

# A CHARACTERISTIC ZERO PROOF OF THE LIFTING THEOREM VIA SESHADRI CONSTANTS

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We give a characteristic zero proof of the following generalization of [Mur19, Thm. C].

**Theorem 1.** *Let  $(X, \Delta)$  be an effective log pair such that  $X$  is a complex projective normal variety, and such that  $K_X + \Delta$  is  $\mathbf{Q}$ -Cartier. Consider a closed point  $x \in X$  such that  $(X, \Delta)$  is log canonical at  $x$ , and suppose that  $D$  is a Cartier divisor on  $X$  such that  $H = D - (K_X + \Delta)$  satisfies*

$$\varepsilon(\|H\|; x) > \text{lct}_x((X, \Delta); \mathfrak{m}_x).$$

*Then,  $\mathcal{O}_X(D)$  has a global section not vanishing at  $x$ .*

*Proof.* We proceed in a sequence of steps.

**Step 1.** *Replacing the moving Seshadri constant with the usual Seshadri constant.*

By definition of  $\varepsilon(\|H\|; x)$  [Mur19, Def. 7.1.1], there exists a birational morphism  $f: X_1 \rightarrow X$  where  $X_1$  is a normal projective variety, such that  $f$  is an isomorphism around  $x$ , and such that  $f^*H \equiv_{\mathbf{R}} A + F$  for  $\mathbf{Q}$ -Cartier divisors  $A$  and  $F$  for which

$$\varepsilon(A; x) > \text{lct}_x((X, \Delta); \mathfrak{m}_x) =: c \in \mathbf{Q}. \quad (1)$$

**Step 2.** *Replacing  $\Delta$  with a  $\mathbf{Q}$ -divisor with simple normal crossing support.*

We consider a log resolution  $g: X_2 \rightarrow X_1$  of

$$(X_1, f_*^{-1}\Delta + \text{Exc}(f) + F, f^{-1}\mathfrak{m}_x \cdot \mathcal{O}_{X_1}). \quad (2)$$

We denote

$$\begin{array}{ccc} X_2 & \xrightarrow{g} & X_1 \\ & \searrow \mu & \downarrow f \\ & & X \end{array}$$

and let  $E$  be the effective divisor defined by  $\mu^{-1}\mathfrak{m}_x \cdot \mathcal{O}_{X_2}$ . By the long exact sequence on cohomology, it suffices to show that the top horizontal arrow in the commutative diagram

$$\begin{array}{ccc} H^1(X, \mathfrak{m}_x \cdot \mathcal{O}_X(D)) & \longrightarrow & H^1(X, \mathcal{O}_X(D)) \\ \downarrow & & \downarrow \wr \\ H^1(X_2, \mathcal{O}_{X_2}(\mu^*D - E)) & \longrightarrow & H^1(X_2, \mathcal{O}_{X_2}(\mu^*D)) \\ \downarrow & & \downarrow \wr \\ H^1(X_2, \mathcal{O}_{X_2}(\mu^*D + G - E)) & \longrightarrow & H^1(X_2, \mathcal{O}_{X_2}(\mu^*D + G)) \end{array} \quad (3)$$

is injective, where  $G$  is an arbitrary effective  $\mu$ -exceptional divisor. Since the right vertical arrows are isomorphisms by the projection formula and the fact that  $\mathcal{O}_X \simeq \mu_*\mathcal{O}_{X_2}(G)$  for every effective  $\mu$ -exceptional divisor  $G$ , the commutativity of the diagram implies that it suffices to show that the bottom horizontal arrow is injective for some effective  $\mu$ -exceptional divisor  $G$ .

The goal of the rest of the proof is to maneuver to a setting where Fujino's Kollár-type injectivity theorem [Fuj17, Thm. 5.4.1] applies.

**Step 3.** *Appropriately choosing  $G$  and a divisor  $E_x \preceq E$  so that  $\mu^*D + G - E_x$  is  $\mathbf{Q}$ -linearly equivalent to the sum of a log canonical divisor and a big and nef divisor.*

Write

$$K_{X_2} - \mu^*(K_X + \Delta) - cE = P - N$$

where  $P$  and  $N$  are effective  $\mathbf{Q}$ -divisors without common components, such that  $P - N$  has simple normal crossings support and  $P$  is  $\mu$ -exceptional. We moreover write  $N = N_x + N_0$ , where  $\mu(\text{Supp } N_x) = \{x\}$ . Setting  $G = [P]$  in (3) and looking at the long exact sequence on cohomology, it suffices to show that the top horizontal arrow in the commutative diagram

$$\begin{array}{ccc} H^0(X_2, \mathcal{O}_{X_2}(\mu^*D + [P])) & \longrightarrow & H^0(E, \mathcal{O}_E((\mu^*D + [P])|_E)) \\ \uparrow & & \uparrow \wr \\ H^0(X_2, \mathcal{O}_{X_2}(\mu^*D + [P] - [N_0 + g^*F])) & \longrightarrow & H^0(E, \mathcal{O}_E((\mu^*D + [P] - [N_0 + g^*F])|_E)) \\ \parallel & & \downarrow \wr \\ H^0(X_2, \mathcal{O}_{X_2}(\mu^*D + [P] - [N_0 + g^*F])) & \longrightarrow & H^0(E_x, \mathcal{O}_{E_x}((\mu^*D + [P] - [N_0 + g^*F])|_{E_x})) \end{array}$$

is surjective, where  $E_x$  is the sum of components in  $N_x$  with coefficient 1. Since the top right vertical arrow is an isomorphism by the fact that  $E \cap \text{Supp}(N_0 + g^*F) = \emptyset$ , and the bottom right vertical arrow is an isomorphism by the fact that both groups are isomorphic to  $\mathbf{C}$  by the Shokurov–Kollár connectedness theorem for log triples [dFEM, Thm. 3.2.3], we see that it suffices to show that the bottom horizontal arrow is surjective. We now set

$$\Delta_2 := N_x + N_0 + g^*F - [N_0 + g^*F] + [P] - P,$$

which is an effective  $\mathbf{Q}$ -divisor with simple normal crossing support and coefficients in  $(0, 1]$ . By the long exact sequence on cohomology, it suffices to show that

$$H^1(X_2, \mathcal{O}_{X_2}(\mu^*D + [P] - [N_0 + F] - E_x)) \longrightarrow H^1(X_2, \mathcal{O}_{X_2}(\mu^*D + [P] - [N_0 + F])) \quad (4)$$

is injective. We rewrite the divisor on the left-hand side of (4) as

$$\begin{aligned} \mu^*D + [P] - [N_0 + g^*F] - E_x &\sim_{\mathbf{Q}} \mu^*H + \mu^*(K_X + \Delta) + [P] - [N_0 + g^*F] - E_x \\ &\sim_{\mathbf{Q}} \mu^*H - g^*F - cE + K_{X_2} + \Delta_2 - E_x \\ &\sim_{\mathbf{Q}} \mu^*H - g^*F - (c + \delta)E + K_{X_2} + \Delta_2 - E_x + \delta E. \end{aligned} \quad (5)$$

Note that  $\Delta_2 - E_x$  has simple normal crossing support and coefficients in  $(0, 1]$  and that the coefficients of components appearing in  $E$  have coefficients in  $(0, 1)$ , hence  $\Delta_2 - E_x + \delta E$  has simple normal crossings support and coefficients in  $(0, 1]$  for all  $0 < \delta \ll 1$ .

We note that [Fuj17, Thm. 5.4.1] does not yet apply since Fujino's result does not incorporate a big and nef divisor in the statement. We therefore perform the following:

**Step 4.** *Replacing the big and nef divisor  $\mu^*H - g^*F - (c + \delta)E$  in (5) with an appropriate  $\mathbf{Q}$ -divisor.*

By (1), we first fix a  $\delta$  as above such that  $c + \delta < \varepsilon(A; x)$ , in which case we also have

$$\mathbf{B}(\mu^*H - g^*F - (c + \delta)E) \cap E = \emptyset$$

by [DM19, Lem. 3.3]. By [Laz04a, Prop. 2.1.21], we can choose an integer  $m$  sufficiently large and divisible such that

$$\mathbf{B}(\mu^*H - g^*F - (c + \delta)E) = \text{Bs}|m(\mu^*H - g^*F - (c + \delta)E)|_{\text{red}} =: S.$$

By Bertini's theorem [Har77, Cor. III.10.9 and Rem. III.10.9.3], we can choose

$$B \in |m(\mu^*H - g^*F - (c + \delta)E)|$$

such that  $B$  intersects  $E$  and each component of  $\Delta_2$  transversely away from  $S$ . Now let  $h: X_3 \rightarrow X_2$  be a log resolution for  $B$  and  $(X_2, \Delta_2)$  that is an isomorphism away from  $S$ , which exists by [Kol13, Thm. 10.45.2]. We write

$$h^*B = B' + C \quad \text{and} \quad h^*\Delta_2 = h_*^{-1}\Delta_2 + C_1,$$

where  $B'$  is a smooth divisor intersecting  $E$  transversely, and  $C, C_1$  are supported on  $h^{-1}(S)$ . Define

$$C' := \left\lfloor \frac{1}{m}C + C_1 \right\rfloor \quad \text{and} \quad \Delta_3 := h^*(\Delta_2 - E_x + \delta E) + \frac{1}{m}h^*B - C'.$$

Note that  $\Delta_3$  has simple normal crossing support containing  $h^*E$ , and has coefficients in  $(0, 1]$  by the assumption on the log resolution and by definition of  $C'$ . Pulling back (5) to  $X_3$ , we then have

$$\begin{aligned} h^*(\mu^*D + [P] - [N_0 + g^*F] - E_x) &\sim_{\mathbf{Q}} \frac{1}{m}h^*B + h^*(K_{X_2} + \Delta_2 - E_x + \delta E) \\ &\sim_{\mathbf{Q}} h^*K_{X_2} + \Delta_3 + C' \end{aligned}$$

hence

$$h^*(\mu^*D + [P] - [N_0 + g^*F] - E_x) + K_{X_3/X_2} - C' \sim_{\mathbf{Q}} K_{X_3} + \Delta_3. \quad (6)$$

It now remains to apply [Fuj17, Thm. 5.4.1].

**Step 5.** *Applying Fujino's Kollár-type injectivity theorem [Fuj17, Thm. 5.4.1].*

We recall that it suffices to show that the top horizontal arrow in the commutative diagram

$$\begin{array}{ccc} H^1(X_2, \mathcal{O}_{X_2}(\mu^*D + [P] - [N_0 + F] - E_x)) & \longrightarrow & H^1(X_2, \mathcal{O}_{X_2}(\mu^*D + [P] - [N_0 + F])) \\ \wr \downarrow & & \wr \downarrow \\ H^1(X_3, \mathcal{O}_{X_3}(h^*(\mu^*D + [P] - [N_0 + F] - E_x) + K_{X_3/X_2})) & \longrightarrow & H^1(X_3, \mathcal{O}_{X_3}(h^*(\mu^*D + [P] - [N_0 + F]) + K_{X_3/X_2})) \end{array}$$

is injective. Note that the vertical arrows are isomorphisms by the fact that  $K_{X_3/X_2}$  is  $h$ -exceptional and by the projection formula, hence it suffices to show the bottom horizontal arrow is injective. By the long exact sequence on cohomology, it suffices to show that the top horizontal arrow in the commutative diagram

$$\begin{array}{ccc} H^0(X_3, \mathcal{O}_{X_3}(h^*(\mu^*D + [P] - [N_0 + F]) + K_{X_3/X_2})) & \longrightarrow & H^0(h^*E_x, \mathcal{O}_{h^*E_x}((\mu^*D + [P] - [N_0 + g^*F] + K_{X_3/X_2})|_{h^*E_x})) \\ \uparrow & & \uparrow \wr \\ H^0(X_3, \mathcal{O}_{X_3}(h^*(\mu^*D + [P] - [N_0 + F]) + K_{X_3/X_2} - C')) & \longrightarrow & H^0(h^*E_x, \mathcal{O}_{h^*E_x}((\mu^*D + [P] - [N_0 + g^*F] + K_{X_3/X_2} - C')|_{h^*E_x})) \end{array}$$

is surjective. Since the right vertical arrow is an isomorphism by the fact that  $C'$  is supported away from  $h^*E_x \subseteq h^*E$ , we see that it suffices to show that the bottom horizontal arrow is surjective. This follows from the  $\mathbf{Q}$ -linear equivalence (6) and from [Fuj17, Thm. 5.4.1], since  $\Delta_3$  has simple normal crossing support and coefficients in  $(0, 1]$  and  $h^*E_x$  is contained in the support of  $\Delta_3$ .  $\square$

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