

Combinatorial complexity in o-minimal geometry

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ABSTRACT

In this paper we prove tight bounds on the combinatorial and topological complexity of sets defined in terms of n definable sets belonging to some fixed definable family of sets in an o-minimal structure. This generalizes the combinatorial parts of similar bounds known in the case of semi-algebraic and semi-Pfaffian sets, and as a result vastly increases the applicability of results on combinatorial and topological complexity of arrangements studied in discrete and computational geometry. As a sample application, we extend a Ramsey-type theorem due to Alon *et al.* [Crossing patterns of semi-algebraic sets, *J. Combin. Theory Ser. A* 111 (2005), 310–326. MR 2156215 (2006k:14108)], originally proved for semi-algebraic sets of fixed description complexity to this more general setting.

1. Introduction

Over the last twenty years there has been a lot of work on bounding the topological complexity (measured in terms of their Betti numbers) of several different classes of subsets of \mathbb{R}^k , most notably semi-algebraic and semi-Pfaffian sets. The usual setting for proving these bounds is as follows. One considers a semi-algebraic (or semi-Pfaffian) set $S \subset \mathbb{R}^k$ defined by a Boolean formula whose atoms consists of $P > 0, P = 0, P < 0, P \in \mathcal{P}$, where \mathcal{P} is a set of polynomials or Pfaffian functions of degrees bounded by a parameter or whose Pfaffian complexity is bounded by certain parameters, respectively, and $\#\mathcal{P} = n$. It is possible to obtain bounds on the Betti numbers of S in terms of n and k , and the parameters bounding the complexity of the functions in \mathcal{P} .

1.1. Known bounds in the semi-algebraic and semi-Pfaffian cases

In the semi-algebraic case, if we assume that the degrees of the polynomials in \mathcal{P} are bounded by d , and denoting by $b_i(S)$ the i th Betti number of S , then it is shown in [23] that,

$$\sum_{i \geq 0} b_i(S) \leq n^{2k} O(d)^k. \tag{1.1}$$

A similar bound is also shown for semi-Pfaffian sets [23].

In another direction, we also have reasonably tight bounds on the sum of the Betti numbers of the realizations of all realizable sign conditions of the family \mathcal{P} . A sign condition on \mathcal{P} is an element of $\{0, 1, -1\}^{\mathcal{P}}$, and the realization of a sign condition σ is the set given by

$$\mathcal{R}(\sigma) = \{ \mathbf{x} \in \mathbb{R}^k \mid \text{sign}(P(\mathbf{x})) = \sigma(P) \ \forall P \in \mathcal{P} \}.$$

It is shown in [6] that

$$\sum_{\sigma \in \{0,1,-1\}^{\mathcal{P}}} b_i(\mathcal{R}(\sigma)) \leq \sum_{j=0}^{k-i} \binom{n}{j} 4^j d(2d-1)^{k-1} = n^{k-i} O(d)^k. \tag{1.2}$$

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We refer the reader to [5, 6, 22, 23, 25], as well as the survey article [7] for a comprehensive history of the work leading up to the above results, as well as several other interesting results in this area.

1.2. Combinatorial and algebraic complexity

Note that the bounds in (1.1) and (1.2) are products of two quantities, one that depends only on n (and k), and another part that is independent of n , but depends on the parameters controlling the complexity of individual elements of \mathcal{P} (such as degrees of polynomials in the semi-algebraic case, or the degrees and the length of the Pfaffian chain defining the functions in the Pfaffian case). It is customary to refer to the first part as the *combinatorial part* of the complexity, and the latter as the algebraic (or Pfaffian) part. Moreover, the algebraic or the Pfaffian parts of the bound depend on results whose proofs involve Morse theory (for instance, the well-known Oleinik–Petrovsky–Thom–Milnor bounds on the Betti numbers of real varieties [28, 29, 33]).

While understanding the algebraic part of the complexity is a very important problem, in several applications, most notably in discrete and computational geometry, it is the combinatorial part of the complexity that is of primary interest (the algebraic part is assumed to be bounded by a constant). The motivation behind this point of view is the following. In problems in discrete and computational geometry, one typically encounters arrangements of a large number of objects in \mathbb{R}^k (for some fixed k), where each object is of ‘bounded description complexity’ (for example, defined by a polynomial inequality of degree bounded by a constant). Thus, it is the number of objects that constitutes the important parameter, and the algebraic complexity of the individual objects are thought of as small constants. It is this second setting that is our primary interest in this paper.

The main results of this paper generalize (combinatorial parts of) the bounds in (1.1) and (1.2) to sets which are definable in an arbitrary o-minimal structure over a real closed field \mathbb{R} (see Paragraph 1.4.1 below for the definition of an o-minimal structure and definable sets).

Instead of only considering sets having ‘bounded description complexity’, we allow the sets in an arrangement \mathcal{A} to be fibers of some fixed definable map $\pi : T \rightarrow \mathbb{R}^\ell$, where $T \subset \mathbb{R}^{k+\ell}$ is a definable set. This vastly expands the applicability of results concerning complexity of arrangements in discrete and computational geometry, since it is no longer necessary that the objects in the arrangements be defined only in terms of polynomials. As we shall see shortly, the sets we consider are allowed to be fairly arbitrary. They include sets defined by restricted analytic functions, including (but not by any means restricted to) polynomials, Pfaffian functions such as exponential, logarithmic, trigonometric and inverse trigonometric functions, subject to some mild conditions. All hitherto considered families of objects in the computational geometry literature, such as hyperplanes, simplices, and more generally sets having bounded description complexity are special instances of this general definition. We also consider sets belonging to the Boolean algebra generated by n sets in \mathbb{R}^k each of which is a fiber of a fixed definable map. We prove tight bounds on the Betti numbers, the topological complexity of projections, as well as on the complexity of cylindrical decomposition of such sets, in terms of n and k . The role of the algebraic complexity is played by a constant that depends only on the particular definable family. In this way, we are able to generalize the notion of combinatorial complexity to definable sets over an arbitrary o-minimal structure.

Apart from the intrinsic mathematical interest of the results proved in the paper, we believe that the techniques used to prove them would be of interest to researchers in discrete and computational geometry. We show that most (if not all) results on the complexity of arrangements are consequences of a set of very simple and well-studied axioms (those defining o-minimal structures). Many widely used techniques in the study of arrangements are strongly dependent on the assumption that the sets under consideration are semi-algebraic. For example, it is common to consider real algebraic varieties of fixed degree as hyperplane sections of the

corresponding Veronese variety in a higher (but still fixed) dimensional space, a technique called ‘linearization’ in computational geometry literature (see [2]). Obviously, such methods fail if the given sets are not semi-algebraic. Our methods make no use of semi-algebraicity of the objects, nor bounds derived from Morse theory such as the classical Oleinik–Petrovsky–Thom–Milnor bounds on Betti numbers of real algebraic varieties. We believe that this point of view simplifies proofs, and simultaneously generalizes vastly the class of objects that are allowed, at the same time getting rid of unnecessary assumptions such as requiring the objects to be in general position. It is likely that the techniques developed here will find further applications in the combinatorial study of arrangements other than those discussed in this paper.

1.3. Arrangements in computational geometry

We now make precise the notions of arrangements, cells and their complexities, following their usual definitions in discrete and computational geometry [2, 26].

Let $\mathcal{A} = \{S_1, \dots, S_n\}$ such that each S_i is a subset of \mathbb{R}^k belonging to some ‘simple’ class of sets. (We define the class of admissible sets that we consider precisely in Subsection 1.5 below).

For $I \subset \{1, \dots, n\}$, we let $\mathcal{A}(I)$ denote the set

$$\bigcap_{i \in I} S_i \cap \bigcap_{j \in [1..n] \setminus I} \mathbb{R}^k \setminus S_j,$$

and it is customary to call a connected component of $\mathcal{A}(I)$ a *cell* of the arrangement (even though it might not be a cell in the sense of topology). We let $\mathcal{C}(\mathcal{A})$ denote the set of all non-empty cells of the arrangement \mathcal{A} .

The cardinality of $\mathcal{C}(\mathcal{A})$ is called the *combinatorial complexity* of the arrangement \mathcal{A} . Since different cells of an arrangement might differ topologically, it makes sense to give more weight to a topologically complicated cell than to a topologically simple one in the definition of complexity. With this in mind we define (as in [4]) the *topological complexity* of a cell to be the sum of its Betti numbers (the ranks of singular homology groups of the cell).

The class of sets usually considered in the study of arrangements are sets with ‘bounded description complexity’ (see [2]). This means that each set in the arrangement is defined by a first-order formula in the language of ordered fields involving at most a constant number of polynomials whose degrees are also bounded by a constant. Additionally, there is often a requirement that the sets be in ‘general position’. The precise definition of ‘general position’ varies with context, but often involves restrictions such as: the sets in the arrangements are smooth manifolds, intersecting transversally.

1.4. Arrangements over an o-minimal structure

O-minimal structures present a natural mathematical framework to state and prove results on the complexity of arrangements. In this paper we consider arrangements whose members come from some fixed definable family in an o-minimal structure (see below for definitions). The usual notion of ‘bounded description complexity’ turns out to be a special case of this more general definition.

1.4.1. *O-minimal structures.* O-minimal structures were invented and first studied by Pillay and Steinhorn in the pioneering papers [30, 31] in part to show that the tame topological properties exhibited by the class of semi-algebraic sets are consequences of a set of a few simple axioms. Later the theory was further developed through the contributions of other researchers, most notably van den Dries, Wilkie, Rolin, Speissegger among others [15–17, 32, 34, 35]. We particularly recommend the book by van den Dries [14] and the notes by Coste [12] for an easy introduction to the topic as well as the proofs of the basic results that we use in this paper.

An o-minimal structure on a real closed field \mathbb{R} is just a class of subsets of \mathbb{R}^k , with $k \geq 0$ (called the *definable sets* in the structure) satisfying these axioms (see below). The class of semi-algebraic sets is one obvious example of such a structure, but in fact there are much richer classes of sets which have been proved to be o-minimal (see below). For instance, subsets of \mathbb{R}^k defined in terms of inequalities involving not just polynomials, but also trigonometric and exponential functions on restricted domains have been proved to be o-minimal.

We now formally define o-minimal structures (as in [12]).

DEFINITION 1. An o-minimal structure on a real closed field \mathbb{R} is a sequence $\mathcal{S}(\mathbb{R}) = (\mathcal{S}_n)_{n \in \mathbb{N}}$, where each \mathcal{S}_n is a collection of subsets of \mathbb{R}^n , satisfying the following axioms [12].

- (i) All algebraic subsets of \mathbb{R}^n are in \mathcal{S}_n .
- (ii) The class \mathcal{S}_n is closed under complementation and finite unions and intersections.
- (iii) If $A \in \mathcal{S}_m$ and $B \in \mathcal{S}_n$, then $A \times B \in \mathcal{S}_{m+n}$.
- (iv) If $\pi : \mathbb{R}^{n+1} \rightarrow \mathbb{R}^n$ is the projection map on the first n coordinates and $A \in \mathcal{S}_{n+1}$, then $\pi(A) \in \mathcal{S}_n$.
- (v) The elements of \mathcal{S}_1 are precisely finite unions of points and intervals.

1.4.2. *Examples of o-minimal structures.* A few such examples are given below.

EXAMPLE 1. Our first example of an o-minimal structure $\mathcal{S}(\mathbb{R})$ is the o-minimal structure over a real closed field \mathbb{R} , where each \mathcal{S}_n is the class of semi-algebraic subsets of \mathbb{R}^n . It follows easily from the Tarski–Seidenberg principle (see [11]) that the class of sets \mathcal{S}_n satisfies the axioms in Definition 1. We denote this o-minimal structure by $\mathcal{S}_{\text{sa}}(\mathbb{R})$.

If Example 1 was the only example of o-minimal structure available, then the notion of o-minimality would not be very interesting. However, there are many more examples (see, for example [14–17, 32, 34, 35]).

EXAMPLE 2 [34]. Let \mathcal{S}_n be the images in \mathbb{R}^n under the projection maps $\mathbb{R}^{n+k} \rightarrow \mathbb{R}^n$ of sets of the form $\{(\mathbf{x}, \mathbf{y}) \in \mathbb{R}^{n+k} \mid P(\mathbf{x}, \mathbf{y}, e^{\mathbf{x}}, e^{\mathbf{y}}) = 0\}$, where P is a real polynomial in $2(n+k)$ variables, $e^{\mathbf{x}} = (e^{x_1}, \dots, e^{x_n})$ and $e^{\mathbf{y}} = (e^{y_1}, \dots, e^{y_k})$. We denote this o-minimal structure over \mathbb{R} by $\mathcal{S}_{\text{exp}}(\mathbb{R})$.

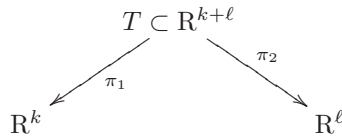
EXAMPLE 3 [20]. Let \mathcal{S}_n be the images in \mathbb{R}^n under the projection maps $\mathbb{R}^{n+k} \rightarrow \mathbb{R}^n$ of sets of the form $\{(\mathbf{x}, \mathbf{y}) \in \mathbb{R}^{n+k} \mid P(\mathbf{x}, \mathbf{y}) = 0\}$, where P is a restricted analytic function in $n+k$ variables. A restricted analytic function in N variables is an analytic function defined on an open neighborhood of $[0, 1]^N$ restricted to $[0, 1]^N$ (and extended by 0 outside). We denote this o-minimal structure over \mathbb{R} by $\mathcal{S}_{\text{ana}}(\mathbb{R})$.

The o-minimality of the last two classes are highly non-trivial theorems.

1.5. Admissible sets

We now define the sets that will play the role of objects of ‘constant description complexity’ in the rest of the paper.

DEFINITION 2. Let $\mathcal{S}(\mathbb{R})$ be an o-minimal structure on a real closed field \mathbb{R} and let $T \subset \mathbb{R}^{k+\ell}$ be a definable set. Let $\pi_1 : \mathbb{R}^{k+\ell} \rightarrow \mathbb{R}^k$ and $\pi_2 : \mathbb{R}^{k+\ell} \rightarrow \mathbb{R}^\ell$ be the projections onto the first k and last ℓ coordinates, respectively, as shown in the following:



We call a subset S of \mathbb{R}^k to be a (T, π_1, π_2) -set if

$$S = \pi_1(\pi_2^{-1}(\mathbf{y}) \cap T)$$

for some $\mathbf{y} \in \mathbb{R}^\ell$, and when the context is clear we define $T_{\mathbf{y}} = \pi_1(\pi_2^{-1}(\mathbf{y}) \cap T)$. In this paper, we consider finite families of (T, π_1, π_2) -sets, where T is some fixed definable set for each such family, and we call a family of (T, π_1, π_2) -sets to be a (T, π_1, π_2) -family. We also sometimes refer to a finite (T, π_1, π_2) -family as an *arrangement* of (T, π_1, π_2) -sets.

For any definable set $X \subset \mathbb{R}^k$, we let $b_i(X)$ denote the i th Betti number of X , and we let $b(X)$ denote $\sum_{i \geq 0} b_i(X)$. We define the *topological complexity* of an arrangement \mathcal{A} of (T, π_1, π_2) -sets to be the number given by

$$\sum_{D \in \mathcal{C}(\mathcal{A})} \sum_{i=0}^k b_i(D).$$

REMARK 1. We remark here that for o-minimal structures over an arbitrary real closed field \mathbb{R} , ordinary singular homology is not well defined. Even though o-minimal versions of singular co-homology theory, as well Čech co-homology theory, have been developed recently (see [18, 19]), in this paper we take a simpler approach and use a modified homology theory (which agrees with singular homology in case $\mathbb{R} = \mathbb{R}$ and which is homotopy invariant) as done in [8] in case of semi-algebraic sets over arbitrary real closed fields (see [8, p. 279]). The underlying idea behind that definition is as follows. Since closed and bounded semi-algebraic (as well as definable) sets are finitely triangulable, simplicial homology is well defined for such sets. Furthermore, it is shown in [9] that it is possible to replace an arbitrary semi-algebraic set by a closed and bounded one that is homotopy equivalent to the original set. We prove an analogous result for arbitrary definable sets in this paper (see Theorem 3.3 below). We now define the homology groups of the original set to be the simplicial homology groups of the closed and bounded definable set which is homotopy equivalent to it. It is clear that this definition is homotopy invariant.

We now give a few examples to show that arrangements of objects of bounded description complexities are included in the class of arrangements we study, but our class is much larger since T need not be semi-algebraic. A few such examples are given below.

EXAMPLE 4. Let $\mathcal{S}(\mathbb{R})$ be the o-minimal structure $\mathcal{S}_{\text{sa}}(\mathbb{R})$. Let $T \subset \mathbb{R}^{2k+1}$ be the semi-algebraic set defined by

$$T = \{(x_1, \dots, x_k, a_1, \dots, a_k, b) \mid \langle \mathbf{a}, \mathbf{x} \rangle - b = 0\},$$

where we denote $\mathbf{a} = (a_1, \dots, a_k)$ and $\mathbf{x} = (x_1, \dots, x_k)$, and let π_1 and π_2 be the projections onto the first k and last $k + 1$ coordinates, respectively. A (T, π_1, π_2) -set is clearly a hyperplane in \mathbb{R}^k and vice versa.

EXAMPLE 5. Again, let $\mathcal{S}(\mathbb{R})$ be the o-minimal structure $\mathcal{S}_{\text{sa}}(\mathbb{R})$. Let $T \subset \mathbb{R}^{k+k(k+1)}$ be the semi-algebraic set defined by

$$T = \{(\mathbf{x}, \mathbf{y}_0, \dots, \mathbf{y}_k) \mid \mathbf{x}, \mathbf{y}_0, \dots, \mathbf{y}_k \in \mathbb{R}^k, \mathbf{x} \in \text{conv}(\mathbf{y}_0, \dots, \mathbf{y}_k)\},$$

where conv denotes the convex hull operator, and let π_1 and π_2 be the projections onto the first k and last $k(k + 1)$ coordinates, respectively. A (T, π_1, π_2) -set is a (possibly degenerate) k -simplex in \mathbb{R}^k and vice versa.

Arrangements of hyperplanes as well as simplices have been well studied in computational geometry, and thus the two previous examples do not introduce anything new. We now discuss an example which could not be handled by the existing techniques in computational geometry, such as linearization.

EXAMPLE 6. Now, let $\mathcal{S}(\mathbb{R})$ be the o-minimal structure $\mathcal{S}_{\text{exp}}(\mathbb{R})$. Let $T \subset \mathbb{R}^{k+m(k+1)}$ be the set defined by

$$T = \left\{ (\mathbf{x}, \mathbf{y}_1, \dots, \mathbf{y}_m, a_1, \dots, a_m) \mid \mathbf{x}, \mathbf{y}_1, \dots, \mathbf{y}_m \in \mathbb{R}^k, a_1, \dots, a_m \in \mathbb{R}, \right. \\ \left. x_1, \dots, x_k > 0, \sum_{i=0}^m a_i \mathbf{x}^{\mathbf{y}_i} = 0 \right\},$$

and let $\pi_1 : \mathbb{R}^{k+m(k+1)} \rightarrow \mathbb{R}^k$ and $\pi_2 : \mathbb{R}^{k+m(k+1)} \rightarrow \mathbb{R}^{m(k+1)}$ be the projections onto the first k and last $m(k + 1)$ coordinates, respectively. It can be shown that T is definable in the structure $\mathcal{S}_{\text{exp}}(\mathbb{R})$. The (T, π_1, π_2) -sets in this example include (among others) all semi-algebraic sets consisting of intersections with the positive orthant of all real algebraic sets defined by a polynomial having at most m monomials (different sets of monomials are allowed to occur in different polynomials).

DEFINITION 3. Let $\mathcal{A} = \{S_1, \dots, S_n\}$ such that each $S_i \subset \mathbb{R}^k$ is a (T, π_1, π_2) -set. For $I \subset \{1, \dots, n\}$, we let $\mathcal{A}(I)$ denote the set

$$\bigcap_{i \in I} S_i \cap \bigcap_{j \in [1 \dots n] \setminus I} \mathbb{R}^k \setminus S_j, \tag{1.3}$$

and we call such a set to be a basic \mathcal{A} -set. We denote by $\mathcal{C}(\mathcal{A})$ the set of non-empty connected components of all basic \mathcal{A} -sets.

We call definable subsets $S \subset \mathbb{R}^k$ defined by a Boolean formula whose atoms are of the form, $x \in S_i$, with $1 \leq i \leq n$, an \mathcal{A} -set. An \mathcal{A} -set is thus a union of basic \mathcal{A} -sets. If T is closed and the Boolean formula defining S has no negations, then S is closed, and we call such a set an \mathcal{A} -closed set.

Moreover, if V is any closed definable subset of \mathbb{R}^k , and S is an \mathcal{A} -set or an \mathcal{A} -closed set, then we call $S \cap V$ an (\mathcal{A}, V) -set or an (\mathcal{A}, V) -closed set, respectively.

1.6. *Known properties*

Definable families of sets in an o-minimal structure (such as those defined above) have been studied and they satisfy important finiteness properties similar to those of semi-algebraic families. We list here a couple of properties that are important in the combinatorial study of arrangements.

1.6.1. *Finiteness of topological types.* This is the first of the two finiteness properties explained below.

THEOREM 1.1 [12, 14]. *Let $\mathcal{S}(\mathbb{R})$ be an o-minimal structure over a real closed field \mathbb{R} and let $T \subset \mathbb{R}^{k+\ell}$ be a closed definable set. Then, the number of homeomorphism types among (T, π_1, π_2) -sets is finite.*

REMARK 2. Note that, since the sum of the Betti numbers of any definable set is finite (since they are finitely triangulable [12, Theorem 4.4]), Theorem 1.1 implies that there exists a constant $C = C(T)$ (depending only on T) such that for any (T, π_1, π_2) -set S , we have

$$\sum_{i=0}^k b_i(S) \leq C.$$

1.6.2. *Finiteness of VC dimension.* The notion of Vapnik–Chervonenkis dimension is important in many applications in computational geometry (see [26]). We note here that (T, π_1, π_2) -families have finite Vapnik–Chervonenkis dimension for any fixed definable $T \subset \mathbb{R}^{k+\ell}$. The following result is proved in [14].

We first recall the definition of the Vapnik–Chervonenkis dimension.

DEFINITION 4 [26]. Let \mathcal{F} be a set of subsets of an infinite set X . We say that a finite subset $A \subset X$ is shattered by \mathcal{F} if each subset B of A can be expressed as $F_B \cap A$ for some $F_B \in \mathcal{F}$. The VC-dimension of \mathcal{F} is defined as

$$\sup_{A \subset X, |A| < \infty, A \text{ is shattered by } \mathcal{F}} |A|.$$

THEOREM 1.2 [14]. *Let T be some definable subset of $\mathbb{R}^{k+\ell}$ in some o-minimal structure $\mathcal{S}(\mathbb{R})$, and let $\pi_1 : \mathbb{R}^{k+\ell} \rightarrow \mathbb{R}^k$ and $\pi_2 : \mathbb{R}^{k+\ell} \rightarrow \mathbb{R}^\ell$ be the two projections. Then the VC-dimension of the family of (T, π_1, π_2) -sets is finite.*

2. *Main results*

In this section we state our main results. As stated in the Section 1, our aim is to study the combinatorial and topological complexity of sets defined in terms of n definable sets belonging to a fixed definable family in terms of the parameter n . We show that the basic results on combinatorial and topological complexity of arrangements continue to hold in this setting. Finally, as a sample application of our results we extend a recent result of Alon *et al.* [3] on crossing patterns of semi-algebraic sets to the o-minimal setting.

REMARK 3. As remarked earlier, in many results on bounding the combinatorial complexity of arrangements (of sets of constant description complexity), there is an assumption that the

sets be in general position [1]. This is a rather strong assumption and enables one to assume, for instance, if the sets of the arrangements are hypersurfaces, that they intersect transversally and this property usually plays a crucial role in the proof. In this paper we make no assumption on general positions, nor on the objects of the arrangement themselves (apart from the fact that they come from a fixed definable family). The homological methods used in this paper make such assumptions unnecessary.

2.1. Combinatorial and topological complexity of arrangements

THEOREM 2.1. *Let $\mathcal{S}(\mathbb{R})$ be an o -minimal structure over a real closed field \mathbb{R} and let $T \subset \mathbb{R}^{k+\ell}$ be a closed definable set. Then, there exists a constant $C = C(T) > 0$ depending only on T , such that for any (T, π_1, π_2) -family, $\mathcal{A} = \{S_1, \dots, S_n\}$, of subsets of \mathbb{R}^k , the following hold.*

(i) *For every i , with $0 \leq i \leq k$, we have*

$$\sum_{D \in \mathcal{C}(\mathcal{A})} b_i(D) \leq C \cdot n^{k-i}.$$

In particular, the combinatorial complexity of \mathcal{A} , which is equal to

$$\sum_{D \in \mathcal{C}(\mathcal{A})} b_0(D),$$

is at most $C \cdot n^k$.

(ii) *The topological complexity of any m cells in the arrangement \mathcal{A} is bounded by $m + C \cdot n^{k-1}$.*

Since dimension is a definable invariant (see [14]), we can refine the notions of combinatorial and topological complexity to arrangements restricted to a definable set of possibly smaller dimension than that of the ambient space as follows.

Let V be a closed definable subset of \mathbb{R}^k of dimension $k' \leq k$. For any (T, π_1, π_2) -family, $\mathcal{A} = \{S_1, \dots, S_n\}$, of subsets of \mathbb{R}^k , and $I \subset \{1, \dots, n\}$, we let $\mathcal{A}(I, V)$ denote the set

$$V \cap \bigcap_{i \in I \subset [1..n]} S_i \cap \bigcap_{j \in [1..n] \setminus I} \mathbb{R}^k \setminus S_j, \tag{2.1}$$

and we call a connected component of $\mathcal{A}(I, V)$ a cell of the arrangement restricted to V .

Let $\mathcal{C}(\mathcal{A}, V)$ denote the set of all non-empty cells of the arrangement \mathcal{A} restricted to V , and we call the cardinality of $\mathcal{C}(\mathcal{A}, V)$ the combinatorial complexity of the arrangement \mathcal{A} restricted to V . Similarly, we define the topological complexity of an arrangement \mathcal{A} restricted to V to be the number given by

$$\sum_{D \in \mathcal{C}(\mathcal{A}, V)} \sum_{i=0}^{k'} b_i(D).$$

We have the following generalization of Theorem 2.1.

THEOREM 2.2. *Let $\mathcal{S}(\mathbb{R})$ be an o -minimal structure over a real closed field \mathbb{R} , and let $T \subset \mathbb{R}^{k+\ell}$ and $V \subset \mathbb{R}^k$ be closed definable sets with $\dim(V) = k'$. Then, there exists a constant $C = C(T, V) > 0$ depending only on T and V , such that for any (T, π_1, π_2) -family, $\mathcal{A} = \{S_1, \dots, S_n\}$, of subsets of \mathbb{R}^k , and for every i , with $0 \leq i \leq k'$, we have*

$$\sum_{D \in \mathcal{C}(\mathcal{A}, V)} b_i(D) \leq C \cdot n^{k'-i}.$$

In particular, the combinatorial complexity of \mathcal{A} restricted to V , which is equal to $\sum_{D \in \mathcal{C}(\mathcal{A}, V)} b_0(D)$, is bounded by $C \cdot n^{k'}$.

Now, as before let $\mathcal{S}(\mathbb{R})$ be an o-minimal structure over a real closed field \mathbb{R} , and let $T \subset \mathbb{R}^{k+\ell}$ and $V \subset \mathbb{R}^k$ be closed definable sets with $\dim(V) = k'$.

THEOREM 2.3. *Let $\mathcal{S}(\mathbb{R})$ be an o-minimal structure over a real closed field \mathbb{R} , and let $T \subset \mathbb{R}^{k+\ell}$ and $V \subset \mathbb{R}^k$ be closed definable sets with $\dim(V) = k'$. Then, there exists a constant $C = C(T, V) > 0$ such that for any (T, π_1, π_2) -family, \mathcal{A} , with $|\mathcal{A}| = n$, and an \mathcal{A} -closed set $S_1 \subset \mathbb{R}^k$, and an \mathcal{A} -set $S_2 \subset \mathbb{R}^k$, we have*

$$\sum_{i=0}^{k'} b_i(S_1 \cap V) \leq C \cdot n^{k'} \quad \text{and} \quad \sum_{i=0}^{k'} b_i(S_2 \cap V) \leq C \cdot n^{2k'}.$$

2.2. Topological complexity of projections

In Theorem 2.3, we obtained bounds on the topological complexity of definable sets belonging to the Boolean algebra of sets generated by any (T, π_1, π_2) -family of sets of cardinality n . We now consider the images of such sets under linear projections. Such projections are closely related to the classical problem of quantifier elimination and play a very important role in semi-algebraic geometry. In the case of semi-algebraic sets, there exist effective algorithms for performing quantifier elimination, which enable one to compute semi-algebraic descriptions of projections of semi-algebraic sets in an efficient manner (see, for instance, [8]). Note, however, that unlike in the case of semi-algebraic sets, we do not have effective algorithms for performing quantifier elimination over a general o-minimal structure.

Using our theorem on quantitative cylindrical definable cell decomposition (Theorem 2.5 below), it is possible to give a doubly exponential bound (of the form $C(T) \cdot n^{2(2^k-1)}$) on the sum of the Betti numbers of such projections. However, adapting a spectral sequence argument from [25], we have the following singly exponential bound.

THEOREM 2.4 (Topological complexity of projections). *Let $\mathcal{S}(\mathbb{R})$ be an o-minimal structure, and let $T \subset \mathbb{R}^{k+\ell}$ be a definable, closed and bounded set. Let $k = k_1 + k_2$ and let $\pi_3 : \mathbb{R}^k \rightarrow \mathbb{R}^{k_2}$ denote the projection map on the last k_2 coordinates. Then, there exists a constant $C = C(T) > 0$ such that for any (T, π_1, π_2) -family, \mathcal{A} , with $|\mathcal{A}| = n$, and an \mathcal{A} -closed set $S \subset \mathbb{R}^k$, we have*

$$\sum_{i=0}^{k_2} b_i(\pi_3(S)) \leq C \cdot n^{(k_1+1)k_2}.$$

2.3. Cylindrical definable cell decompositions

In semi-algebraic geometry, *cylindrical algebraic decomposition* is a very important method for obtaining a decomposition of an arbitrary semi-algebraic set into topological balls of various dimensions. Once such a decomposition is computed, it can be refined to a semi-algebraic triangulation, and various topological information about a given semi-algebraic set (such as its Betti numbers) can be computed easily from such a triangulation. Moreover, cylindrical algebraic decomposition can also be used for solving the quantifier elimination problem (see [8] for an exposition and pointers to the large amount of literature on this subject).

The analog of cylindrical algebraic decomposition over an o-minimal structure is called *cylindrical definable cell decomposition*. We first recall the definition of cylindrical definable cell decomposition (henceforth called *cdcd*) as in [12].

DEFINITION 5. A cdcd of \mathbb{R}^k is a finite partition of \mathbb{R}^k into definable sets $(C_i)_{i \in I}$ (called the cells of the cdcd) satisfying the following properties.

(i) If $k = 1$, then a cdcd of \mathbb{R} is given by a finite set of points $a_1 < \dots < a_N$ and the cells of the cdcd are the singletons $\{a_i\}$ as well as the open intervals $(\infty, a_1), (a_1, a_2), \dots, (a_N, \infty)$.

(ii) If $k > 1$, then a cdcd of \mathbb{R}^k is given by a cdcd $(C'_i)_{i \in I'}$ of \mathbb{R}^{k-1} and for each $i \in I'$, a collection of cells \mathcal{C}_i defined by

$$\mathcal{C}_i = \{\phi_i(C'_i \times D_j) \mid j \in J_i\},$$

where

$$\phi_i : C'_i \times \mathbb{R} \rightarrow \mathbb{R}^k$$

is a definable homeomorphism satisfying $\pi \circ \phi = \pi$; we have that $(D_j)_{j \in J_i}$ is a cdcd of \mathbb{R} and $\pi : \mathbb{R}^k \rightarrow \mathbb{R}^{k-1}$ is the projection map onto the first $k - 1$ coordinates. The cdcd of \mathbb{R}^k is then given by

$$\bigcup_{i \in I'} \mathcal{C}_i.$$

Given a family of definable subsets $\mathcal{A} = \{S_1, \dots, S_n\}$ of \mathbb{R}^k , we say that a cdcd is adapted to \mathcal{A} if each S_i is a union of cells of the given cdcd.

The fact that, given any finite family \mathcal{A} of definable subsets of \mathbb{R}^k , there exists a cdcd of \mathbb{R}^k adapted to \mathcal{A} is classical (see [12, 14]). However, for the purposes of this paper we need a quantitative version of this result. Such quantitative versions are known in the semi-algebraic as well as semi-Pfaffian categories (see, for example, [8, 22]), but is missing in the general o-minimal setting.

Given a (T, π_1, π_2) -family \mathcal{A} of cardinality n , we give a bound on the size of a cdcd of \mathbb{R}^k adapted to this family in terms of n , and furthermore show that cells of the cdcd come from a definable family that depends only on T (independent of n) and each such cell can be defined only in terms of a constant number of elements of \mathcal{A} . This latter property is essential in the combinatorial application described later in the paper.

Since we shall need to consider several different projections, we adopt the following convention. Given m and p , with $p \leq m$, we denote by $\pi_m^{\leq p} : \mathbb{R}^m \rightarrow \mathbb{R}^p$ and $\pi_m^{> p} : \mathbb{R}^m \rightarrow \mathbb{R}^{m-p}$ the projections onto the first p and the last $m - p$ coordinates, respectively.

We prove the following theorem.

THEOREM 2.5 (Quantitative cylindrical definable cell decomposition). *Let $\mathcal{S}(\mathbb{R})$ be an o-minimal structure over a real closed field \mathbb{R} , and let $T \subset \mathbb{R}^{k+\ell}$ be a closed definable set. Then, there exist constants $C_1, C_2 > 0$ depending only on T , and definable sets*

$$\{T_\alpha\}_{\alpha \in I}, \quad T_\alpha \subset \mathbb{R}^k \times \mathbb{R}^{2(2^k-1)\cdot\ell},$$

depending only on T , with $|I| \leq C_1$, such that for any (T, π_1, π_2) -family, $\mathcal{A} = \{S_1, \dots, S_n\}$, with $S_i = T_{\mathbf{y}_i}$, where $\mathbf{y}_i \in \mathbb{R}^\ell$ and $1 \leq i \leq n$, some subcollection of the sets

$$\pi_{k+2(2^k-1)\cdot\ell}^{\leq k} \left(\pi_{k+2(2^k-1)\cdot\ell}^{> k} \right)^{-1} (\mathbf{y}_{i_1}, \dots, \mathbf{y}_{i_{2(2^k-1)}}) \cap T_\alpha,$$

$$\alpha \in I, \quad 1 \leq i_1, \dots, i_{2(2^k-1)} \leq n$$

form a cdcd of \mathbb{R}^k compatible with \mathcal{A} . Moreover, the cdcd has at most $C_2 \cdot n^{2(2^k-1)}$ cells.

The combinatorial complexity bound in Theorem 2.5 compares favorably with the combinatorial parts of similar quantitative results on cylindrical decomposition of semi-algebraic sets (see, for instance, [8, Section 11.1]), as well as sub-Pfaffian sets (see the main result in [21]). Moreover, since a doubly exponential dependence on k is unavoidable (see [13]), the complexity bound in Theorem 2.5 is very close to the best possible. Note also that it is possible to use Theorem 2.5 to give a doubly exponential bound on the Betti numbers of an \mathcal{A} -closed set. However, we prove much better (singly exponential) bounds on the Betti numbers of such sets (Theorems 2.1 and 2.2) using different techniques.

2.4. Application

We end with an application (Theorem 2.6 below) that generalizes a Ramsey-type result due to Alon *et al.* [3] from the class of semi-algebraic sets of constant description complexity to (T, π_1, π_2) -families. One immediate consequence of Theorem 2.6 is that if we have two (T, π_1, π_2) -families, \mathcal{A} and \mathcal{B} of sufficiently large size, then one can always find a constant fraction, $\mathcal{A}' \subset \mathcal{A}$, $\mathcal{B}' \subset \mathcal{B}$ of each, having the property that either every pair $(A, B) \in \mathcal{A}' \times \mathcal{B}'$ satisfies some definable relation (for example, having a non-empty intersection) or no pair in $\mathcal{A}' \times \mathcal{B}'$ satisfies that relation.

More precisely, we give the following theorem.

THEOREM 2.6. *Let $\mathcal{S}(\mathbb{R})$ be an o-minimal structure over a real closed field \mathbb{R} , and let F be a closed definable subset of $\mathbb{R}^k \times \mathbb{R}^\ell$. Then, there exists a constant $1 > \varepsilon = \varepsilon(F) > 0$, depending only on F , such that for any set of n points*

$$\mathcal{F} = \{\mathbf{y}_1, \dots, \mathbf{y}_n \in \mathbb{R}^\ell\},$$

there exist two subfamilies $\mathcal{F}_1, \mathcal{F}_2 \subset \mathcal{F}$, with $|\mathcal{F}_1|, |\mathcal{F}_2| \geq \varepsilon n$ and either of the following conditions holds:

- (i) $\mathcal{F}_1 \times \mathcal{F}_2 \subset F$;
- (ii) $\mathcal{F}_1 \times \mathcal{F}_2 \cap F = \emptyset$.

An interesting application of Theorem 2.6 is the following.

COROLLARY 2.7. *Let $\mathcal{S}(\mathbb{R})$ be an o-minimal structure over a real closed field \mathbb{R} , and let $T \subset \mathbb{R}^{k+\ell}$ be a closed definable set. Then, there exists a constant $1 > \varepsilon = \varepsilon(T) > 0$ depending only on T , such that for any (T, π_1, π_2) -family, $\mathcal{A} = \{S_1, \dots, S_n\}$, there exist two subfamilies $\mathcal{A}_1, \mathcal{A}_2 \subset \mathcal{A}$, with $|\mathcal{A}_1|, |\mathcal{A}_2| \geq \varepsilon n$, and either of the following conditions holds:*

- (i) for all $S_i \in \mathcal{A}_1$ and $S_j \in \mathcal{A}_2$, we have $S_i \cap S_j \neq \emptyset$;
- (ii) for all $S_i \in \mathcal{A}_1$ and $S_j \in \mathcal{A}_2$, we have $S_i \cap S_j = \emptyset$.

3. Proofs of the main results

We first need a few preliminary results.

3.1. Finite unions of definable families

Suppose that $T_1, \dots, T_m \subset \mathbb{R}^{k+\ell}$ are closed, definable sets, $\pi_1 : \mathbb{R}^{k+\ell} \rightarrow \mathbb{R}^k$ and $\pi_2 : \mathbb{R}^{k+\ell} \rightarrow \mathbb{R}^\ell$ the two projections.

We show that there exists a certain closed definable subset $T' \subset \mathbb{R}^{k+\ell+m}$ depending only on T_1, \dots, T_m , such that for any collection of (T_i, π_1, π_2) families \mathcal{A}_i , with $1 \leq i \leq m$, the union

$\bigcup_{1 \leq i \leq m} \mathcal{A}_i$ is a (T', π'_1, π'_2) -family, where $\pi'_1 : \mathbb{R}^{k+m+\ell} \rightarrow \mathbb{R}^k$ and $\pi'_2 : \mathbb{R}^{k+\ell+m} \rightarrow \mathbb{R}^{\ell+m}$ are the usual projections.

LEMMA 3.1. *The family $\bigcup_{1 \leq i \leq m} \mathcal{A}_i$ is a (T', π'_1, π'_2) family, where*

$$T' = \bigcup_{i=1}^m T_i \times \{e_i\} \subset \mathbb{R}^{k+\ell+m},$$

with e_i the i th standard basis vector in \mathbb{R}^m , and $\pi'_1 : \mathbb{R}^{k+\ell+m} \rightarrow \mathbb{R}^k$ and $\pi'_2 : \mathbb{R}^{k+\ell+m} \rightarrow \mathbb{R}^{\ell+m}$, the projections onto the first k and the last $\ell + m$ coordinates, respectively.

Proof. Obvious. □

3.2. Hardt's triviality for definable sets

Our main technical tool will be the following o-minimal version of Hardt's triviality theorem (see [12, 14]).

Let $X \subset \mathbb{R}^k \times \mathbb{R}^\ell$ and $A \subset \mathbb{R}^\ell$ be definable subsets of $\mathbb{R}^k \times \mathbb{R}^\ell$ and \mathbb{R}^ℓ , respectively, and let $\pi : X \rightarrow \mathbb{R}^\ell$ denote the projection map.

We say that X is *definably trivial over A* if there exist a definable set F and a definable homeomorphism

$$h : F \times A \rightarrow X \cap \pi^{-1}(A),$$

such that the following diagram commutes:

$$\begin{array}{ccc} F \times A & \xrightarrow{h} & X \cap \pi^{-1}(A), \\ \downarrow \pi_2 & \swarrow \pi & \\ A & & \end{array}$$

where $\pi_2 : F \times A \rightarrow A$ is the projection onto the second factor. We call h a *definable trivialization of X over A* .

If Y is a definable subset of X , then we say that the trivialization h is *compatible* with Y if there exists a definable subset G of F such that $h(G \times A) = Y \cap \pi^{-1}(A)$. Clearly, the restriction of h to $G \times A$ is a trivialization of Y over A .

THEOREM 3.2 (Hardt's theorem for definable families). *Let $X \subset \mathbb{R}^k \times \mathbb{R}^\ell$ be a definable set and let Y_1, \dots, Y_m be definable subsets of X . Then, there exists a finite partition of \mathbb{R}^ℓ into definable sets C_1, \dots, C_N such that X is definably trivial over each C_i , and moreover the trivializations over each C_i are compatible with Y_1, \dots, Y_m .*

REMARK 4. Note that, in particular, it follows from Theorem 3.2, that there are only a finite number of topological types among the fibers of any definable map $f : X \rightarrow Y$ between definable sets X and Y (see Remark 2).

3.3. Some notation

For any definable set $X \subset \mathbb{R}^k$ we denote by X^c the complement of X , and by \bar{X} the closure of X in \mathbb{R}^k . We also denote by $B_k(\mathbf{x}, r)$ and $\bar{B}_k(\mathbf{x}, r)$ the open and closed balls in \mathbb{R}^k of radius r centered at x .

For any closed definable subset $X \subset \mathbb{R}^k$, we define

$$d_X : \mathbb{R}^k \rightarrow \mathbb{R}, \quad d_X(\mathbf{x}) = \text{dist}(\mathbf{x}, X).$$

Note that, it follows from the axioms in Definition 1 that d_X is a definable function (that is, a function whose graph is a definable set).

Given closed definable sets $X \subset V \subset \mathbb{R}^k$, and $\varepsilon > 0$, we define the open tube of radius ε around X in V to be the definable set

$$\text{OT}(X, V, \varepsilon) = \{\mathbf{x} \in V \mid d_X(\mathbf{x}) < \varepsilon\}.$$

Similarly, we define the closed tube of radius ε around X in V to be the definable set

$$\text{CT}(X, V, \varepsilon) = \{\mathbf{x} \in V \mid d_X(\mathbf{x}) \leq \varepsilon\}$$

the boundary of the closed tube to be

$$\text{BT}(X, V, \varepsilon) = \{\mathbf{x} \in V \mid d_X(\mathbf{x}) = \varepsilon\},$$

and finally for $\varepsilon_1 > \varepsilon_2 > 0$ we define the open annulus of radii $\varepsilon_1, \varepsilon_2$ around X in V to be the definable set

$$\text{Ann}(X, V, \varepsilon_1, \varepsilon_2) = \{\mathbf{x} \in V \mid \varepsilon_2 < d_X(\mathbf{x}) < \varepsilon_1\}$$

and the closed annulus of radii $\varepsilon_1, \varepsilon_2$ around X in V to be the definable set

$$\overline{\text{Ann}}(X, V, \varepsilon_1, \varepsilon_2) = \{\mathbf{x} \in V \mid \varepsilon_2 \leq d_X(\mathbf{x}) \leq \varepsilon_1\}.$$

For the sake of brevity we denote $\text{OT}(X, \mathbb{R}^k, \varepsilon)$, $\text{CT}(X, \mathbb{R}^k, \varepsilon)$, $\text{BT}(X, \mathbb{R}^k, \varepsilon)$, $\text{Ann}(X, \mathbb{R}^k, \varepsilon)$ and $\overline{\text{Ann}}(X, \mathbb{R}^k, \varepsilon)$ by $\text{OT}(X, \varepsilon)$, $\text{CT}(X, \varepsilon)$, $\text{BT}(X, \varepsilon)$, $\text{Ann}(X, \varepsilon)$ and $\overline{\text{Ann}}(X, \varepsilon)$, respectively.

3.4. Replacing definable sets by closed and bounded ones maintaining homotopy type

Let $\mathcal{A} = \{S_1, \dots, S_n\}$ be a collection of closed, definable subsets of \mathbb{R}^k and let $V \subset \mathbb{R}^k$ be a closed and bounded definable set. In this section we adapt a construction due to Gabrielov and Vorobjov [23] for replacing any given (\mathcal{A}, V) -set by a closed bounded (\mathcal{A}', V) -set (where \mathcal{A}' is a new family of definable sets closely related to \mathcal{A}) such that the new set has the same homotopy type as the original one.

We denote by $\text{In}(\mathcal{A}, V)$ the set

$$\{I \subset [1 \dots n] \mid \mathcal{A}(I) \cap V \neq \emptyset\}.$$

Let $\varepsilon_{2n} \gg \varepsilon_{2n-1} \gg \dots \gg \varepsilon_2 \gg \varepsilon_1 > 0$ be sufficiently small.

For each m , with $0 \leq m \leq n$, we denote by $\text{In}_m(\mathcal{A}, V)$ the set $\{I \in \text{In}(\mathcal{A}, V) \mid |I| = m\}$.

Given $I \in \text{In}_m(\mathcal{A}, V)$, denote by $\mathcal{A}(I)^{\text{cl}}$ the intersection of V with the closed definable set

$$\bigcap_{i \in I} \text{CT}(S_i, \varepsilon_{2m}) \cap \bigcap_{i \in [1 \dots n] \setminus I} \overline{S}_i^c.$$

and denote by $\mathcal{A}(I)^\circ$ the intersection of V with the open definable set

$$\bigcap_{i \in I} \text{OT}(S_i, \varepsilon_{2m-1}) \cap \bigcap_{i \in [1 \dots n] \setminus I} S_i^c.$$

Note that we have

$$\mathcal{A}(I) \subset \mathcal{A}(I)^{\text{cl}} \text{ as well as } \mathcal{A}(I) \subset \mathcal{A}(I)^\circ.$$

Let $X \subset V$ be an (\mathcal{A}, V) -set such that $X = \bigcup_{I \in \Sigma} \mathcal{A}(I) \cap V$, with $\Sigma \subset \text{In}(\mathcal{A}, V)$. We denote $\Sigma_m = \Sigma \cap \text{In}_m(\mathcal{A}, V)$ and define a sequence of sets $X^m \subset \mathbb{R}^k$, with $0 \leq m \leq n$ inductively as

follows:

- (i) Let $X^0 = X$.
- (ii) For $0 \leq m \leq n$, we define

$$X^{m+1} = \left(X^m \cup \bigcup_{I \in \Sigma_m} \mathcal{A}(I)^{cl} \right) \setminus \bigcup_{I \in \text{In}_m(\mathcal{A}, V) \setminus \Sigma_m} \mathcal{A}(I)^\circ$$

We denote by X' the set X^{n+1} .

The following theorem is similar to [9, Theorem 8.1]. All the steps in the proof of [9, Theorem 8.1] also remain valid in the o-minimal context. One needs to replace the references to Hardt’s theorem for semi-algebraic mappings by its o-minimal counterpart. Since repeating the entire proof with this minor modification would be tedious, we omit it from this paper.

THEOREM 3.3. *The sets X and X' are definably homotopy equivalent.*

REMARK 5. Very recently, after this paper was written, Gabrielov and Vorobjov [24] have given a much simpler construction for replacing an arbitrary definable set X by a closed and bounded one, and if we use this new construction instead of the one described above, we obtain a slightly improved bound in Theorem 2.3 (namely $C \cdot n^{k'}$ instead of $C \cdot n^{2k'}$).

REMARK 6. Note that X' is a (\mathcal{A}', V) -closed set, where

$$\mathcal{A}' = \bigcup_{i,j=1}^n \{S_i, \text{CT}(S_i, \varepsilon_{2j}), \text{OT}(S_i, \varepsilon_{2j-1})^c\}.$$

If \mathcal{A} is a (T, π_1, π_2) -family for some definable closed subset $T \subset \mathbb{R}^{k+\ell}$, then by Lemma 3.1, \mathcal{A}' is a (T', π'_1, π'_2) -family for some definable T' depending only on T .

3.5. Mayer–Vietoris inequalities

We need a couple of inequalities which follows from the exactness of Mayer–Vietoris sequence.

REMARK 7. Note that for a closed and bounded definable set $X \subset \mathbb{R}^k$, the homology groups $H_*(X)$ are isomorphic to the simplicial homology groups of any definable triangulation of X and in this case the proof of the exactness of the Mayer–Vietoris sequence is purely combinatorial in nature and presents no difficulties (even in the case when \mathbb{R} is an arbitrary real closed field not necessarily equal to \mathbb{R}). The same remark also applies to arbitrary definable closed sets (not necessarily bounded), after intersecting the given sets with a large enough closed ball and using the conical structure at infinity of definable sets.

We first consider the case of two closed definable sets and then generalize to the case of many such sets.

PROPOSITION 3.4. *Let S_1 and S_2 be two closed definable sets. Then we have*

$$b_i(S_1) + b_i(S_2) \leq b_i(S_1 \cup S_2) + b_i(S_1 \cap S_2), \tag{3.1}$$

$$b_i(S_1 \cup S_2) \leq b_i(S_1) + b_i(S_2) + b_{i-1}(S_1 \cap S_2), \tag{3.2}$$

$$b_i(S_1 \cap S_2) \leq b_i(S_1) + b_i(S_2) + b_{i+1}(S_1 \cup S_2). \tag{3.3}$$

Let $S_1, \dots, S_n \subset \mathbb{R}^k$ be closed definable sets contained in a closed bounded definable set V of dimension k' . For $1 \leq t \leq n$, we let

$$S_{\leq t} = \bigcap_{1 \leq j \leq t} S_j \quad \text{and} \quad S^{\leq t} = \bigcup_{1 \leq j \leq t} S_j.$$

Also, for $J \subset \{1, \dots, n\}$, with $J \neq \emptyset$, let

$$S_J = \bigcap_{j \in J} S_j \quad \text{and} \quad S^J = \bigcup_{j \in J} S_j.$$

Finally, let $S^\emptyset = V$.

We have the following proposition.

PROPOSITION 3.5. (a) For $0 \leq i \leq k'$, we have

$$b_i(S^{\leq n}) \leq \sum_{j=1}^{i+1} \sum_{J \subset \{1, \dots, n\}, \#(J)=j} b_{i-j+1}(S_J). \tag{3.4}$$

(b) For $0 \leq i \leq k'$, we have

$$b_i(S_{\leq n}) \leq b_{k'}(S^\emptyset) + \sum_{j=1}^{k'-i} \sum_{J \subset \{1, \dots, n\}, \#(J)=j} (b_{i+j-1}(S^J) + b_{k'}(S^\emptyset)). \tag{3.5}$$

Proof. See [6]. □

3.6. Proof of Theorem 2.2

We use the following proposition in the proof of Theorem 2.2.

PROPOSITION 3.6. Let $\mathcal{A} = \{S_1, \dots, S_n\}$ be a collection of closed definable subsets of \mathbb{R}^k and let $V \subset \mathbb{R}^k$ be a closed and bounded definable set. Then for all sufficiently small $1 \gg \varepsilon_1 \gg \varepsilon_2 > 0$ the following holds. For any connected component C of $\mathcal{A}(I) \cap V$, with $I \subset [1 \dots n]$, there exists a connected component D of the definable set

$$\bigcap_{1 \leq i \leq n} \text{Ann}(S_i, \varepsilon_1, \varepsilon_2)^c \cap V$$

such that D is definably homotopy equivalent to C .

Proof. The proposition follows from the following two observations which are consequences of Theorem 3.2 (Hardt’s theorem for o-minimal structures).

OBSERVATION 1. It follows from Theorem 3.2 that for all sufficiently small $\varepsilon_1 > 0$ and for each connected component C of $\mathcal{A}(I) \cap V$, there exists a connected component D' of

$$\bigcap_{i \in I} S_i \cap \bigcap_{j \in [1 \dots n] \setminus I} \text{OT}(S_j, \varepsilon_1)^c \cap V,$$

definably homotopy equivalent to C .

OBSERVATION 2. For all sufficiently small ε_2 , with $0 < \varepsilon_2 \ll \varepsilon_1$, and for each connected component D' of

$$\bigcap_{i \in I} S_i \cap \bigcap_{j \in [1 \dots n] \setminus I} \text{OT}(S_j, \varepsilon_1)^c \cap V,$$

there exists a connected component D of

$$W := \bigcap_{i \in I} \text{CT}(S_i, \varepsilon_2) \cap \bigcap_{j \in [1 \dots n] \setminus I} \text{OT}(S_j, \varepsilon_1)^c \cap V,$$

definably homotopy equivalent to D' .

Now note that D is connected and contained in the set

$$\bigcap_{1 \leq i \leq n} \text{Ann}(S_i, \varepsilon_1, \varepsilon_2)^c \cap V.$$

Let D'' be the connected component of

$$\bigcap_{1 \leq i \leq n} \text{Ann}(S_i, \varepsilon_1, \varepsilon_2)^c \cap V$$

containing D . We claim that $D = D''$, which will prove the proposition.

Suppose $D'' \setminus D \neq \emptyset$. Then we have $D'' \setminus W \neq \emptyset$, since otherwise $D'' \subset W$, which would imply that $D'' = D$, since D'' is connected and $D \subset D''$ is a connected component of W . Let $\mathbf{x} \in D'' \setminus W$ and let \mathbf{y} be any point in D . Since $\mathbf{x} \notin W$, either of the following conditions holds:

- (i) there exists $i \in I$ such that $\mathbf{x} \in \text{OT}(S_i, \varepsilon_1)^c$;
- (ii) there exists $i \in [1 \dots n] \setminus I$ such that $\mathbf{x} \in \text{CT}(S_i, \varepsilon_2)$.

Let $\gamma : [0, 1] \rightarrow D''$ be a definable path with $\gamma(0) = \mathbf{x}$ and $\gamma(1) = \mathbf{y}$, and let $d_i : D'' \rightarrow \mathbb{R}$ be the definable continuous function such that $d_i(\mathbf{z}) = \text{dist}(\mathbf{z}, S_i)$.

Then, in the first case, we have $d_i(\mathbf{x}) = d_i(\gamma(0)) \geq \varepsilon_1$ and $d_i(\mathbf{y}) = d_i(\gamma(1)) < \varepsilon_2$, implying that there exists $t \in (0, 1)$ with $\varepsilon_2 < d_i(\gamma(t)) < \varepsilon_1$, implying that $d_i(\gamma(t)) \notin \text{Ann}(S_i, \varepsilon_1, \varepsilon_2)^c$, and hence not in D'' (a contradiction). In the second case, we have $d_i(\mathbf{x}) = d_i(\gamma(0)) < \varepsilon_2$ and $d_i(\mathbf{y}) = d_i(\gamma(1)) \geq \varepsilon_1$, implying that there exists $t \in (0, 1)$ with $\varepsilon_2 < d_i(\gamma(t)) < \varepsilon_1$, again implying that $d_i(\gamma(t)) \notin \text{Ann}(S_i, \varepsilon_1, \varepsilon_2)^c$, and hence not in D'' (a contradiction). \square

We are now in a position to prove Theorem 2.2.

Proof of Theorem 2.2. For $1 \leq i \leq n$, let $\mathbf{y}_i \in \mathbb{R}^\ell$ such that

$$S_i = T_{\mathbf{y}_i},$$

and let

$$A_i(\varepsilon_1, \varepsilon_2) = \text{Ann}(S_i, \varepsilon_1, \varepsilon_2)^c \cap V.$$

Applying Proposition 3.5 for $0 \leq i \leq k'$, we have

$$b_i \left(\bigcap_{j=1}^n A_j(\varepsilon_1, \varepsilon_2) \right) \leq b_{k'}(V) + \sum_{j=1}^{k'-i} \sum_{J \subset \{1, \dots, n\}, \#(J)=j} (b_{i+j-1}(A^J(\varepsilon_1, \varepsilon_2)) + b_{k'}(V)), \quad (3.6)$$

where $A^J(\varepsilon_1, \varepsilon_2) = \bigcup_{j \in J} A_j(\varepsilon_1, \varepsilon_2)$.

Note that each $\text{Ann}(S_i, \varepsilon_1, \varepsilon_2)^c$, with $1 \leq i \leq n$, is an $(\text{Ann}(T, \varepsilon_1, \varepsilon_2)^c, \pi_1, \pi_2)$ -set and moreover,

$$\text{Ann}(S_i, \varepsilon_1, \varepsilon_2)^c = \pi_1(\pi_2^{-1}(\mathbf{y}_i) \cap \text{Ann}(T, \varepsilon_1, \varepsilon_2)^c), \quad 1 \leq i \leq n.$$

For $J \subset [1 \dots n]$ with $|J| \leq k'$, we define

$$S^J(\varepsilon_1, \varepsilon_2) = \bigcup_{j \in J} \text{Ann}(S_j, \varepsilon_1, \varepsilon_2)^c.$$

Consider the definable set

$$B_J(\varepsilon_1, \varepsilon_2) = \prod_{j \in J} \text{Ann}(T, \varepsilon_1, \varepsilon_2) \cap \Delta,$$

where $\Delta \subset \mathbb{R}^{|J|(k+\ell)}$ is the definable (in fact, semi-algebraic) set defined by

$$\Delta = \{(\mathbf{x}, \mathbf{z}_1, \mathbf{x}, \mathbf{z}_2, \dots, \mathbf{x}, \mathbf{z}_{|J|}) \mid \mathbf{x} \in \mathbb{R}^k, \mathbf{z}_1, \dots, \mathbf{z}_{|J|} \in \mathbb{R}^\ell\}.$$

The projection map π_2 induces a projection map, as follows:

$$\prod_{j \in J} \pi_2 : \mathbb{R}^{|J|(k+\ell)} \rightarrow \prod_{j \in J} \mathbb{R}^\ell.$$

We also have the natural projection given by

$$\begin{array}{ccc} & \pi_1 : B_J(\varepsilon_1, \varepsilon_2) \rightarrow \mathbb{R}^k & \\ & \swarrow \pi_1 \quad \searrow \prod_{j \in J} \pi_2 & \\ \mathbb{R}^k & & \mathbb{R}^{|J|\ell} \end{array}$$

It is now easy to see that for each $J = \{i_1, \dots, i_{|J|}\}$, we have that $S^J(\varepsilon_1, \varepsilon_2)^c$ is homeomorphic to $(\prod_{j \in J} \pi_2)^{-1}(\mathbf{y}_{i_1}, \dots, \mathbf{y}_{i_{|J|}}) \cap B_J(\varepsilon_1, \varepsilon_2)$ via the projection π_1 .

Using Remark 4 we can conclude that there exists an upper bound depending only on T (and independent of $\mathbf{y}_1, \dots, \mathbf{y}_n$ as well as $\varepsilon_1, \varepsilon_2$), on the number of topological types among the pairs

$$\left(\mathbb{R}^k, \pi_1 \left(\left(\prod_{j \in J} \pi_2 \right)^{-1} (\mathbf{y}_{i_1}, \dots, \mathbf{y}_{i_{|J|}}) \cap B_J(\varepsilon_1, \varepsilon_2) \right) \right),$$

and hence among the pairs $(\mathbb{R}^k, S^J(\varepsilon_1, \varepsilon_2)^c)$ as well. This implies that there are only a finite number (depending on T) of topological types among $S^J(\varepsilon_1, \varepsilon_2)$. Restricting all the sets to V in the above argument, we obtain that there are only finitely many (depending on T and V) topological types among the sets $A^J(\varepsilon_1, \varepsilon_2) = S^J(\varepsilon_1, \varepsilon_2) \cap V$.

Thus, there exists a constant $C(T, V)$ such that

$$C(T, V) = \max_{J \subset \{1, \dots, n\}, |J| \leq k', 0 \leq i+j \leq k'} (b_{i+j-1}(A^J(\varepsilon_1, \varepsilon_2)) + b_{k'}(V)) + b_{k'}(V).$$

It now follows from inequality (3.6) and Proposition 3.6 that

$$\sum_{D \in \mathcal{C}(\mathcal{A}, V)} b_i(D) \leq C \cdot n^{k'-i}. \quad \square$$

We now prove Theorem 2.3.

The proof of Theorem 2.3 will follow from the following proposition. For the sake of greater clarity, and since it does not affect in any way the proof of Theorem 2.3, we choose to be slightly less precise in the next proposition, and prove a bound on the sum of the Betti numbers of S (rather than prove separate bounds on each individual Betti number). Recall from before that for any definable set $X \subset \mathbb{R}^k$, we denote by $b(X)$ the sum $\sum_{i \geq 0} b_i(X)$.

PROPOSITION 3.7. *Let $\mathcal{A} = \{S_1, \dots, S_n\}$ be a collection of closed definable subsets of \mathbb{R}^k , let $V \subset \mathbb{R}^k$ be a closed and bounded definable set and let S be an (\mathcal{A}, V) -closed set. Then, for all sufficiently small $1 \gg \varepsilon_1 \gg \varepsilon_2 \gg \dots \gg \varepsilon_n > 0$, we have*

$$b(S) \leq \sum_{D \in \mathcal{C}(\mathcal{B}, V)} b(D),$$

where

$$\mathcal{B} = \bigcup_{i=1}^n \{S_i, \text{BT}(S_i, \varepsilon_i), \text{OT}(S_i, 2\varepsilon_i)^c\}.$$

Proof. We define $\mathcal{A}_{>i} = \{S_{i+1}, \dots, S_n\}$,

$$\mathcal{B}_i = \{S_i, \text{BT}(S_i, \varepsilon_i), \text{OT}(S_i, 2\varepsilon_i)^c\},$$

and

$$\mathcal{B}_{\leq i} = \left\{ X \mid X = \bigcap_{j=1, \dots, i} X_j, X_j \in \mathcal{B}_j \right\}.$$

The proof of the proposition will follow from the following proposition.

PROPOSITION 3.8. *For every (\mathcal{A}, V) -closed set S , we have*

$$b(S) \leq \sum_{X \in \mathcal{B}_{\leq s}, X \cap V \subset S} b(X \cap V).$$

The main ingredient of the proof of the proposition is the following lemma.

LEMMA 3.9. *For every (\mathcal{A}, V) -closed set S , and every $X \in \mathcal{B}_{\leq i}$, we have*

$$b(S \cap X) \leq \sum_{Y \in \mathcal{B}_{i+1}} b(S \cap X \cap Y).$$

Proof. Consider the sets

$$T_1 = S \cap X \cap \text{OT}(S_{i+1}, \varepsilon_{i+1})^c \quad \text{and} \quad T_2 = S \cap X \cap \text{CT}(S_{i+1}, 3\varepsilon_{i+1}).$$

Clearly, we have that $S \cap X = T_1 \cup T_2$.

Using Proposition 3.4, we have that

$$b(S \cap X) \leq b(T_1) + b(T_2) + b(T_1 \cap T_2).$$

Now, since

$$T_1 \cap T_2 = S \cap X \cap \overline{\text{Ann}}(S_{i+1}, 3\varepsilon_{i+1}, \varepsilon_{i+1}),$$

we have that

$$b(T_1 \cap T_2) = b(S \cap X \cap \overline{\text{Ann}}(S_{i+1}, 3\varepsilon_{i+1}, \varepsilon_{i+1})).$$

It is now easy to verify using Theorem 3.2 that

$$\begin{aligned} T_1 &\sim S \cap X \cap \text{OT}(S_{i+1}, 2\varepsilon_{i+1})^c, \\ T_2 &\sim S \cap X \cap S_{i+1}, \\ T_1 \cap T_2 &\sim S \cap X \cap \text{BT}(S_{i+1}, 2\varepsilon_{i+1}), \end{aligned}$$

where \sim denotes definable homotopy equivalence.

Finally, we have

$$b(S \cap X) \leq \sum_{Y \in \mathcal{B}_{i+1}} b(S \cap X \cap Y). \quad \square$$

Proof of Proposition 3.8. Starting from the set S , apply Lemma 3.9 with X the empty set. Now, repeatedly apply Lemma 3.9 to the terms appearing on the right-hand side of the inequality obtained, noting that for any $Y \in \mathcal{B}_{\leq s}$, either $S \cap X = X$ and thus $X \subset S$, or $S \cap X = \emptyset$. □

The proof of Proposition 3.7 now follows from Proposition 3.8. □

3.7. Proof of Theorem 2.3

Proof of Theorem 2.3. Follows directly from Theorem 3.3, Theorem 2.2 and Proposition 3.7. □

3.8. Proof of Theorem 2.4

The proof of Theorem 2.4 relies on the bounds in Theorem 2.1, and on the following theorem which is adapted to the o-minimal setting from [25].

THEOREM 3.10. *Let X and Y be two closed, definable sets and let $f : X \rightarrow Y$ be a definable continuous surjection that is closed (that is, f takes closed sets to closed sets). Then for any integer q , we have*

$$b_q(Y) \leq \sum_{i+j=q} b_j(W_f^i(X)), \quad (3.7)$$

where $W_f^i(X)$ denotes the $(i + 1)$ -fold fibered product of X over f given by

$$W_f^i(X) = \{(\mathbf{x}_0, \dots, \mathbf{x}_i) \in X^{i+1} \mid f(\mathbf{x}_0) = \dots = f(\mathbf{x}_i)\}.$$

REMARK 8 (Regarding the proof of Theorem 3.10). Theorem 3.10 was proved in [25] in the semi-algebraic and semi-Pfaffian setting and follows from the existence of a spectral sequence $E_r^{i,j}$ converging to $H^*(Y)$ and such that $E_1^{i,j} \cong H^j(W_f^i(X))$. Thus, the extension of this theorem to general o-minimal structures over arbitrary real closed fields \mathbb{R} (not necessarily equal to \mathbb{R}) requires some remarks. The existence of the spectral sequence $E_r^{i,j}$ is a consequence of the p -connectivity of the $(p + 1)$ -fold join of any simplicial complex K and the Vietoris–Begle theorem. The proof of the p -connectivity of the $(p + 1)$ -fold join of any simplicial complex K is combinatorial in nature (see, for instance, [27, Proposition 4.4.3]), and thus presents no additional difficulties over general o-minimal structures. A purely combinatorial proof of the Vietoris–Begle theorem is also known [10, Theorem 2] (see also [24, Corollary 2.6]). Since the rest of the argument is combinatorial in nature, it extends without difficulty to closed maps in arbitrary o-minimal structures after choosing appropriate triangulations. Finally, since in any spectral sequence, the dimensions of the terms $E_r^{i,j}$ are non-increasing when i and j are fixed and r increases, we obtain

$$b_n(Y) = \sum_{i+j=n} \dim(E_\infty^{i,j}) \leq \sum_{i+j=n} \dim(E_1^{i,j}),$$

yielding inequality (3.7).

Proof of Theorem 2.4. Note that for each p , with $0 \leq p \leq k_2$, and any \mathcal{A} -closed set $S \subset \mathbb{R}^{k_1+k_2}$, we have that $W_{\pi_3}^p(S) \subset \mathbb{R}^{(p+1)k_1+k_2}$ is an \mathcal{A}^p -closed set, where

$$\mathcal{A}^p = \bigcup_{j=0}^p \mathcal{A}^{p,j},$$

$$\mathcal{A}^{p,j} = \bigcup_{i=1}^n \{S_i^{p,j}\},$$

where $S_i^{p,j} \subset \mathbb{R}^{(p+1)k_1+k_2}$ is defined by

$$S_i^{p,j} = \{(\mathbf{x}_0, \dots, \mathbf{x}_p, \mathbf{y}) \mid \mathbf{x}_j \in \mathbb{R}^{k_1}, \mathbf{y} \in \mathbb{R}^{k_2}, (\mathbf{x}_j, \mathbf{y}) \in S_i\}.$$

Also, note that $\mathcal{A}^{p,j}$ is a $(T^{p,j}, \pi_1^p, \pi_2^p)$ family, where

$T^{p,j} = \{(\mathbf{x}_0, \dots, \mathbf{x}_p, \mathbf{y}, \mathbf{z}) \mid \mathbf{x}_j \in \mathbb{R}^{k_1}, \mathbf{y} \in \mathbb{R}^{k_2}, \mathbf{z} \in \mathbb{R}^\ell, (\mathbf{x}_j, \mathbf{y}, \mathbf{z}) \in T, \text{ for some } j, \text{ with } 0 \leq j \leq p\}$,
and $\pi_1^p : \mathbb{R}^{(p+1)k_1+k_2+\ell} \rightarrow \mathbb{R}^{(p+1)k_1+k_2}$ and $\pi_2^p : \mathbb{R}^{(p+1)k_1+k_2+\ell} \rightarrow \mathbb{R}^\ell$ are the appropriate projections. Since each $T^{p,j}$ is determined by T , we have using Lemma 3.1 that \mathcal{A}^p is a (T', π_1', π_2') -family for some definable T' determined by T . Note that, $W_{\pi_3}^p(S) \subset \mathbb{R}^{(p+1)k_1+k_2}$ is an \mathcal{A}^p -closed set and $\#\mathcal{A}^p = (p+1)n$. Applying Theorem 2.1, for each p and j , with $0 \leq p$, and $j < k_2$, we get

$$b_j(W_{\pi_3}^p(S)) \leq C_1(T) \cdot n^{(p+1)k_1+k_2}$$

The theorem now follows from Theorem 3.10, since for each q , with $0 \leq q < k_2$, we have

$$b_q(\pi_3(S)) \leq \sum_{i+j=q} b_j(W_{\pi_3}^i(S)) \leq C_2(T) \cdot n^{(q+1)k_1+k_2} \leq C(T) \cdot n^{(k_1+1)k_2}. \quad \square$$

3.9. Proof of Theorem 2.5

The proof of Theorem 2.5 will follow from the following lemma (which corresponds to the first projection step in the more familiar cylindrical algebraic decomposition algorithm for semi-algebraic sets (see, for instance, [8])).

LEMMA 3.11. *Let $S(\mathbb{R})$ be an o-minimal structure over a real closed field \mathbb{R} , and let $T \subset \mathbb{R}^{k+\ell}$ be a closed definable set. Then, there exist definable sets $T_1, \dots, T_N \subset \mathbb{R}^{k-1+2\ell}$ satisfying the following. For each i , with $1 \leq i \leq N$, and $\mathbf{y}, \mathbf{y}' \in \mathbb{R}^\ell$, let*

$$B_i(\mathbf{y}, \mathbf{y}') = \pi_{k-1+2\ell}^{\leq k-1} \left(\pi_{k-1+2\ell}^{> k-1}{}^{-1}(\mathbf{y}_1, \mathbf{y}_2) \cap T_i \right).$$

The projection $\pi_k^{>1} : \mathbb{R}^k \rightarrow \mathbb{R}^{k-1}$ restricted to the sets $T_{\mathbf{y}} \cup T_{\mathbf{y}'}$ is definably trivial over $B_i(\mathbf{y}, \mathbf{y}')$ and the trivialization is compatible with $T_{\mathbf{y}}$ and $T_{\mathbf{y}'}$.

Proof. Let

$$V_0 = \{(\mathbf{x}, \mathbf{y}, \mathbf{y}') \mid (\mathbf{x}, \mathbf{y}) \in T \text{ or } (\mathbf{x}, \mathbf{y}') \in T\},$$

$$V_1 = \{(\mathbf{x}, \mathbf{y}, \mathbf{y}') \mid (\mathbf{x}, \mathbf{y}) \in T\},$$

$$V_2 = \{(\mathbf{x}, \mathbf{y}, \mathbf{y}') \mid (\mathbf{x}, \mathbf{y}') \in T\}.$$

Note that $V_0 \subset \mathbb{R}^{k+2\ell}$ and $V_1, V_2 \subset V_0$ and V_0, V_1, V_2 are all definable and determined by T . Applying Hardt's triviality theorem to the sets V_0, V_1, V_2 and the projection map $\pi_{k+2\ell}^{>1}$, we get a definable partition of $\mathbb{R}^{k-1+2\ell}$ into definable sets T_1, \dots, T_N , such that $\pi_{k+2\ell}^{>1}|_{V_0}$ can be trivialized over each T_i and the trivializations respects the subsets V_1 and V_2 . It is now easy to check that the sets T_i have the required properties. \square

Proof of Theorem 2.5. We use induction on k .

The base case is when $k = 1$ and the theorem is clearly true in this case.

Now suppose by induction hypothesis that the theorem is true for $k - 1$. We first apply Lemma 3.11 to obtain definable sets $T_1, \dots, T_N \subset \mathbb{R}^{k-1+2\ell}$ satisfying the following conditions.

For each i , with $1 \leq i \leq N$, and $\mathbf{y}, \mathbf{y}' \in \mathbb{R}^\ell$, the projection $\pi_k^{>1} : \mathbb{R}^k \rightarrow \mathbb{R}^{k-1}$ restricted to the sets $T_{\mathbf{y}} \cup T_{\mathbf{y}'}$ is definably trivial over $B_i(\mathbf{y}, \mathbf{y}')$ and the trivialization is compatible with $T_{\mathbf{y}}$ and $T_{\mathbf{y}'}$, where

$$B_i(\mathbf{y}, \mathbf{y}') = \pi_{k-1+2\ell}^{\leq k-1} \left(\pi_{k-1+2\ell}^{>k-1}{}^{-1}(\mathbf{y}, \mathbf{y}') \cap T_i \right),$$

Now let $T' = \bigcup_{1 \leq i \leq N} B_i \times \{e_i\}$, where e_i is that i th standard basis vector in \mathbb{R}^N . Note that $T' \subset \mathbb{R}^{k-1+2\ell+N}$.

Applying the induction hypothesis to the triple

$$\left(T' \subset \mathbb{R}^{k-1+2(2^{k-1}-1) \cdot (2\ell+N)}, \pi_{k-1+2(2^{k-1}-1) \cdot (2\ell+N)}^{\leq k-1}, \pi_{k-1+2(2^{k-1}-1) \cdot (2\ell+N)}^{>k-1} \right)$$

we obtain definable sets as follows:

$$\{T'_j\}_{j \in J}, \quad T'_j \subset \mathbb{R}^{k-1} \times \mathbb{R}^{2(2^{k-1}-1) \cdot (2\ell+N)},$$

depending only on T having the property that, for any $\mathbf{y}_1, \dots, \mathbf{y}_n \in \mathbb{R}^\ell$ and $\mathbf{a} = (\mathbf{a}_1, \dots, \mathbf{a}_{2(2^{k-1}-1)}) \in \mathbb{R}^{2(2^{k-1}-1) \cdot N}$, where each \mathbf{a}_i is a standard basis vector in \mathbb{R}^N , some subcollection of the sets

$$\pi_{k-1+2(2^{k-1}-1) \cdot (2\ell+N)}^{\leq k-1} \left(\pi_{k-1+2(2^{k-1}-1) \cdot (2\ell+N)}^{>k-1}{}^{-1}(\mathbf{y}_{i_1}, \dots, \mathbf{y}_{i_{2(2^{k-1}-1))}}, \mathbf{a}) \cap T_i \right)$$

form a cdcd of \mathbb{R}^k compatible with the family

$$\bigcup_{1 \leq i, j \leq n} \bigcup_{1 \leq h \leq N} \{B_h(\mathbf{y}_i, \mathbf{y}_j)\}.$$

For $\mathbf{x} \in \mathbb{R}^{k-1}$ and $\mathbf{y} \in \mathbb{R}^\ell$, let

$$S(\mathbf{x}, \mathbf{y}) = \{x \in \mathbb{R} \mid (x, \mathbf{x}, \mathbf{y}) \in T\}.$$

Now, for $\mathbf{x} \in \mathbb{R}^{k-1}$ and $\mathbf{y}, \mathbf{y}' \in \mathbb{R}^\ell$, we have $S(\mathbf{x}, \mathbf{y}), S(\mathbf{x}, \mathbf{y}') \subset \mathbb{R}$ and each of them is a union of a finite number of open intervals and points. The sets $S(\mathbf{x}, \mathbf{y}), S(\mathbf{x}, \mathbf{y}')$ induce a partition of \mathbb{R} into pairwise disjoint subsets

$$V_1(\mathbf{x}, \mathbf{y}, \mathbf{y}'), V_2(\mathbf{x}, \mathbf{y}, \mathbf{y}'), \dots,$$

where for $i \geq 0$, each $V_{2i+1}(\mathbf{x}, \mathbf{y}, \mathbf{y}')$ is a maximal open interval contained in one of

$$S(\mathbf{x}, \mathbf{y}) \cap S(\mathbf{x}, \mathbf{y}'), S(\mathbf{x}, \mathbf{y})^c \cap S(\mathbf{x}, \mathbf{y}'), \\ S(\mathbf{x}, \mathbf{y}) \cap S(\mathbf{x}, \mathbf{y}')^c, S(\mathbf{x}, \mathbf{y})^c \cap S(\mathbf{x}, \mathbf{y}')^c,$$

and $V_{2i}(\mathbf{x}, \mathbf{y}, \mathbf{y}')$ is the right end-point of the interval $V_{2i-1}(\mathbf{x}, \mathbf{y}, \mathbf{y}')$. We let $\mathcal{V}(\mathbf{x}, \mathbf{y}, \mathbf{y}')$ denote the ordered sequence

$$(V_1(\mathbf{x}, \mathbf{y}, \mathbf{y}'), V_2(\mathbf{x}, \mathbf{y}, \mathbf{y}'), \dots, V_M(\mathbf{x}, \mathbf{y}, \mathbf{y}')),$$

where M is a uniform upper bound on $|\mathcal{V}|$ depending on T , and with the understanding that $V_i(\mathbf{x}, \mathbf{y}, \mathbf{y}')$ can be empty for all $i \geq i_0$ for some $0 \leq i_0 \leq M$. It is clear that the sets

$$V_i = \{(V_i(\mathbf{x}, \mathbf{y}, \mathbf{y}'), \mathbf{x}, \mathbf{y}, \mathbf{y}') \mid \mathbf{x} \in \mathbb{R}^{k-1}, \mathbf{y}, \mathbf{y}' \in \mathbb{R}^\ell\}$$

are definable and depend only on T .

For each $T'_j \subset \mathbb{R}^{k-1} \times \mathbb{R}^{2(2^{k-1}-1) \cdot (2\ell+N)}$ for $j \in J$, with $1 \leq h \leq M$, and $\mathbf{a} = (\mathbf{a}_1, \dots, \mathbf{a}_{2(2^{k-1}-1)})$, where each \mathbf{a}_i is a standard basis vector in \mathbb{R}^N , let

$$T'_{j,h,\mathbf{a}} = \{(V_h(\mathbf{x}, \mathbf{y}_{2^{k+1}-3}, \mathbf{y}_{2^{k+1}-2}), \mathbf{x}, \mathbf{y}_1, \dots, \mathbf{y}_{(2(2^{k-1}-1))}) \mid (\mathbf{x}, \mathbf{y}_1, \dots, \mathbf{y}_{2(2^{k-1}-1)}), \mathbf{a}) \in T'_j\}.$$

Let $\{T_i\}_{i \in I}$ be the collection of all possible $T'_{j,h,a}$. It is now easy to verify that the family of sets $\{T_i\}_{i \in I}$ satisfies the conditions of the theorem. \square

3.10. *Proof of Theorem 2.6*

The proof is very similar to the second proof of [3, Theorem 1.1]. However, instead of using vertical decomposition as in [3], we use the cylindrical definable cell decomposition given by Theorem 2.5. We repeat it here for the reader’s convenience.

Proof of Theorem 2.6. For each i , with $1 \leq i \leq n$, let

$$A_i = \pi_{2^\ell}^{\leq \ell}(\pi_{2^\ell}^{> \ell - 1}(\mathbf{y}_i) \cap F)$$

and $\mathcal{G} = \{A_i \mid 1 \leq i \leq n\}$. Note that \mathcal{G} is a $(R, \pi_{2^\ell}^{\leq \ell}, \pi_{2^\ell}^{> \ell})$ -family.

We now use the Clarkson–Shor random sampling technique [26] (using Theorem 2.5 instead of vertical decomposition as in [3]). Applying Theorem 2.5 to some subfamily $\mathcal{G}_0 \subset \mathcal{G}$ of cardinality r , we get a decomposition of \mathbb{R}^ℓ into at most $Cr^{2(2^\ell - 1)} = r^{O(1)}$ definable cells, each of them defined by at most $2(2^\ell - 1) = O(1)$ of the \mathbf{y}_i . This decomposition satisfies the necessary properties for the existence of $1/r$ -cuttings of size $r^{O(1)}$ (see [26, p. 163]).

More precisely, let τ be a cell of the cdcd of \mathcal{G}_0 and let $G \in \mathcal{G}$. We say that G crosses τ if $G \cap \tau \neq \emptyset$ and $\tau \not\subset G$. The well-known Cutting Lemma (see [26, Chapter 6, Section 5]) now ensures that we can choose \mathcal{G}_0 such that each cell of the cdcd of \mathcal{G}_0 is crossed by no more than $(c_1 n \log r)/r$ elements of \mathcal{G} , where c_1 is a constant depending only on F .

For each cell τ of the cdcd of \mathcal{G}_0 , let \mathcal{G}_τ denote the set of elements of \mathcal{G} that cross τ and let $\mathcal{F}_\tau = \mathcal{F} \cap \tau$.

Since the total number of cells in the cdcd of \mathcal{G}_0 is bounded by $r^{O(1)}$, there must exist a cell τ such that we have

$$|\mathcal{F}_\tau| \geq \frac{n}{r^{O(1)}}.$$

Now, every element of $\mathcal{G} \setminus \mathcal{G}_\tau$ either fully contains τ or is disjoint from it.

Setting $\alpha = 1/r^{O(1)}$ and $\beta = (1/2)(1 - (c_1 \log r)/r)$, we have that there exists a set $\mathcal{F}' = \mathcal{F}_\tau$ of cardinality at least αn , and a subset \mathcal{G}' of cardinality at least βn such that either each element of \mathcal{F}' is contained in every element of \mathcal{G}' , or no element of \mathcal{F}' is contained in any element of \mathcal{G}' .

The proof is complete by taking $\mathcal{F}_1 = \mathcal{F}'$, and $\mathcal{F}_2 = \{\mathbf{y}_i \mid A_i \in \mathcal{G}'\}$ and choosing r so as to maximize $\varepsilon = \min(\alpha, \beta)$. \square

Proof of Corollary 2.7. For $1 \leq i \leq n$, let $\mathbf{y}_i \in \mathbb{R}^\ell$ be such that $S_i = T_{\mathbf{y}_i}$. Let $F \subset \mathbb{R}^\ell \times \mathbb{R}^\ell$ be the closed definable set defined by

$$F = \{(\mathbf{z}_1, \mathbf{z}_2) \mid \mathbf{z}_1, \mathbf{z}_2 \in \mathbb{R}^\ell, T_{\mathbf{z}_1} \cap T_{\mathbf{z}_2} \neq \emptyset\}.$$

Clearly, F is completely determined by T . Now apply Theorem 2.6. \square

4. *Conclusion and open problems*

In this paper we have proved bounds on the combinatorial and topological complexities of arrangements of sets belonging to some fixed definable family in an o-minimal structure, in terms of the number of sets in the arrangement. These results generalize known results in the case when the sets in the arrangements are semi-algebraic sets and of constant description complexity. We also extended a Ramsey-type theorem due to Alon *et al.* [3], originally proved for semi-algebraic sets of fixed description complexity to the more general setting of o-minimal geometry.

There are many other sophisticated results on the combinatorial complexity of substructures of arrangements which have been proved in the semi-algebraic case. Usually there are some extra assumptions about general position in these results. For instance, it was shown in [4] that the complexity of a single cell in an arrangement of n semi-algebraic hypersurface patches in \mathbb{R}^k , which are in general position and have constant description complexity, is bounded by $O(n^{k-1+\varepsilon})$. Does this bound also hold for (T, π_1, π_2) -families? It would be interesting to know if all or most results in the computational geometry literature relating to arrangements of sets of constant description complexity, do in fact extend to the more general setting introduced in this paper. It would also be interesting to find proofs of existing bounds using the kind of homological methods used in this paper. Doing so might remove extraneous assumptions on general positions in several results and possibly even lead to tighter bounds.

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