

## Perfect sets

Recall that  $E \subset X$  is a perfect set if  $E$  is closed and if every point of  $E$  is a limit point of  $E$ .

Ex: Let  $X = \mathbb{R}^2$ . The following sets in  $\mathbb{R}^2$  are perfect:

1)  $\mathbb{R}^2$  is perfect

2) Any 2-cell is perfect:  $\square$

3) Any  $E \subset \mathbb{R}^2$  finite set is not perfect. For example,  $E = \{(0,1), (1,2), (2,3)\} \subset \mathbb{R}^2$  is not perfect, since every point in  $E$  is not a limit point. All points in  $E$  are isolated points.

4)  $E = \{(m, 0) : m \text{ is an integer}\}$  is not perfect.

5)  $E = I \cup \{(3,5)\}$ ,  $I = [0,1] \times [0,1]$  is not perfect

We have:

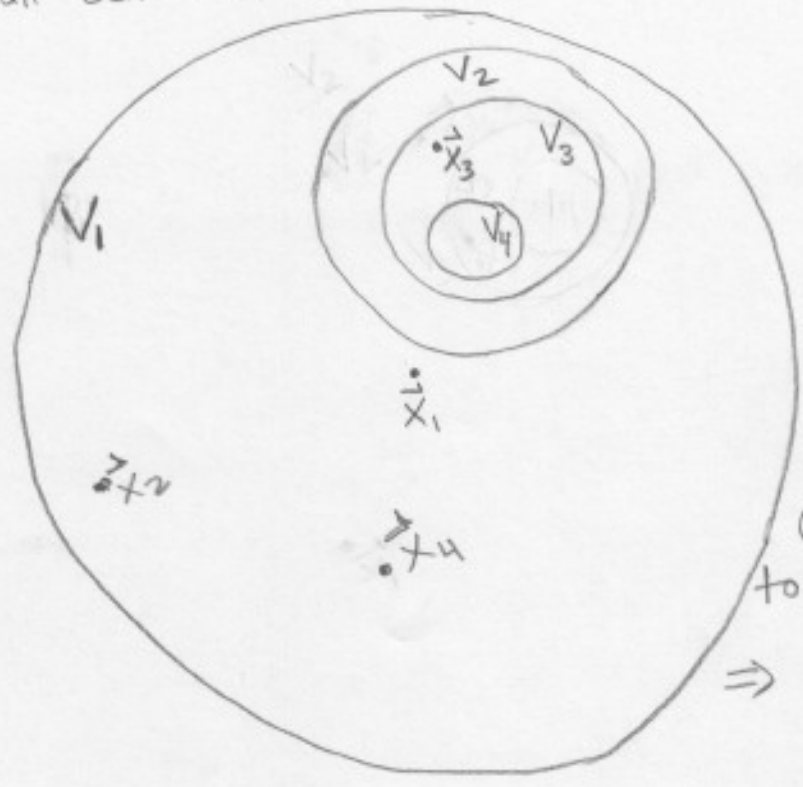
Theorem 2.4: Let  $P \neq \emptyset$  be a perfect set in  $\mathbb{R}^k$ . Then  $P$  is uncountable.

Proof: Since  $P$  has limit points,  $P$  is not a finite set, hence  $P$  is an infinite set. We proceed by contradiction and assume that  $P$  is countable; i.e.

$$P = \{\vec{x}_1, \vec{x}_2, \dots\}$$

Every  $\vec{x}_i, i = 1, 2, \dots$  is a limit point.

The idea of the proof is the following:  
 Since every point  $x_i, i=1,2,\dots$  is a limit point, we can find a sequence of open balls, nested and denoted as  $V_1, V_2, \dots$ , in such a way that  $\bar{x}_n$  does not belong to the open ball  $V_{n+1}$ . We start with  $V_1$  an open ball centered at  $\bar{x}_1$ .



Notes:  
 $\bar{x}_1 \notin \bar{V}_2$   
 $\bar{x}_2 \notin \bar{V}_3$   
 $\vdots$   
 $\bar{x}_n \notin \bar{V}_{n+1}$

The points  $\bar{x}_2, \bar{x}_3, \dots$  do not have to belong (although they could belong) to the balls  $\bar{V}_2, \bar{V}_3, \dots$   
 $\Rightarrow \bar{V}_1 \supset \bar{V}_2 \supset \bar{V}_3 \supset \dots$

$\Rightarrow$  Let  $K_n = \bar{V}_n \cap P$   
 $K_n, n=1,2,\dots$  is compact since it is a closed set inside the compact set  $\bar{V}_n$ . We have:

$$K_1 \supset K_2 \supset K_3 \supset \dots$$

Since  $\bar{x}_n \notin V_{n+1} \Rightarrow \bigcap_{n=1}^{\infty} K_n = \emptyset$ ,

but this contradicts the fact that, when we have a nested sequence of non-empty compact sets as above:

$\bigcap_{n=1}^{\infty} K_n \neq \emptyset$  • From this contradiction we conclude that  $P$  is uncountable

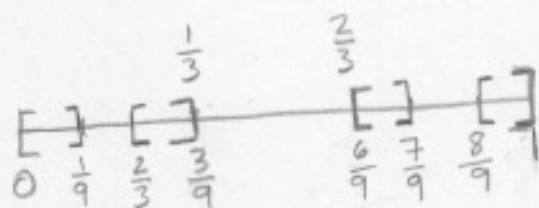
The Cantor Set:

Let  $E_0 = [0, 1]$ . Remove  $(\frac{1}{3}, \frac{2}{3})$  and let:

$$E_1 = [0, \frac{1}{3}] \cup [\frac{2}{3}, 1]$$

Remove the middle thirds of these intervals, and let:

$$E_2 := [0, \frac{1}{9}] \cup [\frac{2}{9}, \frac{3}{9}] \cup [\frac{6}{9}, \frac{7}{9}] \cup [\frac{8}{9}, 1]$$



We continue in this way and we obtain a sequence of compact sets  $E_n$  such that:

(a)  $E_1 \supset E_2 \supset E_3 \supset \dots$

(b)  $E_n$  is the union of  $2^n$  intervals, each of length  $\frac{1}{3^n}$ .

Define:

$$P := \bigcap_{n=1}^{\infty} E_n$$

From Theorem 2.36 it follows that  $P \neq \emptyset$ .

From Theorem 2.24 we have that  $P$  is closed.

Clearly,  $P$  is bounded.

Hence, Heine-Borel theorem gives that:

$P$  is compact.

Remark : For any  $m \in \{1, 2, \dots\}$  and  $k \in \{0, 1, 2, \dots\}$

$$\Rightarrow \left( \frac{3k+1}{3^m}, \frac{3k+2}{3^m} \right) \subset P^c$$

Indeed; if  $m=2$ , and  $k=1$  :

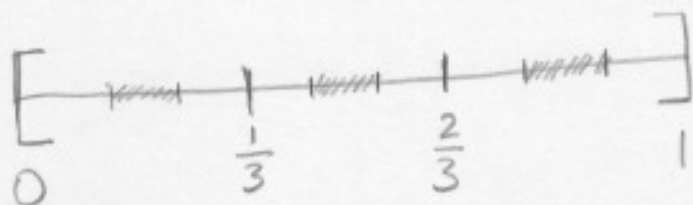
$$\Rightarrow \left( \frac{4}{9}, \frac{5}{9} \right) \subset P^c$$

For  $m=2$ ,  $k=2$  :

$$\left( \frac{7}{9}, \frac{8}{9} \right) \subset P^c$$

If  $m=2$ ,  $k=0$  :

$$\left( \frac{1}{9}, \frac{2}{9} \right) \subset P^c$$

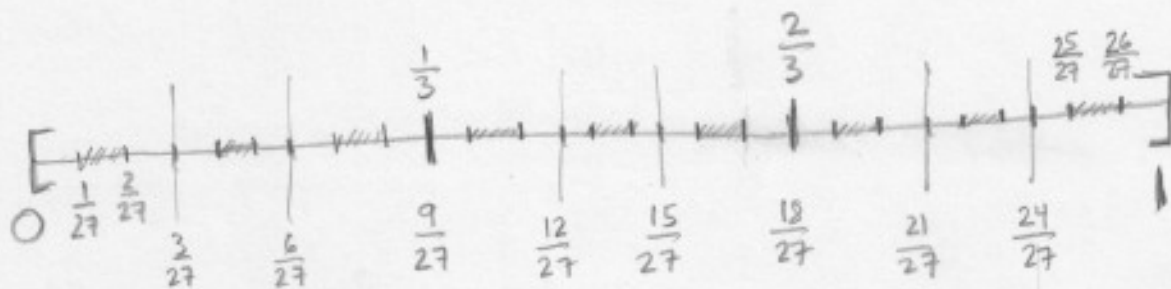


If  $m=3$ , for  $k=0, 1, 2, 3, 4, 5, 6, 7, 8$

$$\left( \frac{1}{27}, \frac{2}{27} \right) \subset P^c, \quad \left( \frac{4}{27}, \frac{5}{27} \right) \subset P^c, \quad \left( \frac{7}{27}, \frac{8}{27} \right) \subset P^c,$$

$$\left( \frac{10}{27}, \frac{11}{27} \right) \subset P^c, \quad \left( \frac{13}{27}, \frac{14}{27} \right) \subset P^c, \quad \left( \frac{16}{27}, \frac{17}{27} \right) \subset P^c,$$

$$\left( \frac{19}{27}, \frac{20}{27} \right) \subset P^c, \quad \left( \frac{22}{27}, \frac{23}{27} \right) \subset P^c, \quad \left( \frac{25}{27}, \frac{26}{27} \right) \subset P^c$$



Theorem:  $P$  does not contain any open interval.

Proof: We proceed by contradiction and assume that  $(\alpha, \beta) \subset P$ . For  $m$  large enough:

$$\beta - \alpha > \frac{6}{3^m},$$

and therefore  $(\alpha, \beta)$  must contain a segment of the form:

$$\left( \frac{3k+1}{3^m}, \frac{3k+2}{3^m} \right), \quad (1)$$

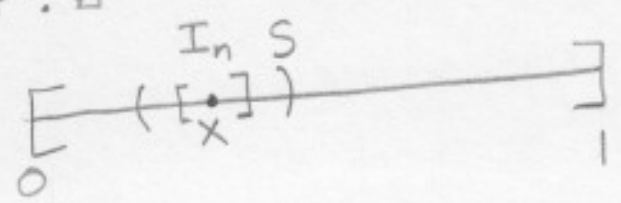
which is a contradiction, since we have seen that segments of the form (1) are contained in  $P^c$ .

Theorem:  $P$  is perfect.

Proof: We have shown that  $P$  is closed. We need to show that every point of  $P$  is a limit point of  $P$ . Let  $x \in P$  and let  $S$  be any open interval such that  $x \in S$ .

Let  $I_n$  be the interval of  $E_n$  which contains  $x$  (recall that  $x \in \bigcap_{n=1}^{\infty} E_n$ ,  $E_n = \bigcup_{i=1}^{2^n} I_i$ ).

For  $n$  large enough,  $I_n \subset S$ . This implies that  $x \in P'$ .  $\square$



Remark: Since  $P$  is perfect,  $P$  is uncountable.