Efficient Algorithms for Computing Betti Numbers of Semi-algebraic Sets :3

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Saugata Basu Efficient Algorithms for Computing Betti Numbers

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- Recall from last lecture
- Main Result
- 2 Algebraic Topological Preliminaries
 - Generalized Mayer-Vietoris Sequence
 - Double Complexes and Spectral Sequences
 - Mayer-Vietoris Spectral Sequence
- 3 Double complexes associated to certain coverings
 - Inductive Construction of a Double Complex

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Introduction

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Recall from last lecture

Recall from last lecture Main Result

Singly Exponential Covering

Theorem

There exists an algorithm that takes as input the description of a \mathcal{P} -closed semi-algebraic set $S \subset \mathbb{R}^k$, and outputs a covering of S by a family of subsets of S which are closed and contractible. The complexity of the algorithm, as well as the complexity of the covering, is

 $(sd)^{k^{O(1)}},$

where $s = #(\mathcal{P})$ and $d = \max_{P \in \mathcal{P}} \deg(P)$.

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Main Result

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Introduction

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Main Theorem

Recall from last lecture Main Result

Theorem

There exists an algorithm that takes as input the description of a \mathcal{P} -semi-algebraic set $S \subset \mathbb{R}^k$, and outputs $b_0(S), \ldots, b_{\ell}(S)$. The complexity of the algorithm is

 $(sd)^{k^{O(\ell)}}$

where $s = #(\mathcal{P})$ and $d = \max_{P \in \mathcal{P}} \deg(P)$.

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Recall from last lecture Main Result

Main Ingredients

- The first ingredient is a result discussed in the previous lecture, which enables us to compute a singly exponential sized covering of the given closed and bounded semi-algebraic set, consisting of closed, contractible semi-algebraic sets, in single exponential time. The number and the degrees of the polynomials used to define the sets in this covering are also bounded singly exponentially.
- The second ingredient is an algorithm which uses the covering algorithm recursively and computes in singly exponential time a complex whose homology groups are isomorphic to the first *l* homology groups of the input set. This complex is of singly exponential size for fixed *l*.

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Generalized Mayer-Vietoris Sequence Double Complexes and Spectral Sequences Mayer-Vietoris Spectral Sequence

Mayer-Vietoris exact sequence

- Let A₁,..., A_n be subcomplexes of a finite simplicial complex A such that A = A₁ ∪ · · · ∪ A_n. Let Cⁱ(A) denote the R-vector space of *i* co-chains of A, and C^{*}(A) = ⊕_iCⁱ(A).
- We will denote by A_{α0},...,α_p the subcomplex A_{α0} ∩ · · · ∩ A_{αp}.
 The following sequence of homomorphisms is exact.

$$0 \longrightarrow C^*(A) \xrightarrow{r} \prod_{\alpha_0} C^*(A_{\alpha_0}) \xrightarrow{\delta} \prod_{\alpha_0 < \alpha_1} C^*(A_{\alpha_0,\alpha_1})$$
$$\cdots \xrightarrow{\delta} \prod_{\alpha_0 < \cdots < \alpha_p} C^*(A_{\alpha_0,\dots,\alpha_p}) \cdots \xrightarrow{\delta} \prod_{\alpha_0 < \cdots < \alpha_{p+1}} C^*(A_{\alpha_0,\dots,\alpha_{p+1}}) \cdots \xrightarrow{\delta} \cdot$$

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Mayer-Vietoris Double Complex

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Double Complexes and Spectral Sequences

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Double Complex



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Generalized Mayer-Vietoris Sequence Double Complexes and Spectral Sequences Mayer-Vietoris Spectral Sequence

The Associated Total Complex



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Spectral Sequences of a Double Complex

- A sequence of vector spaces progressively approximating the homology of the total complex. More precisely,
- a sequence of bi-graded vector spaces and differentials $(E_r, d_r : E_r^{p,q} \rightarrow E_r^{p+r,q-r+1}),$
- $E_{r+1} = H(E_r, d_r),$
- $E_{\infty} = H^*$ (Associated Total Complex).

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Spectral Sequence



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Two Spectral Sequences

 There are two spectral sequences associated with M^{p,q} both converging to H^{*}_D(M). The first terms of these are:

 $^{\prime}E_{1} = H_{\delta}(\mathcal{M}), ^{\prime}E_{2} = H_{d}H_{\delta}(\mathcal{M})$

 ${}^{\prime\prime}E_1 = H_d(\mathcal{M}), {}^{\prime\prime}E_2 = H_\delta H_d(\mathcal{M})$

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Homomorphisms of Double Complexes

Given two (first quadrant) double complexes, $C^{\bullet,\bullet}$ and $\overline{C}^{\bullet,\bullet}$, a homomorphism of double complexes,

 $\phi: \mathbf{C}^{\bullet, \bullet} \longrightarrow \bar{\mathbf{C}}^{\bullet, \bullet},$

is a collection of homomorphisms, $\phi^{p,q} : C^{p,q} \longrightarrow \overline{C}^{p,q}$, such that the following diagrams commute.



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Comparison Theorem

Proposition

A homomorphism of double complexes,

 $\phi: \mathbf{C}^{\bullet, \bullet} \longrightarrow \bar{\mathbf{C}}^{\bullet, \bullet},$

induces homomorphisms, ${}^{\prime}\phi_{s} : {}^{\prime}E_{s} \longrightarrow {}^{\prime}\bar{E}_{s}$ (respectively, ${}^{\prime\prime}\phi_{s} : {}^{\prime\prime}E_{s} \longrightarrow {}^{\prime\prime}\bar{E}_{s}$) between the associated spectral sequences. If ${}^{\prime}\phi_{s}$ (respectively, ${}^{\prime\prime}\phi_{s}$) is an isomorphism for some $s \ge 1$, then ${}^{\prime}E_{r}^{p,q}$ and ${}^{\prime}\bar{E}_{r}^{p,q}$ (repectively, ${}^{\prime\prime}E_{r}^{p,q}$ and ${}^{\prime\prime}\bar{E}_{r}^{p,q}$) are isomorphic for all $r \ge s$. In particular, the induced homomorphism,

$$\phi : \operatorname{Tot}^{\bullet}(C^{\bullet, \bullet}) \to \operatorname{Tot}^{\bullet}(\overline{C}^{\bullet, \bullet})$$

is a quasi-isomorphism.

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$C^1(A)$	0	0
$C^0(A)$	0	0

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Convergence of the Mayer-Vietoris Spectral Sequence

The following proposition is classical and follows from the exactness of the generalized Mayer-Vietoris sequence.

Proposition

The spectral sequences, ${}^{\prime}E_r$, ${}^{\prime\prime}E_r$, associated to $\mathcal{N}^{\bullet,\bullet}(A)$ converge to $H^*(A, \mathbb{Q})$ and thus,

 $H^*(\mathrm{Tot}^{\bullet}(\mathcal{N}^{\bullet,\bullet}(\mathcal{A})))\cong H^*(\mathcal{A},\mathbb{Q}).$

Moreover, the homomorphism $\psi : C^{\bullet}(A) \to \text{Tot}^{\bullet}(\mathcal{N}^{\bullet,\bullet}(A))$ induced by the homomorphism r (in the generalized Mayer-Vietoris sequence) is a quasi-isomorphism.

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Admssible Subsets

- Consider a fixed family of polynomials, *P* ⊂ R[X₁,..., X_k], as well as a fixed *P*-closed and bounded semi-algebraic set, S ⊂ R^k. We also fix a number, ℓ, 0 ≤ ℓ ≤ k.
- We identify certain closed and bounded semi-algebraic subsets of S (which we call the admissible subsets of S).
 We associate to each admissible subset X ⊂ S, its level denoted level(X), with level(S) = 0.
- For each such admissible subset, X ⊂ S, we define a double complex, M^{•,•}(X), such that

 $H^{i}(\operatorname{Tot}^{\bullet}(\mathcal{M}^{\bullet,\bullet}(X))) \cong H^{i}(X,\mathbb{Q}), \ 0 \leq i \leq \ell - \operatorname{level}(X).$

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- If the sets occuring in the covering of X are all acyclic, then the first column of the Mayer-Vietoris double complex is zero except at the first row.
- In order to compute b₀(X),..., b_{l-level(X)}(X), it suffices to compute a suitable truncation of the Mayer-Vietoris double complex.
- However, we do not know how to efficiently compute (even the truncated) Mayer-Vietoris double complex.
- However, making use of the covering construction recursively, we are able to compute another double complex, M^{•,•}(X), which has much smaller size but whose associated total complex is quasi-isomorphic to the truncated Mayer-Vietoris double complex.

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Admissible Sets

- Given any closed and bounded semi-algebraic set X ⊂ R^k, we will denote by C'(X), a fixed covering of X by a finite family of closed, bounded and acyclic semi-algebraic sets.
- We have that, $V \subset X$ for each $V \in C'(X)$ and $X = \bigcup_{V \in C'(X)} V$. We will index the sets in C'(X) as

 V_1, \ldots, V_{n_X} where $n_X = \# \mathcal{C}'(X)$, and for

 $1 \leq \alpha_0 < \cdots < \alpha_p \leq n_X$, we will denote

 $V_{\alpha_0,\ldots,\alpha_p} = \bigcap_{0 \le i \le p} V_{\alpha_i}$. For $I \subset J \subset \{1,\ldots,n_X\}$ we will call V_I

an *ancestor* of V_J and X an ancestor of all the V_J 's. We will transitively close the ancestor relation.

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- We have that, $V \subset X$ for each $V \in \mathcal{C}'(X)$ and $X = \bigcup_{V \in \mathcal{C}'(X)} V$. We will index the sets in $\mathcal{C}'(X)$ as V_1, \ldots, V_{n_X} where $n_X = \#\mathcal{C}'(X)$, and for $1 \le \alpha_0 < \cdots < \alpha_p \le n_X$, we will denote $V_{\alpha_0,\ldots,\alpha_p} = \bigcap_{0 \le i \le p} V_{\alpha_i}$. For $I \subset J \subset \{1,\ldots,n_X\}$ we will call V_I

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Inductive Construction of a Double Complex

Admissible Sets (cont.)

- We now associate to certain closed semi-algebraic subsets X of S (which we call the admissible subsets of S), a covering, C(X), of X by closed, bounded, acyclic semi-algebraic sets, obtained by enlarging the covering C'(X).
- The set S itself is admissible of level 0 and C(S) = C'(S).
 All intersections of the sets in C(S) taken upto ℓ + 2 at a time are admissible and have level 1.

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Admissible Sets (cont.)

The admissible subsets of S are the smallest family of subsets of S containing the above sets and satisfying the following. For any admissible subset X ⊂ S at level *i*, we define C(X) as follows. Let {Y₁,..., Y_N} be the set of admissible sets which are ancestors of X. Then,

 $\mathcal{C}(X) = \bigcup_{U_i \in \mathcal{C}(Y_i), 1 \leq i \leq N} \mathcal{C}'(U_1 \cap \cdots \cap U_N \cap X).$

All intersections of the sets in C(X) taken at most $\ell - i + 2$ at a time are admissible, have level i + 1, and have X as an ancestor. For $I \subset J \subset \{1, ..., n_X\}$, V_I is an ancestor of V_J and X is an ancestor of all the V_I 's. Moreover, for $V \in C'(U_1 \cap \cdots \cap U_N \cap X)$, each U_i is an ancestor of V. This clearly implies that each $V \in C(X)$ has a unique ancestor ineach $C(Y_i)$ (namely, U_i).

Inductive Construction of a Double Complex

Complexity of computing C'(X)

We have a procedure (recall last lecture) for computing $\mathcal{C}'(X)$, for any given \mathcal{P}' -closed and bounded semi-algebraic set, X, such that the number and the degrees of the polynomials appearing in the output of this procedure is bounded by $(mD)^{k^{O(1)}}$. where $\#\mathcal{P}' = m$ and $\deg(P) \leq D$, for $P \in \mathcal{P}'$.

Inductive Construction of a Double Complex

Complexity of computing C'(X)

Proposition

Let $S \subset \mathbb{R}^k$ be a \mathcal{P} -closed semi-algebraic set, where $\mathcal{P} \subset \mathbb{R}[X_1, \ldots, X_k]$ is a family of s polynomials of degree at most d. Then the number of admissible sets, the number of polynomials used to define them, the degrees of these polynomials, are all bounded by $(sd)^{k^{O(\ell)}}$.

Proof.

By induction on level(X).

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Double complex Associated to an Admissible Set

Given the different coverings described above, we now associate to each admissible set $X \subset S$ a double complex, $\mathcal{M}^{\bullet,\bullet}(X)$, satisfying the following:

$H^{i}(\operatorname{Tot}^{\bullet}(\mathcal{M}^{\bullet,\bullet}(X)),\mathbb{Q})\cong H^{i}(X,\mathbb{Q}), \text{ for } 0\leq i\leq \ell-\operatorname{level}(X).$ (1)

For every admissible set Y, such that X is an ancestor of Y, and level(X) = level(Y), a restriction homomorphism: r_{X,Y} : M^{•,•}(X) → M^{•,•}(Y), which induces the restriction homomorphisms between the cohomology groups:

$r: H^i(X, \mathbb{Q}) \to H^i(Y, \mathbb{Q})$

for $0 \le i \le l - \text{level}(X)$ via the isomorphisms in (1).

Double complex Associated to an Admissible Set

Given the different coverings described above, we now associate to each admissible set $X \subset S$ a double complex, $\mathcal{M}^{\bullet,\bullet}(X)$, satisfying the following:

 $H^{i}(\operatorname{Tot}^{\bullet}(\mathcal{M}^{\bullet,\bullet}(X)),\mathbb{Q})\cong H^{i}(X,\mathbb{Q}), \text{ for } 0\leq i\leq \ell-\operatorname{level}(X).$ (1)

For every admissible set Y, such that X is an ancestor of Y, and level(X) = level(Y), a restriction homomorphism: *r*_{X,Y} : M^{●,●}(X) → M^{●,●}(Y), which induces the restriction homomorphisms between the cohomology groups:

 $r: H^i(X, \mathbb{Q}) \to H^i(Y, \mathbb{Q})$

for $0 \le i \le \ell - \text{level}(X)$ via the isomorphisms in (1).

Construction of $\mathcal{M}^{\bullet,\bullet}(X)$

We now describe the construction of the double complex $\mathcal{M}^{\bullet,\bullet}(X)$ and prove that it has the properties stated above. The double complex $\mathcal{M}^{\bullet,\bullet}(X)$ is constructed inductively using induction on level(X):

The base case is when $level(X) = \ell$. In this case the double complex, $\mathcal{M}^{\bullet,\bullet}(X)$ is defined by:

$$\begin{array}{lll} \mathcal{M}^{0,0}(X) &=& \bigoplus_{U_{\alpha_0} \in \mathcal{C}(X)} C^0(U_{\alpha_0}), \\ \mathcal{M}^{1,0}(X) &=& \bigoplus_{U_{\alpha_0}, U_{\alpha_1} \in \mathcal{C}(X), \alpha_0 < \alpha_1} C^0(U_{\alpha_0, \alpha_1}), \\ \mathcal{M}^{p,q}(X) &=& 0, \text{ if } q > 0 \text{ or } p > 1. \end{array}$$

Here $C^0(Y)$ is the \mathbb{Q} -vector space of \mathbb{Q} valued locally constant functions on Y.

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Inductive Construction of a Double Complex

Diagramatically



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Definition of the restriction homomorphism

For every admissible set *Y*, such that *X* is an ancestor of *Y*, and level(*X*) = level(*Y*) = ℓ , we define $r_{X,Y} : \mathcal{M}^{0,0}(X) \to \mathcal{M}^{0,0}(Y)$, as follows. Recall that, $\mathcal{M}^{0,0}(X) = \bigoplus_{\substack{U \in \mathcal{C}(X) \\ U \in \mathcal{C}(X)}} C^0(U)$, and $\mathcal{M}^{0,0}(Y) = \bigoplus_{\substack{V \in \mathcal{C}(Y) \\ V \in \mathcal{C}(Y)}} C^0(V)$. Also, by definition of $\mathcal{C}(Y)$, we have that for each $V \in \mathcal{C}(Y)$

there is a unique $U \in \mathcal{C}(X)$ (which we will denote by a(V)) such that U is an ancestor of V.

For $x \in \mathcal{M}^{0,0}(X)$ and $V \in \mathcal{C}(Y)$ we define,

 $r_{X,Y}(x)_V = x_{a(V)}|_V.$

We define $r_{X,Y} : \mathcal{M}^{1,0}(X) \to \mathcal{M}^{1,0}(Y)$, in a similar manner. More precisely, for $x \in \mathcal{M}^{0,0}(X)$ and $V, V' \in \mathcal{C}(Y)$, we define

$$r_{X,Y}(x)_{V,V'} = x_{a(V),a(V')}|_{V \cap V'}.$$

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The inductive step

In general the $\mathcal{M}^{p,q}(X)$ are defined as follows using induction on $\operatorname{level}(X)$ and with $n = \ell - \operatorname{level}(X) + 1$.

$$\begin{split} \mathcal{M}^{0,0}(X) &= \oplus_{U_{\alpha_0} \ \in \ \mathcal{C}(X)} \ C^0(U_{\alpha_0}), \\ \mathcal{M}^{0,q}(X) &= 0, \qquad \qquad 0 < q, \\ \mathcal{M}^{p,q}(X) &= \oplus_{\alpha_0 < \dots < \alpha_p, \ U_{\alpha_i} \in \mathcal{C}(X)} \ \mathrm{Tot}^q(\mathcal{M}^{\bullet,\bullet}(U_{\alpha_0,\dots,\alpha_p})), \quad 0 < p, \ 0 < p + q \leq \\ \mathcal{M}^{p,q}(X) &= 0, \qquad \qquad \text{else.} \end{split}$$

Inductive Construction of a Double Complex

Diagrammatically



Saugata Basu Efficient Algorithms for Computing Betti Numbers

Inductive Construction of a Double Complex

Key proposition

Proposition

For each admissible subset $X \subset S$ the double complex $\mathcal{M}^{\bullet,\bullet}(X)$ satisfies the following properties:

- $\blacksquare H^i(\mathrm{Tot}^{\bullet}(\mathcal{M}^{\bullet,\bullet}(X)),\mathbb{Q})\cong H^i(X,\mathbb{Q}) \text{ for } 0\leq i\leq \ell-\mathrm{level}(X).$
- Por every admissible set Y, such that X is an ancestor of Y, and level(X) = level(Y), the homomorphism, r_{X,Y} : M^{●,●}(X) → M^{●,●}(Y) induces the restriction homomorphisms between the cohomology groups:

 $r: H^i(X, \mathbb{Q}) \to H^i(Y, \mathbb{Q})$

for $0 \le i \le \ell - \text{level}(X)$ via the isomorphisms in (1)

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- Por every admissible set Y, such that X is an ancestor of Y, and level(X) = level(Y), the homomorphism, r_{X,Y} : M^{•,•}(X) → M^{•,•}(Y) induces the restriction homomorphisms between the cohomology groups:

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Proof Idea

Proof.

The proof is by induction on level(X). If $\text{level}(X) = \ell$ then the proposition is clear. Otherwise, by induction we can assume that the proposition is true for all admissible sets of the form, $U_{\alpha_0,...,\alpha_p}$ with $U_{\alpha_i} \in \mathcal{C}(X)$. Thus, the *p*-th column of the complex, $\mathcal{M}^{\bullet,\bullet}(X)$, is the direct sum of the complexes,

$$\operatorname{Tot}^{n-p}(\mathcal{M}^{\bullet,\bullet}(U_{\alpha_0,\ldots,\alpha_p}))$$

$$\uparrow$$

$$:$$

$$\operatorname{Tot}^1(\mathcal{M}^{\bullet,\bullet}(U_{\alpha_0,\ldots,\alpha_p}))$$

$$\uparrow$$

$$\operatorname{Tot}^0(\mathcal{M}^{\bullet,\bullet}(U_{\alpha_0,\ldots,\alpha_p}))$$

Inductive Construction of a Double Complex

Proof (cont.)

Proof.

By induction hypothesis, $H^{i}(\text{Tot}^{\bullet}(\mathcal{M}^{\bullet,\bullet}(U_{\alpha_{0},...,\alpha_{p}}))) \cong H^{i}(U_{\alpha_{0},...,\alpha_{p}}).$ Moreover, the homomorphism,

 $r_{U_{\alpha_0,...,\alpha_p},U_{\alpha_0,...,\alpha_{p+1}}}: \mathcal{M}^{\bullet,\bullet}(U_{\alpha_0,...,\alpha_p}) \to \mathcal{M}^{\bullet,\bullet}(U_{\alpha_0,...,\alpha_{p+1}})$, induces the restriction homomorphisms between the cohomology groups:

$$r: H^{i}(U_{\alpha_{0},...,\alpha_{p}},\mathbb{Q}) \to H^{i}(U_{\alpha_{0},...,\alpha_{p+1}},\mathbb{Q}).$$

The proposition follows from comparing the spectral sequence of $\mathcal{M}^{\bullet,\bullet}(X)$ with that of the truncated Mayer-Vietoris double complex associated to the covering, $\mathcal{C}(X)$, of X, which are isomorphic from the ${}^{\prime}E_1$ term onwards.

Inductive Construction of a Double Complex