## INTRODUCTION TO p-ADIC HODGE THEORY

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## 1. INTRODUCTION

Fix l a prime. Let  $G := \operatorname{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  the absolute Galois group. A l-adic Galois representations (of G) is a continuous linear representation of G on a finite dimensional  $\mathbb{Q}_l$ -vector space. The following examples of l-adic Galois representations are important:

**Example 1.1** (*l*-adic cyclotomic character). Let  $\mu_{l^n}$  be the group of  $l^n$ -th roots of unity. G acts on  $\mu_{l^n}$ , hence on  $\lim_{n \to \infty} \mu_{l^n} \simeq \mathbb{Z}_l$ . Therefore, we get 1 dimensional *l*-adic Galois representation:  $\epsilon : G \to \operatorname{Aut}_{\mathbb{Z}_l}(\mathbb{Z}_l) = \mathbb{Z}_l^*$ , the *l*-adic cyclotomic character.

**Example 1.2** (*l*-adic Tate module). Let A be an abelian variety over  $\mathbb{Q}$  of dimension g. Let  $A[l^n] := \{x \in A(\overline{\mathbb{Q}}_l) | l^n x = 0\}$ . Then  $A[l^n] \simeq \bigoplus_{i=1}^{2g} \mathbb{Z}/l^n \mathbb{Z}$  and G acts on  $A[l^n]$ . Therefore G acts on the *l*-adic Tate module  $T_l(A) = \lim_{n \to \infty} A[l^n]$ . Then  $V_l(A) := T_l(A) \otimes_{\mathbb{Z}_l} \mathbb{Q}_l$  is 2g dimensional *l*-adic Galois representation.

Let p be a prime and  $G_p$  a decomposition group at p. We may identify  $G_p$  with the local Galois group  $\operatorname{Gal}(\overline{\mathbb{Q}}_p/\mathbb{Q}_p)$  at p. We always have the exact sequence:

$$1 \to I_p \to G_p \to \operatorname{Gal}(\bar{\mathbb{F}}_p/\mathbb{F}_p) \to 0.$$

Let  $\rho: G \to \operatorname{Aut}_{\mathbb{Q}_l}(V)$  be a *l*-adic Galois representation. We call  $\rho$  is unramified at p if  $\rho(I_p)$  is trivial.

**Example 1.3** (modular representations). Let  $f \in S_k(\Gamma(N))$  be a newform with weight k and level N. Suppose that the Fourier expansion is  $f(z) = \sum_{n=1}^{\infty} a_n e^{2\pi i n z/N}$  with  $a_n = 1$ . Then for any l, one<sup>1</sup> can construct a l-adic representation  $\rho_{f,l} : G \to GL_2(E)$ , where E is a finite extension of  $\mathbb{Q}_l$  containing all  $a_n$ . For any  $p \nmid Nl, \rho_{f,l}$  is unramified at p and trace $(\rho_{f,l})(\operatorname{Fr}_p) = a_p$  where  $\operatorname{Fr}_p$  is the Frobenius at p.

**Example 1.4** (*l*-adic cohomology). Let X be an algebraic variety over  $\mathbb{Q}$ . We can define *l*-adic étale cohomology <sup>2</sup>  $\mathrm{H}^{i}_{\mathrm{\acute{e}t}}(X \otimes \overline{\mathbb{Q}}, \mathbb{Z}_{l})$  and  $\mathrm{H}^{i}_{\mathrm{\acute{e}t}}(X \otimes \overline{\mathbb{Q}}, \mathbb{Q}_{l}) := \mathrm{H}^{i}_{\mathrm{\acute{e}t}}(X \otimes \overline{\mathbb{Q}}, \mathbb{Z}_{l}) \otimes_{\mathbb{Z}_{l}} \mathbb{Q}_{l}$ . Example 1.1, 1.2, are the dual of the *l*-adic étale cohomology where i = 1 and X is respectively the multiplicative group  $\mathbb{G}_{m}$  and abelian varieties. Example 1.3, are essentially comes from an *l*-adic étale cohomology via a much more dedicated construction.

<sup>&</sup>lt;sup>1</sup>The construction is highly non-trivial, see [Con] for details. In general, it is still an open question to construct a l-adic Galois representation from a general automorphic form

<sup>&</sup>lt;sup>2</sup>See SGA 4 or Milne's book: *Lectures on Etale Cohomology*, available at http://www.jmilne.org/math/index.html

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All our examples of *l*-adic representations come from geometry. One of the key questions in this area is that how we can describe those *l*-adic Galois representations from algebraic geometry by purely Galois representation theoretic language.

If  $\rho$  is a *l*-adic representation from geometry, then one can prove that  $\rho$  is unramified except finitely many places. This is mainly due to the fact that a variety over  $\mathbb{Q}$  always has an integral model over  $\mathbb{Z}$  which is smooth except finitely many places. In fact, for any  $p \neq l$ , one can prove that  $\rho|_{G_p}$  is not far from being unramified. (See the fourth lecture in details).

On the other hand, when l = p,  $\rho_p := \rho|_{G_p}$  can be much more complicated even if  $\rho$  comes from geometry. In particular,  $\rho_p$  can be very ramified, i.e.,  $\rho(I_p)$  has very huge image (For an example, *p*-adic cyclotomic character). To deal with this situation, Fontaine invent the *p*-adic theory to classified those *p*-adic representations from Geometry.

In general, *p*-adic representations always refer to those continuous representations of  $G_p$  to finite dimensional  $\mathbb{Q}_p$ -vector spaces.

A *p*-adic representation V of  $G_p$  is called *semi-stable* if  $\dim_{\mathbb{Q}_p}(B_{\mathrm{st}} \otimes_{\mathbb{Q}_p} V)^{G_p} = \dim_{\mathbb{Q}_p} V$ , where  $B_{\mathrm{st}}$  is a huge  $\mathbb{Q}_p$ -algebra with a continuous  $G_p$ -action and other extra structures. The construction of  $B_{\mathrm{st}}$  (See also [Fon94]) and the classification of semi-stable representation (by admissible filtered  $(\varphi, N)$ -module) consists the main body of this mini course<sup>3</sup>.

A *p*-adic Galois representation  $\rho$  is called *potentially semi-stable* if there exists a finite extension  $K/\mathbb{Q}_p$  such that  $\rho$  restricted to  $\operatorname{Gal}(\overline{\mathbb{Q}}_p/K)$  is semi-stable. By Tsuji's comparison theorem ([Tsu99]), we know that *p*-adic étale cohomology  $\operatorname{H}^i(X \otimes_{\mathbb{Q}} \overline{\mathbb{Q}}, \mathbb{Q}_p)$  is always potentially semi-stable. Hence any *p*-adic representation from geometry is potentially semi-stable. In particular, if  $\rho$  is the *l*-adic representations from Example 1.1, 1.2 and 1.3,  $\rho|_{G_p}$  is potentially semi-stable. Therefore Fontaine and Mazur ([FM95]) made the following remarkable conjecture:

**Conjecture 1.5** (Fontaine-Mazur). (1) Let  $\rho : G \to \operatorname{GL}_n(\mathbb{Q}_l)$  be a continuous representation, which is irreducible, unramified away from finitely many primes, and whose restriction to every decomposition group over l is potentially semi-stable. Then  $\rho$  is geometric in the sense that, up to a twist, it appears as a subquotient in the étale cohomology of a finite type  $\mathbb{Q}$ -scheme.

(2) Let  $E/\mathbb{Q}_l$  be a finite extension. Suppose that  $\rho : G \to \operatorname{GL}_2(E)$  is a continuous representation, which is odd, irreducible, unramified outside finitely many prime, and whose restriction to  $G_l$  is potentially semi-stable, when regard as a  $\mathbb{Q}_l$ representation. Then up to a twist of  $\epsilon$ , it arises from a modular form, i.e., there exists a modular form f such that  $\rho \simeq \rho_{f,l}$ .

Leading by the breaking through work of Wiles, many cases of Conjecture 1.5 have been known and we have many important applications, e.g., the proof of Fermat's last theorem, Sato-Tate conjecture and Serre's modularity conjecture. <sup>4</sup> In the proof of many known cases (especially in work of Kisin), p-adic Hodge theory

<sup>&</sup>lt;sup>3</sup>Of course, we will also discuss crystalline representations and Hodge-Tate representations

<sup>&</sup>lt;sup>4</sup>Note that Taniyama-Shimura conjecture is the only the special case of Conjecture 1.5 for k = 2 where k is the weight of modular forms.

plays a central technical role. Here we cite one of the most recent results from  $Kisin^5$  which depends on the known case of *p*-adic Langlands correspondence:

**Theorem 1.6** ([Kis06]). Fix a prime p > 2, S a set of prime containing  $p, \infty$ ,  $G_{\mathbb{Q},S}$  the Galois group of maximal unramified extension of  $\mathbb{Q}$  outside of S and  $G_p$  the decomposition group at p. Let  $\mathcal{O}$  be the ring of integers in a finite extension of  $\mathbb{Q}_p$ , having the residue field  $\mathbb{F}$ , and  $\rho: G_{\mathbb{Q},S} \to \mathrm{GL}_2(O)$  a continuous representation. Suppose that

- (1)  $\rho|_{G_p}$  is potentially semi-stable with distinct Hodge-Tate weights.
- (2)  $\rho|_{G_p}$  is semi-stable over an abelian extension over  $\mathbb{Q}_p$ .
- (3)  $\bar{\rho} :\xrightarrow{\rho} \operatorname{GL}_2(O) \to \operatorname{GL}_2(\mathbb{F})$  is modular, and  $\bar{\rho}|_{\mathbb{Q}(\zeta_p)}$  is absolutely irreducible.
- (4)  $\bar{\rho}|_{G_p} \not\sim \begin{pmatrix} \omega \chi & * \\ 0 & \chi \end{pmatrix}$  for any character  $\chi : G_p \to \mathbb{F}^{\times}$ , where  $\omega := \epsilon \mod p$ .

Then up to a twist,  $\rho$  is modular.

Variations of the above Theorem on potentially Barsoti-Tate representations are some essential inputs in Khare and Wintenberger to prove Serre's modularity conjecture:

**Theorem 1.7.** Notations as in Theorem 1.6, Let  $\bar{\rho} : G_{\mathbb{Q},S} \to \mathrm{GL}_2(\mathbb{F})$  be a continuous representation with odd determinant then  $\bar{\rho}$  is modular.

Injecting Theorem 1.7 into Theorem 1.6, the condition that  $\bar{\rho}$  is modular then can be removed.

### 2. References

### 2.1. General discussion on Galois representations.

- (1) Jean-Pierre Serre, Abelian l-adic representations and Elliptic curves.
- (2) Jean-Marc Fontaine, Yi Ouyang, *p-adic Galois representations*, available at http://faculty.math.tsinghua.edu.cn/faculty/~ youyang/

## 2.2. Survey on *p*-adic Hodge theory.

(1) Laurent Berger, An Introduction to theory of p-adic representations, available in arxiv.

The references for the following topics are numerous, but we only list those that are important for beginners.

### 2.3. Classical Theory on Big rings and weakly admissible $(\varphi, N)$ -modules.

- (1) Astérisque 223, Périods p-adiques, Exposé II, III, VIII.
- (2) [CF00]

## 2.4. Comparison Theorems, which can explain why *p*-adic representations from geometry is potentially semi-stable.

- (1) [FM87]
- (2) [Tsu99]

<sup>&</sup>lt;sup>5</sup>Note that this celebrating result does not cover all known cases of Conjecture 1.5.

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### 2.5. Theory of $(\varphi, \Gamma)$ -module.

- (1) [Fon90]
- (2) Pierre Colmez, *Fontaine's ring and p-adic L-functions*, available at http://faculty.math.tsinghua.edu.cn/faculty/~ youyang/

# 2.6. Integral *p*-adic Hodge theory.

- (1) [Bre02]
- (2) [Liu]

2.7. Theory of Modularity and *p*-adic Hodge theory. There are too many (and difficult) papers in this direction. One can find some relative friendly papers in Kisin and Breuil's homepages. For example:

- (1) Mark Kisin, Modularity of some geometric Galois representations.
- (2) Mark Kisin, Modularity of 2-dimensional Galois representation
- (3) Christopher Breuil, Towards a p-adic Langlands programme<sup>6</sup>

Of course, the best book to explain the original idea of Wiles is *Modular Forms and Fermat's Last Theorem* by Silverman, Cornell, and Stevens.

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- [Bre02] Christophe Breuil, Integral p-adic Hodge theory, Algebraic geometry 2000, Azumino (Hotaka), Adv. Stud. Pure Math., vol. 36, Math. Soc. Japan, Tokyo, 2002, pp. 51–80.
- [CF00] Pierre Colmez and Jean-Marc Fontaine, Construction des représentations p-adiques semistables, Invent. Math. 140 (2000), no. 1, 1–43.
- [Con] Brian Conrad, Modular forms and the ramanujan conjecture, available soon, Available at bdconrad@umich.edu.
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- [Fon90] Jean-Marc Fontaine, Représentations p-adiques des corps locaux. I, The Grothendieck Festschrift, Vol. II, Progr. Math., vol. 87, Birkhäuser Boston, Boston, MA, 1990, pp. 249– 309.
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- [Liu] Tong Liu, Main conjecture of integral p-adic Hodge theory, Preprint, available at http://www.math.upenn.edu/~ tongliu/research.html.
- [Tsu99] Takeshi Tsuji, p-adic étale cohomology and crystalline cohomology in the semi-stable reduction case, Invent. Math. 137 (1999), no. 2, 233–411.

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 $<sup>^6\</sup>mathrm{This}$  paper discuss basic idea of p-adic Langlands which essentially used in the proof of Theorem 1.6