

Active exterior cloaking

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Collaborators

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Cloaking types

Interior/Exterior: Is the object hidden inside or outside a device?

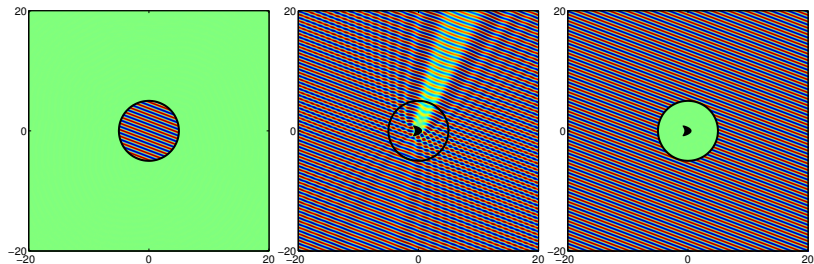
Passive/Active: Are sources needed to cloak?

- **Passive Interior**
 - **Transformation based cloaking:** Leonhardt; Cummer, Pendry, Schurig, Smith; Greenleaf, Kurylev, Lassas, Uhlmann; Farhat, Enoch, Guenneau; Kohn, Onofrei, Shen, Vogelius, Weinstein; Cai, Chettiar, Kildishev, Shalaev; ...
 - **Plasmonic cloaking:** Alù, Engheta.
- **Passive Exterior**
 - **Anomalous resonances:** McPhedran, Milton, Nicorovici.
 - **Complementary media:** Lai, Chen, Zhang, Chan.
 - **Plasmonic cloaking:** Alù, Engheta, ...
- **Active Interior:** Miller
- **Active Exterior:**
 - Onofrei, Ren: integral equation framework
 - This work: (Laplace and) Helmholtz equations.

Helmholtz equation

$$\Delta u + k^2 u = 0$$

Active interior cloaking



Proposed by Miller 2001, but well known in acoustics since the 60s (Malyuzhinets; Jessel and Mangiante;...)

Green's identity

Let D be a domain in \mathbb{R}^d ($d = 2$ or 3) with Lipschitz boundary.

$$\begin{aligned} u_d(\mathbf{x}) &= \int_{\partial D} dS_{\mathbf{y}} \{ -(\mathbf{n}(\mathbf{y}) \cdot \nabla_{\mathbf{y}} u_i(\mathbf{y})) G(\mathbf{x}, \mathbf{y}) + u_i(\mathbf{y}) \mathbf{n}(\mathbf{y}) \cdot \nabla_{\mathbf{y}} G(\mathbf{x}, \mathbf{y}) \} \\ &= \begin{cases} -u_i(\mathbf{x}), & \text{if } \mathbf{x} \in D \\ 0, & \text{otherwise,} \end{cases} \end{aligned}$$

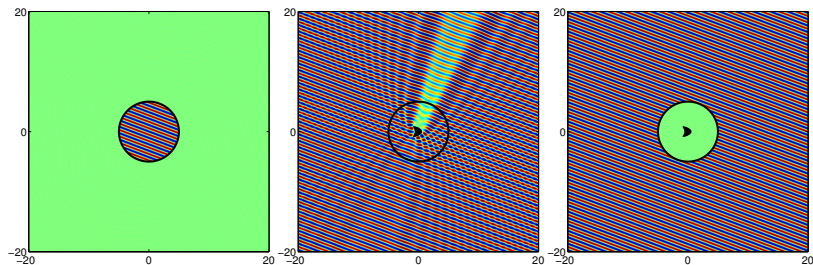
where the Green's function for the Helmholtz equation is

$$G(\mathbf{x}, \mathbf{y}) = \begin{cases} \frac{i}{4} H_0^{(1)}(k|\mathbf{x} - \mathbf{y}|) & \text{in 2D} \\ \frac{e^{ik|\mathbf{x} - \mathbf{y}|}}{4\pi|\mathbf{x} - \mathbf{y}|} & \text{in 3D} \end{cases}$$

\leadsto we get a single and double layer potential on ∂D so that

- $u_i + u_d = 0$ in D
- $u_d = 0$ in $\mathbb{R}^d \setminus D$.

Active interior cloaking



- With Green's identities: The object is completely surrounded by the cloak.
- To get exterior cloaking: replace the single and double layer potential in Green's identities by a few devices.

Active exterior cloaking (in 2D)

Devices' field u_{dev} must satisfy Helmholtz equation with Sommerfeld radiation condition. For point-like devices located at positions \mathbf{x}_j :

$$u_{\text{d}}(\mathbf{x}) = \sum_{j=1}^{n_{\text{dev}}} \sum_{m=-\infty}^{\infty} b_{j,m} V_m(\mathbf{x} - \mathbf{x}_j),$$

where the radiating solutions to the Helmholtz equation are

$$V_m(\mathbf{x}) \equiv H_m^{(1)}(k|\mathbf{x}|) \exp[i m \arg(\mathbf{x})].$$

Designing devices that mimic Green's identities

We need:

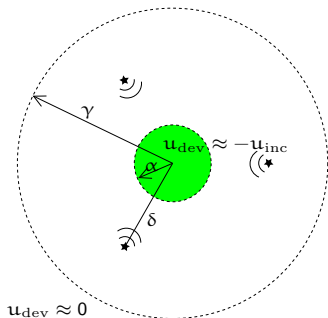
(a) $u_{\text{dev}}(\mathbf{x}) \approx -u_{\text{inc}}(\mathbf{x})$ for $|\mathbf{x}| \leq \alpha$

(b) $u_{\text{dev}}(\mathbf{x}) \approx 0$ for $|\mathbf{x}| \geq \gamma$

Since $u_{\text{tot}} = u_i + u_d + u_{\text{scat}}$,

(a) $\Rightarrow u_{\text{tot}}(\mathbf{x}) \approx 0$ for $|\mathbf{x}| \leq \alpha$

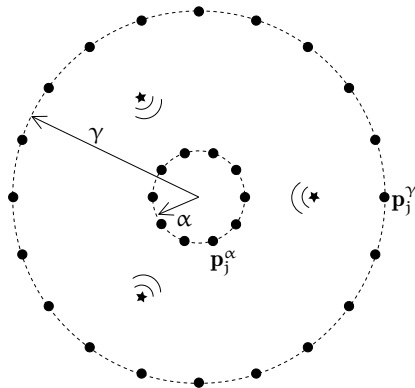
(b) $\Rightarrow u_{\text{tot}}(\mathbf{x}) \approx u_{\text{inc}}(\mathbf{x})$ for $|\mathbf{x}| \geq \gamma$



Caveats

- We need to know the incident field in advance, from e.g. sensors.
- Information from sensors needs to travel faster than incident field (OK for acoustics. For electromagnetics: periodicity?)
- Need very accurate reproduction of incident field (OK in controlled environments like MRI?)

Finding the coefficients numerically



$$(a') \quad u_{\text{dev}}(\mathbf{x}) \approx -u_{\text{inc}}(\mathbf{x}) \quad \text{for } |\mathbf{x}| = \alpha$$

$$(b') \quad u_{\text{dev}}(\mathbf{x}) \approx 0 \quad \text{for } |\mathbf{x}| = \gamma$$

Construct matrices \mathbf{A} , \mathbf{B} s.t.

$$\mathbf{A}\mathbf{b} = [u_{\text{dev}}(\mathbf{p}_1^\alpha), \dots, u_{\text{dev}}(\mathbf{p}_{N^\alpha}^\alpha)]^T,$$

$$\mathbf{B}\mathbf{b} = [u_{\text{dev}}(\mathbf{p}_1^\gamma), \dots, u_{\text{dev}}(\mathbf{p}_{N^\gamma}^\gamma)]^T,$$

where $\mathbf{b} \in \mathbb{C}^{(2M+1)D}$

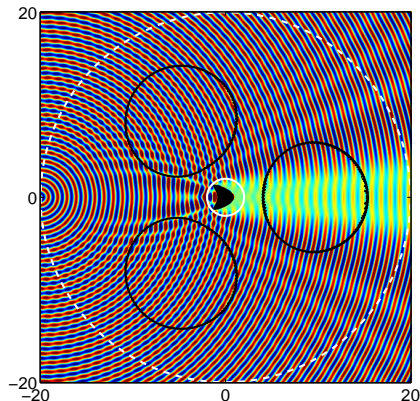
\equiv device coefficients.

$$1. \text{ Find } \mathbf{b}_0 = \operatorname{argmin} \|\mathbf{A}\mathbf{b} + u_{\text{inc}}(|\mathbf{x}| = |\alpha|)\|_2^2 \quad (\text{enforce } (a'))$$

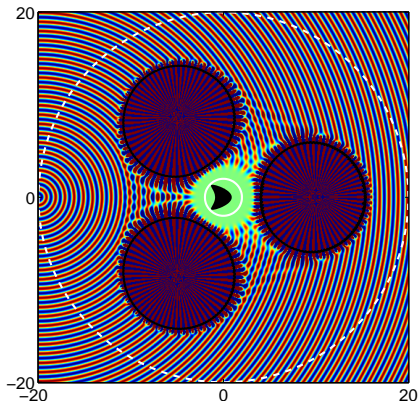
$$2. \text{ Find } \mathbf{b}_* = \operatorname{argmin}_{\mathbf{A}\mathbf{b} = \mathbf{A}\mathbf{b}_0} \|\mathbf{B}\mathbf{b}\|_2^2 \quad (\text{enforce } (b'))$$

Cloaking for one single frequency

Inactive devices

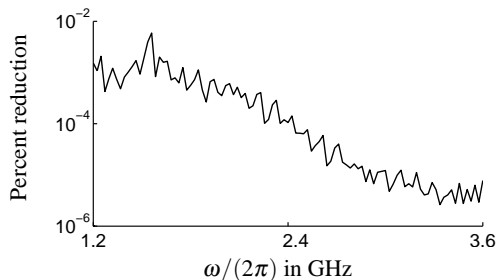


Active devices



$$c = 3 \times 10^8 \text{ m/s}, \lambda = 12.5 \text{ cm}, \omega/(2\pi) = 2.4 \text{ GHz}$$
$$\alpha = 2\lambda, \delta = 5\lambda, \gamma = 10\lambda.$$

Scattering reduction

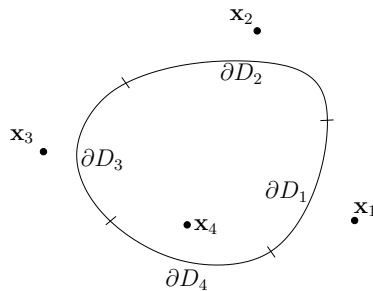


$$c = 3 \times 10^8 \text{ m/s}, \lambda_0 = 12.5 \text{ cm}, \omega/(2\pi) \in [1.2, 3.6] \text{ GHz}$$

Devices for many frequencies (pulse)

By superposition principle: sum device fields for many ω to get cloaking in a bandwidth (i.e. in the time domain).

Green cloak devices idea



Idea The contribution of portion ∂D_j to the single and double layer potentials in Green's formula is replaced by a multipolar source located at $x_j \notin \partial D$.

Graf's addition formula

The Green's function $G(\mathbf{x}, \mathbf{y})$ can be written as a superposition of sources located at \mathbf{x}_j :

$$\begin{aligned} G(\mathbf{x}, \mathbf{y}) &= \frac{i}{4} H_0^{(1)}(k |\mathbf{x} - \mathbf{x}_j - (\mathbf{y} - \mathbf{x}_j)|) \\ &= \frac{i}{4} \sum_{m=-\infty}^{\infty} V_m(\mathbf{x} - \mathbf{x}_j) \overline{U_m(\mathbf{y} - \mathbf{x}_j)}, \end{aligned}$$

where the entire cylindrical waves are

$$U_m(\mathbf{x}) \equiv J_m(k |\mathbf{x}|) \exp[i m \arg(\mathbf{x})]$$

and the sum converges uniformly in compact subsets of $|\mathbf{x} - \mathbf{x}_j| > |\mathbf{y} - \mathbf{x}_j|$.

Use summation formula to “move” monopoles and dipoles from a portion of the boundary to the corresponding \mathbf{x}_j .

Green cloak devices

The device field

$$u_d(\mathbf{x}) = \sum_{j=1}^{n_{\text{dev}}} \sum_{m=-\infty}^{\infty} b_{j,m} V_m(\mathbf{x} - \mathbf{x}_j),$$

with

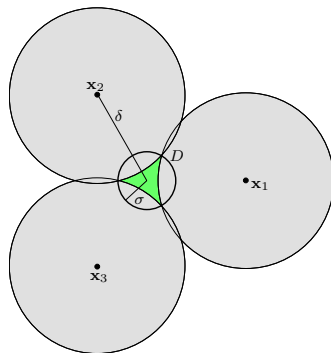
$$b_{j,m} = \int_{\partial D_j} dS_{\mathbf{y}} \{ (-\mathbf{n}(\mathbf{y}) \cdot \nabla_{\mathbf{y}} u_i(\mathbf{y})) \overline{U_m(\mathbf{y} - \mathbf{x}_j)} \\ + u_i(\mathbf{y}) \mathbf{n}(\mathbf{y}) \cdot \nabla_{\mathbf{y}} \overline{U_m(\mathbf{y} - \mathbf{x}_j)} \}$$

converges (uniformly in compact subsets) outside of the region

$$R = \bigcup_{l=1}^{n_{\text{dev}}} B \left(\mathbf{x}_l, \sup_{\mathbf{y} \in \partial D_l} |\mathbf{y} - \mathbf{x}_l| \right).$$

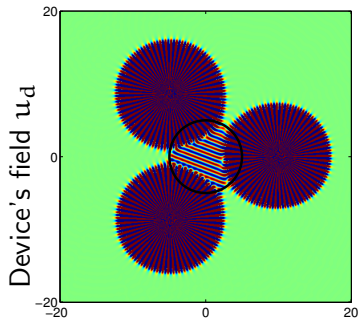
A specific configuration

With $D = B(0, \sigma)$ and devices $|\mathbf{x}_j| = \delta$:

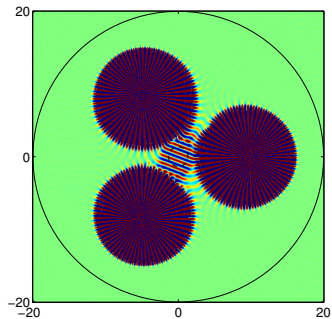
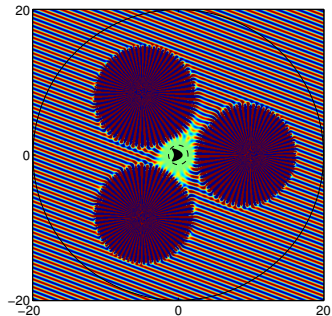
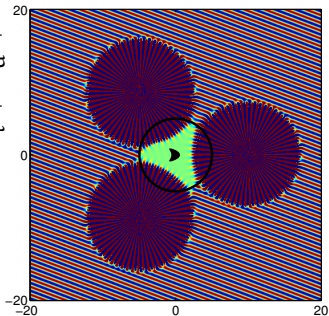


- Gray disks have radius: $r(\sigma, \delta) = ((\sigma - \delta/2)^2 + 3\delta^2/4)^{1/2}$.
- Largest disk in cloaked region radius: $r_{\text{eff}}(\sigma, \delta) = \delta - r(\sigma, \delta)$.
- Largest cloaked region ($\sigma^* = \delta/2$):
 $r_{\text{eff}}^*(\delta) = (1 - \sqrt{3}/2)\delta \approx 0.13\delta$.

Green's formula

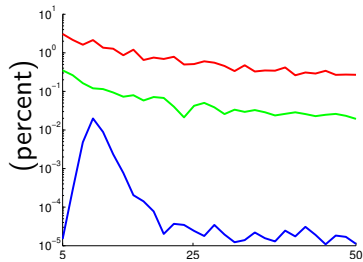


SVD

Total field $u_i + u_d + u_s$ 

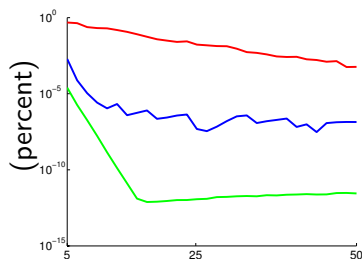
Cloak performance

$\|u_i + u_d\|/\|u_i\|$ on $|x| = (1 - \sqrt{3}/2)\delta$



(a): δ (in λ)

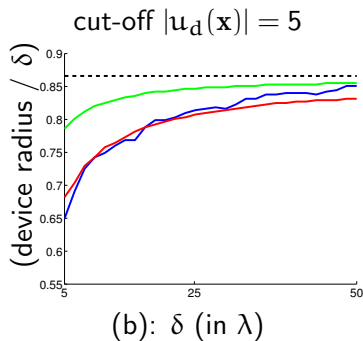
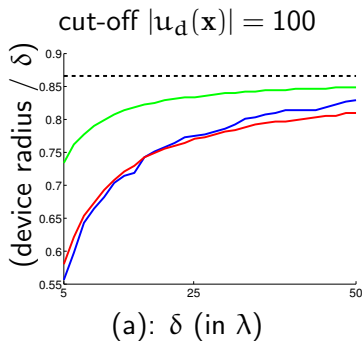
$\|u_d\|/\|u_i\|$ on $|x| = 2\delta$



(b): δ (in λ)

- blue: SVD method with $M(\delta)$ terms
- red: Green's identity method with $M(\delta)$ terms
- green: Green's identity method with $2M(\delta)$ terms

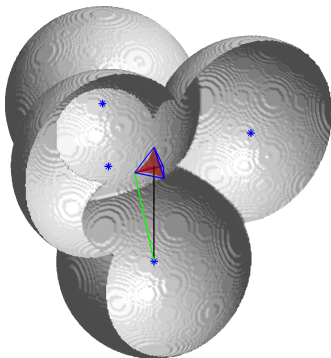
Size of the “throats”



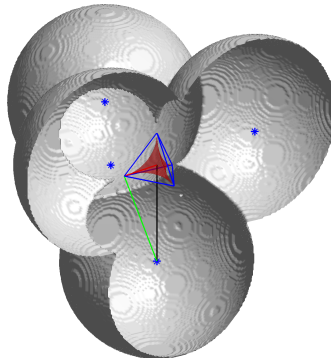
Estimated device radius relative to δ for different values of δ .

Cloaking for Helmholtz equation in 3D

With $D = \text{tetrahedron inscribed in } B(0, \sigma)$, devices $|\mathbf{x}_j| = \delta$:

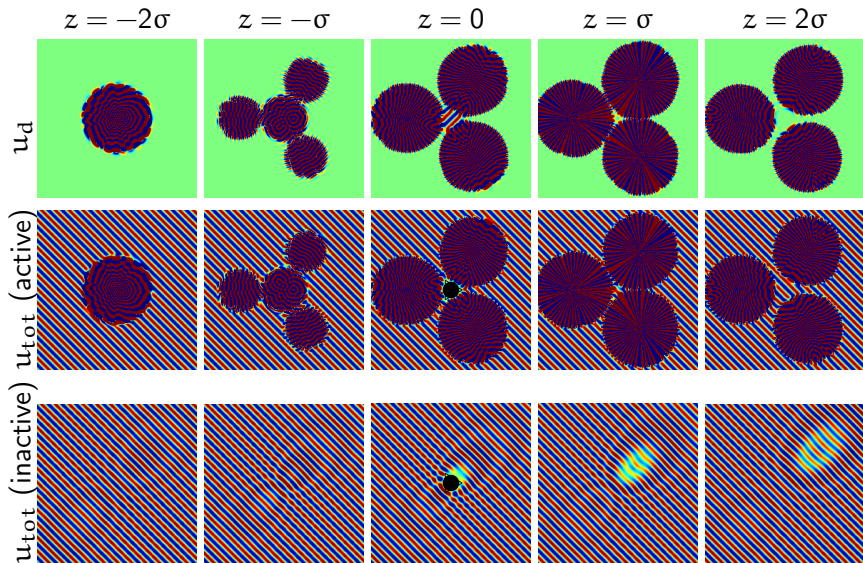


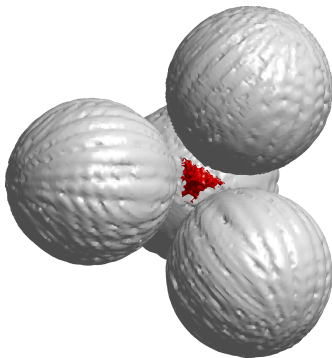
(a) suboptimal, $\sigma = \delta/5$



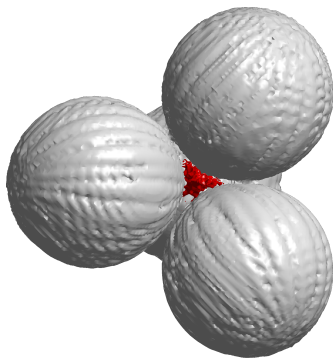
(b) optimal, $\sigma = \delta/3$

- Radius of gray balls: $r(\sigma, \delta) = \left(\left(\sigma - \frac{\delta}{3} \right)^2 + \frac{8}{9} \delta^2 \right)^{\frac{1}{2}}$. (green)
- Largest ball in cloaked region: $r_{\text{eff}}(\sigma, \delta) = \delta - r(\sigma, \delta)$. (red)
- Largest cloaked region: $r_{\text{eff}}^* = \left(1 - \frac{2\sqrt{2}}{3} \right) \delta \approx 0.057\delta$.



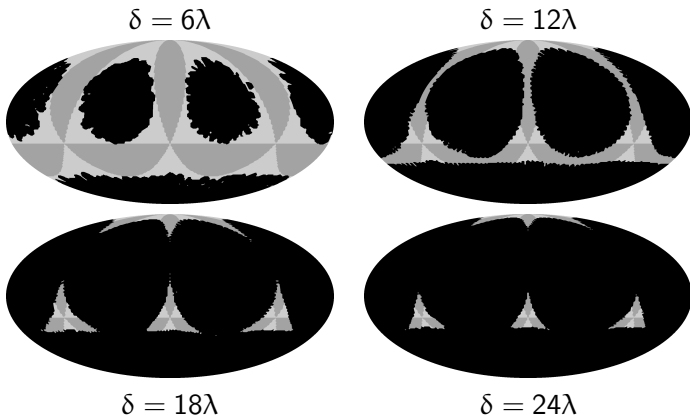


$$|u_d| = 100$$



$$|u_d| = 5$$

Contours of $|u_d|$ (gray) and $|u_d + u_i| = 10^{-2}$ (red).



Cross-section of level set $|u_d| \geq 10^2$ (black) and of the region R (shades of gray) on the sphere $|x| = \sigma$ for the optimal $\sigma = \delta/3$.

Main ingredients for Helmholtz 3D active cloaking

- **Green's identity:** mono- and dipole density on ∂D reproduces incident field u_i in D .
- **Device Ansatz:**

$$u_d(\mathbf{x}) = \sum_{l=1}^{n_{dev}} \sum_{n=0}^{\infty} \sum_{m=-n}^n b_{l,n,m} V_n^m(\mathbf{x} - \mathbf{x}_l).$$

- **Movable source:** (Graf's Identity)

$$G(\mathbf{x}, \mathbf{y}) = \text{linear combination of } V_n^m(\mathbf{x} - \mathbf{x}_l).$$

Main ingredients for Maxwell active cloaking

- **Stratton-Chu Formula**: magnetic and electric dipole density on ∂D reproduces incident field E_i, H_i in D .
- **Device Ansatz**:

$$E_d(\mathbf{x}) = \sum_{l=1}^{n_{\text{dev}}} \sum_{n=1}^{\infty} \sum_{m=-n}^n a_{l,n,m} \nabla \times ((\mathbf{x} - \mathbf{x}_l) V_n^m(\mathbf{x} - \mathbf{x}_l)) \\ + b_{l,n,m} \nabla \times \nabla \times ((\mathbf{x} - \mathbf{x}_l) V_n^m(\mathbf{x} - \mathbf{x}_l))$$

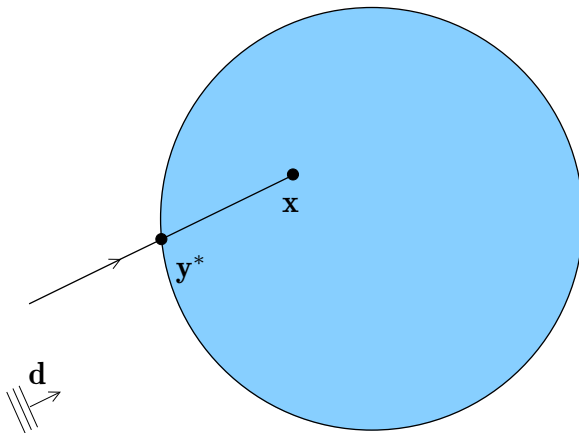
- **Movable source**: (vector addition theorem)

$$G(\mathbf{x}, \mathbf{y}) \mathbf{p} = \text{linear combination of} \\ \nabla \times ((\mathbf{x} - \mathbf{x}_l) V_n^m(\mathbf{x} - \mathbf{x}_l)), \\ \nabla \times \nabla \times ((\mathbf{x} - \mathbf{x}_l) V_n^m(\mathbf{x} - \mathbf{x}_l)), \text{ and} \\ \nabla V_n^m(\mathbf{x} - \mathbf{x}_l).$$

(REU with Michael Bentley)

Directionality with stationary phase method

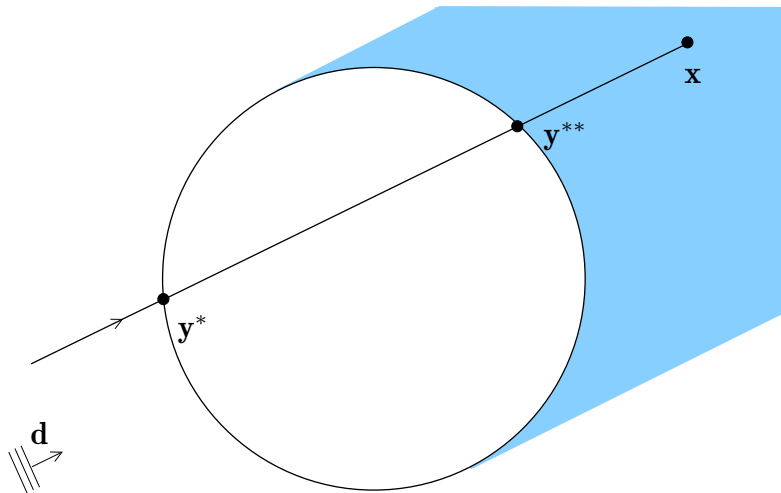
$$u(\mathbf{x}) = \exp[i\mathbf{k}\mathbf{d} \cdot \mathbf{x}]$$



(with Leonid Kunyansky)

Directionality with stationary phase method

$$u(\mathbf{x}) = 0$$



(with Leonid Kunyansky)

Future work

- Time domain problems (active control of waves)
- Approximate Green's identities with a few devices while enforcing a constraint (e.g. penalize size of devices)

Thank you!