

# Moduli spaces for Lamé functions

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in collaboration with

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## Results

Elliptic curve in the form of Weierstrass:

$$u^2 = 4x^3 - g_2x - g_3, \quad g_2^3 - 27g_3^2 \neq 0$$

*Lamé equation* of degree  $m$  with parameters  $(\lambda, g_2, g_3)$ :

$$\left( \left( u \frac{d}{dx} \right)^2 - m(m+1)x - \lambda \right) w = 0$$

Changing  $x$  to  $x/k$ ,  $k \in \mathbf{C}^*$  we obtain a Lamé equation with parameters

$$(k\lambda, k^2g_2, k^3g_3), \quad k \in \mathbf{C}^*$$

Such equations are called *equivalent*, and the set of equivalence classes is the *moduli space for Lamé equations*. It is a weighted projective space  $\mathbf{P}(1, 2, 3)$  from which the curve  $g_2^3 - 27g_3^2 = 0$  is deleted.

## Elliptic form of Lamé equation

$$W'' - (m(m+1)\wp + \lambda) W = 0$$

is obtained by the change of the independent variable  $x = \wp(z)$ ,  $u = \wp'(z)$ , so  $W(z) = w(\wp(z))$ .

Here  $\wp$  is the Weierstrass function of the lattice  $\Lambda$  with invariants

$$g_2 = \sum_{\omega \in \Lambda \setminus \{0\}} \omega^{-4}, \quad g_3 = \sum_{\omega \in \Lambda \setminus \{0\}} \omega^{-6}.$$

*Lamé function* is a non-trivial solution  $w$  such that  $w^2$  is a polynomial. If a Lamé function exists, it is unique up to a constant factor. It exists iff a polynomial equation holds

$$F_m(\lambda, g_2, g_3) = 0$$

This polynomial is quasi-homogeneous with weights  $(1, 2, 3)$  so we can factor by the  $\mathbf{C}^*$  action  $(\lambda, g_2, g_3) \mapsto (k\lambda, k^2g_2, k^3g_3)$ , and obtain an (abstract) Riemann surface  $\mathbf{L}_m$ , the *moduli space of Lamé functions*. The map

$$(g_2, g_3) \mapsto J = g_2^3/(g_2^3 - 27g_3^2)$$

is homogeneous, so it defines a function

$$\pi : \mathbf{L}_m \rightarrow \mathbf{C}_J$$

which is called the *forgetful map*.

Singularities in  $\mathbf{C}$  of Lamé's equation in algebraic form are  $e_1, e_2, e_3$ ,

$$4x^2 - g_2x - g_3 = 4(x - e_1)(x - e_2)(x - e_3)$$

with local exponents  $(0, 1/2)$ . So Lamé functions are of the form:

$$Q(x), \quad Q(x)\sqrt{(x - e_i)(x - e_j)}, \quad m \text{ even,}$$

or

$$Q(x)\sqrt{x - e_i}, \quad Q(x)\sqrt{(x - e_1)(x - e_2)(x - e_3)}, \quad m \text{ odd,}$$

where  $Q$  is a polynomial. This shows that for every  $m \geq 2$ ,  $\mathbf{L}_m$  consists of *at least two* components.

*We determine topology of  $\mathbf{L}_m$  (number of connected components, their genera and numbers of punctures).*

Notation:

$$d_m^I := \begin{cases} m/2 + 1, & m \text{ even} \\ (m-1)/2, & m \text{ odd} \end{cases}$$

$$d_m^{II} := 3\lceil m/2 \rceil.$$

$$\epsilon_0 := 0, \text{ if } m \equiv 1 \pmod{3}, \text{ and } 1 \text{ otherwise}$$

$$\epsilon_1 := 0, \text{ if } m \in \{1, 2\} \pmod{4}, \text{ and } 1 \text{ otherwise}$$

Orbifold Euler characteristic is defined as

$$\chi^O = 2 - 2g - \sum_z \left(1 - \frac{1}{n(z)}\right),$$

where  $g$  is the genus, and  $n : S \rightarrow \mathbf{N}$  the orbifold function.

**Theorem 1.** For  $m \geq 2$ ,  $\mathbf{L}_m$  has two components,  $\mathbf{L}_m^I$  and  $\mathbf{L}_m^{II}$ . They have a natural orbifold structure with  $\epsilon_0$  points of order 3 in  $\mathbf{L}_m^I$  and one point of order 2 which belongs to  $\mathbf{L}^I$  when  $\epsilon_1 = 1$  and to  $\mathbf{L}_m^{II}$  otherwise.

Component I has  $d_m^I$  punctures and component II has  $2d_m^{II}/3 = 2\lceil m/2 \rceil$  punctures.

The degrees of forgetful maps are  $d_m^I$  and  $d_m^{II}$ .

The orbifold Euler characteristics are

$$\chi^O(\mathbf{L}_m^I) = -(d_m^I)^2/6, \quad \chi^O(\mathbf{L}_m^{II}) = -(d_m^{II})^2/18$$

For  $m = 0$  there is only the first component and for  $m = 1$  only the second component. So  $\mathbf{L}_m$  is connected for  $m \in \{0, 1\}$ .

That there are at least two components is well-known. The new result is that there are exactly two, and their Euler characteristics.

**Corollary 1.** *The polynomial  $F_m$  factors into two irreducible factors in  $\mathbf{C}(\lambda, g_2, g_3)$*

**Theorem 2.** *All singular points of irreducible components of the surface  $F_m = 0$  are contained in the lines  $(0, t, 0)$  and  $(0, 0, t)$ .*

To prove this, we find non-singular curves  $\overline{\mathbf{H}}_m^j \subset \mathbf{P}^2$  and orbifold coverings  $\Psi_m^K : \overline{\mathbf{H}}_m^j \rightarrow \overline{\mathbf{L}}_m^K$ . Here  $\overline{\mathbf{L}}_m^K$  is the compactification obtained by filling the punctures and assigning an appropriate orbifold structure at the punctures. Theorem 1 is used to prove non-singularity of  $\mathbf{H}_m^j$ .

*We thank Vitaly Tarasov (IUPUI) and Eduardo Chavez Heredia (Univ. of Bristol) who helped us to find ramification of  $\pi$  over  $J = 0$ . Tarasov also suggested the definition of  $\overline{\mathbf{H}}_m^j$  which is crucial here.*



Let  $F_m = F_m^I F_m^{II}$  and let  $D^I, D^{II}$  be discriminants of  $F_m^I, F_m^{II}$  with respect to  $\lambda$ . These are quasi-homogeneous polynomials, so equations  $D_m^K = 0$  are equivalent to polynomial equations  $C_m^K(J) = 0$  in one variable. These  $C_m^K$  are called *Cohn's polynomials*.

**Corollary 2. (conjectured by Robert Meier)**

$\deg C_m^I = \lfloor (d_m^2 - d_m + 4)/6 \rfloor$ ,  $d = d_m^I$  and  
 $\deg C_m^{II} = d_m^{II}(d_m^{II} - 1)/2$ .

Since we know the genus of  $\mathbf{L}_m^K$  (Theorem 1), we can find ramification of the forgetful map  $\pi : \mathbf{L}_m \rightarrow \mathbf{C}_J$ . Degree of  $C_m^K$  differs from this ramification by contribution from singular points of  $F_m^K = 0$ , and this contribution is obtained from Theorem 2.

## Method

Let  $w$  be a Lamé function. Then a linearly independent solution of the same equation is  $w \int dx/(uw^2)$ , so their ratio

$$f = \int w^{-2}(x) \frac{dx}{u}$$

is an Abelian integral. The differential  $df$  has a single zero of order  $2m$  and  $m$  double poles with *vanishing residues*.

Conversely, if  $g(x)dx$  is an Abelian differential on an elliptic curve with a single zero at the origin<sup>1</sup> of multiplicity  $2m$  and  $m$  simple poles with vanishing residues, then  $g = 1/(uw^2)$  where  $w$  is a Lamé function. Such differentials on elliptic curves are called *translation structures*. They are defined up to proportionality.

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<sup>1</sup>The “origin” is a neutral point of the elliptic curve. It corresponds to  $x = \infty$  in Weierstrass representation

So we have a 1 – 1 correspondence between Lamé functions and translation structures.

To study translation structures we pull back the *Euclidean* metric from  $\mathbf{C}$  to our elliptic curve via  $f$ , so that  $f$  is the *developing map* of the resulting metric. This metric is flat, has one conic singularity with angle  $2\pi(2m + 1)$  at the origin, and  $m$  simple poles.

A *pole* of a flat metric is a point whose neighborhood is isometric to  $\{z : R < |z| \leq \infty\}$  with flat metric, for some  $R > 0$ .

We have a 1 – 1 correspondence between the classes of Lamé functions and the classes of such metrics on elliptic curves. (Equivalence relation of the metrics is proportionality. In terms of the developing map,  $f_1 \sim f_2$  if  $f_1 = Af_2 + B$ ,  $A \neq 0$ .)

We will show that every *flat singular torus* (a torus with a metric described above) can be cut into two *congruent* flat singular triangles in an essentially unique way.

A *flat singular triangle* is a triple  $(\Delta, \{a_j\}, f)$ , where  $D$  is a closed disk,  $a_j$  are three (distinct) boundary points, and  $f$  is a meromorphic function  $\Delta \rightarrow \overline{\mathbf{C}}$  which is locally univalent at all points of  $\Delta$  except  $a_j$ , has *conic singularities* at  $a_j$ ,

$$f(z) = f(a_j) + (z - a_j)^{\alpha_j} h_j(z), \quad h_j \text{ analytic, } h_j(a_j) \neq 0$$

$f(a_j) \neq \infty$ , and the three arcs  $(a_i, a_{i+1})$  of  $\partial\Delta$  are mapped into lines  $\ell_j$  (which may coincide).

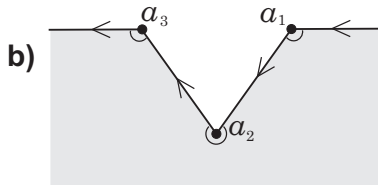
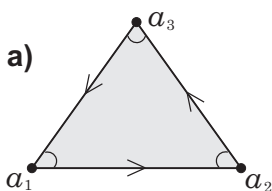
The number  $\pi\alpha_j > 0$  is called the *angle* at the *corner*  $a_j$ .

Flat singular triangles  $(\Delta_1, \{a_j\}, f_1)$  and  $(\Delta_2, \{a'_j\}, f_2)$  are *equivalent* if there is a conformal homeomorphism  $\phi : \Delta_1 \rightarrow \Delta_2$ ,  $\phi(a_j) = a'_j$ , and

$$f_2 = Af_1 \circ \phi + B, \quad A \neq 0.$$

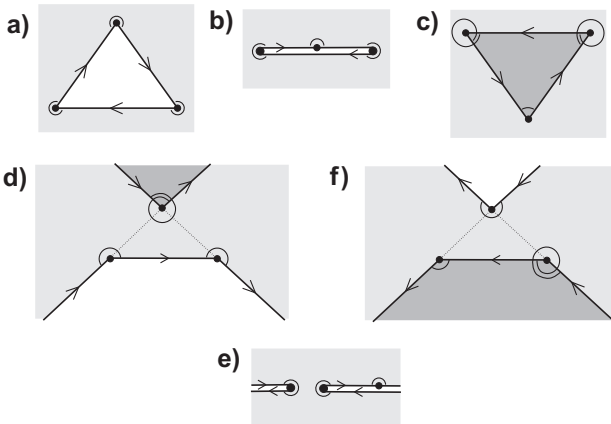
To visualize, draw three lines  $\ell_j$  in the plane, not necessarily distinct, choose three distinct points  $a_i \in \ell_j \cap \ell_k$ , and mark the angles at these points with little arcs (the angles are positive but can be arbitrarily large).

The corners  $a_j$  are enumerated according to the positive orientation of  $\partial\Delta$ .



“Primitive” triangles with angle sums  $\pi$  and  $3\pi$

All other balanced triangles can be obtained from these two by gluing half-planes to the sides (F. Klein).



All types of balanced triangles with angle sum  $5\pi$  ( $m = 2$ )

A flat singular triangle is called *balanced* if

$$\alpha_i \leq \alpha_j + \alpha_k$$

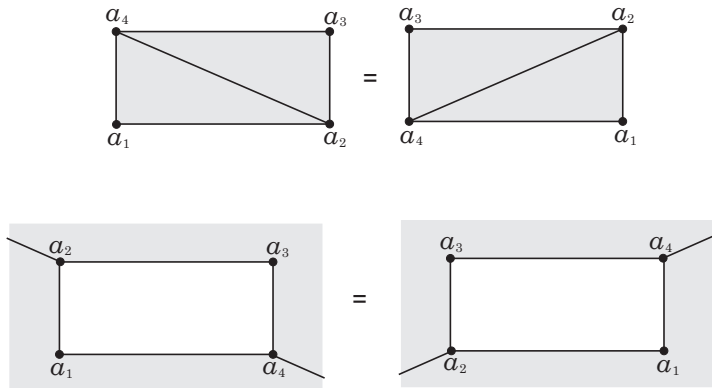
for all permutations  $(i, j, k)$ , and *marginal* if we have equality for some permutation. We abbreviate them as BFT.

Let  $T$  be a BFT and  $T'$  its congruent copy. We glue them by identifying the pairs of equal sides according to the *orientation-reversing isometry*. The resulting torus is called  $\Phi(T)$ . All three corners of  $T$  are glued into one point, the conic singularity of  $\Phi(T)$ .

When two different triangles give the same torus?

- a) when they differ by cyclic permutation of corners  $a_j$ , or
- b) they are marginal, and are reflections of each other.





Non-uniqueness of decomposition of a torus into marginal triangles for  $m = 0$  and  $m = 1$  (Case b). For triangles with the angle sum  $\pi$  or  $3\pi$ , marginal means that the largest angle is  $\pi/2$  or  $3\pi/2$ .

Complex analytic structure on the space  $\mathbf{T}_m$  of BFT:  
A complex local coordinate is the ratio

$$z = \frac{f(a_i) - f(a_j)}{f(a_k) - f(a_j)}$$

There are 6 such coordinates and they are related by transformations of the anharmonic group:

$$z, 1/z, 1 - z, 1 - 1/z, 1/(1 - z), z/(z - 1)$$

This coordinate  $z$  is also the ratio of the periods of the Abelian differential  $dx/(uw^2)$  corresponding to a Lamé function.

Factoring the space  $\mathbf{T}_m$  of BFT's with the angle sum  $\pi(2m+1)$  by equivalences a) and b) we obtain the space  $\mathbf{T}_m^*$ . It inherits the complex analytic structure from  $\mathbf{T}_m$ . Our main result is

**Theorem 3.**  $\Phi : \mathbf{T}_m^* \rightarrow \mathbf{L}_m$  is a conformal homeomorphism.

Roughly speaking, every flat singular torus can be broken into two congruent BFT, and this decomposition is unique modulo equivalences a) and b).

The space  $\mathbf{T}_m^*$  has a nice partition into open 2- and 1- cells and points, which permits to compute the topological characteristics of  $\mathbf{L}_m$ . To explain this partition, we study BFT.

Some properties of BFT.

1. The sum of the angles is an odd multiple of  $\pi$ . The angles are  $\pi\alpha_j$  where either all  $\alpha_j$  are integers or none of them is an integer.
2. For non-integer  $\alpha_j$ , triangle is determined by the angles, and any triple of positive non-integer  $\alpha_j$  whose sum is odd can occur.
3. For integer angles, all triangles are balanced. Triangle is determined by the angles and one real parameter (for example the ratio  $z$  introduced above). All balanced integer triples whose sum is an odd can occur as  $\alpha_j$ .
4. For BFT, each side contains at most one pole, and

$$2n + k = m,$$

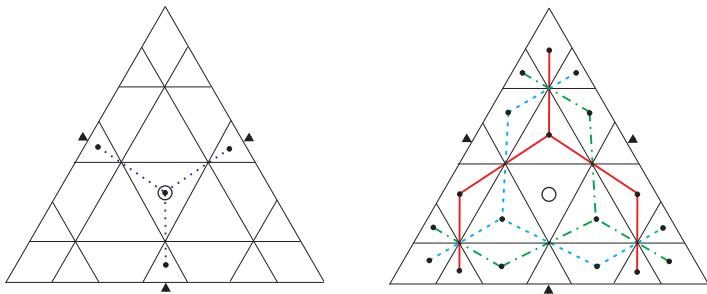
where  $n$  is the number of interior poles,  $k$  is the number of poles on the sides, and  $\pi(2m + 1)$  is the sum of the angles.

The two components are determined by the value of  $k$ : for example, when  $m$  is even then  $k = 0$  on the first component and  $k = 2$  on the second.

To visualize 2 and 3, consider the *space of angles*  $\mathbf{A}_m$ . First we define the triangle

$$\Delta_m = \left\{ \alpha \in \mathbf{R}^3 : \sum_{j=1}^3 \alpha_j = 2m + 1, 0 < \alpha_i \leq \alpha_j + \alpha_k \right\},$$

then remove from it all lines  $\alpha_j = k$ , for integer  $k$ , and then add all integer points (where all  $\alpha_j$  are integers). The resulting set is the space of angles  $\mathbf{A}_m$ .









Space of angles  $\mathbf{A}_3$  and 4 components of  $\mathbf{T}_3$  (Their “nerves” are shown). The three components on the right-hand side are identified when we pass to the factor  $\mathbf{T}^*$ .

We have a map  $\psi : \mathbf{T}_m \rightarrow \mathbf{A}_m$  which to every triangle puts into correspondence its vector of angles (divided by  $\pi$ ). This map is 1 – 1 on the set of triangles with angles non-integer multiples of  $\pi$ . Preimage of an integer point in  $\mathbf{A}_m$  consists of three open intervals.

This defines a natural partition of  $\mathbf{T}_m$  into open disks and intervals. Open disks are preimages of components of interior of  $\mathbf{A}_m$ , intervals are of two types: inner edges are components of preimages of integer points in  $\mathbf{A}_n$ , and boundary edges are preimages of the intervals  $\mathbf{A}_m \cap \partial\Delta_m$ .

This partition reduces calculation of Euler characteristic and number of punctures to combinatorics.

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