Back and Forth from Model Theory to Number Theory: Structures of Lang-type

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Contents

O-minimality

- Definition of O-minimality
- O-minimal Structures Related to Elliptic Curves
- Pila-Wilkie

Number Theoretic Conjectures

- General Form of Conjectures
- Examples
- Pila-Zannier Strategy

3 Applications to Model Theory

- Structures of Lang Type
- Further Applications

O-minimality

We call an expansion of the real field, $\mathcal{R} = (\mathbb{R}, +, \cdot, \leq, ...)$ o-minimal if every definable subset of the real line is a finite union of point and intervals.

Examples

- $\overline{\mathbb{R}} = (\mathbb{R}, +, -, \cdot, 0, 1, \leq)$
- $\mathbb{R}_{exp} = (\overline{\mathbb{R}}, exp)$ (Wilkie)
- $\mathbb{R}_{\mathrm{an}} = (\overline{\mathbb{R}}, (f|_{[0,1]^n} : f \in C^{\infty}(U), \ [0,1]^n \subseteq U)_{n \in \mathbb{N}})$ (Gabrielov)

Let $\Lambda = \omega_1 \mathbb{Z} + \omega_2 \mathbb{Z}$ be a complex lattice with ω_1, ω_2 linearly independent over \mathbb{R} . We call the quotient \mathbb{C}/Λ an elliptic curve.

Definition

An elliptic curve, \mathcal{E} , is a projective variety given by the zero set of the homogenisation of a non-singular cubic polynomial in the form $y^2 = 4x^3 + ax + b$ equipped with an algebraic group structure.

Definition (Weierstrass *p*-function)

For any elliptic curve \mathbb{C}/Λ we can define its Weierstrass \wp function.

$$\wp(z,\Lambda) := \frac{1}{z^2} \sum_{\omega \in \Lambda \setminus \{0\}} \left(\frac{1}{(z-\omega)^2} - \frac{1}{\omega^2} \right)$$

Let $\exp_{\mathcal{E}} : \mathbb{C}/\Lambda \to \mathcal{E}$ be the map $\exp_{\mathcal{E}} : z \mapsto [1 : \wp(z) : \frac{1}{2}\wp'(z)].$

Fact

Every lattice Λ is isomorphic to one with generators $\{1, \tau\}$ for some $\tau \in \mathbb{H}$. Furthermore $\tau_1, \tau_2 \in \mathbb{H}$ define isomorphic lattices if and only if there exists some $g \in SL(2,\mathbb{Z})$ such that $\tau_1 = g\tau_2$.

Fact

Let $\Lambda = \mathbb{Z} + \tau \mathbb{Z}$. The torsion points of \mathbb{C}/Λ are the points of the form $\mathbb{Q} + \tau \mathbb{Q}$.

The *j*-function is an $SL(2,\mathbb{Z})$ -periodic function from $\mathbb{H} \to \mathbb{C}$. In other words, the *j*-function is a bijective map from the space of isomorphism classes of elliptic curves to \mathbb{C} .



The point $(\tau, j(\tau))$ is algebraic if and only if τ is a quadratic integer.

Fact

The restriction of \wp to a compact set is definable in \mathcal{R}_{an} .

Fact (Peterzil and Starchenko)

The structure $(\overline{\mathbb{R}}, j|_{\mathcal{F}})$ is o-minimal.

Let $X \subseteq \mathbb{R}^n$ be definable in some o-minimal expansion of $\overline{\mathbb{R}}$. We define the algebraic part of X, X^{alg} as the union of all connected semi-algebraic subsets of X with dimension greater than or equal to 1. Define $X^{\text{trans}} = X \setminus X^{\text{alg}}$.

Definition (Height)

- For $q \in \mathbb{Q}$ with q = a/b and gcd(a, b) = 1 define $H(q) = \max\{|a|, |b|\}.$
- For $p \in \overline{\mathbb{Q}}$ with minimal polynomial over \mathbb{Q} , $q_0 + \ldots + q_{n-1}x^{n-1} + x^n$, define $H(p) = \max\{H(q_0), H(q_1), \ldots H(q_{n-1}), 1\}$.

Let $X \subset \mathbb{R}^n$ be a set. Let K be a number field and let H > 1. We define #X(K, H) as number of K-points in X of height at most H.

Theorem (Pila-Wilkie[3])

Let $X \subseteq \mathbb{R}^n$ be definable in some o-minimal expansion of $\overline{\mathbb{R}}$. Let $\varepsilon > 0$ and let K be a number field of degree d. Let H > 1. Then there exist $c(\varepsilon, d)$ such that

 $\#X^{trans}(K,H) \le c(\varepsilon,d)H^{\varepsilon}$

Special Point Conjectures

Statement

Let $A \subset \mathbb{C}^n$ be a semi-algebraic set and let S be a set of special points. Let $X \subset A^n$ be an algebraic variety. Then the Zariski closure $X \cap S^n$ is a finite union of special varieties.

| Semi-Algebraic Set | Special Points | Special Varieties |
|-----------------------|-----------------------------------|---------------------------|
| \mathbb{C}^{\times} | roots of unity | gH |
| \mathbb{C} | <i>j</i> -invariants of CM curves | $\sigma, \Phi_N(X,Y) = 0$ |
| \mathcal{E} | torsion points | $c\oplus H$ |

Theorem (Mordell-Lang (Faltings, Hrushovski))

Let $A \subseteq \mathbb{C}^n$ be an abelian variety. Let Γ be a finitely generated subgroup of A. Let $X \subseteq A$ be a subvariety of A. Then the Zariski closure of $X \cap \Gamma$ is the union of finitely many translates of abelian subvarieties of A.

Theorem (Manin-Mumford (Raynaud, Hrushovski))

Let $A \subseteq \mathbb{C}^n$ be an abelian variety. Let $X \subseteq A$ be a subvariety of A defined over some number field. Then the Zariski closure of $X \cap A_{tors}$ is the union of finitely many translates of abelian subvarieties of A by torsion points.

Theorem (André-Oort (*j*-function) (Pila [2]))

Let $X \subseteq \mathbb{C}^n$ be a variety. Let Σ be the *j*-invariants of CM-elliptic curves. Let $\Phi_N(X, Y)$ be the *N*th modular polynomial for each $N \in \mathbb{N}$. Then the Zariski closure of $X \cap \Sigma^n$ is a finite union of irreducible components of special subvarieties of X defined using modular polynomials and points from Σ .

- Move to an o-minimal setting with definable function, f such that $f^{-1}(S^n)$ belongs to some number field.
- 2 Let $Y = f^{-1}(X)$.
- Show that $\overline{f(Y^{alg}) \cap S}$ is union of irreducible components of special subvarieties by using Ax-Schanuel/functional transcendence argument. In his paper on the André-Oort conjecture, Pila also makes use of Pila-Wilkie during this step.
- Show that Y^{trans} contains the pre-image of finitely many special points. This part combines Pila-Wilkie with Galois bounds.
- Show that $\overline{f(Y^{\text{alg}}) \cap S}$ is a finite union, usually by a degree bounds on the special subvarieties.

| Set | S | f | Structure | $f^{-1}(S)$ |
|-----------------------|-----------------|----------------------|---|--------------------------------|
| \mathbb{C}^{\times} | roots of unity | $\exp(2\pi i x)$ | \mathbb{R}_{exp} | Q |
| \mathbb{C} | singular moduli | j(x) | $(\overline{\mathbb{R}}, j _{\mathcal{F}})$ | quadratic integers |
| ${\mathcal E}$ | torsion points | $\exp_{\mathcal{E}}$ | \mathbb{R}_{an} | $\mathbb{Q}	imes \mathbb{Q}^1$ |

¹In reality $exp_{\mathcal{E}}^{-1}(S)$ will be the set $\mathbb{Q} + \tau \mathbb{Q}$ for some $\tau \in \mathbb{H}$ so some additional work must be done to arrive at $\mathbb{Q} \times \mathbb{Q}$

Applications to Model Theory

An L-theory T has quantifier elimination if every L-formula is equivalent to a quantifier free formula.

Examples

- Let $L = \{+, -, \cdot, 0, 1\}$. The L-theory of algebraically closed fields has quantifier elimination.
- Let $L = \{+, -, \cdot, 0, 1, \leq\}$. The *L*-theory of real closed ordered fields quantifier elimination.

Definition (Stability)

A complete first order theory T is said to be κ -stable for some cardinal κ if for any subset A of the monster model such that $|A| < \kappa$ the set of complete types over A has cardinality κ . T is called stable if it is stable for some cardinal κ .

Examples

- Let $L = \{+, -, \cdot, 0, 1\}$. The L-theory of algebraically closed fields is ω -stable.
- Let $L = \{+, -, \cdot, 0, 1, \leq\}$. The L-theory of real closed ordered fields is not stable

Definition (Lang-type (Pillay [4]))

Let K be an algebraically closed field, and A a commutative algebraic group over K. Let Γ be a subgroup of A. We say that the triple (K, A, Γ) is of Lang-type if for every $n < \omega$ and every subvariety X of A^n , $X \cap \Gamma^n$ is a finite union of cosets.

Theorem (Manin-Mumford (Raynaud, Hrushovski))

Let $A \subseteq \mathbb{C}^n$ be an abelian variety. Let $X \subseteq A$ be a subvariety of A defined over some number field. Then the Zariski closure of $X \cap A_{tors}$ is the union of finitely many translates of abelian subvarieties of A by torsion points.

Theorem ((Pillay [4]))

Let K be an algebraically closed field, A a commutative algebraic group over K, and Γ a subgroup of A. Then (K, A, Γ) is of Lang-type if and only if $Th(K, +, ., \Gamma, a)_{a \in K}$ is stable, and the formula $x \in \Gamma$ is one-based.

Definition (Mordell-Lang Property[1])

Let K be a field and $G \subseteq K^n$ be a subgroup of a one dimensional group definable in K, (A, \oplus) . Let L be a subfield of K. We say that G has the Mordell-Lang property over L if for any $p \in L[X_1, \ldots, X_{mn}]$ there exists $t \in \mathbb{N}, g_1, \ldots, g_t \in A^m$ and H_1, \ldots, H_m subgroups of G^m such that

$$V(p) = \bigcup_{i=1}^{t} g_i \oplus (H_i \cap G^m)$$

and each H_i is the kernel of a system of linear equations over A.

Theorem (Günaydin and Hieronymi[1])

Let \mathcal{R} be a real closed field. Let (\mathbb{A}, \oplus) be a 1-dimensional *t*-connected group definable in \mathbb{R}^n . Let L be the language of ordered rings together with an *n*-ary predicate P. Let Γ be a *t*-dense subgroup of $\mathbb{A}(\mathbb{R})$ satisfying a Mordell-Lang Condition. If the theory, T of $(\mathcal{R}, \mathcal{G})$ where P is a predicate for G models:

- G is a *t*-dense subgroup of $\mathbb{A}(R)$,
- for all n > 0 for all $g \in G$ if $ng \in \Gamma$, then $g \in \Gamma$,
- for all n > 0, $|G/nG| = |\Gamma/n\Gamma|$,
- G satisfies the same Mordell-Lang condition as Γ

then every L-formula is equivalent modulo T to a boolean combination of ones in the form

$$\exists x_1^1 \dots \exists x_n^m \bigwedge Q(x_1^i, \dots, x_n^i) \land \varphi(x, y)$$

where φ is a quantifier free formula in the language of ordered rings.

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