

## Meeting 2.2: More on 3-manifold encodings

I. Normal curves and Heegaard diagrams

II. Knots and links: stick presentations and diagrams

Next week: 1. Complexity theory (not structure of 3-manifolds)

2. Example problems for 3-manifolds and their complexities.

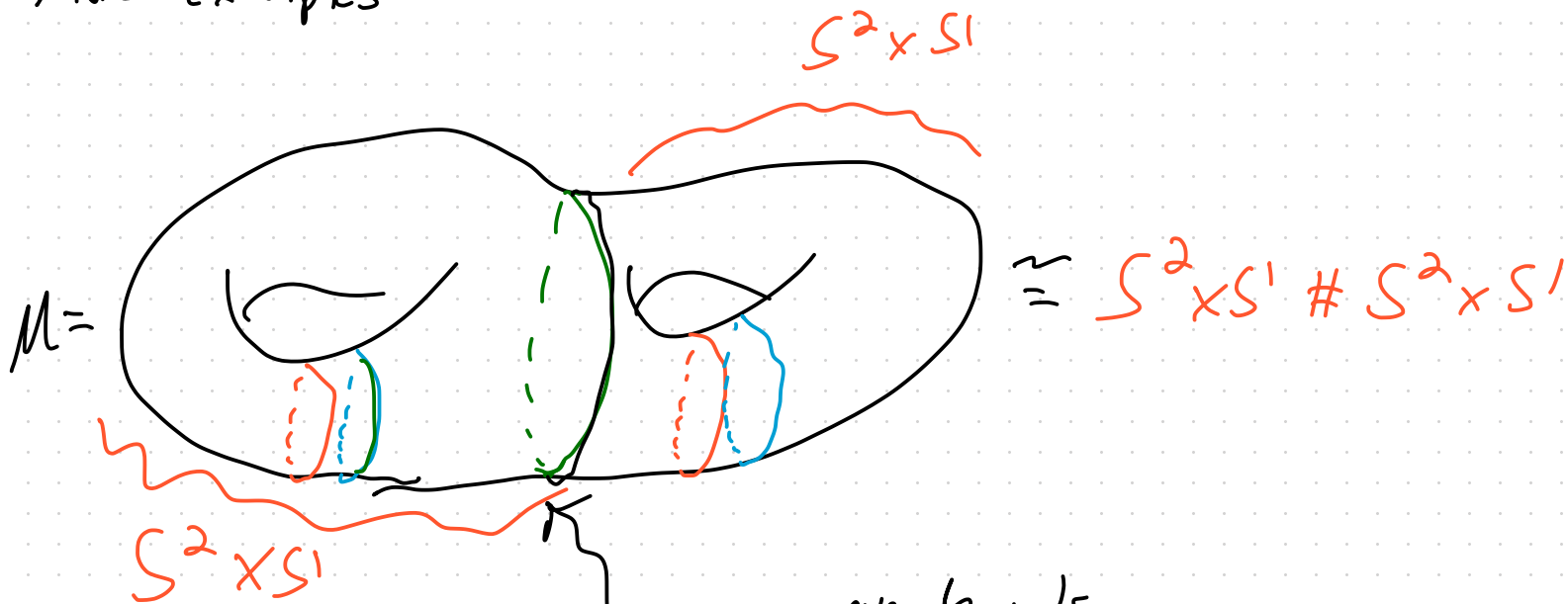
# I. Normal curves and Heegaard diagrams

Last time:

Corollary Every closed orientable 3-manifold can be presented as a Heegaard diagram, which consists of a surface  $S$  of some genus  $g$ , together w/ two complete disk systems on  $S$ .

In fact,  $S$  can be triangulated, and each curve in the disk systems can be made a normal curve.

More examples:



green curve bounds  
disk on both sides  
Corresponds to a 2-sphere  
embedded in  $M$

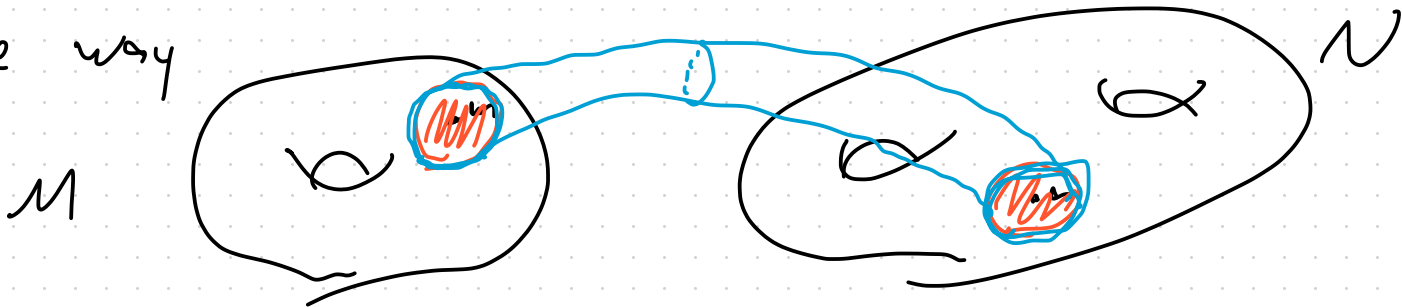
# Connect sums of manifolds:

Let  $M, N$  be two orientable 3-folds (connected).

Pick  $m \in M, n \in N$ . Let

$$M' = M - \overline{B_\varepsilon(m)}, \quad N' = N - \overline{B_\varepsilon(n)}$$

$M'$  and  $N'$  each has a new  $S^2$  boundary component.  
B/c orientable, we can identify two copies of  $S^2$  in a  
unique way



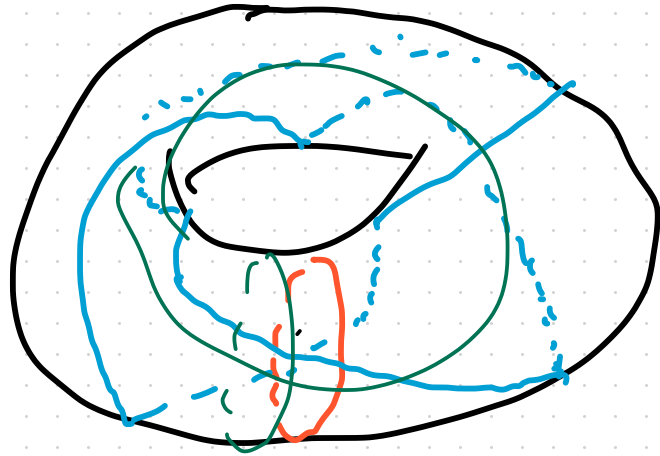
Let  $M \# N$  be

$$M' \cup N' \\ \partial M' \cong \partial N'$$

Conversely: a 3-manifold  $L$  is a connect sum precisely when there exists an embedded 2-sphere  $S^2 \subset L$  s.t. neither component of

$L - S^2$  is a 3-ball.

More examples: every manifold with a genus one splitting is called a lens space:

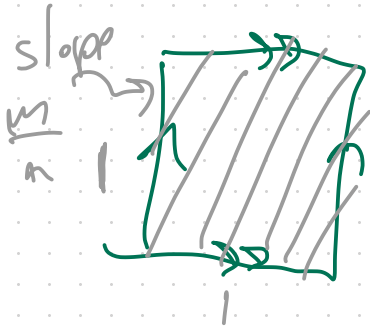


$$= L(3, 2)$$

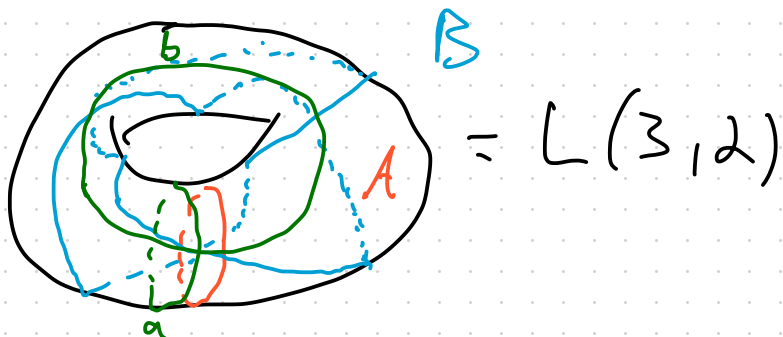
- Can build  $L(m, n)$  for any  $m, n$ .

- Classified up to homeomorphism by

→ Non-homeomorphic lens spaces can be homotopy equivalent.



Let's compute something:



$$L = A \cup B \quad A \cap B = S^1 \times S^1, \quad A \cong B \cong S^1 \times D^2$$

Mayer-Vietoris sequence

$$H_1(A \cap B) \rightarrow H_1(A) \oplus H_1(B) \rightarrow H_1(L) \rightarrow 0$$

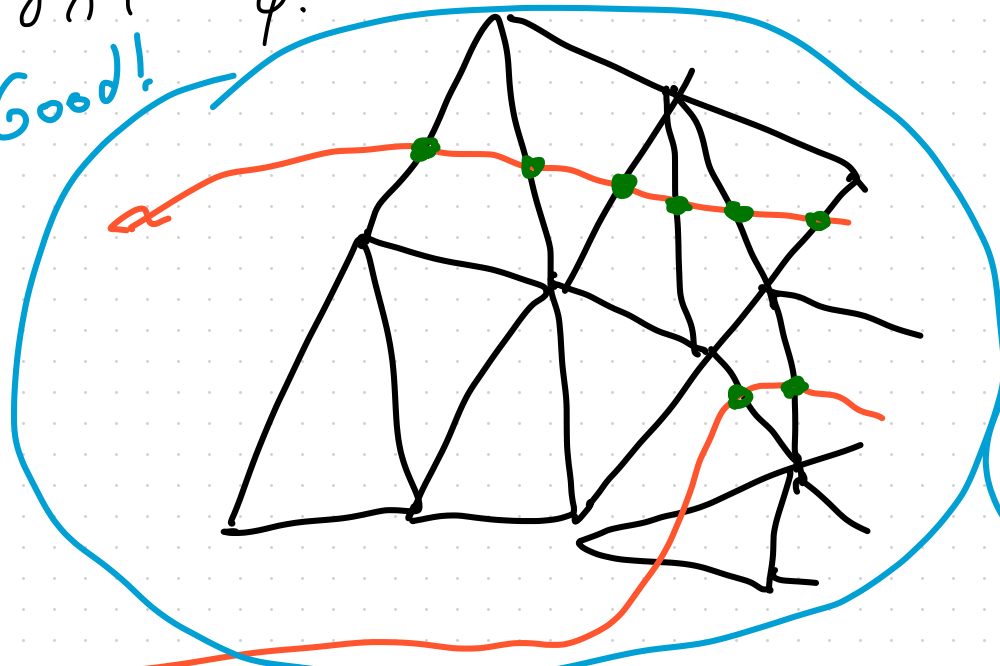
$$\mathbb{Z} \oplus \mathbb{Z} \xrightarrow{\begin{pmatrix} 0 & 1 \\ 3 & 2 \end{pmatrix}} \mathbb{Z} \oplus \mathbb{Z} \rightarrow \mathbb{Z}/3$$

$$\langle a \rangle \oplus \langle b \rangle \quad \begin{pmatrix} 3 & 0 \\ 0 & 1 \end{pmatrix}$$

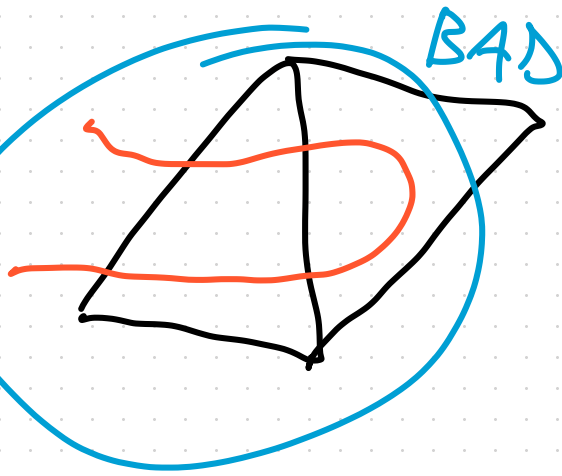
## Normal curves

Let  $\mathcal{T}$  be a triangulated surface. A curve  $\gamma$  is normal (wrt  $\mathcal{T}$ ) if every segment in  $\gamma - \mathcal{T}^1$  has its endpoints on distinct edges of  $\mathcal{T}^1$ , and  $\gamma \cap \mathcal{T}^0 = \emptyset$ .

Good!



Ruling out curves that "backtrack"



BAD

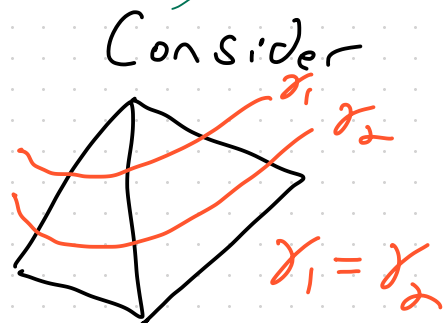
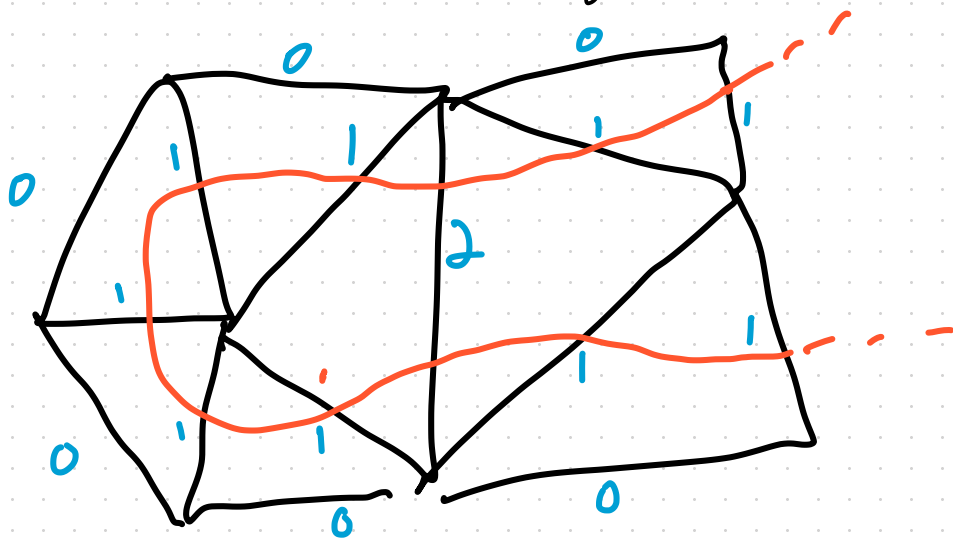


## Normal curves

Up to isotopy in  $\mathcal{T} - \mathcal{T}_0$ , a normal curve is determined by a vector of edge intersection counts:

$$v_\gamma: \text{Edges}(\mathcal{T}) \rightarrow \mathbb{Z}_{\geq 0}$$

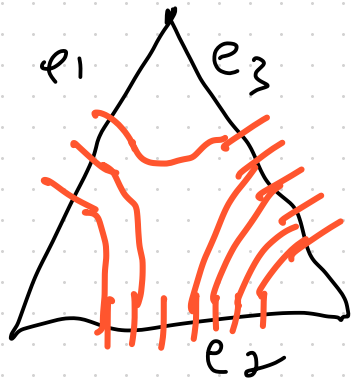
$$v_\gamma(E) = \# \gamma \cap E.$$



## Normal curves

The set of (isotopy classes rel  $\Gamma_0$  of) normal curves is a polyhedral cone in  $\mathbb{R}^3$ .

Claim: a vector  $v \in \mathbb{R}^3$  determines a normal curve if and only if, for each triangle  $T \in \mathcal{T}$ , the three corresponding entries of  $v$  satisfy triangle inequalities



3.5  
7

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II. Knots and links: stick presentations and diagrams

III. Bridge position, braid groups, and trace closures

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2. Example problems for 3-manifolds and their complexities.

## Warning

Triangulation  $\rightarrow$  Heegaard diagram easy, but  
Converse is usually expensive.

Problem: a normal curve vector  $v \in \mathbb{Z}_{\geq 0}^{\text{Edges}}$

can encode a curve that is exponentially long  
in the size of  $v$ .

Take-away: Heegaard

diagram is

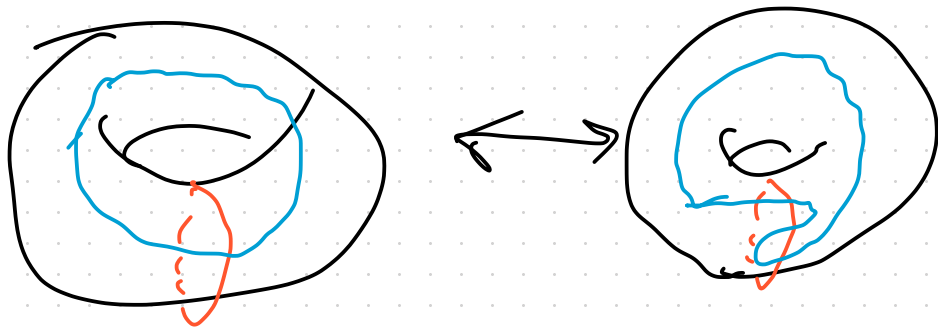
"highly compressed"



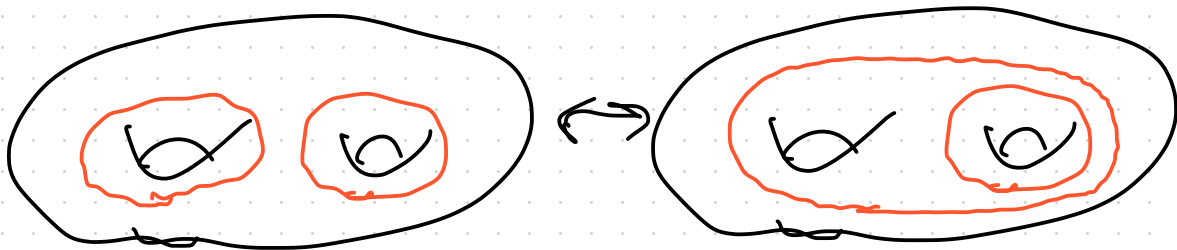
# Deciding homeomorphism from Heegaard diagrams

Reidemeister - Singer Two Heegaard diagrams represent homeomorphic manifolds if and only if they can be identified by a sequence of elementary operations.

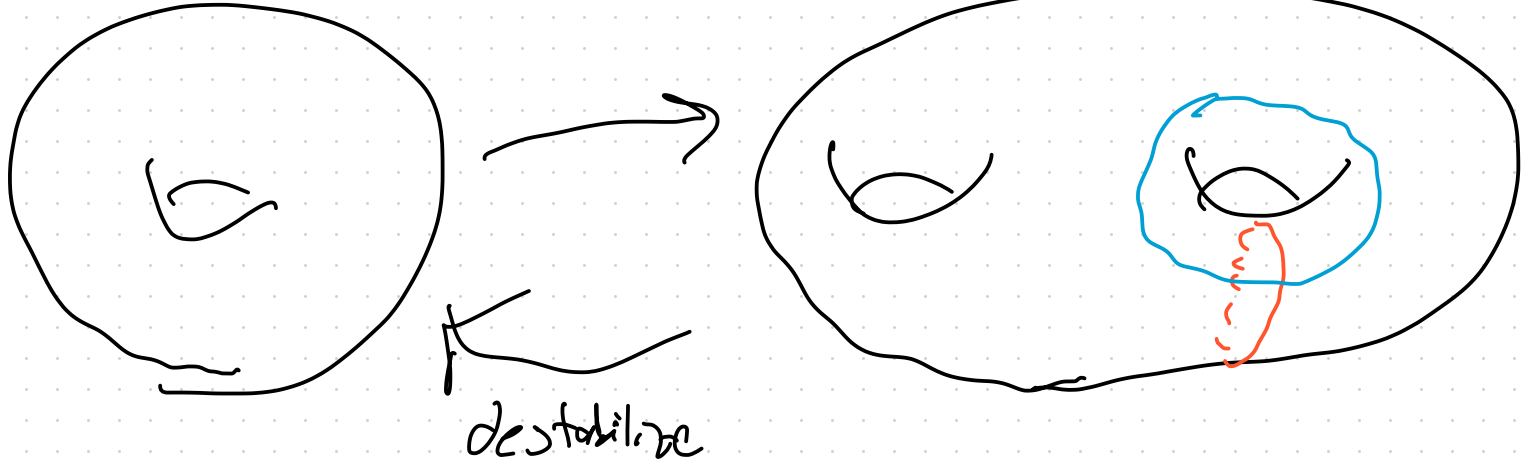
1. Isotopy



2. Handle slide



### 3. Stabilization



## II. Knots and links: stick presentations and diagrams

A knot is a continuous injection (embedding)

$$K: S^1 \rightarrow \mathbb{R}^3 \text{ (or } S^3).$$

Often, conflate a knot  $K$  with its image.

When are two knots equivalent?

One wrong answer: isotopy.

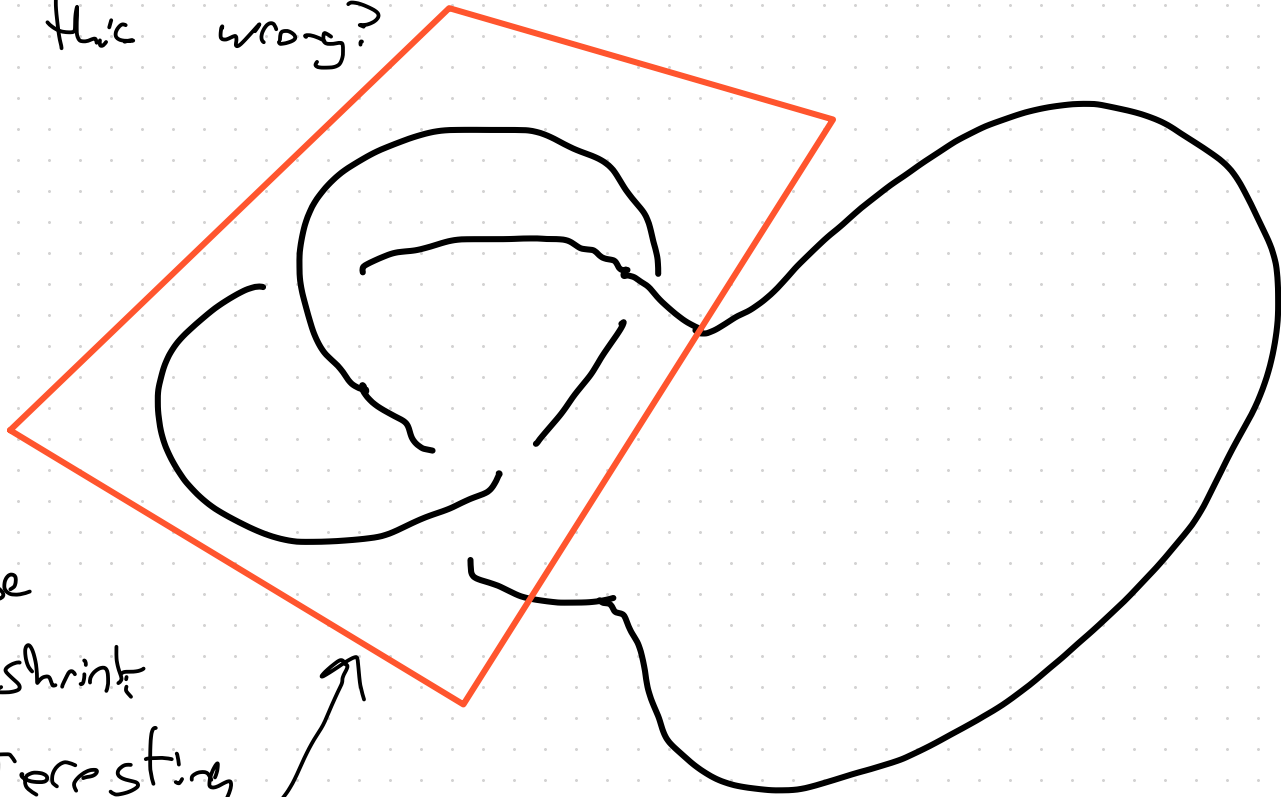
Recall, two maps  $K, L: S^1 \rightarrow \mathbb{R}^3$  are isotopic if there is a continuous function

$$H: S^1 \times [0, 1] \rightarrow \mathbb{R}^3$$

such that  $H/S^1 \times \{0\} = K$  and  $H/S^1 \times \{1\} = L$ ,

and  $H(-, t)$  for any fixed  $t$  is an embedding.

Why is this wrong?



Can use  
 $H$  to shrink  
the interesting  
part

Isotopies can make knots trivial!



One correct definition: ambient isotopy

$K$  and  $L$  are ambient isotopic iff there exists

$$H: \mathbb{R}^3 \times [0, 1] \rightarrow \mathbb{R}^3$$

such that

- i)  $H(-, t)$  is a homeomorphism of  $\mathbb{R}^3$
- ii)  $H(-, 0) = \text{id}_{\mathbb{R}^3}$
- iii)  $H(K(x), 1) = L(x)$  for all  $x \in S^1$ .

Another correct definition: Homeomorphisms!

Say  $K$  and  $L$  are homeomorphic if there exists

$$h: \mathbb{R}^3 \xrightarrow{\cong} \mathbb{R}^3$$

such that  $h(K(x)) = L(x)$  for all  $x \in S$ !

Two definitions ALMOST identical!

every orientation preserving homeo of  $\mathbb{R}^3$  (or  $S^3$ )  
is isotopic to the identity.

Ex:



vs



(left-handed vs  
right-handed trefoils)