

LECTURE #20

\* Last time: Surface Area + Surface integrals of functions

Ex 0: Do Ex 4 & 5 from Lecture #19.

\* Today: Flux (aka surface integrals of vector fields)

Idea: While  $\iint_S f(x,y,z) dS$  is reminiscent of  $\int_C f(x,y,z) ds$ , the flux is gonna be reminiscent of  $\int \vec{F} d\vec{r}$  in some sense.

However, even to give the definition, we need to restrict our attention only to a certain class of surfaces, called oriented. Note that there are 2 unit normal vectors to  $S$  at any point  $P$  on  $S$ .

Def: If it is possible to choose a unit normal vector  $\vec{n}$  at every point  $(x,y,z) \in S$  so that  $\vec{n}$  varies continuously over  $S$ , then  $S$  is called an oriented surface and the given choice of  $\vec{n}$  provides  $S$  with an orientation.

Rmk: Not every surface is oriented, but every oriented surface has exactly 2 orientations.

Def: If  $\vec{F}$  is a continuous vector field defined on an oriented surface  $S$  with an orientation defined by the unit vector  $\vec{n}$  (at each point), then the flux of  $\vec{F}$  across  $S$  (aka surface integral of  $\vec{F}$  across  $S$ ) is

$$\iint_S \vec{F} dS := \iint_S \vec{F} \cdot \vec{n} dS$$

dot-product, hence, a function on  $S$ , which we know how to integrate from last lecture.

Key Step: Find  $\vec{n}$ !

There are two basic examples we will treat:

Example 1:  $S$  is a graph of  $f(x,y)$  with  $(x,y) \in D$

Parametrize  $S$  via  $\vec{r}(u,v) = \langle u, v, f(u,v) \rangle$ ,  $(u,v) \in D$

Last time:  $\vec{r}_u \times \vec{r}_v = \langle -f_u, -f_v, 1 \rangle$  - normal (but not unit!) vector

Hence unit normal vectors are  $\pm \frac{-f_u \hat{i} - f_v \hat{j} + \hat{k}}{\sqrt{1 + f_u^2 + f_v^2}}$

Terminology: "Upward orientation" refers to  $\vec{n} = \frac{\langle -f_u, -f_v, 1 \rangle}{\sqrt{1 + f_u^2 + f_v^2}}$

Example 2: Smooth parametric surface given by  $\vec{r}(u,v)$ ,  $(u,v) \in D$

Then unit normal vectors are

$$\pm \frac{\vec{r}_u \times \vec{r}_v}{\|\vec{r}_u \times \vec{r}_v\|}$$

Def: For a closed surface (i.e. boundary of a solid  $E$ ), the positive orientation is the one where the normal vector points outside of  $E$ , while the inward-pointing normals give the negative orientation.

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Back to Example 2:  $\vec{r} = \pm \frac{\vec{r}_u \times \vec{r}_v}{\|\vec{r}_u \times \vec{r}_v\|}$ , so that

$$\iint_S \vec{F} dS = \iint_S \vec{F} \cdot \frac{\pm \vec{r}_u \times \vec{r}_v}{\|\vec{r}_u \times \vec{r}_v\|} dS = \iint_D \vec{F}(\vec{r}(u,v)) \cdot \frac{(\pm \vec{r}_u \times \vec{r}_v)}{\|\vec{r}_u \times \vec{r}_v\|} \cdot \frac{dA}{\|\vec{r}_u \times \vec{r}_v\|}$$

So:  $\iint_S \vec{F} dS = \iint_D \vec{F}(\vec{r}(u,v)) \cdot (\pm \vec{r}_u \times \vec{r}_v) dA$  ← i.e. denominator  $\|\vec{r}_u \times \vec{r}_v\|$  disappeared!

Ex 1: Find the flux of  $\vec{F}(x,y,z) = \langle 3z, 3y, 3x \rangle$  across the sphere  $S: x^2 + y^2 + z^2 = R^2$ . with the positive orientation.

$$\vec{r}(\phi, \theta) = \langle R \sin \phi \cos \theta, R \sin \phi \sin \theta, R \cos \phi \rangle$$

Last time:  $\vec{r}_\phi \times \vec{r}_\theta = \langle R^2 \sin^2 \phi \cos \theta, R^2 \sin^2 \phi \sin \theta, R^2 \sin \phi \cos \phi \rangle$

But:  $\vec{F}(\vec{r}(\phi, \theta)) = \langle 3R \cos \phi, 3R \sin \phi \sin \theta, 3R \sin \phi \cos \theta \rangle$

$$\Rightarrow \vec{F}(\vec{r}(\phi, \theta)) \cdot (\vec{r}_\phi \times \vec{r}_\theta) = 3R^3 (\sin^2 \phi \cos \phi \cos \theta + \sin^3 \phi \sin^2 \theta + \sin^2 \phi \cos \phi \cos \theta)$$

$$= 3R^3 (2 \sin^2 \phi \cos \phi \cos \theta + \sin^3 \phi \sin^2 \theta)$$

Also we need to decide whether  $\vec{r}_\phi \times \vec{r}_\theta$  points inwards or outside the ball bounded by  $S$ . It suffices to check this at any point. E.g. for  $\phi = \frac{\pi}{2} = \theta$ ,  $\vec{r}_\phi \times \vec{r}_\theta = \langle 0, R^2, 0 \rangle$  - pointing outside (explain this!)

So:  $\iint_S \vec{F} dS = \int_0^\pi \int_0^{2\pi} 3R^3 (2 \sin^2 \phi \cos \phi \cos \theta + \sin^3 \phi \sin^2 \theta) d\theta d\phi$

But:  $\int_0^{2\pi} \cos \theta d\theta = 0$ ,  $\int_0^{2\pi} \sin^2 \theta d\theta = \int_0^{2\pi} \frac{1 - \cos(2\theta)}{2} d\theta = \pi$

$$\Rightarrow \iint_S \vec{F} dS = 3\pi R^3 \int_0^\pi \sin^3 \phi d\phi = [4\pi R^3]$$

$\underbrace{\qquad}_{= \frac{1}{3}}$  by last time

! Each time you need to determine whether  $\frac{\vec{r}_u \times \vec{r}_v}{\|\vec{r}_u \times \vec{r}_v\|}$  or  $-\frac{\vec{r}_u \times \vec{r}_v}{\|\vec{r}_u \times \vec{r}_v\|}$  determine the orientation.

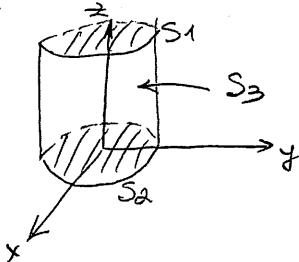
Thus: the strategy to compute flux  $\iint_S \vec{F} dS$  is:

- 1) Split  $S$  into several parts, parametrize each of those
- 2) Compute  $\vec{r}_u \times \vec{r}_v$  and decide if you take it with + or - sign
- 3) Evaluate the dot-product  $\vec{F}(\vec{r}(u,v)) \cdot (\pm \vec{r}_u \times \vec{r}_v)$
- 4) Compute the double integral!

Rmk: In some simple cases one may simplify this strategy by explicitly finding  $\vec{r}$ .

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Ex2: Find the flux of the vector field  $\vec{F}(x,y,z) = \langle x, y, z \rangle$  over a cylinder given by  $x^2 + y^2 = 9$ ,  $0 \leq z \leq 5$  together with its top and bottom. The orientation is chosen to be positive.



We split the entire surface  $S$  into 3 parts:

$S_1$  - the disk  $x^2 + y^2 \leq 9$ ,  $z = 5$  on top

$S_2$  - the disk  $x^2 + y^2 \leq 9$ ,  $z = 0$  on the bottom

$S_3$  - side part given by  $x^2 + y^2 = 9$ ,  $0 \leq z \leq 5$ .

$$\text{Clearly: } \iint_S \vec{F} dS = \iint_{S_1} \vec{F} dS + \iint_{S_2} \vec{F} dS + \iint_{S_3} \vec{F} dS$$

and we need to compute each of these 3 fluxes.

### Flux across $S_1$

1<sup>st</sup> way: Parametrize  $S_1$  via  $\vec{\tau}(u, v) = \langle u \cos v, u \sin v, 5 \rangle$   $0 \leq u \leq 3$   $0 \leq v \leq 2\pi$

$$\text{Then } \begin{cases} \vec{\tau}_u = \langle \cos v, \sin v, 0 \rangle \\ \vec{\tau}_v = \langle -u \sin v, u \cos v, 0 \rangle \end{cases} \Rightarrow \vec{\tau}_u \times \vec{\tau}_v = \vec{i} \cdot \vec{0} - \vec{j} \cdot \vec{0} + \vec{k} \cdot (u \cos^2 v + u \sin^2 v) = u \cdot \vec{k} \text{ and from picture it's clear it points outside!}$$

$$\text{Hence: } \iint_{S_1} \vec{F} dS = \int_0^{2\pi} \int_0^3 \langle u \cos v, u \sin v, 5 \rangle \cdot \langle 0, 0, u \rangle du dv = \int_0^{2\pi} \int_0^3 5udu dv = [45\pi]$$

2<sup>nd</sup> way: Let us provide an alternative way to compute  $\iint_{S_1} \vec{F} dS$ .

Just from the picture it is clear that  $\vec{n} = \vec{k}$  on  $S_1 \Rightarrow \vec{F} \cdot \vec{n} = 5$  on  $S_1$

$$\Rightarrow \iint_{S_1} \vec{F} dS = \iint_{S_1} 5 dS = 5 \cdot \underbrace{A(S_1)}_{\substack{\text{surface Area} \\ \text{of } S_1 = \text{disk of radius 3}}} = 5 \cdot \pi \cdot 3^2 = 45\pi \leftarrow \text{Get the same answer.}$$

### Flux across $S_2$

1<sup>st</sup> way: Parametrize  $S_2$  via  $\vec{\tau}(u, v) = \langle u \cos v, u \sin v, 0 \rangle$   $0 \leq u \leq 3$   $0 \leq v \leq 2\pi$

As above, we get  $\vec{\tau}_u \times \vec{\tau}_v = u \cdot \vec{k}$ , but looking at the picture we actually see that it points towards the solid  $\Rightarrow$  need to take  $-u \cdot \vec{k}$ .

$$\Rightarrow \iint_{S_2} \vec{F} dS = \iint_0^3 \underbrace{\langle u \cos v, u \sin v, 0 \rangle \cdot \langle 0, 0, -u \rangle}_{\vec{0}} du dv = \boxed{0}$$

2<sup>nd</sup> Way: Looking at picture  $\vec{n} = -\vec{k}$  on  $S_2 \Rightarrow \vec{F} \cdot \vec{n} = 0$  on  $S_2 \Rightarrow \iint_{S_2} \vec{F} dS = 0$

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### Flux across $S_3$

1<sup>st</sup> way Parametrize  $S_3$  via  $\vec{r}(u,v) = \langle 3\cos v, 3\sin v, u \rangle$

$\vec{r}_u = \langle 0, 0, 1 \rangle$        $\vec{r}_v = \langle -3\sin v, 3\cos v, 0 \rangle$

$\vec{r}_u \times \vec{r}_v = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ 0 & 0 & 1 \\ -3\sin v & 3\cos v & 0 \end{vmatrix} = -3\cos v \cdot \vec{i} - 3\sin v \cdot \vec{j} = \langle -3\cos v, -3\sin v, 0 \rangle$

$0 \leq u \leq 5$   
 $0 \leq v \leq 2\pi$ .

Now we have to decide whether we pick  $\vec{r}_u \times \vec{r}_v$  or  $-\vec{r}_u \times \vec{r}_v$ .

We need a vector which points outwards. It suffices to check at any point on  $S_3$ . For example when  $u=0, v=0$ , we get  $\vec{r}_u \times \vec{r}_v = \langle -3, 0, 0 \rangle$ , while looking back at the picture we see that this points inwards.

So: We need to take  $-\vec{r}_u \times \vec{r}_v = \langle 3\cos v, 3\sin v, 0 \rangle$

Thus:  $\iint_{S_3} \vec{F} dS = \iint_0^{2\pi} \underbrace{\langle 3\cos v, 3\sin v, u \rangle \cdot \langle 3\cos v, 3\sin v, 0 \rangle}_{g} du dv = [90\pi]$

2<sup>nd</sup> Way Looking at the picture, it is clear that  $\vec{n}$  is always parallel to  $xy$ -plane and is explicitly given by  $\vec{n} = \langle \frac{x}{3}, \frac{y}{3}, 0 \rangle$  at the point  $(x, y, z) \Rightarrow \vec{F} \cdot \vec{n} = \frac{x^2+y^2}{3} = 3$  on  $S_3$

Hence:  $\iint_{S_3} \vec{F} dS = \iint_{S_3} 3 dS = 3 \cdot A(S_3) = 3 \cdot 5 \cdot (2\pi \cdot 3) = (90\pi)$

Summarizing all the above, we see that

$$\iint_S \vec{F} dS = 45\pi + 0 + 90\pi = [135\pi]$$

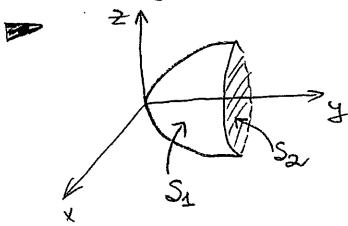
Remark: We on purpose illustrated two approaches:

- 1<sup>st</sup> Way is the most canonical

- 2<sup>nd</sup> Way is sometimes easier. (as we saw).

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Ex 3: Let  $\vec{F}(x, y, z) = \langle 0, y, -z \rangle$ . Find the flux of  $\vec{F}$  across the positively oriented  $S$ , which consists of the paraboloid  $y = x^2 + z^2$ ,  $0 \leq y \leq 1$  and the disk  $x^2 + z^2 \leq 1$ ,  $y = 1$ .



This surface  $S$  consists of two parts:  $S_1$  and  $S_2$

- $S_1$  - part of the paraboloid  $y = x^2 + z^2$ ,  $0 \leq y \leq 1$
- $S_2$  - disk  $x^2 + z^2 \leq 1$ ,  $y = 1$ .

$$\text{So: } \iint_S \vec{F} dS = \iint_{S_1} \vec{F} dS + \iint_{S_2} \vec{F} dS$$

### Flux across $S_2$

Parametrize  $S_2$  via  $\vec{\tau}(u, v) = \langle u \cos v, 1, u \sin v \rangle$ ,  $0 \leq u \leq 1$ ,  $0 \leq v \leq 2\pi$

$$\begin{aligned} \vec{\tau}_u &= \langle \cos v, 0, \sin v \rangle \\ \vec{\tau}_v &= \langle -u \sin v, 0, u \cos v \rangle \end{aligned} \quad \Rightarrow \vec{\tau}_u \times \vec{\tau}_v = -u \cdot \vec{j}$$

But looking at the picture, it is clear that to get a vector pointing outwards, we need to take  $-\vec{\tau}_u \times \vec{\tau}_v = u \cdot \vec{j}$ .

$$\text{Hence: } \iint_{S_2} \vec{F} dS = \int_0^1 \int_0^{2\pi} \langle 0, 1, -us \sin v \rangle \cdot \langle 0, u, 0 \rangle du dv = \boxed{\pi}$$

Note: We could as in Ex 2 immediately notice that  $\vec{n} = \vec{j} \Rightarrow \vec{F} \cdot \vec{n} = 1$  on  $S_2$   
 $\Rightarrow \iint_{S_2} \vec{F} dS = A(S_2) = \boxed{\pi}$ .

### Flux across $S_1$

Parametrize  $S_1$  via  $\vec{\tau}(u, v) = \langle u, u^2 + v^2, v \rangle$ ,  $(u, v)$  is subject to  $u^2 + v^2 \leq 1$ .

$$\begin{aligned} \vec{\tau}_u &= \langle 1, 2u, 0 \rangle \\ \vec{\tau}_v &= \langle 0, 2v, 1 \rangle \end{aligned} \quad \Rightarrow \vec{\tau}_u \times \vec{\tau}_v = \langle 2u, -1, 2v \rangle.$$

To decide on  $\pm \vec{\tau}_u \times \vec{\tau}_v$ , pick  $u=v=0 \Rightarrow \vec{\tau}_u \times \vec{\tau}_v = \langle 0, -1, 0 \rangle$  - points outwards  
 $\Rightarrow$  we keep  $\vec{\tau}_u \times \vec{\tau}_v$ .

$$\text{Hence: } \iint_{S_1} \vec{F} dS = \iint_{u^2 + v^2 \leq 1} \langle 0, u^2 + v^2, -v \rangle \cdot \langle 2u, -1, 2v \rangle dA = \int_0^{\pi} \int_0^1 (-r^2 \cos^2 \theta - 3r^2 \sin^2 \theta) \cdot r dr d\theta$$

After straightforward computations (using  $\cos^2 \theta = \frac{1+\cos(2\theta)}{2}$ ,  $\sin^2 \theta = \frac{1-\cos(2\theta)}{2}$ ),

We get  $\iint_{S_1} \vec{F} dS = \boxed{-\pi}$ . Therefore:  $\iint_S \vec{F} dS = \pi - \pi = \boxed{0}$  ■