

# A Crash Course in Complexity Theory with a view towards $\text{ustcon} \in L$



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# Overview of the Talk

- 1 Introduction
  - What is Computation?
- 2 Formalizing *Efficient* Computation
  - Time Complexity:  $P$
  - Space Complexity:  $L$
  - The Relationship between Time and Space Complexity
- 3 Reingold's Theorem:  $ustcon \in L$
- 4 Nondeterminism in Space Complexity

-  Sanjeev Arora and Boaz Barak.  
*Computational complexity: a modern approach.*  
Cambridge University Press, 2009.
-  Omer Reingold.  
Undirected connectivity in log-space.  
*Journal of the ACM (JACM)*, 55(4):1–24, 2008.

# Decision Problems

We will restrict ourselves to decision problems for this talk. A decision problem is a function  $f : \{0, 1\}^* \rightarrow \{0, 1\}$ .

Equivalently, a decision problem is a subset  $L \subseteq \{0, 1\}^*$ .

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When asking yes-or-no questions about countable collections of discrete objects (like graphs, integers, binary strings) it is usually possible to encode the question as a decision problem. For a toy example, consider the parity problem:  $n \in \mathbb{N}$ , is the number of 1 in the binary expansion of  $n$  odd?

## Definition

A deterministic  $k$ -tape Turing machine  $M$  is a tuple  $(Q, \Gamma, \delta)$  where

- $Q$  is a finite set of states.
- $\Gamma$  is a finite set of symbols, called an alphabet. These are the symbols that can be written on any of the tapes of the Turing machine.
- $\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^{k-1} \times \{L, R, S\}^k$  is called the transition function.
- There are two distinguished states  $q_{\text{start}}, q_{\text{halt}} \in Q$ .  $q_{\text{halt}}$  has the property that  $\delta(q_{\text{halt}}, \cdot)$  does not change any tapes, or move any of the heads.
- There is a distinguished symbol  $\square \in \Gamma$ , representing a blank space.

In particular, we can choose  $\Gamma = \{0, 1, \square\}$ , and set  $k = 3$ . As long as  $\Gamma$  has at least two non-blank elements, it doesn't practically change anything we will discuss.

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The space  $S_M(x)$  is the largest distance travelled by the head of any work tape before  $M$  halts on input  $x$ . Further, for  $n \in \mathbb{N}$ ,

$$T_M(n) = \max_{|x| \leq n} T_M(x)$$

$$S_M(n) = \max_{|x| \leq n} S_M(x)$$

# What is an Efficient Computation?

This leads us to the following definitions:

## Definition (*DTIME*)

For  $L \subseteq \{0, 1\}^*$ , we say  $L \in DTIME(f(n))$ , if there is a Turing machine  $M$  that decides  $L$  such that

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It's not hard to formalize the above description, and it is easy to see that  $\text{parity} \in DTIME(n) \cap DSPACE(1)$ .

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- Extended Church-Turing hypothesis: on all typical models of computations, the notion of  $P$  is invariant under simulation.
- $P$  is closed under composition (calling polynomially many subroutines each taking polynomial time will not increase the running time beyond polynomial).

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Clearly  $P \subseteq EXP$ . In fact, it is known that  $P \subsetneq EXP$ .

# What is the space-efficiency analogue of $P$ ?

We now want a notion of space-efficient computation. A naive idea would be to mimic the previous definition, to get

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However, here's a heuristic for why this is not a very efficient notion of space complexity: which way does the inclusion between  $P$  and  $PSPACE$  go?

It is known that  $P \subseteq PSPACE$ , and it is actually expected that  $P \subsetneq PSPACE$  (for example,  $P \neq NP$  would imply this).

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Note that clearly  $L \subseteq PSPACE$ . In fact,  $L \subseteq P$ , as we will show soon.

# How do these notions relate?

We have the following theorem:

Theorem (Theorem 4.3 from [AB09])

$$DTIME(f(n)) \subseteq DSPACE(f(n)) \subseteq DTIME(2^{\mathcal{O}(f(n))})$$

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Proof.

The first inclusion is trivial: note that in time  $t$ , no head of the TM could have used more space than  $t$ .

For the second, suppose that  $M$  uses  $s$  space on some input  $x$ . We define the configuration graph  $G_{M,x}$  to be the directed graph whose vertices are configurations, and  $v \rightarrow u \iff \delta(v) = u$ . Then, there are at most  $2^{O_M(s)}$  many configurations. Note  $G_{M,x}$  must be a directed acyclic graph (otherwise there would be infinite loops), and hence the time taken is at most the largest walk in the graph, and hence  $\ll 2^{O_M(s)}$ .

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Theorem (Theorem 4.3 from [AB09])

$$DTIME(f(n)) \subseteq DSPACE(f(n)) \subseteq DTIME(2^{O(f(n))})$$

As an immediate corollary to the above theorem, we see that  $L \subseteq P \subseteq PSPACE \subseteq EXP$ , some of which we claimed earlier.

None of these are known to be strict (though it is known that  $L \subsetneq PSPACE$  and  $P \subsetneq EXP$ ).



# The decision problem `ustcon`

We now describe `ustcon`.

## Definition (Undirected $st$ -connectivity)

`ustcon` is the following decision problem:

Input: an undirected graph  $G = (V, E)$ , and two vertices  $s, t \in V$

Output: 1 if  $s \rightsquigarrow t$  in  $G$ , and 0 otherwise.

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Note that this can be encoded in a way such that the input size is a fixed polynomial in  $|V|$ , so in particular, we can replace the size of the input with  $|V| = n$  in our estimates.

This brings us to the goal of the next talk:

Theorem (Reingold, 2005)

$$\text{ustcon} \in L$$

We will prove this next time. For now, we provide some motivation for why this result is possibly surprising.

# Nondeterministic Turing Machine

Before we motivate the importance of Reingold's theorem, we consider an augmented model of computation, called the nondeterministic Turing machine.

## Definition

A nondeterministic Turing machine  $N$  is a tuple  $(Q, \Gamma, \delta)$  where the definition is the same as that of a Turing machine, except that instead of being a single-valued function,  $\delta$  is a multi-valued function (i.e., it is a relation). We say that an NTM  $N$  accepts precisely when at least one of the paths accepts, and it rejects when all paths reject.

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Clearly, every deterministic Turing machine is a nondeterministic one.

# Nondeterministic Complexity

All of the complexity classes we defined earlier generalize:

## Definition (*NTIME*)

For  $L \subseteq \{0, 1\}^*$ ,  $L \in NTIME(f(n))$ , if there is a nondeterministic Turing machine  $N$  that decides  $L$  such that

$$T_N(n) = \mathcal{O}(f(n))$$

## Definition (*NSPACE*)

$L \in NSPACE(f(n))$ , if there is a nondeterministic Turing machine  $N$  that decides  $L$  such that

$$S_N(n) = \mathcal{O}(f(n))$$

## Definition (Nondeterministic Polynomial Time)

$$NP = \bigcup_{k \geq 1} NTIME(n^k)$$

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Big open problem: does adding nondeterminism to Turing machines change efficiently computable classes? Clearly  $DTIME \subseteq NTIME$  and  $DSPACE \subseteq NSPACE$ . Is  $P = NP$ ? Is  $L = NL$ ? Is  $EXP = NEXP$ ?



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All open! (modulo some interrelations, like  $EXP = NEXP \implies P = NP$ ).

# How does nondeterministic space relate to time?

In fact, a more careful proof of the theorem relating deterministic space to deterministic time tells us that

Theorem (Theorem 4.3 from [AB09])

$$DTIME(f(n)) \subseteq DSPACE(f(n)) \subseteq NSPACE(f(n)) \subseteq DTIME(2^{\mathcal{O}(f(n))})$$

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We also have the following relationship between the various logarithmic space classes:

Theorem

$$L \subseteq SL \subseteq RL \subseteq NL \subseteq L^2$$

# Reductions and Completeness

We say that

$$L_1 \leq_P L_2$$

that is  $L_1$  is Karp reducible (or polynomial-time reducible) to  $L_2$ , if there is a polynomial time Turing machine  $M : \{0, 1\}^* \rightarrow \{0, 1\}^*$  such that

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We say  $L$  is *NP*-hard, if for every  $L' \in NP$ ,  $L' \leq_P L$ . If  $L \in NP$  is *NP*-hard, then we say that  $L$  is *NP*-complete.

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We say  $L$  is *NP-hard*, if for every  $L' \in NP$ ,  $L' \leq_P L$ . If  $L \in NP$  is *NP-hard*, then we say that  $L$  is *NP-complete*.

**Theorem (Cook-Levin, 1971)**

*SAT is NP-complete.*

# Reductions and Completeness

When working with  $NL$ , the appropriate notion of reduction is logspace reducible, denoted by  $\leq_L$ . We will not go into the technical definition of this.

We have the following theorem:

## Theorem

$stcon$  is  $NL$ -complete.

Here  $stcon$  is directed connectivity of  $s, t$  in a digraph  $G$ .

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Here  $stcon$  is directed connectivity of  $s, t$  in a digraph  $G$ .

The proof idea is convert any  $NL$  problem into the configuration graph of the nondeterministic Turing machine that solves it in log-space, and then ask whether the accept state is reachable from the start state.



Finally, we have the following theorem:

## Theorem (Savitch)

$$NSPACE(f(n)) \subseteq DSPACE(f(n)^2)$$

The proof idea is the following; first, there is an algorithm which demonstrates that  $stcon \in L^2$ . In particular, this shows that  $NL \subseteq L^2$ .

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The proof idea is the following; first, there is an algorithm which demonstrates that  $stcon \in L^2$ . In particular, this shows that  $NL \subseteq L^2$ .

Now, for  $L \in NSPACE(f(n))$ , there is a nondeterministic Turing machine  $N$  which decides it. Thus, solving  $L$  is equivalent to figuring out whether the accepting configuration is reachable from the starting configuration in the configuration graph of  $N$ . This graph has size  $2^{\mathcal{O}(f(n))}$ , and so, this takes time  $\mathcal{O}(f(n)^2)$ .

# The End