

# Rank Formulas for Hypergeometric Systems

Christine Berkesch  
Purdue University

AMS Section Meeting, Bloomington, April 2008

# Outline

1 Rank of  $\mathcal{A}$ -hypergeometric Systems

2 Homological Approach

3 Combinatorial Answers

# Definitions

1

- Let  $A \in \mathbb{Z}^{d \times n}$ . Assume  $\mathbb{N}A$  is pointed and  $\mathbb{Z}A = \mathbb{Z}^d$ .
- $D_A = \mathbb{C}[x_A]\langle\partial_A\rangle = \mathbb{C}[x_1, \dots, x_n]\langle\partial_1, \dots, \partial_n\rangle$ ,  $R_A = \mathbb{C}[\partial_A]$
- $A = (a_1 \ a_2 \ \cdots \ a_n)$ :  $\deg(\partial_i) = a_i$ ,  $\deg(x_i) = -a_i$
- Toric ideal:  $I_A = \langle \partial^u - \partial^v \mid Au = Av, \ u, v \in \mathbb{N}^n \rangle \subseteq R_A$
- $S_A = R_A/I_A \cong \mathbb{C}[\mathbb{N}A]$
- Euler operators:  $E_i = \sum_{j=1}^n a_{ij} x_j \partial_j$
- Parameter:  $\beta \in \mathbb{C}^d$

Definition:  $\mathcal{A}$ -hypergeometric system  $M_A(\beta)$

$$M_A(\beta) = D_A/D_A \cdot (I_A, \{E_i - \beta_i\}_{i=1}^d)$$

# Rank of $\mathcal{A}$ -hypergeometric Systems

- Work of:
  - Gel'fand-Graev-Kapranov-Zelevinskii
  - Adolphson
  - Sturmfels-Takayama
  - Cattani-D'Andrea-Dickenstein
  - Saito-Sturmfels-Takayama
  - Matusevich-Miller-Walther
- $\text{rank } M_A(\beta) = \text{vol}(A)$  for generic  $\beta$
- $\text{rank } M_A(\beta) \geq \text{vol}(A)$

Definition: Rank jump of  $M_A(\beta)$  at  $\beta$

$$j_A(\beta) = \text{rank } M_A(\beta) - \text{vol}(A)$$

# Structure of the Exceptional Arrangement of $A$

3

- $N$ :  $\mathbb{Z}^d$ -graded finitely generated module

$$\text{qdeg}(N) = \text{Zariski closure of } \deg(N) \subseteq \mathbb{C}^d$$

Theorem [MMW]:

- $\mathcal{E}_A = \{\beta \in \mathbb{C}^d \mid j_A(\beta) > 0\}$  exceptional arrangement of  $A$   
 $= \text{qdeg} \left( \bigoplus_{i=0}^{d-1} H_{\langle \partial_A \rangle}^i(S_A) \right)$
- $j_A(-)$  is upper semi-continuous.

Goal: Stratification result

Determine the structure of

$$\mathcal{E}_A^i = \{\beta \in \mathbb{C}^d \mid j_A(\beta) > i\}.$$

# Euler-Koszul Homology

4

- $M$ : toric module
- $\mathcal{K}_\bullet(M, \beta)$ : Euler-Koszul complex in  $E - \beta$
- $\mathcal{H}_0(S_A, \beta) = M_A(\beta)$

## Theorem [MMW]: Vanishing of Euler-Koszul Homology

- $\mathcal{H}_i(M, \beta) = 0 \ \forall i \geq 0 \iff \beta \notin \text{qdeg}(M)$
- $\mathcal{H}_i(M, \beta) = 0 \ \forall i > 0 \iff M \text{ MCM } S_A\text{-module}$

# Homological Investigation of Rank Jumps

5

$$0 \rightarrow S_A \rightarrow \mathbb{C}[\mathbb{Z}A] \rightarrow Q_A \rightarrow 0$$

$$\begin{array}{ccccccc} \mathcal{H}_0(S_A, \beta) & \rightarrow & \mathcal{H}_0(\mathbb{C}[\mathbb{Z}A], \beta) & \rightarrow & \mathcal{H}_0(Q_A, \beta) & \rightarrow & 0 \\ & & \underbrace{\mathbb{C}[\mathbb{Z}A]}_{\text{CM}} & \rightarrow & \mathcal{H}_1(Q_A, \beta) & \rightarrow & \end{array}$$

- $j_A(\beta) = \text{rank } \mathcal{H}_1(Q_A, \beta) - \text{rank } \mathcal{H}_0(Q_A, \beta)$
- $\mathcal{E}_A \subseteq \text{qdeg}(Q_A) = \mathcal{R}_A$  *ranking arrangement of A*
- $\beta \in \mathcal{E}_A$ :  $\mathcal{R}_A(\beta) \subseteq \mathcal{R}_A$   *$\beta$ -components of  $\mathcal{R}_A$*

# Simple Case

6

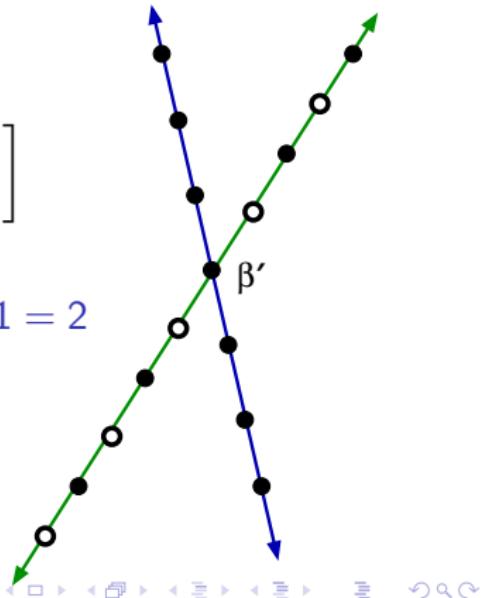
- $\mathcal{R}_A(\beta) = b + \mathbb{C}F, \quad b \in \mathbb{Z}^d$
- $F$ : face of  $A$ ,  $\mathbb{R}F \cap \mathbb{Z}^d = \mathbb{Z}F$
- $\mathcal{H}_\bullet(Q_A, \beta) \cong \mathcal{H}_\bullet(\mathbb{C}[\mathbb{Z}F](b), \beta)$
- $j_A(\beta) = \text{rank } \mathcal{H}_1(\mathbb{C}[\mathbb{Z}F](b), \beta) - \text{rank } \mathcal{H}_0(\mathbb{C}[\mathbb{Z}F](b), \beta)$

Theorem [Okuyama]:

- $\text{rank } \mathcal{H}_q(\mathbb{C}[\mathbb{Z}F](b), \beta) = \binom{\text{codim } F}{q} \cdot \text{vol}(F).$
- $j_A(\beta) = [\text{codim } F - 1] \cdot \text{vol}(F).$

## Example: Intersecting exceptional lines

- $A = \begin{bmatrix} 2 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 & 1 & 0 & 1 \\ 0 & 0 & 1 & 3 & 4 & 0 & 2 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 \end{bmatrix}, \text{ vol}(F_i) = 1, \text{ codim}(F_i) = 3$
- $\mathcal{E}_A = [\beta' + \mathbb{C}F_1] \cup [\beta' + \mathbb{C}F_2], \quad \beta' = \begin{bmatrix} 1 \\ 1 \\ 2 \\ 0 \end{bmatrix}$
- $\beta \neq \beta'$ :
  - $\beta \in [\beta' + \mathbb{C}F_2] : j_A(\beta) = [3 - 1] \cdot 1 = 2$
  - $\beta \in [\beta' + \mathbb{C}F_1] : j_A(\beta) = 4$
- $j_A(\beta') = 2 + 4 + 3 - 5 = 4$



# Finding a Rank Jump Formula

Main Theorem:

$j_A(\beta)$  is determined by the combinatorics of  $\mathcal{R}_A(\beta)$ .

Corollaries: Stratification of  $\mathcal{R}_A$

- $j_A(-)$  is constant on each stratum of  $\mathcal{R}_A$ .
- $\mathcal{E}_A^i$  is a union of translates of linear subspaces of  $\mathbb{C}^d$ .
- Extract essential part of  $\mathcal{R}_A(\beta)$ :  $P^\beta \subseteq Q_A$ 
  - $\mathcal{H}_\bullet(Q_A, \beta) \cong \mathcal{H}_\bullet(P^\beta, \beta)$
  - Approximate  $P^\beta$  by MCM toric  $S_F$ -modules
  - Spectral sequence degenerates
- $N$  MCM toric  $S_F$ -module:
  - $\mathcal{H}_\bullet(N, \beta) \cong \mathbb{C}[x_{F^c}] \otimes_{\mathbb{C}} \mathcal{H}_0^F(N, \beta_F) \otimes_{\mathbb{C}} \left( \bigwedge^{\bullet} \mathbb{Z} F^\perp \right)$

# Stratification of the Exceptional Arrangement $\mathcal{E}_A$

Proposition: Structure of  $\mathcal{R}_A$

$$\mathcal{R}_A = \mathcal{E}_A \cup \mathcal{R}_A^1, \text{ where } \text{codim } \mathcal{R}_A^1 = 1.$$

Porism [MMW]:

$\mathcal{E}_A$  has codimension  $\leq 2$ .

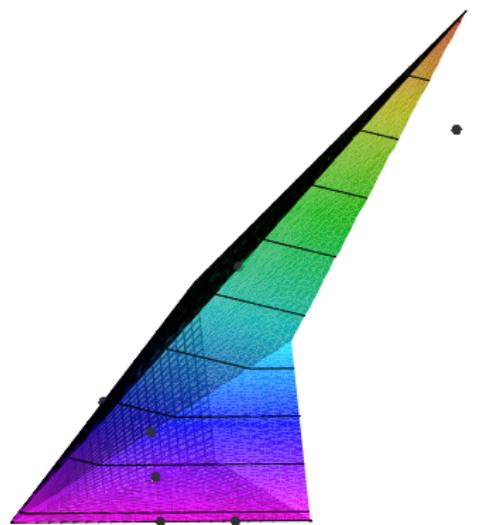
Conclusions:

- $\mathcal{E}_A$  alone does not carry enough information to compute  $j_A(\beta)$ .
- An irreducible component of  $\mathcal{E}_A$  is the union of strata of  $\mathcal{R}_A$ .

# Example: Nonconstant Rank on a Slab

10

- $A = \begin{bmatrix} 2 & 3 & 2 & 2 & 0 & 0 & 0 & 0 & 2 & 5 & 3 \\ 0 & 0 & 0 & 0 & 2 & 3 & 2 & 2 & 2 & 3 & 5 \\ 0 & 0 & 1 & 2 & 0 & 0 & 1 & 2 & 5 & 7 & 7 \end{bmatrix}$
- $\beta' = \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}$
- $\mathcal{E}_A = [\beta' + \mathbb{C}F_3] \cup \{6 \text{ points}\},$



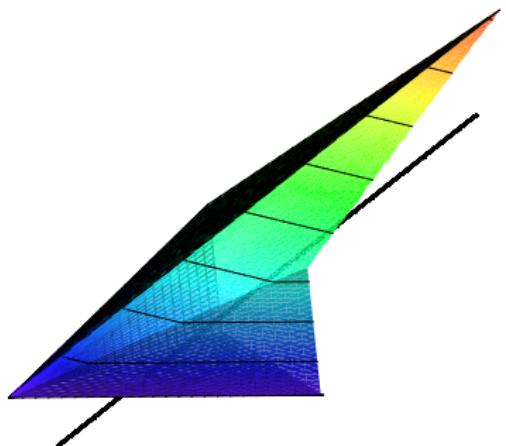
## Example: Nonconstant Rank on a Slab

11

- $A = \begin{bmatrix} 2 & 3 & 2 & 2 & 0 & 0 & 0 & 0 & 2 & 5 & 3 \\ 0 & 0 & 0 & 0 & 2 & 3 & 2 & 2 & 2 & 3 & 5 \\ 0 & 0 & 1 & 2 & 0 & 0 & 1 & 2 & 5 & 7 & 7 \end{bmatrix}$

$F_1$        $F_2$        $F_3$

- $\beta' = \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}$
- $\mathcal{E}_A = [\beta' + \mathbb{C}F_3] \cup \{6 \text{ points}\},$
- $j_A(\beta) = \begin{cases} 1 & \text{if } \beta \in [\beta' + \mathbb{C}F_3] \setminus \beta', \\ 2 & \text{if } \beta = \beta'. \end{cases}$



## Example: Nonconstant Rank on a Slab

12

$$\bullet A = \left[ \begin{array}{ccccccccc} 2 & 3 & 2 & 2 & 0 & 0 & 0 & 0 & 2 & 5 & 3 \\ 0 & 0 & 0 & 0 & 2 & 3 & 2 & 2 & 2 & 3 & 5 \\ 0 & 0 & 1 & 2 & 0 & 0 & 1 & 2 & 5 & 7 & 7 \end{array} \right] \quad \underbrace{\hspace{1cm}}_{F_1} \quad \underbrace{\hspace{1cm}}_{F_2} \quad \underbrace{\hspace{1cm}}_{F_3}$$

- $\beta' = \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}$

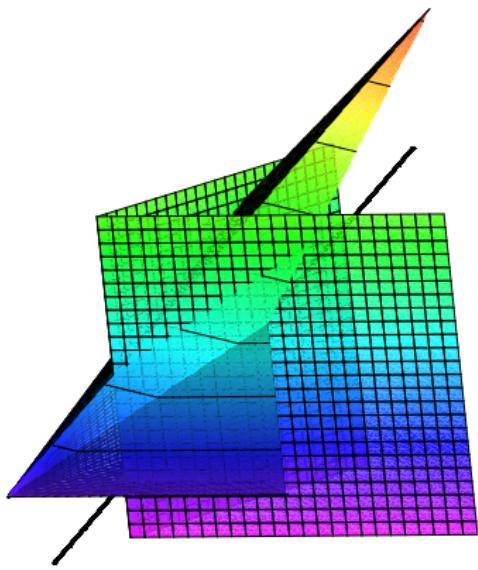
- $\mathcal{E}_A = [\beta' + \mathbb{C}F_3] \cup \{6 \text{ points}\},$

$$\bullet \quad j_A(\beta) = \begin{cases} 1 & \text{if } \beta \in [\beta' + \mathbb{C}F_3] \setminus \beta', \\ 2 & \text{if } \beta = \beta'. \end{cases}$$

- $\beta \in \mathbb{R}_{>0} A \cap [\beta' + \mathbb{C}F_3] \setminus \beta'$ :

$$\mathcal{R}_A(\beta) = \beta' + \mathbb{C}F_3$$

$$\bullet \quad \mathcal{R}_A(\beta') = \bigcup_{i=1}^3 [\beta' + \mathbb{C}F_i]$$



# Looking Ahead

13

- Isomorphism classes of  $M_A(\beta)$ 
  - [Saito]:  $E_\tau(\beta)$  give the isomorphism classes of  $M_A(\beta)$  for homogeneous  $A$
  - $\deg(P^\beta)$  is directly related to  $E_\tau(\beta)$
- Geometric explanation for  $j_A(\beta)$