

## Meeting 2.1: Other 3-manifold encodings

I. Heegaard splittings and diagrams

II. Knots and links: stick presentations and diagrams

III. Bridge position, braid groups, and trace closures

Skipping for now: surgery presentations of 3-manifolds

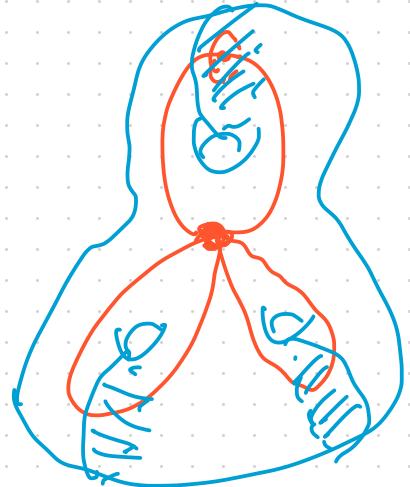
Next time: structure of 3-manifolds

Announcements:- office half hour on Wednesdays, 3:30-4:00.  
w/ option to go extra half hour

- Class will end today at 3pm.

# I. Heegaard splittings and diagrams

A handlebody of genus  $g$  is a 3-manifold homeomorphic to a regular neighborhood of a wedge of  $g$  circles in  $\mathbb{R}^3$ .



$$\bigcup_{p \in \text{Wedge}} B_\varepsilon(p) \quad (\varepsilon \text{ small enough})$$

Let  $M$  be a (closed, orientable) 3-manifold. A Heegaard surface (or Heegaard splitting) is an embedded surface  $S \subseteq M$  of some genus  $g$  such that "cutting  $M$  along  $S$ " results in two handlebodies.

"Cut  $M$  along  $S$ " means " $M - N(S)$ ".

Lemma Every (closed, orientable) 3-manifold has a Heegaard surface. More precisely, if  $\gamma$  is a triangulation of a 3-manifold with  $t$  tetrahedra, then  $\gamma$  has Heegaard surface of genus  $= \#\gamma^1 = \# \text{MaxTree}(\gamma^1)$ .

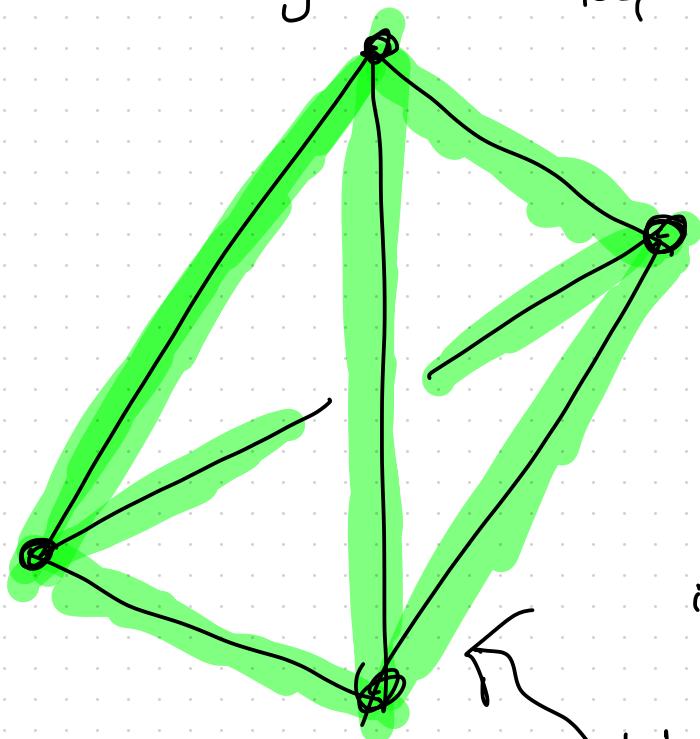


$\gamma^1$  = one skeleton

Remark: the genus will typically be way bigger than necessary. We define  $\text{genus}(M^3)$  to be the minimum genus of all Heegaard splittings of  $M$ .  $\text{genus}(M^3)$  is an invariant.

## Proof by picture

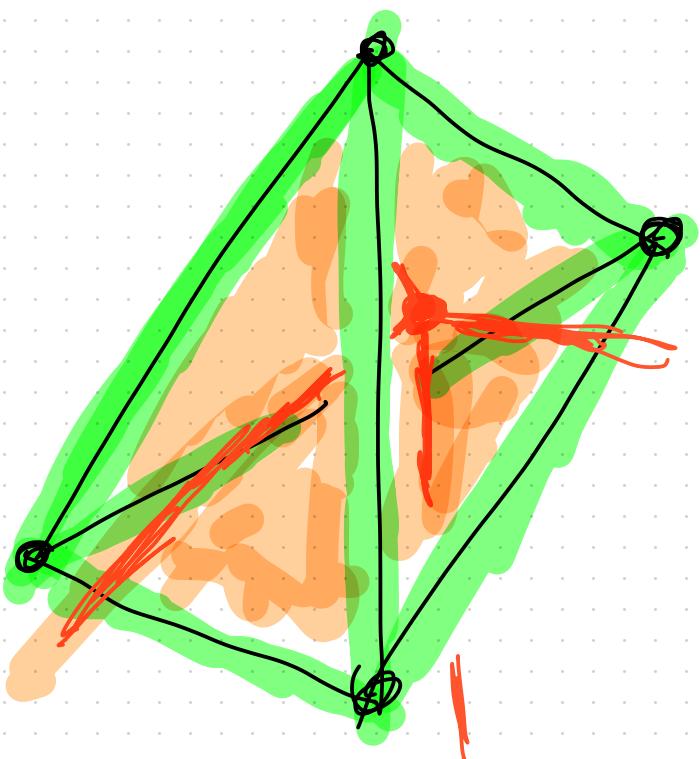
For Heegaard surface, let  $S = 2N(\gamma')$ . We need to check that each piece of  $\gamma - S$  is a handlebody of genus  $\#\gamma' - \# \text{Max Tree}(\gamma')$ .



We can contract any maximal tree in  $H_0$  to get a homeomorphic manifold. By construction the 3-manifold is a wedge of  $\#\gamma' - \# \text{Max Tree}(\gamma')$  many circles.

$$H_0 = N(\gamma')$$

Note that  $H_1 = \gamma - H_0$  has boundary  $S$ .  
To see that it is a 3-manifold homeomorphic to  
a regular nbhd of a graph,  
look at dual one skeleton  
of  $\gamma$ .



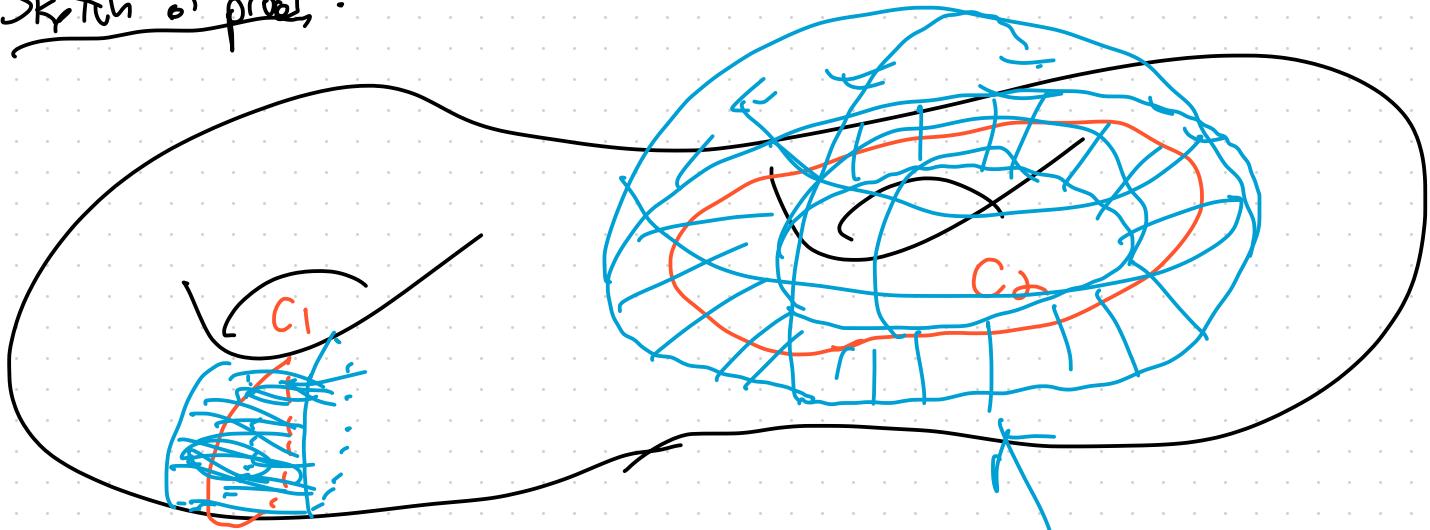
We want to recover a 3-manifold from a surface (which will be the Heegaard splitting) + finite amount of extra data.

Def'n Call a collection of <sup>simple closed</sup> curves  $c_1, c_2, \dots, c_g$  on a genus  $g$  surface  $S$  a complete disk system if:

- i)  $c_i$ 's pairwise disjoint
- ii)  $S - \bigcup_{i=1}^g c_i$  is connected.

Theorem Let  $S$  be a genus  $g$  surface with a complete disk system  $c_1, \dots, c_g$ . Then, up to <sup>(orientation-preserving)</sup> homeomorphisms rel  $S$ , there is a unique handlebody  $H$  with  $\partial H = S$  such that each  $c_i$  bounds an embedded disk.

Sketch of proof:



$S \times [-1, 0]$

glue:  $D^2 \times [-1, 1]$

so  $D^2 \times \{0\}$   
is identified w/  $c_1$

Similarly over here  
 $N = \bigcup N(c_i)$

$$D = \bigcup_{i=1}^{r=1} D^2 \times \{-1, +1\}$$

Then  $D_U(S^N)$  is a 2-sphere.

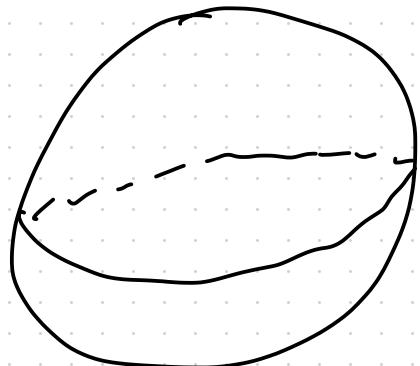
Up to orientations preserving isotopy, there is exactly one way to glue a 3-ball to  $D_U(S^N)$ .



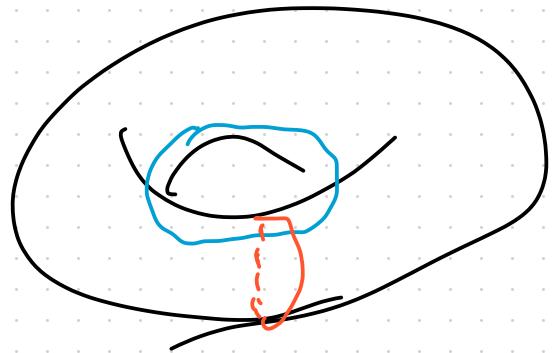
Corollary Every closed orientable 3-manifold can be presented as a Heegaard diagram, which consists of a surface  $S$  of some genus  $g$ , together w/  
two complete disk systems on  $S$

In fact,  $S$  can be triangulated, and each curve in the disk systems is a normal curve.

Example



$\rightsquigarrow S^3$



$\rightsquigarrow S^3$