

Lecture #5

- Last time, we discussed

- stabilizer-orbit thm for Lie gps
- homogeneous spaces and their mfd structure
- classical gps and lie gp structure on them via exp-map
- notion of Lie algebras in general

Let me start by making a few remarks

- 1) The Lie alg-s of corresponding to $O(n, \mathbb{K})$ and $SO(n, \mathbb{K})$ coincide:

$$O_n(\mathbb{K}) = \{x \in gl_n(\mathbb{K}) \mid x + x^T = 0\}$$

$$SO_n(\mathbb{K}) = \{x \in gl_n(\mathbb{K}) \mid x + x^T = 0, \det(x) = 1\}$$

This is not surprising as $SO(n, \mathbb{K})$ is a connected component of $O(n, \mathbb{K})$.

- 2) In contrast, $su_n \neq u_n$.

- 3) To describe Lie alg-s of for classical gps corresponding to bilinear form $B(-, -)$, you may wish to take $\frac{d}{dt} \Big|_{t=0} B(e^{tx}u, e^{-tx}v)$
(you'll see it in Hwk2, Problem 8a)

- 4) The fiber bundles $H \rightarrow G$ may be used to compute $\pi_1(X)$ via
Hwk2, Problem 5(b)

(you'll get it in Hwk3)

- Goal for today: Analogue of exp-map for general Lie groups.

To do so, we shall first recall the notion of vector fields, which are just sections of tangent bundles.

Def 1: Let X be a real mfd, and let $\mathbb{K} = \mathbb{R}$ or \mathbb{C} . A \mathbb{K} -vector bundle on X is a mfd E with $\pi: E \rightarrow X$ and \mathbb{K} -vector space structure on each $\pi^{-1}(x)$ so that $\forall x \in X \exists$ open nbhd $x \in U \subseteq X$ with $\boxed{\pi^{-1}(U) \xrightarrow{g_U} U \times \mathbb{K}^n}$ where projection is on 1st component, fiber's vector space structure is on the 2nd cpt (compare to fiber bundles in general)

Covering X by such open charts, we get transition maps which give rise to:

clutching functions $h_{\alpha\beta}: U_{\alpha} \cap U_{\beta} \xrightarrow{h_{\alpha\beta}} GL_n(\mathbb{K})$ s.t. $g_{\alpha\beta}^{-1} \circ g_{\beta\gamma}^{-1} \circ g_{\alpha\gamma}: (x, v) \mapsto (x, h_{\alpha\beta}(v))$

for any pair $U_{\alpha} \cap U_{\beta} \neq \emptyset$. Here, $g_{\alpha\beta}: \pi^{-1}(U_{\alpha}) \cong U_{\alpha} \times \mathbb{K}^n$ as in Def 1. Moreover:

$$h_{\alpha\beta} = h_{\beta\alpha}^{-1} \text{ and } h_{\alpha\beta} \circ h_{\beta\gamma} = h_{\alpha\gamma}$$

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Exercise: Revert the above construction, i.e. to any open cover $\{U_\alpha\}_{\alpha \in I}$ of X with a collection $\{h_{\alpha\beta}\}_{\alpha, \beta \in I}$ satisfying above properties construct the corresponding vector bundle.

Example (important for what follows): Take an atlas $\{(U_\alpha, \varphi_\alpha)\}$ defining mfd structure on X , and define $h_{\alpha\beta}(x)$ as the differential of $\varphi_{\alpha\beta}$ at x , i.e.

$$h_{\alpha\beta}(x) = d_{\varphi_\beta(x)}(\varphi_{\alpha\beta}) \quad \text{with} \quad \varphi_{\alpha\beta} = \varphi_\alpha \circ \varphi_\beta^{-1}: \varphi_\beta(U_\alpha \cap U_\beta) \rightarrow \varphi_\alpha(U_\alpha \cap U_\beta)$$

Exercise: Check the above compatibility conditions for these $h_{\alpha\beta}$.

This defines a vector bundle, called the tangent bundle $TX \xrightarrow{\pi} X$, so that $\pi^{-1}(x) = T_x X$, the tangent space of X at point x .

Def 2: A section of a vector bundle $\pi: E \rightarrow X$ is a map $s: X \hookrightarrow E$ s.t. $\pi \circ s = \text{Id}_X$

Notation: $\Gamma(X, E) = \{ \text{vector space of all sections of } E \rightarrow X \}$

More generally, $\Gamma(U, E) = \{ \text{sections of } E \rightarrow X \text{ over } U \}$.

Exercise: Show that a rank n \mathbb{K} -vector bundle $E \xrightarrow{\pi} X$ is trivial (i.e. $E \cong X \times \mathbb{K}^n$) iff $\exists s_1, \dots, s_n \in \Gamma(X, E)$ s.t. $\{s_1(x), \dots, s_n(x)\}$ - basis of $\pi^{-1}(x) \forall x$.

Finally, we can now define the vector fields:

Def 3: A vector field on X is a section of the tangent bundle $TX \rightarrow X$

Notation: $\text{Vect}(X)$.

Down-to-earth, we can think of a vector field V locally as expression $V = \sum_{i=1}^n v_i(x) \frac{\partial}{\partial x_i}$ in local coordinates (x_i) , where v_i is a regular f -n, and switching to a different chart with local coordinates (x'_j) , we get

$$v'_i = \sum_{j=1}^n \frac{\partial x'_i}{\partial x_j} v_j$$

Note: Every such V gives rise to a derivation of $\mathcal{O}(U)$ and germ \mathcal{O}_x .

Vice versa, derivations of $\mathcal{O}(U)$ compatible with $U' \subseteq U$ give rise to v. field.

Finally, if a lie group G acts on mfd X , then it also acts on TX

$$G \curvearrowright X \rightsquigarrow G \curvearrowright TX \rightsquigarrow G \curvearrowright \text{Vect}(X)$$

In particular, $L_g, R_g: G \curvearrowright G$ give rise to $G \curvearrowright \text{Vect}(G)$, also denoted L_g or R_g .

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- Def 4: a) A vector field $V \in \text{Vect}(G)$ is left-invariant if $L_g V = V \forall g \in G$.
 b) — — — is right-invariant if $R_g V = V \forall g \in G$.
 c) — — — is bi-invariant if $L_g V = V = R_g V \forall g \in G$.

Lemma 1: a) The map $V \mapsto V(1)$ [unit of G] gives rise to a vector space isomorphism $\{ \text{left-invariant vector fields on } G \} \cong T_1 G$.
 b) Similarly for right-inv. vector fields

► a) $\forall x \in g = T_1 G$, define $V \in \text{Vect}(G)$ via $V(g) = L_g(x)$, thus spreading x all over G . It's clear that this V is left-invariant.
 Moreover, it's unique such with $V(1) = x$.

b) Analogous, but use R_g instead of L_g ■

Exercise: Show that $V \mapsto V(1)$ gives rise to a vector space isom.

$$\{ \text{bi-invariant vector fields on } G \} \cong (T_1 G)^{\text{Ad } g} = \{ \frac{dx}{x} \mid \text{Ad } g(x) = \frac{dx}{x} \}$$

Exercise: Verify that if $G = \text{GL}_n(\mathbb{R}) \subseteq \text{Mat}_{n \times n}(\mathbb{R})$ so that each $T_g G$ is canonically identified with $\text{Mat}_{n \times n}(\mathbb{R})$, then the left-invariant vector field on G is given by $g \mapsto g \omega$ (usual product of matrices); state analogous f-la for right-invariant.

Corollary 1: For any Lie group G , the tangent bundle $TG \rightarrow G$ is trivial.

Remark: The above can be generalized from vector fields to tensor fields. To this end, let T^*X be the dual of the TX , called cotangent bundle. A tensor field of rank (n,m) on manifold X is a section of $(TX)^{\otimes n} \otimes (T^*X)^{\otimes m}$. In particular, tensor fields of rank $(0,1)$ are called differential 1-forms. Locally they can be written as $\Omega = \sum_{i=1}^n w_i dx_i$, but changing coordinates we have $w'_i = \sum_j \frac{\partial x_j}{\partial x_i} w_j$, cf. w'_i vs w_j . In particular, $\forall f \in \mathcal{O}(X)$ get 1-form $df = \sum_{i=1}^n \frac{\partial f}{\partial x_i} dx_i$.

Then, similarly to Lemma 1, we have

$$\left\{ \begin{array}{l} \text{left (or right) invariant} \\ \text{+ tensor fields of rank } (n,m) \text{ on } G \end{array} \right\} \cong (T_1 G)^{\otimes n} \otimes (T_1 G)^*{}^{\otimes m}$$

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The key in the general construction of the exponential map $T_1 G = \mathfrak{g} \rightarrow G$ is the construction of one-parameter subgroups:

Proposition 1: Let G be a real Lie group and set $\mathfrak{g} := T_1 G$.

Then $\forall x \in \mathfrak{g}$, $\exists!$ morphism of Lie groups $\gamma = \gamma_x : \mathbb{R} \rightarrow G$ with $\gamma'(0) = x$

- For classical groups, such γ was constructed last time via $\gamma(t) = \exp(tx)$. In general, this doesn't make sense as there is no product on $T_1 G$. However, similarly to calculus we note that such γ should satisfy

$$\boxed{\gamma'(t) = \gamma(t) \cdot \gamma'(0) = (L_{\gamma(t)})_* \gamma'(0)} \quad (*)$$

(to see this, write $\gamma(t+s) = \gamma(t) \cdot \gamma(s)$ and take $\frac{d}{ds}|_{s=0}$ of both sides)

By existence & uniqueness theorem for ODE with initial condition, the eqn (*) has a unique solution with $\gamma'(0) = x$ in the nbhd of 0, i.e. for $-\varepsilon < t < \varepsilon$.

- First, we claim that this γ satisfies group morphism on this nbhd, i.e.

$$\boxed{\gamma(t+s) = \gamma(t) \cdot \gamma(s) \text{ when } s, t, s+t \in (-\varepsilon, \varepsilon)} \quad (**)$$

Indeed, fix t and consider $\gamma_1(s) := \gamma(t+s)$. Then $\gamma_1(0) = \gamma_2(0) = \gamma(t)$
 $\gamma_2(s) := \gamma(t) \cdot \gamma(s)$ $\gamma_1'(0) = \gamma'(t) \stackrel{(*)}{=} \gamma_2'(0)$

\Rightarrow by uniqueness $\gamma_1 = \gamma_2 \Rightarrow \gamma(t+s) = \gamma(t) \gamma(s)$ as above in (**)

- Also note that $\boxed{\gamma(t) \cdot x = x \cdot \gamma(t) \text{ for } t \in (-\varepsilon, \varepsilon)}$ (***)

To this end, compute $\frac{d}{ds}|_{s=0}$ in $\gamma(s) \gamma(t) = \gamma(t+s) = \gamma(t) \gamma(s)$

- It remains to extend γ from $(-\varepsilon, \varepsilon)$ to \mathbb{R} , i.e. $\gamma : \mathbb{R} \rightarrow G$.

(There is at most one way to do so to preserve gp homomorphism,
and we need to check consistency $\forall t, s$.)

We do so by extending iteratively from $(-\alpha^k \varepsilon, \alpha^k \varepsilon)$ to $(-\alpha^{k+1} \varepsilon, \alpha^{k+1} \varepsilon)$ via:

$$\boxed{\gamma(t) = \gamma\left(\frac{t}{2}\right) \cdot \gamma\left(\frac{t}{2}\right) \quad \forall t \in (-\alpha^{k+1} \varepsilon, \alpha^{k+1} \varepsilon)} \quad \leftarrow \begin{array}{l} \gamma\left(\frac{t}{2}\right) \text{ is defined} \\ \text{as } \frac{t}{2} \in (-\alpha^k \varepsilon, \alpha^k \varepsilon). \end{array}$$

For $|t| < \alpha^k \varepsilon$ this agrees with previous step, so that we indeed get extension at each step.

To see that $\gamma(t+s) = \gamma(t) \gamma(s)$ as long as $t, s, t+s \in (-\alpha^{k+1} \varepsilon, \alpha^{k+1} \varepsilon)$, it suffices to check $\gamma'(t) = \gamma(t) \cdot x$ for $|t| < \alpha^{k+1} \varepsilon$, which is straightforward:
 $\gamma'(t) = \frac{1}{2} \gamma''\left(\frac{t}{2}\right) \gamma\left(\frac{t}{2}\right) + \frac{1}{2} \gamma\left(\frac{t}{2}\right) \gamma'\left(\frac{t}{2}\right) = \frac{1}{2} \gamma\left(\frac{t}{2}\right) x \gamma\left(\frac{t}{2}\right) + \frac{1}{2} \gamma\left(\frac{t}{2}\right) \gamma\left(\frac{t}{2}\right) x \stackrel{(***)}{=} \gamma\left(\frac{t}{2}\right) x = \gamma(t)x$

\Rightarrow This provides claimed $\gamma : \mathbb{R} \rightarrow G$. Uniqueness is clear from above

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Def 5: Let G be a real Lie group and $\mathfrak{g} = T_1 G$. Then, the exponential map

$\exp: \mathfrak{g} \rightarrow G$ is given by $\exp(x) = \gamma_x(1)$ with γ_x from Prop 1

Note: If $\gamma(t)$ satisfied $\gamma'(t) = \gamma(t) \cdot \gamma'(0)$, then for any $c \in \mathbb{R}$ the function

$\tilde{\gamma}(t) := \gamma(ct)$ satisfies $\tilde{\gamma}'(t) = \gamma(ct) \cdot c\gamma'(0) = \tilde{\gamma}(t) \cdot c\gamma'(0)$, i.e. by uniqueness:

$$\gamma^{c\alpha}(t) = \gamma_x(ct) \quad \forall x \in \mathfrak{g}, c \in \mathbb{R}, t \in \mathbb{R}$$

In particular, we get:

Corollary 2: $\gamma_x(t) = \exp(tx)$

Examples: 1) For $G = GL_n(\mathbb{R})$ or classical subgroup of $GL_n(\mathbb{R})$, we have

$\gamma_x(t) = \exp(tx)$ in the sense of usual exp from Lecture 4

Hence, for classical gps get the same map as in Lecture 4.

2) For $G = (\mathbb{R}^n, +)$, we have $\gamma_{\vec{x}}(t) = t \cdot \vec{x} \quad \forall \vec{x} \in \mathbb{R}^n$, so that $\exp(\vec{x}) = \vec{x}$.

The key properties of \exp are summarized in the following result:

Propositional: Let G be a real Lie gp and $\mathfrak{g} = T_1 G$.

- a) $\exp: \mathfrak{g} \rightarrow G$ is a regular map with $\exp(0) = 1$, $\exp = \text{Id}_{\mathfrak{g}}$ and it's a diffeomorphism of nbhd of $0 \in \mathfrak{g}$ onto a nbhd of $1 \in G$.
- b) $\exp((t+s)x) = \exp(tx) \cdot \exp(sx), \quad \forall x \in \mathfrak{g}, \forall s, t \in \mathbb{R}$
- c) \forall morphism of Lie groups $\varphi: G_1 \rightarrow G_2$ and $\forall x \in T_1(G_1) =: \mathfrak{g}_1$, we have

$$\exp(\underbrace{\varphi_*(x)}_{\in \mathfrak{g}_2 := T_1(G_2)}) = \varphi(\exp(x))$$
- d) For any $g \in G$, $x \in \mathfrak{g}$, we have $g \cdot \exp(x) \cdot g^{-1} = \exp(\text{Ad}_g(x))$

Corollary 3: As an immediate corollary of part a), we see that \exp has an inverse map $\log: \underline{\mathfrak{U}} \rightarrow \mathfrak{g}$, called logarithm map

some nbhd of $1 \in G$

(For classical gps, this coincides with matrix logarithm (Lec 4))

Thus, any basis of \mathfrak{g} gives a local coordinate system near $1 \in G$.

Lecture #5Proof of Proposition 2

a) Regularity follows from general fact as our ODE depends regularly on x .

$$\exp(0) = \gamma_0(1) = 1 \text{ as } \gamma_0(t) = 1 \forall t$$

$$\exp'(0)x = \frac{d}{dt}|_{t=0} \exp(tx) \stackrel{\text{def}}{=} x \Rightarrow \exp'(0) = d\exp = \text{Id}_{\mathfrak{g}}$$

Thus, by the inverse function theorem, \exp is a diffeomorphism near 0.

b) Follows from

$$\exp((t+s)x) = \gamma_x(t+s) = \gamma_x(t)\gamma_x(s) = \exp(tx) \cdot \exp(sx)$$

c) Both $\varphi(\exp(tx))$ and $\exp(\varphi_x(tx))$ define 1-parametric subgps of G_2 with the same tangent vector at $1 \in G_2$, equal to $\varphi_x(x)$. By uniqueness they coincide.

d) Follows from c) with $G_1 = G = G_2$ and $\varphi = \text{Ad}_g$

Lemma 2: Let G_1, G_2 be real Lie gps and G_1 - connected.

Then any Lie gp homomorphism $\varphi: G_1 \rightarrow G_2$ is uniquely determined by $\varphi_*: \mathfrak{g}_1 \rightarrow \mathfrak{g}_2$

By Prop 2(c), φ_* determines φ on a nbhd of $1 \in G_1$.

But by [Lect 3, Prop 1], any open nbhd of connected G_1 generates G_1
 $\Rightarrow \varphi$ is uniquely determined

! In fact, all the above results also hold for \mathbb{C} Lie gps
(just need corresponding version of ODE), so we have
 $\exp: \mathfrak{g} \rightarrow G$ with all properties above.