

The Microlocal Analysis of some X-ray transforms in Electron Tomography (ET)

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Joint work with Raluca Felea (lines),
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The Model of Electron Tomography (ET)

Intro

f is the scattering potential of an object.

γ is a line or curve over which electrons travel.

The X-ray Transform:

$$\text{ET Data} \sim \mathcal{P}f(\gamma) := \int_{\mathbf{x} \in \gamma} f(\mathbf{x}) ds$$

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The Goal: Recover a picture of the object including molecule shapes from ET data over a finite number of lines or curves.

Data Acquisition: Take multiple micrographs (ET images) of a prepared sample of particles by moving the sample in relation to the electron beam.

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- **For larger fields of view** ($\sim 8,000$ nm), the electron beams need to be wider and **electrons far from the central axis travel over helix-like curves, not lines** [A. Lawrence et al.].

The Admissible Case for Lines in \mathbb{R}^3

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Definition (Cone Condition (Admissible Line Complex))

Ξ satisfies the *Cone Condition* if for all $\ell \in \Xi$ and any two points \mathbf{x}_0 and \mathbf{x}_1 in ℓ , the cones $S_{\mathbf{x}_0}$ and $S_{\mathbf{x}_1}$ have the same tangent plane along ℓ .

[Gelfand and coauthors, Guillemin, Greenleaf, Uhlmann, Boman, Q, Finch, Katsevich, Sharafutdinov, and many others]

They wrote a series of beautiful articles using sophisticated microlocal analysis to understand admissible complexes, Ξ , of geodesics on manifolds.

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[GU 1989]: If Γ satisfies a curvature condition, then $\mathcal{P}^*\mathcal{P}$ is a singular Fourier integral operator in $I^{(-1),0}(\Delta, \Gamma_\Sigma)$ where Γ_Σ is a flow-out from the diagonal, Δ .

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Applications of microlocal analysis in tomography and radar: Ambartsoumian, Antoniano, Cheney, deHoop, Felea, Finch, Greenleaf, Guillemin, Krishnan, Lan, Nolan, Q, Stefanov, Uhlmann, and many others.

Small field of view ET: Lines parallel a curve on S^2

$\theta :]a, b[\rightarrow S^2$ a smooth, regular curve. $C = \theta(]a, b[)$

For any $x \in \mathbb{R}^3$,

$$S_x = \{x + s\theta(t) \mid s \in \mathbb{R}, t \in]a, b[\}$$

is a cone and the complex of lines with directions parallel C is admissible.

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Hypothesis (Curvature Conditions)

Let $\theta :]a, b[\rightarrow S^2$ be a smooth regular curve. Let $\beta(t) = \theta(t) \times \theta'(t)$. We assume the following curvature conditions

- (a) $\forall t \in]a, b[, \theta''(t) \cdot \theta(t) \neq 0$.
- (b) $\forall t \in]a, b[, \beta'(t) \neq \mathbf{0}$.
- (c) *The curve $t \mapsto \beta(t)$ is simple.*

Examples

Single Axis Tilt ET: This complex is admissible but *does not* satisfy the curvature conditions so [GU 1989] does not apply.

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Conical Tilt ET: The sample is slanted an angle of $\alpha \in (0, \pi/2)$ to the horizontal and rotated in the plane of the sample.

$$C_{\text{cone}} = \{\theta(t) := (\cos(\alpha), \sin(\alpha) \cos(t), \sin(\alpha) \sin(t)) \mid t \in [0, 2\pi]\}.$$

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In the coordinate system of the specimen, conical tilt data are over lines in the complex of lines parallel C_{cone} .

For $\mathbf{x} \in \mathbb{R}^3$, $S_{\mathbf{x}}$ is the circular cone with vertex \mathbf{x} with opening angle α with vertical axis.

This complex satisfies the cone condition and the curvature conditions and [GU 1989] does apply.

Theorem (Microlocal Regularity Theorem [FeQu 2011])

Assume the smooth regular curve $C \subset S^2$ satisfies the curvature conditions, and let \mathcal{P} be the associated X-ray transform with a smooth nowhere zero measure. Let D be the second order derivative on the detector plane *in the θ' direction*.

Then $\mathcal{L} = \mathcal{P}^* D \mathcal{P}$ is in $I^{0,1}(\Delta, \Gamma_\Sigma)$ where

$$\Gamma_\Sigma = \{(\mathbf{y}, \xi, \mathbf{x}, \xi) \mid (\mathbf{y}, \xi) \in N^*(S_{\mathbf{x}})\}.$$

Therefore the wavefront set above \mathbf{x}

$$\mathrm{WF}(\mathcal{L}(f))_{\mathbf{x}} \subset (\mathrm{WF}(f)_{\mathbf{x}} \cap \mathcal{V}_{\mathbf{x}}) \cup \mathcal{A}(f)_{\mathbf{x}}$$

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$$\mathcal{A}(f)_{\mathbf{x}} = \{(\mathbf{x}, \xi) \mid \exists \mathbf{y} \in S_{\mathbf{x}} \text{ such that } (\mathbf{y}, \xi) \in (N^*(S_{\mathbf{x}}) \cap \text{WF}(f))\}.$$

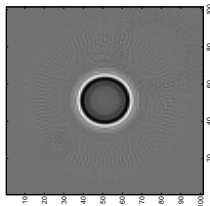
Therefore $\mathcal{L}(f)$ can show visible singularities of f .

However, $\mathcal{L}(f)$ can add (or mask) singularities at \mathbf{x} coming from other covectors in $\text{WF}(f)$ conormal to $S_{\mathbf{x}}$. (Proof uses [GU])

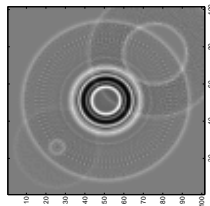
- Since $\mathcal{L} \in I^{0,1}(\Delta, \Gamma_\Sigma)$, the added singularities will be *one degree weaker in Sobolev scale* than if D were an arbitrary differential operator since, in general, \mathcal{L} would be in $I^{1,0}$.
- This algorithm has been tested on electron microscope data for single axis tilt [QO 2008, QSO 2009].

Cross-section of reconstructions from conical tilt data of several balls [QBC 2008]. Note decreased strength of added singularities when using D instead of Δ .

Reconstruction using D



Reconstruction using Δ



Large Field of View ET: The Curvilinear X-ray Transform

The curvilinear paths: For each tilt angle $t \in]a, b[$, electron paths are inverse images of the smooth fiber map

$$\mathbf{p}_t : \mathbb{R}^3 \rightarrow \mathbb{R}^2, \quad \mathbf{p}_t(\mathbf{x}) = \mathbf{y}$$

\mathbf{y} is on the detector plane.

Curves: $(t, \mathbf{y}) \in Y =]a, b[\times \mathbb{R}^2 \quad \gamma_{t,\mathbf{y}} = \mathbf{p}_t^{-1}(\{\mathbf{y}\}) \cong \text{a line.}$

Curvilinear X-ray Transform: $\mathcal{P}_{\mathbf{p}} f(t, \mathbf{y}) = \int_{\mathbf{x} \in \gamma_{t,\mathbf{y}}} f(\mathbf{x}) ds$

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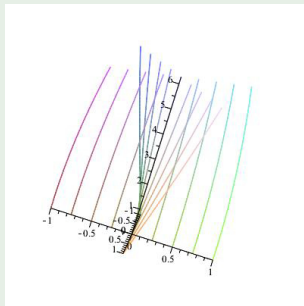
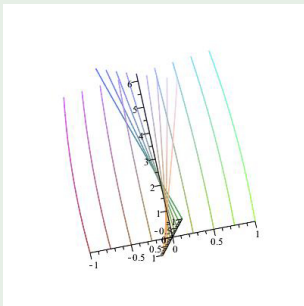
Curvilinear X-ray Transform: $\mathcal{P}_{\mathbf{p}} f(t, \mathbf{y}) = \int_{\mathbf{x} \in \gamma_{t,\mathbf{y}}} f(\mathbf{x}) ds$

Backprojection Operator: $\mathcal{P}_{\mathbf{p}}^* g(\mathbf{x}) = \int_{t \in]a, b[} g(t, \mathbf{p}_t(\mathbf{x})) dt,$

which is the integral over all curves through \mathbf{x} (as $\mathbf{x} \in \gamma_{t,\mathbf{p}_t(\mathbf{x})}$)

If the curve doesn't join up at a and b , one multiplies by a cut off function near the ends of $]a, b[$.

Example (Helical Electron Paths With Pitch 20π)



Single-axis tilt data geometry, multi-axis tilt ET and conical tilt ET over curves fit into our model.

Regularity Assumptions:

($\partial_{\mathbf{x}}$ and ∂_t are gradients)

- 1 For each $t \in]a, b[$, the curves $\gamma_{t,\mathbf{y}}$ are smooth, unbounded, and don't intersect. $(\mathbf{x}, t) \mapsto \mathbf{p}_t(\mathbf{x}) \in \mathbb{R}^2$ is a C^∞ map. Fixing t , \mathbf{p}_t is a fiber map in \mathbf{x} with fibers diffeomorphic to lines. Therefore, $\partial_{\mathbf{x}}\mathbf{p}_t(\mathbf{x})$ has maximal rank (two).
- 2 Curves move differently at different points as t changes. $\forall (t, \mathbf{y}) \in Y$ and \mathbf{x}_0 and \mathbf{x}_1 in $\gamma_{t,\mathbf{y}}$, if $\mathbf{x}_1 \neq \mathbf{x}_0$, then $\partial_t\mathbf{p}_t(\mathbf{x}_0) \neq \partial_t\mathbf{p}_t(\mathbf{x}_1)$.
- 3 The curves wiggle enough as t changes. The 4×3 matrix $\begin{pmatrix} \partial_{\mathbf{x}}\mathbf{p}_t(\mathbf{x}) \\ \partial_t\partial_{\mathbf{x}}\mathbf{p}_t(\mathbf{x}) \end{pmatrix}$ has maximal rank (three). [► Geometric Meaning](#)

Our Reconstruction Operator

$$\mathcal{L}(f) = \mathcal{P}_{\mathbf{p}}^* D \mathcal{P}_{\mathbf{p}} f \quad \text{where } D \text{ is a } 2^{nd} \text{ order PDO}$$

Using the composition calculus of FIO “essentially”

$$\mathcal{L}(f)(\mathbf{x}) \sim D' \mathcal{P}_{\mathbf{p}}^* \mathcal{P}_{\mathbf{p}} f = D' \int_{S_{\mathbf{x}}} f W dA$$

for some singular weight W and Ψ DO D' where

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Our Reconstruction Operator Adds Singularities

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Thus, singularities of f that are normal to $S_{\mathbf{x}}$ could appear as **added** singularities in the reconstruction $\mathcal{L}f(\mathbf{x})$ (as in the admissible case).

Theorem (Microlocal Regularity Theorem, [QR 2012])

Let \mathcal{P}_p satisfy our assumptions. Let $f \in \mathcal{E}'(\mathbb{R}^3)$. Let D be a differential operator on \mathbb{R}^2 acting on \mathbf{y} . Then, the wavefront set at \mathbf{x}

$$(\mathrm{WF}(\mathcal{L}(f)))_{\mathbf{x}} \subset (\mathrm{WF}(f) \cap \mathcal{V}_{\mathbf{x}}) \cup \mathcal{A}_{\mathbf{x}}$$

Proof uses Hörmander-Sato Lemma. [Stefanov-Uhlmann (magnetic geodesics), Greenleaf and Uhlmann, Guillemin, Krishnan, Palamodov...]

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where $\mathcal{V}_{\mathbf{x}}$ is the set of visible singularities (normals to curves through \mathbf{x}), and $\mathcal{A}_{\mathbf{x}}$ is a set of added singularities above \mathbf{x} coming from singularities of f that are \perp to $S_{\mathbf{x}}$.

- Our algorithm can accurately show visible singularities of f .
- However, any backprojection algorithm can add (or mask) singularities to the reconstruction from singularities of f normal to $S_{\mathbf{x}}$ at points far from \mathbf{x} . This is because

► $\Pi_L : \mathcal{C} \rightarrow T^*(Y)$ is not Injective ► Π_L is not an immersion

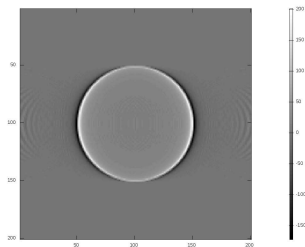
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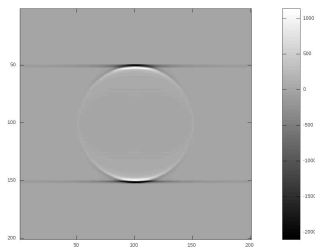
As in the admissible case, the choice of derivative can decrease the effect of the added singularities. However, here, it decreases only *nearby* added singularities!

Reconstruction of one ball. 70 angles in $[0, \pi]$. x_1 axis is vertical.

Derivative in good direction



Derivative \perp good direction



- **For admissible complexes:**
 - Greenleaf and Uhlmann's theory shows what singularities are added.
 - Choosing the right differential operator can decrease the strength of added singularities. This is behind the improved local algorithms for cone beam CT [Katsevich, Anastasio, Wang] and slant hole SPECT/conical tilt ET [QBC, QÖ]. A first order Ψ DO was suggested for cone beam CT in [FLU].

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- **For curved paths:**

- No inversion algorithm exists in general. Our algorithm is local, shows boundaries, and easy to implement.
- Added singularities are intrinsic to any backprojection algorithm for this data.
- In general, the good differential operator decreases *nearby* singularities but not all singularities (because far-away added singularities are in directions that don't get annihilated by it).

HAPPY BIRTHDAY, GUNTHER! Thanks for the beautiful math!

Fourier Integral Operators

Z and X are open subsets of \mathbb{R}^n :

$$F(f)(\mathbf{z}) = \int_{\mathbf{x} \in X, \omega \in \mathbb{R}^n} e^{i\phi(\mathbf{z}, \mathbf{x}, \omega)} p(\mathbf{z}, \mathbf{x}, \omega) f(\mathbf{x}) d\mathbf{x} d\omega$$

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Canonical Relation:

$$\mathcal{C} = \{(\mathbf{z}, \partial_{\mathbf{z}}\phi(\mathbf{z}, \mathbf{x}, \omega); \mathbf{x}, -\partial_{\mathbf{x}}\phi(\mathbf{z}, \mathbf{x}, \omega)) \mid \partial_{\omega}\phi(\mathbf{z}, \mathbf{x}, \omega) = 0\}$$

$$\begin{array}{ccc} & \mathcal{C} & \\ \swarrow \Pi_L & & \searrow \Pi_R \\ Z \times (\mathbb{R}^n \setminus \mathbf{0}) & & X \times (\mathbb{R}^n \setminus \mathbf{0}) \end{array}$$

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WF relation: $\text{WF}(F(f)) \subset \Pi_L \left(\Pi_R^{-1}(\text{WF}(f)) \right)$.

What it means: FIO change singularities in specific ways determined by the geometry of \mathcal{C} .

Pseudodifferential operators (Ψ DOs)

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Phase Function: $\phi(\mathbf{z}, \mathbf{x}, \omega) = (\mathbf{z} - \mathbf{x}) \cdot \omega$ is linear in ω , smooth.

Amplitude: $p(\mathbf{z}, \mathbf{x}, \omega)$ increases like $(1 + \|\omega\|)^s$ (order $\sim s$).

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Canonical Relation:

$$\begin{aligned} \mathcal{C} &= \{ \mathbf{z}, \partial_{\mathbf{z}} \phi(\mathbf{z}, \mathbf{x}, \omega); \mathbf{x}, -\partial_{\mathbf{x}} \phi(\mathbf{z}, \mathbf{x}, \omega) \mid \partial_{\omega} \phi(\mathbf{z}, \mathbf{x}, \omega) = 0 \} \\ &= \{ (\mathbf{z}, \omega, \mathbf{z}, \omega) \mid \mathbf{z} \in \mathbb{R}^n, \omega \in \mathbb{R}^n \setminus \mathbf{0} \} = \text{Diagonal} \end{aligned}$$

$$\begin{array}{ccc} & \mathcal{C} & \\ \swarrow \Pi_L & & \searrow \Pi_R \\ X \times (\mathbb{R}^n \setminus \mathbf{0}) & & X \times (\mathbb{R}^n \setminus \mathbf{0}) \end{array}$$

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If the rank assumption doesn't hold:

- Then $\begin{pmatrix} \partial_{\mathbf{x}} \mathbf{p}_t(\mathbf{x}) \\ \partial_t \partial_{\mathbf{x}} \mathbf{p}_t(\mathbf{x}_0) \end{pmatrix}$ has rank two.

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- If the rank is two, then $\text{span } (\partial_t \partial_{\mathbf{x}} \mathbf{p}_t(\mathbf{x}))$ is a subset of the normal plane, $\text{span } (\partial_{\mathbf{x}} \mathbf{p}_t(\mathbf{x}))$.

Theorem (QR 2012)

Π_L is not injective. Let $(t, \mathbf{y}) \in Y$ and $\eta \in \mathbb{R}^2 \setminus \mathbf{0}$. Covectors in \mathcal{C} map to the same point under Π_L **iff** they are of the form $\lambda_j := (t, \mathbf{p}_t(\mathbf{x}_j), -\eta \cdot \partial_t \mathbf{p}_t(\mathbf{x}_j) d\mathbf{t} + \eta \cdot d\mathbf{y}; \mathbf{x}_j, \eta \cdot \partial_{\mathbf{x}} \mathbf{p}_t(\mathbf{x}_j) d\mathbf{x})$ for $j = 0, 1$, where

$$\mathbf{p}_t(\mathbf{x}_0) = \mathbf{p}_t(\mathbf{x}_1) \quad (1)$$

$$\eta \cdot (\partial_t \mathbf{p}_t(\mathbf{x}_0) - \partial_t \mathbf{p}_t(\mathbf{x}_1)) = \mathbf{0}. \quad (2)$$

Condition (2) means that η is perpendicular to $\partial_t \mathbf{p}_t(\mathbf{x}_0) - \partial_t \mathbf{p}_t(\mathbf{x}_1)$. In all cases, for all \mathbf{x}_0 and \mathbf{x}_1 in $\gamma_{t, \mathbf{p}_t(\mathbf{x}_0)}$ there are covectors for which this condition holds.

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Remark

Condition (1) means that \mathbf{x}_0 and \mathbf{x}_1 both lie on the same curve, $\gamma_{t, \mathbf{p}_t(\mathbf{x}_0)} \cdot$

Theorem (QR 2012)

Π_L is not an immersion. Let

$$\lambda := (t, \mathbf{p}_t(\mathbf{x}), -\eta \cdot \partial_t \mathbf{p}_t(\mathbf{x}) d\mathbf{t} + \eta \cdot d\mathbf{y}; \mathbf{x}, \eta \cdot \partial_{\mathbf{x}} \mathbf{p}_t(\mathbf{x}) d\mathbf{x}) \in \mathcal{C}.$$

Π_L is not an immersion at λ **iff**

$$\eta \cdot \partial_t \partial_{\mathbf{x}} \mathbf{p}_t(\mathbf{x}) \in \text{span}(\partial_{\mathbf{x}} \mathbf{p}_t(\mathbf{x})). \quad (3)$$

For each (t, \mathbf{x}) there is a one-dimensional set of such covectors λ .

Description of $D(t, \mathbf{x})$

For each (t, \mathbf{y}) and $\mathbf{x} \in \gamma_{t, \mathbf{y}}$, we choose a unit tangent vector \mathbf{v} to $\gamma_{t, \mathbf{y}}$ at \mathbf{x} and we let

$$\eta_0 = (\partial_t \partial_{\mathbf{x}} \mathbf{p}_t(\mathbf{x}) \mathbf{v})^t \quad D = D(t, \mathbf{y}) = (\partial_{\eta_0})^2$$

where D operates on the \mathbf{y} coordinate.

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The covectors above $(t, \mathbf{p}_t(\mathbf{x}), \mathbf{x})$

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on which Π_L is not an injective immersion are those for which η satisfies

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