

Recall the definition of a dense set.

Def:  $E$  is a dense subset of the metric space  $X$  if every point of  $X$  is a limit point of  $E$ , or a point of  $E$  (or both). That is,  
 For every  $p \in X$ ,  $\exists \{p_n\}$ ,  $p_n \in E$  s.t.:

$$d(p_n, p) \rightarrow 0$$

Def: A metric space is called separable if it contains a countable dense subset.

Ex:  $\mathbb{R}^k$  is separable, since:

$$\mathbb{Q}^k = \{(p_1, \dots, p_k) : p_i \text{ is rational}\}$$

is a countable dense subset of  $\mathbb{R}^k$ .

Def: A collection  $\{V_\alpha\}$  of open subsets of  $X$  is said to be a base for  $X$  if for every  $x \in X$  and every open set  $G \subset X$  such that  $x \in G$  we have that:

$$\exists V_\alpha \text{ s.t. } x \in V_\alpha \subset G, \text{ for some } \alpha.$$

In other words, every open set in  $X$  is the union of a subcollection of  $\{V_\alpha\}$ .

Ex: Solve Problem 23, chapter 2:

Show that every separable metric space  $X$  has a countable basis.

Proof:  $X$  has a countable dense subset  $E$ .

$$E = \{x_1, x_2, \dots\}$$

Consider the collection of all neighborhoods  $\{N_q(x_i)\}$

$$N_q(x_i) = \{x : d(x, x_i) < q\}, \quad q \in \mathbb{Q}$$

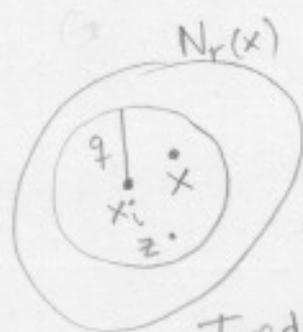
The collection  $\{N_q(x_i)\}$  is countable since  $\mathbb{Q}$  is countable and it is a base for  $X$ . Indeed:

If  $G \subset X$  is open and  $x \in G$ ,  $\exists r > 0$  s.t.:

$$N_r(x) \subset G; \quad \text{because } x \text{ is an interior point.}$$

Since  $E$  is dense in  $X$ ,  $\exists x_i \in E$  s.t.:

$$d(x, x_i) < \frac{r}{4}$$



$$\text{Let } q \in \mathbb{Q} \text{ s.t. } \frac{r}{4} < q < \frac{r}{2}$$

We claim that  $x \in N_q(x_i) \subset N_r(x) \subset G$  :

Indeed:

$$d(x, x_i) < \frac{r}{4} < q \Rightarrow x \in N_q(x_i)$$

$$\begin{aligned} \text{Let } z \in N_q(x_i) &\Rightarrow d(z, x) \leq d(z, x_i) + d(x_i, x) \\ &< q + \frac{r}{4} \\ &< \frac{r}{2} + \frac{r}{2} = r \end{aligned}$$

$$\Rightarrow d(z, x) < r \Rightarrow z \in N_r(x). \quad \square$$

Ex: Solve problem 25, chapter 2:

Prove that every compact metric space  $K$  has a countable base, and that  $K$  is separable.

Proof: For each  $n=1, 2, \dots$ , we have:

$$K = \bigcup_{P \in K} N_{\frac{1}{n}}(P), \text{ open cover of } K.$$

Since  $K$  is compact  $\Rightarrow \exists p_1^n, p_2^n, \dots, p_{r_n}^n \in K$  s.t.:

$$K \subset N_{\frac{1}{n}}(p_1^n) \cup \dots \cup N_{\frac{1}{n}}(p_{r_n}^n) \quad (*)$$

We will now show that the countable collection:

$$\left\{ N_{\frac{1}{n}}(p_i^n) \right\}_{n=1, 2, \dots, i=1, 2, \dots, r_n}$$

is a basis of  $E$ .

Let  $G$  be an open set and  $x \in G \Rightarrow \exists r > 0$  s.t.  $N_r(x) \subset G$ .

Fix  $n$  such that  $\frac{1}{n} < \frac{r}{2}$ .

Since  $x \in K$ ,  $(*)$  implies that  $x \in N_{\frac{1}{n}}(p_i^n)$  for some  $i \in \{1, \dots, r_n\}$

Also,  $N_{\frac{1}{n}}(p_i^n) \subset N_r(x)$  because  $z \in N_{\frac{1}{n}}(p_i^n) \Rightarrow$

$$d(z, x) \leq d(z, p_i^n) + d(p_i^n, x) < \frac{1}{n} + \frac{1}{n} < \frac{r}{2} + \frac{r}{2} = r$$

Hence  $z \in N_r(x)$ . We have proved:

$$x \in N_{\frac{1}{n}}(p_i^n) \subset G.$$

To show that  $K$  is separable, define  $E = \bigcup_{n=1}^{\infty} A_n$ ,

$A_n = \{p_1^n, \dots, p_{r_n}^n\}$ .  $E$  is a countable dense subset of  $K$ .

Theorem 7.25: Let  $K$  be a compact metric space. If  $f_n \in \mathcal{C}(K)$ ,  $n=1, 2, \dots$  and if  $\{f_n\}$  is pointwise bounded and equicontinuous on  $K$ , then:

(a)  $\{f_n\}$  is uniformly bounded on  $K$

(b)  $\{f_n\}$  contains a uniformly convergent subsequence.  
(This is Arzela-Ascoli Theorem).

Proof: Let  $\varepsilon > 0$ . Then  $\exists \delta > 0$  s.t.:

$$d(x, y) < \delta \Rightarrow |f_n(x) - f_n(y)| < \varepsilon, \forall n \quad (1)$$

We have:

$$K \subset \bigcup_{p \in K} N_\delta(p), \text{ a open cover of } K.$$



Since  $K$  is compact,  $\exists p_1, \dots, p_r \in K$  s.t.:

$$K \subset N_\delta(p_1) \cup \dots \cup N_\delta(p_r) \quad (2)$$

Since  $\{f_n\}$  is pointwise bounded  $\Rightarrow \exists M_i$  s.t.:

$$|f_n(p_i)| < M_i \quad \forall n$$

If  $M = \max \{M_1, \dots, M_r\}$ , then for every  $x \in K$ ,  $x \in N_\delta(p_i)$  for some  $i \in \{1, \dots, r\}$  and:

$$|f_n(x) - f_n(p_i)| < \varepsilon, \quad n=1, 2, \dots$$

$$\Rightarrow |f_n(x)| \leq |f_n(x) - f_n(p_i)| + |f_n(p_i)| < \varepsilon + M_i \leq \varepsilon + M.$$

$$\Rightarrow |f_n(x)| < \varepsilon + M \quad \forall x \in K, \forall n.$$

$\Rightarrow \{f_n\}$  is uniformly bounded on  $K$ .

We showed earlier that any compact metric space contains a countable dense subset.

Let  $E$  be a countable dense subset of  $K$ .

From Theorem 7.23 it follows that  $\{f_n\}$  has a subsequence  $\{f_{n_i}\}$  such that:

$f_{n_i}(y)$  converges for every  $y \in E$ .

We define:

$$g_i = f_{n_i}$$

We will prove that  $f_{n_i}$  (i.e.,  $g_i$ ) converges uniformly on  $K$ .

Let  $\epsilon > 0$  and  $\delta > 0$  as in (1). Consider

Let  $E = \{y_1, y_2, y_3, \dots\}$ . Clearly:

$$K \subset \bigcup_{i=1}^{\infty} N_{\delta}(y_i), \text{ open cover of } K$$

(since  $x \in K \Rightarrow \exists y_i$  s.t.  $d(x, y_i) < \delta \Rightarrow x \in N_{\delta}(y_i)$ )

$K$  compact  $\Rightarrow \exists x_1, x_2, \dots, x_m \in E$  s.t.:

$$K \subset N_{\delta}(x_1) \cup \dots \cup N_{\delta}(x_m)$$

Since  $\{g_i(y)\}$  converges for every  $y \in E$ ,  $\exists N$  s.t.:

$$(**) |g_i(x_s) - g_j(x_s)| < \epsilon, \forall i, j \geq N, 1 \leq s \leq m$$

Note that  $\{x_1, \dots, x_m\}$  is a subset of  $\{y_i : i=1, 2, \dots\}$

Let  $x \in K$ .

$\Rightarrow \exists s \in \{1, \dots, m\}$  s.t.  $x \in N_\delta(x_s)$ .

We compute:

$$|g_i(x) - g_j(x)| \leq |g_i(x) - g_i(x_s)| + |g_i(x_s) - g_j(x_s)| + |g_j(x_s) - g_j(x)|$$

$$< \varepsilon + \varepsilon + \varepsilon$$

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by (1)

by (\*\*)

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We have proved:

$$|f_{n_i}(x) - f_{n_j}(x)| < 3\varepsilon, \quad \forall x \in K, \quad i, j \geq N$$

which implies that:

$\{g_i\} = \{f_{n_i}\}$  converges uniformly on  $K$ . ▀