K^e}$$

This is a grading transferable to  $\mathcal{A}$  via the above isomorphism. Define a *Molien series* for  $\mathfrak{U}(\mathfrak{g})^K$  as follows:

$$M(t) = \sum_{i=0}^{\infty} (\dim \mathcal{A}_i) t^i.$$

We will see that  $\dim \mathcal{A}_i$  is finite.

## Computing $M(t)$

Let  $\mathfrak{a}$  be maximal toral subalgebra of  $\mathfrak{p}$ . Denote by  $M$  the centralizer of  $\mathfrak{a}$  in  $K$ .

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Computing the Molien series can be reduced to computation of  $M$ -invariants on  $\mathfrak{k}$  together with a Gröbner basis calculation. Since  $M$  is frequently the product of a finite group and a torus, this often reduces the computation to familiar algorithms from the invariant theory of finite groups and integer programming.

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### Theorem (Jackson, N)

*The formal power series  $N(t) = \sum_{i=0}^{\infty} (\dim \mathbb{C}[\mathcal{N}_{\mathfrak{k}}]_i^M) t^{2i}$  coincides with the Molien series  $M(t)$ . In particular, the coefficients of  $M(t)$  are finite.*

## Computing $M(t)$

Let  $k$  be the rank of  $\mathfrak{k}$  and  $u_1, \dots, u_k$  a system of homogeneous generators for  $\mathbb{C}[\mathfrak{k}]^K$  of degrees  $d_1, \dots, d_k$  respectively. Then  $(u_1, \dots, u_k)$  is a regular sequence in  $\mathbb{C}[\mathfrak{k}]$ . In other words  $\text{codim } \mathcal{N}_{\mathfrak{k}}$  in  $\mathfrak{k}$  is  $k$ .

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Define  $P(t) = \sum_{i=0}^{\infty} (\dim \mathbb{C}[\mathcal{N}_{\mathfrak{k}}]_i^M) t^i$ .



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### Theorem (Jackson, N)

$$M(t) = P(t^2) \prod_{i=1}^k (1 - t^{2d_i}).$$

## Computing $M(t)$

$M$ , the centralizer of  $\mathfrak{a}$  in  $K$ , is reductive; hence it has a compact real form  $M_{\mathbb{R}}$ . When  $M$  is abelian we can define  $R(x_1, \dots, x_n)$  by the formula

$$R(x_1, \dots, x_n) = \int_{m \in M_{\mathbb{R}}} \prod_{i=1}^n \frac{1}{1 - mx_i} dm.$$

Then  $P(t) = R(t, \dots, t)$ .

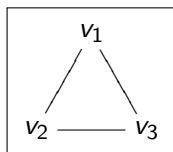
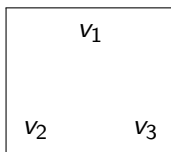
## Computing $M(t)$

If the pair  $(G, K)$  corresponds to a split real group, then  $M$  is isomorphic to  $(\mathbb{Z}/2\mathbb{Z})^l$ , where  $l$  is the rank of  $G$ . In particular,  $M$  is abelian, and the invariant integral described in the previous section collapses to a finite sum. Since  $M$  is a 2-group, we see that  $x_i^2$  is  $M$ -invariant for all  $i$ . Now let  $\mathcal{S}$  denote the set of all  $M$ -invariant square-free monomials in  $x_1, \dots, x_n$ . Then

$$R(x_1, \dots, x_n) = \frac{\sum_{\mu \in \mathcal{S}} \mu}{\prod_{i=1}^n (1 - x_i^2)}.$$

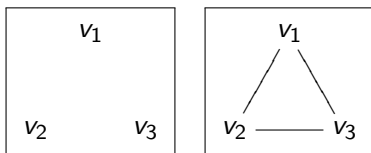
## Computing $M(t)$ for $SL_{\mathbb{R}}(3)$

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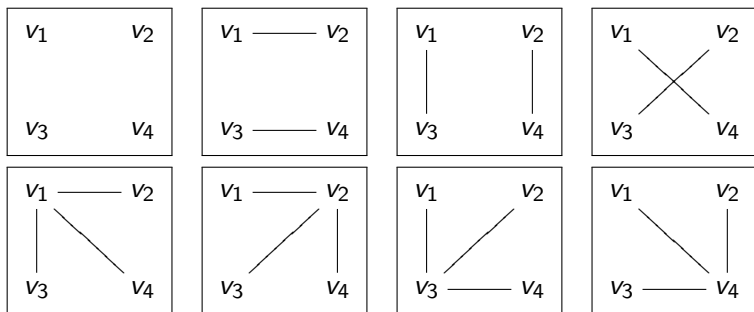
$$P(t) = \frac{1 + t^3}{(1 - t^2)^3}.$$

Since  $k = 1$  and  $d_1 = 2$ ,

$$M(t) = \frac{1 + t^6}{(1 - t^4)^2}.$$

## Computing $M(t)$ for $SL_{\mathbb{R}}(4)$

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## Computing $M(t)$ for $SL_{\mathbb{R}}(4)$

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Here  $k = 2$ ,  $d_1 = 2$ , and  $d_2 = 2$ , so we obtain

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This technique is valid for  $SL_{\mathbb{R}}(n)$ .

# Algorithms

If one knows the Molien series  $M(t)$  then one has an algorithm to test whether a given collection of  $K$ -invariants  $f_1, \dots, f_n \in \mathbb{C}[\mathfrak{g}]$  (together with the usual generators for  $\mathbb{C}[\mathfrak{k}]^K$  and  $\mathbb{C}[\mathfrak{p}]^K$ ) generate  $\mathbb{C}[\mathfrak{g}]^K$ . Let  $\pi : \mathbb{C}[\mathfrak{g}] \rightarrow \mathbb{C}[\mathcal{N}(\mathfrak{k})] \otimes \mathbb{C}[\mathcal{N}(\mathfrak{p})]$  be the projection, and let  $\mathcal{A}'$  be the subalgebra of  $\mathcal{A}$  generated by  $\pi(f_1), \dots, \pi(f_n)$ .

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Replacing the  $f_i$  by their  $\mathfrak{k}$ -homogeneous components if necessary, define a formal power series  $Q(t)$  by

$$Q(t) = \sum_{i=0}^{\infty} (\dim A'_i) t^i.$$

If  $Q(t) = M(t)$ , then  $f_1, \dots, f_n$  is included in a set of generators for  $\mathfrak{U}(\mathfrak{g})^K$ .

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We have two ways of manufacturing elements of  $\mathbb{C}[\mathfrak{g}]^K$ .

## Method I: Linear Algebra

Method I is general and produces a basis for  $\mathbb{C}[\mathfrak{g}]_d^K$  by computing the Kernel of a matrix. It is suitable for implementation on a computer algebra system. If  $M(t)$  is known, this leads immediately to an algorithm which computes generators for  $\mathbb{C}[\mathfrak{g}]^K$ : starting with  $i = 0$ , we increment  $i$  until a basis for  $\sum_{d=0}^i \mathbb{C}[\mathfrak{g}]_d^K$  gives  $Q(t) = M(t)$ .



## Method II: Trace Forms

Method II is much faster than Method I. But there is no guarantee that  $\mathbb{C}[\mathfrak{g}]^K$  will be generated by trace forms.

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In general, one can always decompose  $\mathfrak{g}$  as a sum of irreducible  $K$ -modules:

$$\mathfrak{g} = \mathfrak{g}_1 \oplus \cdots \oplus \mathfrak{g}_m$$

for some  $m$ . For  $1 \leq i \leq m$  let  $\pi_i$  denote the  $K$ -equivariant projection from  $\mathfrak{g}$  to  $\mathfrak{g}_i$ . Passing to a representation  $V$  of  $\mathfrak{g}$ , we can regard each  $\mathfrak{g}_i$  as a space of matrices on which  $K$  acts by conjugation. Now for any sequence  $i_1, \dots, i_d$  with  $1 \leq i_j \leq m$ , define a function  $f_{V, i_1, \dots, i_d} : \mathfrak{g} \rightarrow \mathbb{C}$  by the formula

$$f_{V, i_1, \dots, i_d}(x) = \text{trace}_V(\pi_{i_1}(x) \cdots \pi_{i_d}(x)).$$

Evidently  $f_{V, i_1, \dots, i_d}$  is a polynomial of degree  $d$ , and by construction it lies in  $\mathbb{C}[\mathfrak{g}]^K$ .

# Outline

- 1 Outline
- 2 Preliminaries
- 3 Generators for  $\mathfrak{U}(\mathfrak{g})^K$
- 4 Jackson-N Algorithms
- 5 Examples

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Now let  $V$  denote the standard representation of  $\mathfrak{sl}_3$ . Using the algorithms discussed earlier we show that:

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generate  $\mathbb{C}[\mathfrak{g}]^K$ .

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generate  $\mathbb{C}[\mathfrak{g}]^K$ .

In other words, letting  $A$  and  $S$  be the antisymmetric and symmetric parts, respectively, of a generic element  $x \in \mathfrak{g}$ , liftings of the polynomial functions

$$\{tr(A^2), tr(S^2), tr(A^2S), tr(S^3), tr((AS)^2), tr(ASA^2S^2)\}$$

generate  $\mathfrak{U}(\mathfrak{g})^K$ .

# $SL_{\mathbb{R}}(4)$

Letting  $V$  denote the standard representation of  $\mathfrak{sl}_4$ , we can check that  $\mathfrak{sl}(\mathfrak{g})^K$  is generated by liftings of trace forms on  $V$  of degree nine or less.

# $SU(2, 2)$

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$$x = \begin{bmatrix} A & B \\ C & D \end{bmatrix}.$$

Then define

$$\pi_1(x) = \begin{bmatrix} A & 0 \\ 0 & 0 \end{bmatrix} \quad \pi_2(x) = \begin{bmatrix} 0 & B \\ 0 & 0 \end{bmatrix} \quad \pi_3(x) = \begin{bmatrix} 0 & 0 \\ C & 0 \end{bmatrix} \quad \pi_4(x) = \begin{bmatrix} 0 & 0 \\ 0 & D \end{bmatrix}$$

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One checks that the trace forms

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generate  $\mathbb{C}[\mathfrak{g}]^K$ ,

whence liftings of the polynomial functions

$$\{tr(A), tr(D), tr(A^2), tr(D^2), tr(BC), tr(ABC), \\ tr(BDC), tr(ABDC), tr((BC)^2), tr(ABDCBC)\}.$$

generate  $\mathfrak{U}(\mathfrak{g})^K$ . (This agrees with Zhu's result.)

## $G_2(2)$

Let  $\mathfrak{h}$  be a Cartan subalgebra of  $\mathfrak{g}$ , and let  $\alpha_1, \alpha_2 \in \mathfrak{h}^*$  be the short and long simple roots, respectively. Let  $X_\alpha$  be the element of a Chevalley basis corresponding to the root  $\alpha$ , and put  $H_\alpha = [X_\alpha, X_{-\alpha}]$ . Conjugating by an inner automorphism if necessary, we may take

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$$\mathfrak{k} = \text{span}(X_{\alpha_1}, X_{-\alpha_1}, H_{\alpha_1}, X_{3\alpha_1+2\alpha_2}, X_{-3\alpha_1-2\alpha_2}, H_{3\alpha_1+2\alpha_2})$$

$$\mathfrak{p} = \text{span}(X_{\alpha_2}, X_{-\alpha_2}, X_{\alpha_1+\alpha_2}, X_{-\alpha_1-\alpha_2}, X_{2\alpha_1+\alpha_2}, X_{-2\alpha_1-\alpha_2}, X_{3\alpha_1+\alpha_2}, X_{-3\alpha_1-\alpha_2}).$$



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$$M(t) = \frac{1 + 3t^4 + 8t^6 + 3t^8 + t^{12}}{(1 - t^4)^4}$$

## $G_2(2)$

Put

$$\mathfrak{g}_1 = \text{span}(X_{\alpha_1}, X_{-\alpha_1}, H_{\alpha_1})$$

$$\mathfrak{g}_2 = \text{span}(X_{3\alpha_1+2\alpha_2}, X_{-3\alpha_1-2\alpha_2}, H_{3\alpha_1+2\alpha_2})$$

$$\mathfrak{g}_3 = \mathfrak{p}$$

so that  $\mathfrak{g} = \mathfrak{g}_1 \oplus \mathfrak{g}_2 \oplus \mathfrak{g}_3$  is the decomposition of  $\mathfrak{g}$  into irreducible  $K$ -modules.

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One finds that the trace forms

$$\left\{ \begin{aligned} &f_{V,1,1}, f_{V,2,2}, f_{V,3,3}, f_{V,1,3,2,3}, f_{V,1,1,3,3}, f_{V,1,3,2,3,3,3}, f_{V,2,3,3,2,3,3}, \\ &f_{V,1,1,3,3,3,3}, f_{V,2,1,3,1,1,3}, f_{V,3,3,3,3,3,3}, f_{V,1,3,1,3,3,2,3}, f_{V,1,3,2,3,3,3,3,3}, \\ &f_{V,1,3,1,3,3,1,3,3,3}, f_{V,1,3,2,3,3,1,3,3,3}, f_{V,1,3,2,3,3,2,3,3,3}, f_{V,1,1,3,2,1,3,3,2,3}, \\ &f_{V,1,2,3,1,1,3,3,1,3}, f_{V,2,3,3,2,3,3,3,3,3,3}, f_{V,1,3,1,3,2,3,3,3,3,3,3}, \\ &f_{V,1,3,2,3,3,2,3,3,3,3,3,3}, f_{V,1,3,3,2,3,3,3,3,2,3,3,3,3,3}, f_{V,2,3,3,2,3,3,3,3,2,3,3,3,3,3,3} \end{aligned} \right\}$$

generate  $\mathbb{C}[\mathfrak{g}]^K$ .

## Recent work of Steven Jackson

Recently, Steven Jackson (working alone) seems to have developed a theory for writing down the generators of  $\mathfrak{U}(\mathfrak{g})^K$  for any split real form  $\mathfrak{g}_{\mathbb{R}}$  using root systems.

**No computer calculations is required in the process.**